# **INFORMATION ETHICS GROUP**

Oxford University and University of Bari

A Defence of Informational Structural Realism

by

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## Abstract

The paper defends an informational approach to structural realism. It does so in three steps. First, it is shown that, within the debate about structural realism (SR), epistemic (ESR) and ontic (OSR) structural realism are reconcilable. It follows that a version of OSR is defensible from a structuralist-friendly position. Second, it is argued that a version of OSR is also plausible, because not all *relata* (structured entities) are logically prior to relations (structures). Third, it is shown that a version of OSR is also applicable to both sub-observable (unobservable and instrumentally-only observable) and observable entities, by developing its ontology of structural objects in terms of informational objects. The outcome is *informational structural realism*, a version of OSR supporting the ontological commitment to a view of the world as the totality of informational objects dynamically interacting with each other. In the second half of the paper, ten objections are answered in order to clarify further the nature of the proposal.

## **Keywords**

Epistemic structural realism; informational ontology; levels of abstraction; ontic structural realism; structural realism.

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## 1. Introduction: structural realism and its two challenges

Broadly construed, *structural realism* (SR) argues that the structural properties of reality are knowable in themselves, and hence that, with a bit of luck, we may get them right:

SR) Explanatorily, instrumentally and predictively successful models (especially, but not only, those propounded by scientific theories) can be, in the best circumstances, increasingly informative about the *relations* that obtain between the (possibly sub-observable, i.e. unobservable or instrumentally-only observable) objects that constitute the system under investigation (through the observable phenomena).

Although SR encompasses a variety of positions in epistemology and in the philosophy of science – including, in the past, Carnap's, Cassirer's, Duhem's, Eddington's, Poincaré's, Russell's, Schlick's and Whitehead's<sup>1</sup> – it is commonly acknowledged that two major challenges confront SR as a whole family of theories. One is *Newman's problem*.<sup>2</sup> The other may be referred to as the *ontological problem*.

Newman's problem is epistemological. It contends that what SR has to say about our knowledge of reality is either trivial or false. It is also an *external* challenge, for it is typically deployed to reject any version of SR. Newman's "frying pan or fire" alternative is a well-known objection to SR. I shall not dwell upon it here because it lies beyond the limited scope of this paper. However, it must be acknowledged that it remains a future concern for any structuralist approach, including the one developed in this paper. At this stage, suffice it to say that, if pressed, I would agree with Worrall

<sup>&</sup>lt;sup>1</sup> An earlier assessment of Whitehead's and Russell's SR is Heath [1928]. Russell's and Poincaré's versions of SR have been the most influential so far, thanks to Maxwell [1968], Maxwell [1970a] and Maxwell [1970b]; and to Worrall [1989] and Worrall [1994] respectively. Gower [2000] provides an excellent scholarly analysis of the earlier history of the various structural realisms. Psillos [2000a] reconstructs Carnap's structuralist position with admirable accuracy, in order to show that this version too is subject to roughly the same "Newmanian" difficulties that, according to Psillos, undermine Russell's and Poincaré's positions. French [2003] concerns Eddington's ontic structural realism. French [2001] highlights Cassirer's SR and more generally the Kantian roots of SR.

<sup>&</sup>lt;sup>2</sup> Newman's problem (Newman [1928]) originally concerned Russell's version of SR. It was later revived by Demopoulos and Friedman [1985]. It has recently been expanded in scope by Psillos [1999], chap. 7 and Psillos [2001], who deploys it to reject any form of SR. It is formalised by Ketland [2004], who supports it, and by Votsis [2003], who seeks to counteract it. On the history of the problem, see also French [2003].

and Zahar [2001], French and Saatsi [2004] and Votsis [forthcoming] that arguments based on it are probably much less damaging than detractors of SR appear to believe.<sup>3</sup>

The ontological problem is a metaphysical and *internal* challenge. It concerns the ontological commitments of SR. This is the topic of this paper. SR may be convincing, but it leaves unspecified the nature of the *relata* in the structures. As a result, the current debate among structural realists has resuscitated the classic question about the accessibility of the ontic status of objects in themselves. The problem does not have to be restricted to theoretical or sub-observable entities. We shall see that, as the Kantian terminology and the Russellian origins of the approach point out, what is in question is the (knowability of the) ultimate nature of reality. I shall return to this methodological point when discussing objection 3 in section 6.

The ontological problem is explainable by means of a simple formalism. The set-theoretic scheme of a structure *S* comprises four sets (three, if one treats properties as unary relations):

- 1) a non-empty set O of objects (the domain of S),
- 2) a non-empty set P of first-order, one-place properties of the objects in O,
- 3) a non-empty set of relations R on O, and
- 4) a possibly empty set *T* of transitions rules (operations) on *O*.

This is not the only way of dealing with the concept of structure, and it may not be the best, if compared to the semantic or model-theoretic approach. Chakravartty [2001] has argued that it is questionable whether the model-theoretic approach significantly favours scientific realism rather than any other form of realism. If Chakravartty is right, it follows that the approach may not significantly favour SR either. However, this neutrality thesis can be guaranteed while still endorsing the SR-centric view, supported by e.g. French and Ladyman [2003b] and French and Saatsi [2004], that the semantic (model-theoretic) approach is more suitable for SR than the syntactic (set-theoretic) one. So, the semantic (model-theoretic) approach will be adopted below, when it will become necessary to clarify the different ontological commitments of a theory. Here, however, the set-theoretic approach suffices to formulate the ontological

<sup>&</sup>lt;sup>3</sup> See also French's analysis of Eddington's response (to which I am sympathetic) to Braithwaite in French [2003]. I hope to discuss Newman's problem from the perspective of an informational approach to SR in a future paper.

problem in very simple terms: what are the objects in *O*? *Epistemic Structural Realism* (ESR) and *Ontic Structural Realism* (OSR) provide different answers.<sup>4</sup>

ESR takes an *agnostic stance*. Objects can be posited only as *ontic residua*, i.e., what remains in principle unknowable once the knowable structures of reality have been factored out. Quite naturally, Poincaré [1902] used a Kantian vocabulary and spoke of objects in themselves as *noumena*. Ramsey sentences provide a standard (if perhaps unsuccessful by themselves, see French and Ladyman [2003a]) method to circumvent an ontological commitment in favour of these *noumena*.<sup>5</sup>

ESR's agnostic stance may be interpreted as what Lewis [forthcoming] called "Ramseyan humility", although I would prefer some Kantian humility instead. Lewis relied on Ramsey sentences and the multiple realizability of even our hypothetically true and ultimate theory of everything to argue that the intrinsic nature of reality *may be unknowable*, whereas Kant's conclusion was that it is in principle *unknown and unknowable*, owing to the nature of human knowledge, a slightly but significantly different position.<sup>6</sup> Given its Kantian humility, ESR introduces a restricting clause in its definition of SR:

ESR) Explanatorily, instrumentally and predictively successful models (especially, but not only, those propounded by scientific theories) can be, in the best circumstances, increasingly informative *only* about the relations that obtain between the (possibly sub-observable) objects that constitute the system under investigation (through the observable phenomena), but not about the first-order, one-place predicates qualifying *the objects in themselves* (the intrinsic nature of the *noumena*).

Heath [1928] referred to ESR as "bifurcated structuralism" because of its obvious (and typically Kantian) dualism. As French [2003], 255 aptly phrases it: "while the ultimate constituents of the world may be non-structural, all that physics – or science

<sup>&</sup>lt;sup>4</sup> The distinction between ESR and OSR, introduced by Ladyman [1998], is now standard, even if the vocabulary adopted may differ, with some authors referring to OSR as "metaphysical" or "ontological" SR . For a recent analysis see Psillos [2004].

<sup>&</sup>lt;sup>5</sup> This is a standard approach at least since Maxwell [1970b]. Worrall and Zahar [2001] provide a recent defence. Votsis [2003] reviews the various difficulties involved in using Ramsey sentences in SR. For a defence of a Ramseyan approach see Cruse and Papineau [2002], who are criticised by Newman [2004].

in general – tells us about, and hence all that we can know, on the basis of that physics, is structure".

OSR seeks to overcome the "bifurcation" in ESR by not granting the restricting clause. This can be achieved in two ways, one radical, the other more moderate. *Eliminativist*<sup>7</sup> OSR suggests that:

EOSR) Ultimately, only structures are primitive and ontologically subsistent. Individual objects are not *residua* but *figmenta*, heuristically more useful but no more existent than Bacon's *idola*.

In EOSR, the epistemological problem (i.e. the bifurcation in ESR) is solved because there is nothing to know about the intrinsic nature of individual objects anyway.

Non-eliminativist OSR holds that:

NOSR) Ultimately, there are entities, but they are not classically reidentifiable individuals; rather, they are themselves structural objects, and in the best cases they can be indirectly denoted (circumscribed) by our models, at least in principle.

Both positions move away from any metaphysical substantivalism (there are no permanent and more fundamental, individual "hooks", substantial atoms, monads, substrata or essential elements), so they may not necessarily be distinguished – Saunders [2003], for example, does not – or may be interpreted as interchangeable, as in Morganti [2004]. However, they are not identical.

Eliminativist OSR has emerged also in connection with the debate on individuality in quantum mechanics (French and Redhead [1988]), and this may explain its extremely revisionist nature. The position avoids the bifurcation in ESR by disposing of any ontology of objects *tout court* (this seems to be the position sometimes advocated by Ladyman [1998] and further defended in French and Ladyman [2003a], but see below). The empirical and common-sensical costs of this strategy are evidently very high. They have been convincingly stressed by Cao [2003], Chakravartty [2003] and Morganti [2004]. True, advocates of eliminativist OSR may rejoin that the metaphysical and epistemological gains are even greater:

<sup>&</sup>lt;sup>7</sup> I'm adapting this distinction from Parsons [2004], who discriminates between eliminativist and noneliminativist forms of structuralism in the philosophy of mathematics. Parsons' non-eliminativist approach is related to Dedekind's "logical structuralism", as defined by Reck [2003]. It seems to be in line with at least a Neo-Kantian view of mathematical objects, and I would consider it coherent with the theses defended in this paper.

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ontic monism and structural knowledge guarantee that reality is fully knowable in principle. Moreover, there are "thin" versions of mathematical structuralism that can bring some support to eliminativist OSR (Reck and Price [2000] provide a useful overview). However, it is easy to counter that such gains are suspiciously great, for at least three reasons, which may be quickly outlined here.

First, as a form of *syntactic realism*, eliminativist OSR betrays the original Kantian polarization between knowable phenomena and unknowable noumena, which is at the roots of SR. It starts resembling a metaphysically more problematic form of absolute idealism, according to which "whatever is real is structural and whatever is structural is real", to paraphrase Hegel.

Second, eliminativist OSR becomes less clearly distinguishable from a stronger form of scientific realism, which may then be unable to cope with the *Pessimistic Meta-Induction Argument* (see section 2.1).

Finally, without objects/entities, eliminativist OSR triggers a potential regress of structures (see section 3).

For these reasons at least, I agree with Cao [2003] that eliminativist OSR should probably be adopted only as a matter of last resort.

Non-eliminativist OSR is equally anti-substantivalist but metaphysically less drastic. It seeks to temper the effects of the bifurcation in ESR by arguing that something can be known about structured entities, namely that they are structural objects. Non-eliminativist OSR is expounded and supported by Chakravartty [1998] and Chakravartty [2003], Dorato and Pauri [forthcoming] and Esfeld [2004]. It seems to be the position defended sometimes by other ontic-structuralists such as Cassirer and French and Ladyman [2003b]. It can find allies among "entity realists" in philosophy of science, and gain support from some "thick" version of mathematical structuralism (see Reck and Price [2000]).<sup>8</sup> It is the version of OSR that will be discussed in the rest of this article, and henceforth OSR will mean non-eliminativist OSR, unless otherwise specified.

#### 2. First step: ESR and OSR are not incompatible

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<sup>&</sup>lt;sup>8</sup> Dedekind's "logical structuralism" is probably the version most compatible with the informational approach developed in this paper, see Reck [2003] for a detailed analysis and support.

Philosophers sympathetic to SR are divided between those who support (various versions of) OSR or ESR and a third party of those who appreciate the advantages and shortcomings of both and choose to remain uncommitted. This section attempts to convince at least this third party that there is a reasonable way of combining the virtues of both brands of SR without incurring most of their costs.

## 2.1. Indirect knowledge

SR was revived by Worrall [1989] to deal with two problems in the philosophy of science, the *No-Miracles Argument*:

NMA) (Some form of) realism "is the only philosophy that does not make [the predictive success of] science a miracle" (Putnam [1975], 73);

and the *Pessimistic Meta-Induction Argument*:

PMIA) Since many predictively-successful scientific theories have been discarded in the past, there is some inductive evidence that current theories are likely to be discarded as well, despite their increased success. (Laudan [1981]).

NMA is neutral with respect to the form of realism that it supports. For example, both instrumentalism (understood as a form of realism insofar as it is committed to the existence of an external world that determines the empirical success of scientific theories, more on this in section 6, objection 4) and naïve realism would be perfectly adequate candidates. As for SR, in its case NMA leads to the view that the epistemic success of a theory is a function of its being correct about the structure of reality.

PMIA has been questioned on several grounds. Here, it is useful to highlight three general criticisms.

First, *sub specie aeternitatis*, science is still in its puberty, when some hiccups are not necessarily evidence of pneumonia. What are a handful of centuries compared to the following millennia? From a longer perspective, science may be settling down, and the initial swings may be just a prelude to a more inert state of "structural stability".<sup>9</sup> As Hacking [1999] has emphasised, it already seems that it is not so much

<sup>&</sup>lt;sup>9</sup> "Modern mathematical models are 'structurally stable', that is, their (qualitative or approximate quantitative) predictions are insensitive to small changes. A circle is not structurally stable, in that a slightly deformed circle is not a circle. But the system of Königsberg bridges retains exactly the same topological structure if its islands are eroded slightly, or its river narrows." Franklin [1999]

revolutionary change as normal stability that requires explanation. Of course, the "sub specie aeternitatis" view provides no support for scientific realism, which was the target of PMIA in the first place. The drying up of scientific revolutions may be just a sign of decreasing empirical or intellectual resources. One day, we may be at our wits' end, or at the end of our technical or financial means. Then the oscillations and vibrations in our scientific theories may be so subtle and infrequent that our thick-skinned metaphysics may not notice them. Indeed, at that point, it may become difficult to talk of bad metaphysics at all. The risk is that then the best theory available may still be the best of a very bad lot, as Van Fraassen has emphasised.

Second, although the *pax scientifica* provides no reason for optimism, it fails to support pessimism too, for the latter is trivially observer-relative. Look on the bright side and you may transform PMIA into an optimistic meta-induction:

OMIA) The *Optimistic Meta-Induction Argument*: since many false scientific theories have been discarded in the past, despite their being predictively-successful, there is some inductive evidence that, if current theories are also false, they will be discarded as well, notwithstanding their increased success.

The half-full glass of OMIA is consistent with NMA and both together are comfortably reassuring. Precisely because there is no symmetry between semantics and pragmatics – truth is predictively-successful but predictive success is not necessarily truth-tracking – in the long run, we, realists, shall not be fooled by currently predictively-successful but actually untruthful mermaids.

Third, SR may endorse OMIA and defuse PMIA by arguing that, although for the sake of argument one may concede that discontinuity in theory change may be radical<sup>10</sup> when non-structural descriptions of the nature of entities are involved, this is counterbalanced by considerable continuity at the structural level.

Now, NMA and PMIA/OMIA are strictly related to two other broad issues in epistemology:

i) the goal of vindicating at least partly, and at any rate some of, our ordinary ontological commitments, despite

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<sup>&</sup>lt;sup>10</sup> The "radicality" however need not be guaranteed because of recent, convincing defences of Post's *General Correspondence Principle* in French and Kamminga [1993].

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ii) the problem that we do not have direct or any other privileged epistemic access to reality in itself.

Goal (i) and problem (ii) bear witness to the Kantian roots of SR. Again, this is not a coincidence. Even if nowadays one tends to associate (i) and (ii) more readily with work beginning with Quine [1939] and Sellars [1956] respectively, the Neo-Kantian origins of structuralism can be traced back to a revival of interest in Kant's transcendental idealism at the beginning of the last century (French and Ladyman [2003b], Floridi [2003c]).

Problem (ii) differentiates SR from forms of naïve realism, whereas goal (i) differentiates SR from forms of instrumentalism.

Naïve realism relies on a conception of knowledge as a direct relation between model and modelled. Statically and synchronically, it looks robust at a given time because it is rigidly coupled to whatever description of the world is available at that time. However, dynamically and hence diachronically, naïve realism is dreadfully brittle, for it is easily shattered by any epistemic change. This does not have to be a major scientific revolution; it may be just some simple counterexample or a good oldfashioned, skeptical argument. In fact, naïve realism is most informative when it breaks, exactly like the tell-tale glass fixed across cracks to monitor movement in a building.

Various forms of instrumentalism circumvent the risk of a breakdown by avoiding any specific ontological commitment over and above the minimal acceptance of a mind-independent, external reality. This is the (admittedly very deflated) sense in which even an instrumentalist may claim to be a realist (see the 0-order commitment in section 2.4).

Instead, structural realism seeks to combine some richer ontological commitment – a defence of NMA/OMIA and a positive development of (i) – with a degree of resilience to epistemic change, especially in science. It achieves this flexibility not by decoupling knowledge from reality, as instrumentalism does, but by decoupling, within knowledge itself, the descriptions of the knowable structural characteristics of the system from the explanations of its intrinsic properties. Both can still crash, but the structural descriptions are now not cemented to their source and are therefore far more resilient to change than the ontological explanations, which can be left sinking, if necessary. All this comes at a price, which is paid at the checkout — Information Ethics Group – Research Report 05.09.05 — 10

represented by problem (ii). SR gains epistemic resilience by endorsing a view of knowledge as an *indirect* relation between the epistemic (possibly multi-) agent and the system under analysis, and a somewhat weakened ontological commitment. Let's see how.

# 2.2. Levels of Abstraction

In Kant, knowledge of reality is indirect because of the mind's transcendental schematism. After the downfall of Neo-Kantism and Cassirer's and C. I. Lewis' revisions of the transcendental, an approach is needed that is less infra-subjective, mental (if not psychologistic), innatist, individualistic and rigid. In Floridi and Sanders [2004c] and Floridi and Sanders [2004b], the *method of levels of abstraction* (LoAs) has been proposed as a more inter-subjective, socially constructible (hence possibly conventional), dynamic and flexible way to further Kant's approach. This is a step away from *internal realism* (the kinds, categories and structures of the world are only a function of our conceptual schemes), but not yet a step into *external* or *metaphysical realism* (the kinds, categories and structures of the world belong to the world and are not a function of our conceptual schemes, either causally or ontologically). It might be called *liminal realism*.

The method of LoA comes from modelling techniques developed in an area of Computer Science, known as *Formal Methods*, in which discrete mathematics is used to specify and analyse the behaviour of information systems. Before introducing a definition, an everyday example may be useful.

Consider a motion detector (Fig.1). In the past, motion detectors caused an alarm whenever a movement was registered within the range of the sensor, including the swinging of a tree branch (object *a* in Fig. 1). The old LoA<sub>1</sub> consisted of a single typed variable, which may be labelled MOVEMENT. Nowadays, when a passive infrared motion detector registers some movement, it also monitors the presence of an infrared signal, so the entity detected has to be something that also emits infrared radiation – usually perceived as heat – before the sensor activates the alarm. The new LoA<sub>2</sub> consists of two typed variables: MOVEMENT and INFRARED RADIATION. Clearly, a cat walking in the garden (object *b* in Fig. 1) is present for both LoAs, but for the new LoA<sub>2</sub>, which is more finely grained, the swinging branch is absent. Likewise, a

stone in the garden (object c in Fig. 1) is perceived neither at LoA<sub>1</sub> nor at LoA<sub>2</sub>, for it satisfies no typed variable of either.

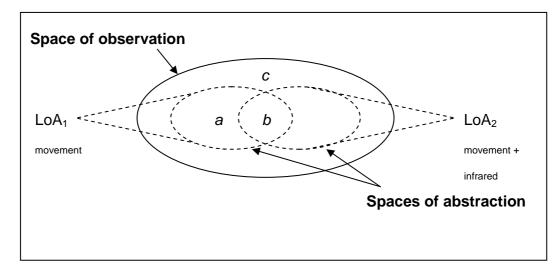


Fig. 1 An example of Levels of Abstraction

We are now ready for a definition. Given a well-defined set *X* of values, an *observable* of type *X* is a *variable* whose value ranges over *X*. A LoA consists of a collection of *observables* of given types. Whatever is under observation is called the *system*. Each LoA makes possible an analysis of the system, the result of which is called a *model* of the system. Call this the LoA-ladenness of models. A system is characterised, at a given LoA, by the properties it satisfies at that LoA, but we are also interested in systems that change, which means that some of those properties change value. The system analysed can then be thought of as having states, determined by the value of the properties which hold at any instant in its evolution. In this view, the system is analysed as a *transition system*, which moves from state to state by execution of actions or transition rules.

Evidently, a system may be accessed and described at a range of LoAs and so can have a range of models. It is important to stress that LoAs can be nested, disjoined or overlapping and need not be hierarchically related, or ordered in some scale of priority, or support some syntactic compositionality (the molecular is made by more atomic components). All LoAs are born equal. Also, LoAs are not to be confused with Davidson's conceptual schemes or Dennett's stances (more on this in Floridi and Sanders [2004b]b). Finally, LoAs are neutral with respect to any ontic stratigraphy of the Oppenheim-Putnam kind or the sort of ontology of "levels of reality" recently criticised by Heil [2003] and Schaffer [2003].

Intuitively, a LoA is representable as an interface.<sup>11</sup> The analogy helps to make it clear that, since the systems investigated may be entirely abstract or fictional, the term "observable" should not be confused here with "empirically perceivable". Unfortunately, an *observable* is something different from what is usually meant by the term in philosophy of science since, in computer science, an observable may be the specification of some property of a program. It is just an *interpreted typed variable*, that is, a typed variable together with a statement of what feature of the system under consideration it stands for. Being an abstraction, an observable is not necessarily meant to result from quantitative measurement or even perception. It does not have to be a physical magnitude either. Although the "feature of the system under consideration" might be empirical and physically perceivable, an observable might alternatively be a feature of an artefact or of a conceptual model, constructed entirely for the purpose of analysis. In what follows, whenever the terminology might be confusing I shall specify which sense of "observable" is being used.

An explicit reference to the LoA clarifies that

i) the model of a system is a function of the available observables (interpreted typed variables);

ii) different LoAs may be fairly compared and ranked depending on their virtues,<sup>12</sup> that is, (a) how well they model the system in question according to what purpose and
(b) how well they satisfy a list of explicit modelling specifications, e.g. informativeness, coherence, elegance, simplicity, explanatory power, consistency with the data, predictive power, etc.; and

iii) different analyses of the same system can be fairly compared provided that they share comparable LoA.

<sup>&</sup>lt;sup>11</sup> In computing, the point of contact between two systems, these being programs or pieces of equipment.

<sup>&</sup>lt;sup>12</sup> In Devitt's terminology, (b) comprises the non-empirical virtues of a LoA and (a), when the purpose is truth, the empirical virtues: "Empirical virtue is a matter of entailing (in conjunction with accepted auxiliaries) observational truths and not entailing observational falsehoods. The nonempirical virtues are explanatory power, simplicity, and the like." Devitt [forthcoming].

Most importantly for our present concerns, the method of LoA is useful (a) to specify the meaning of "indirect knowledge"<sup>13</sup> in terms of knowledge mediated by a LoA; and (b) to make explicit the ontological commitment of a theory, in the following way.

### 2.3. Ontological commitments and levels of abstractions

A theory comprises at least a LoA and a model. The LoA allows the theory to analyse the system under analysis and to elaborate a model that identifies a structure of the system at the given LoA. Let us refer to this as the system-level-model-structure (or SLMS) scheme (see Fig. 2).

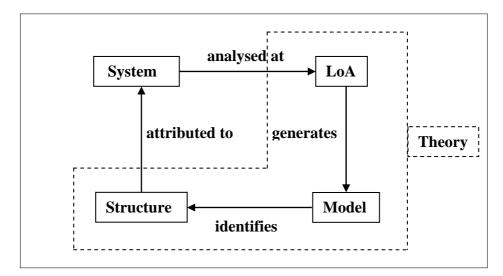


Fig. 2: the SLMS scheme

The structuralist position can now be rephrased thus:

SR\*) Explanatorily, instrumentally and predictively successful models (especially, but not only, those propounded by scientific theories) *at a given LoA* can be, in the best circumstances, increasingly informative about the relations that obtain between the (possibly sub-observable) objects that constitute the system under investigation (through the observable phenomena).

<sup>&</sup>lt;sup>13</sup> Direct knowledge is to be understood here as typically knowledge of one's mental states, which is apparently not mediated; indirect knowledge is usually taken to be knowledge that is obtained inferentially or through some other form of mediated communication with the world.

The ontological commitment of a theory can be clearly understood by distinguishing between a *committing* and a *committed* component, within the SLMS scheme.

A theory commits itself ontologically by opting for a specific LoA. Compare this to the case in which one has chosen a specific kind of car but has not bought one yet. On the other hand, a theory is ontologically committed in full by its model, which is therefore the bearer of the specific commitment. The analogy here is with the specific car one has actually bought. According to Votsis [forthcoming], Worrall, for example, seems to hold this much when phrasing SR in terms of "a commitment to structures (including equations) whose observation terms are fully interpreted and whose theoretical terms are implicitly defined through their logical relations with one another and with the observation terms. This just amounts to the Ramsey sentence approach to theories."

To summarise, by accepting a LoA a theory commits itself to the existence of certain types of objects, the types constituting the LoA (by trying to buy a Polo Volkswagen you show your commitment to the existence of that kind of car), while by endorsing the ensuing models the theory commits itself to the corresponding tokens (by buying that particular vehicle, which is a physical token of the type Polo Volkswagen, you commit yourself to that token, e.g. you now have to insure it). Fig. 3 summarises this distinction.

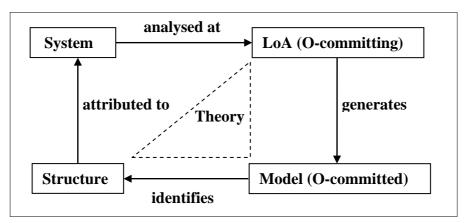


Fig. 3: the SLMS scheme with ontological commitment

The distinction just introduced can now be used to reconcile ESR and OSR.

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## 2.4. How to reconcile ESR and OSR

What scope of ontological commitment can a LoA provide to a theory? There is virtually no upper limit. Depending on the LoA, a theory may end up by talking of witches and neurotransmitters, zodiac signs and the Morning Star, ether and white swans, phlogiston and hadrons. However, there is a lower limit, a minimalist approach to how little a theory may safely assume to be there (in the system itself) for the theory (and for the history of knowledge in general and of science in particular) to be consistent and meaningful. ESR endorses such minimalism. It argues that, in the best circumstances, a theory is justified in adopting a LoA that commits it (the theory) ontologically to a realist interpretation of the structural properties of the system identified by the model that has been produced by the theory at the chosen LoA. This minimal ontological commitment is primary or first-order, that is, it concerns firstorder knowledge of the structural properties of the system under investigation (more on this in section 6, objection 5). It is, in other words, the sort of commitment that is deemed safer by all supporters of structural realism, since it is based on the existence of certain relations, implemented by the system and identified by the model, rather than of particulars with specific properties within a system.

ESR is arguably correct. On the one hand, the adoption of any LoA supporting a degree of first-order ontological commitment higher than the epistemic-structuralist one endorsed by ESR seems metaphysically risky and suspicious. This is the point usually made by supporters of ESR: it is better to limit one's ontological commitment to the existence and knowability of (properties of) relational structures. Any other commitment to the knowability of (properties of) the relata in themselves is unnecessary, and not backed up by a general conception of knowledge, understood as an indirect relation with the world, even if this were not explicitly interpreted in terms of a LoA methodology. In short, Ockham's razor applies and one tries to keep one's commitment as minimal as possible. Could it be even more minimal? No, because there is no degree of ontological commitment lower than the one supported by ESR, which holds that structures are knowable, but still higher than the even lower commitment of the instrumentalist, who may be happy to concede the existence of an external world. There is no logical space for manoeuvre here between ESR and instrumentalism. An important consequence is that one may believe that, if ESR is correct, this is all that can be said about the issue and there is no logical space left for OSR either.

The impression is widespread. The current internal debate among structural realists has been developing on the assumption that, if there is some room for manoeuvre, it must be found at expense of one of the two positions. It is held that (versions of) OSR and ESR are incompatible, one of the two must go and, it is often argued, this is not ESR. Indeed, since ESR is the most popular variety of SR, Morganti [2004], for example, defends it negatively, by showing that the only SR-friendly alternative to ESR, namely OSR, is untenable. The strategy becomes a case of friendly fire when Newman's problem is further deployed to reject ESR as well, and hence to discard SR altogether. It is a strategy we shall encounter again in section 6, objection 1.

This attack on OSR is however misguided. ESR and OSR work at separate LoAs, so they are not mutually exclusive. As far as a first-order analysis is concerned, SR justifies only one kind of ontological commitment, the one endorsed by ESR; but at a *derivative*, *metatheoretical* or *second-order* level of analysis, OSR correctly argues in favour of an economical view of objects, in the following way.

Consider again the SLMS scheme. The assumption is that there is no *direct* knowledge of the intrinsic nature of the objects in themselves that constitute the system under investigation. However, once a theory has ontologically committed itself to the structural properties of the system, one is entitled to infer *indirectly* that, whatever the system and its components (i.e., the objects or *relata*) may be in themselves, they must be such as to allow the theory to model at least some of their structural/relational properties. This is a transcendental feedback: what are the conditions of possibility of knowledge (the knowledge offered by the theory) of the structural properties of the system?

On the one hand, all realists agree that there is a mind-independent, external reality addressed by our knowledge, yet this is a red herring. We have seen that this ontological commitment is even more basic than the one supported by the epistemic structuralist. It is the sort of 0-order commitment that allows a reasonable conversation among the various forms of realism (naïve, structural, scientific, even the instrumentalist, in the sense specified above, and so forth). It is not what is in question here.

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On the other hand, at the second-order level just assumed, one is exploring whether there might be a justifiable, higher LoA, that commits the theory to some kind of interpretation of the sort of objects/*relata* that support the structural properties of the system identified by the model that has been produced by the theory at the lower LoA. The question is what makes ESR possible. Obviously, the epistemic structuralist simply has nothing to offer here, for her concern is with a first-order commitment. The ontic structuralist, on the contrary, can argue in favour of a minimalist approach. And this seems a reasonable step to take. The LoA that one may justifiably adopt at this second level is one that commits the theory to an interpretation of the objects/*relata* as themselves structural in nature. I shall say more about this in the next two sections, but let me first clarify in what this higher-level commitment consists.

At the first-order level (Fig. 4), we have seen that one may reasonably adopt a transcendental approach to knowledge – as a LoA-mediated epistemic relation with reality – and use Ockham's razor as a methodological safety measure to limit the *number* of (types of) components a theory should be ontologically committed to by its LoAs. In the best circumstances, first-order LoAs should ontologically commit a theory at most to the existence of the (type of) structures identified by its models.

At a second-order level, one re-adopts a transcendental approach to what makes possible the previous first-order knowledge of structures. In order not to "revert to the sin of transcendental metaphysics", as Quine [1992] nicely phrases it, one applies a qualitatively modified version of Ockham's razor, which now suggests, still methodologically, keeping the *nature* of the objects/*relata* as simple as possible: *entia non sunt complicanda praeter necessitatem*, to use some medieval Latin. According to this new safety principle, it is reasonable to assume that *relata* are structural entities, for this is all the theory needs in order to justify its first-order commitment. Since the two ontological commitments occur at different levels there is no incompatibility and hence no objection. Fig. 4 summarises the new analysis.

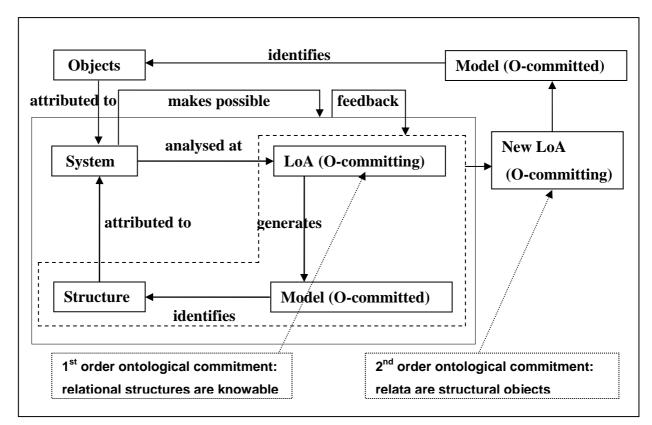


Fig. 4: the SLMS scheme with ordered ontological commitments

# 3. Second step: *relata* are not logically prior to all relations

The opponent of OSR may not be satisfied. Eliminating the apparent inconsistency between ESR and OSR is only a first step. It does not yet show that OSR is *plausible* because there is a direct and quite forceful objection to OSR that still needs to be neutralized. This is our second step.

Relations (structures) require *relata* (structured/able objects), which therefore cannot be further identified as relations (structures) without running into some vicious circularity or infinite regress. Yet this is precisely what OSR appears to be forced to argue, if the very idea of structural objects is supposed to make sense.<sup>14</sup>

As usual, one may bite the bullet and talk of an infinite regress of structures. Note that the point here may be not that objects may be structural, but that, even if there are objects, they are unreachable, hidden behind an infinite series of structural layers, or as the lower limit of an infinite series of structures. In either case, it may be turtles all the way down, as Saunders [2003] wittily puts it. This is certainly a

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<sup>&</sup>lt;sup>14</sup> The objection is expounded in Psillos [1999], Psillos [2001], and Psillos [2004]; its strength is fully acknowledged in French and Ladyman [2003b]; Morganti [2004] reviews some replies.

solution. Whether it is satisfactory is another matter. Instead of tackling the problem, we run away from it, and run forever. True, we are not caught by our opponents, but we do not defeat them either. For those who do not like draws, or who run out of conceptual breath when asked to run *ad infinitum*, there is an alternative.

Admittedly, *external* relations usually require *relata*. Distance and speed are two good examples. However, *internal* relations constitute their *relata* for what they are. "Married" comes easily to one's mind: John and Mary are husband and wife only because of their mutual relation. More formally, if an individual x has a predicate  $\varphi$  which is such that, by virtue of having  $\varphi$ , x necessarily has a relation R to at least another individual y, then R is an internal relation of x.<sup>15</sup> Could this provide the right approach?

Not yet. Unfortunately, as E. G. Moore showed, internal relations seem to supervene on their *relata* and further qualify them. Mary and John did not come into existence by getting married; they only acquired a new contingent property, their marital status, after their wedding. If one wants to defend the logical priority of internal relations over their *relata*, then one must show much more, namely that the essential properties of the objects in question depend on some more fundamental internal properties. And this is arguably very difficult.

Difficult but not impossible. For there is a significant exception, a case that is ontologically more fundamental than the case in which the essence of the *relata* is in question. This is the (internal) relation of *difference*, which constitutes its *relata*.

The relation of difference seems a precondition for any other relation. *Relata* as merely *differentiated* entities and nothing else (at least not yet) – that is, as epistemically (still) indistinguishable but (already) ontically distinctly-existing *differentiae*, to follow French [2003] – are possible only because there is a relation of difference in the first place. For consider what a completely undifferentiable entity x might be. It would be one unobservable and unidentifiable at any possible LoA. Modally, this means that there would be no possible world in which x would exist. And this simply means that there is no such x. Call this the modified Leibnizian

<sup>&</sup>lt;sup>15</sup> This was the basis of the classic debate on internal vs. external relations between Bradley and Moore, with the former arguing that ultimately all relations are necessarily internal, and the latter trying to show that this position can be defended only on the basis of a confusion concerning the scope of the modalities involved.

principle of *the necessary inexistence of the logically undifferentiable*. The principle still says very little about the nature of the objects/*relata* in question, but one may argue that there is finally a clear sense in which *relata* are logically preceded by a fundamental internal relation of difference. Difference is our *Ur*-relation, as it were.<sup>16</sup>

Well, not so fast. Describing the relation of difference as an Ur-relation may make things clearer but it does not make them less controversial. On the contrary, the argument just sketched would be much weaker if it did not rely on a classic fallacy, namely an unqualified use of universal quantification. One considers Everything (Bradley would have called it the Absolute) and wonders what this Everything would be like if all differences were erased, i.e. if Everything were identical to Everything else. A similar universe would be a universe in which no individual could exist and nothing could happen, for example, although it would not have to be an empty universe. Imagine a toy universe constituted by a two-dimensional, boundless, white surface. Anything like this toy universe is a paradoxical fiction that only a sloppy use of logic can generate. For example, where is the observer in this universe? Would the toy universe include points? Would there be distances between these points? The answers should be in the negative, for this is a universe without relations. Perhaps it is not true that there are *relata* that are logically priori to their relations, but we should be wary of the fallacious nature of any unqualified universalisation.

There seems to be some truth in the last reply, leading to a sort of truce. Nobody should quantify without restriction or qualifications, no matter whether on *relata* or on relations. At the fundamental level where *relata* appear as bare *differentiae de re*, it makes little sense to talk of logical priority. Like the two playing cards that can stand up only by supporting each other or not at all, the relation of difference and the *relata* it constitutes appear logically inseparable. Difference and the differentiate are like the two sides of the same sheet of paper: they come together or not at all. So it is more reasonable to accept that, ultimately, basic entities and structures, *relata* and relations, simply co-exist as a package: they make each other possible and one cannot choose which one to have, it is all or nothing.

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<sup>&</sup>lt;sup>16</sup> Note that this is the other side of the Fregean problem of identity statements discussed in *Sinn und Bedeutung*.

So the original conclusion is perhaps weaker. It may not be true that a relation of difference is logically prior to the *relata* that it differentiates. Luckily, we can leave the question unanswered because the package hypothesis is all that is needed; it is already enough for our strategy that the logical rank of the most basic relation of difference is not necessarily higher than the logical rank of the most basic *relata*. It is not true that all relata are logically prior to relations. This much has been granted and this much is sufficient.

Unsurprisingly, this was also Eddington's conclusion: "The relations unite the relata; the relata are the meeting points of the relations. The one is unthinkable apart from the other. I do not think that a more general starting-point of structure could be conceived" (Eddington [1928], 230-231, quoted in French [2003], 233). It makes sense. In terms of naïve ontology, we may define roads as what connects roundabouts, yet the latter are not less real (and dangerous, or safe, and so forth) just because they are the meeting points of the roads they connect. On a more sophisticated and much smaller scale, Esfeld [2004] has built on quantum entanglement to argue in favour of "replacing [in quantum physics] a metaphysics of intrinsic properties with a [moderate] metaphysics of relations. [...] A metaphysics of relations is often dismissed out of hand, for it seems to be paradoxical. It seems that (a) relations require relata, that is, things which stand in the relations, and that (b) these things have to be something in themselves, that is, must have intrinsic properties over and above the relations in which they stand. However, a metaphysics of relations merely has to reject the second part of this claim: one can maintain that (a) relations require relata, that is, things which stand in the relations, but that (b\*) these things do not have any intrinsic properties that underlie the relations in which they stand. There is nothing paradoxical in this latter claim." The idea seems to be that relata without relations are possible but intrinsically anonymous. I shall return to this point in the conclusion. If even a weaker position such as Eddington's is convincing – the package hypothesis – OSR should be granted some plausibility.

#### 5. Third step: the concept of a structural object is not empty

Plausible does not mean applicable. Even if ESR and OSR are compatible, and even if it is true that, ultimately, structured *relata* are not necessarily logically prior to all structural relations, one still lacks a clear grasp of what these structural objects might \_\_\_\_\_\_ Information Ethics Group – Research Report 05.09.05 \_\_\_\_\_ 22

be like, even if indirectly or metatheoretically. What if they are merely conceivable fictions or just *impossibilia*? In either case, they would be perhaps harmless but certainly inapplicable speculations, philosophically useless.

They are not. A straightforward way of making sense of these structural objects is as *informational objects*, that is, as cohering clusters of *data*, not in the alphanumeric sense of the word, but in an equally common sense of *differences de re*, i.e. mind-independent, concrete points of lack of uniformity. Some clarifications are in order.

In its simplest form, a datum can be reduced to just a lack of uniformity, that is, a binary difference, like the presence and the absence of a black dot, or a change of state, from there being no black dot at all to there being one. Admittedly, a datum is usually classified in our folk ontology of chairs and pens as the relatum exhibiting the anomaly. This is often so because the relatum exhibiting the anomaly is perceptually more conspicuous or subjectively less redundant than the other relatum, seen as a background condition. However, the relation of difference is binary and symmetric. In the example, the white sheet of paper is not just the necessary background condition for the occurrence of a black dot as a datum; it is a constitutive part of the datum itself, together with the fundamental relation of inequality that couples it with the dot. In this specific sense, nothing is a datum *per se*, without its counterpart, just as nobody can be a wife without there being a husband. It takes two to make data. So, ontologically, data (as still unqualified, concrete points of lack of uniformity) are purely relational entities. Of course, from a structural perspective, they remain unknowable in themselves. In this technical sense, one never deals epistemically with pure data but only with somewhat interpreted data (in semantics, this is often referred to as *content*, see Floridi [2005]). Now, cohering clusters of data as relational entities (differences de re) are the elementary relata we are looking for in our modified version of OSR. Thus, the structuralism in question here is based on relational entities (understood structurally) that are *particular*, not on "patterns" that are abstract and universal (this distinction is carefully analysed by Reck and Price [2000]; it is parallel to the difference between Poincaré's and Russell's approaches to structures respectively). These relational entities are unknowable not because they are somehow mysteriously unreachable, but because their epistemic malleability seems inexhaustible (I shall return to this point in section 7).

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All this is not entirely a novelty. As French [2001] points out, in the context of the philosophy of physics, "if we want to continue to talk, in everyday language, about electrons as objects - because we lack the logico-linguistic resources to do otherwise – then we can do so 'only indirectly', '... not insofar as they themselves, as individuals, are given, but so far as they are describable as "points of intersection" of certain relations' (Cassirer, *ibid*.). And this relational conception of an object is taken straight from Kant himself: 'All we know in matter is merely relations ... but among these relations some are self-subsistent and permanent, and through these we are given a determinate object.' (Kant, quoted on p. 182)".

The informational view of structural objects can be further clarified by considering the following objection. What could an informational version of OSR look like, not just in some logically possible way, but "metaphysically seriously", as Bloomfield [forthcoming] quite rightly requires when chastising Chalmers' modal laxity? After all, if informational/structural objects are mere logical *possibilia* this explains why one can do some fancy mathematics with them, but not much more. However, serious metaphysics should be *applicable* to the real world and *scalable* (more on this shortly) from sub-observables to observables, that is, it needs to be *usable* when dealing with the macroworld of everyday life and experience, so that it may become *useful* in other philosophical contexts, not only in epistemology, but also in ethics, in the philosophy of mind, or in the philosophy of language. In a nutshell, the objection is that an ontology of structural objects as informational entities may be logically viable, but seems to lack both seriousness and scalability (see below).

Answering this twofold objection requires a clarification, and I hope the reader won't mind if we borrow some more technical vocabulary from computer science.

We have seen that an ontology is a conceptualization of a system under analysis at a LoA and that it is embedded in the model of the system. An ontology may satisfy different specifications, such as experiential or commonsensical realism and resilience to scientific tests and discoveries. In particular, from a methodological point of view, the *generality* of an ontology is a function of its *portability*, *scalability* and *interoperability*.<sup>17</sup>

 $<sup>^{17}</sup>$  The following definitions are standard and only slightly adapted from FOLDOC, http://foldoc.doc.ic.ac.uk/

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*Portability* refers to the ease with which a piece of software or a file format can be "ported", i.e., made to run on a new platform and/or to compile with a new compiler. For example, assembly code is the least portable type of language, since it is specific to one particular (family of) processor(s). Using this concept for our theoretical purposes, it is clear that the lower the LoA, the less portable the corresponding model is. This means that an ontology is increasingly portable the more general it can be. The most portable ontology would be one that could be made to "run" on any possible world. This is what Aristotle meant by a general metaphysics of being *qua* being. The portability of an ontology is a function of its importability and exportability between theories even when they are disjoined (the LoAs have no observables in common). Imagine an ontology that successfully accounts for the natural numbers and for natural kinds. I shall return to this point in section 6, when discussing objection 10.

*Scalability* refers to how well a solution to some problem will work when the complexity or magnitude of the problem increases. In our context, the scalability of an ontology is a function of its successful applicability independently of the vertical granularity and the horizontal scope of hierarchically nested or overlapping theories (the LoAs share at least one typed variable) and their corresponding systems. Imagine an ontology that successfully accounts not only for Schrödinger's cat but also for the atomic particles dangerously decaying in its proximity.

Finally, *interoperability* refers to the ability of software and hardware on multiple machines from multiple vendors to communicate and interact successfully. *Mutatis mutandis*, the interoperability of an ontology is a function of its capacity of allowing interactions between different theories. Imagine an ontology that successfully accounts for a system modelled as a brain and as a mind.

Obviously, these three specifications are interdependent. High portability, scalability and interoperability guarantee increasing context-independence and seamless integration of models into a unified world-view. We are now ready to define a specific metaphysics as an ontology with fixed degrees of portability, scalability and interoperability: there is Descartes' metaphysics and Spinoza's, Leibniz's and Hume's, David Lewis' and Armstrong's, and each will have its own degrees of portability, scalability and interoperability.

It has become common to acknowledge that both physics (Saunders [2003], Chakravartty [2003]) and mathematics (Brink and Rewitzky [2002], Shapiro [2004]) underdetermine metaphysics. This may be cause for despair in some skeptical quarters, for some sort of "anything goes" thesis may seem inevitable; but it may also be interpreted, more optimistically, as a green light for a purely philosophical approach. I share the latter view. Metaphysical theses are intrinsically open (cannot be answered either by experiments or by calculations, to put it bluntly) and, as far as structural realism is concerned, evaluating the ontological commitment of a theory is an exclusively philosophical business. So let us return to the general characterization of a metaphysical position. A metaphysics may be criticised, philosophically, for being local whenever its degrees of portability, scalability and interoperability are just local maxima. For example, Descartes' metaphysics is notoriously undermined by its poor degree of interoperability (the mind/body dualism). Leibniz's metaphysics of monads is not easily scalable (it is hard to account for physical macro-objects in its terms). Indeed, a metaphysics may be so local as to be peripheral, i.e. usable only within a possible world that is constituted by it. Imagine the ontology of *The Lord of* the Rings.

Now, as a metaphysics, one of the greatest strengths of OSR is its portability between physics and mathematics. This is to be expected, given its connection with the philosophy of quantum physics. Mathematics is the common language that helps to explain structural objects group-theoretically, as in Weyl and Cassirer, for example, or set-theoretically – in terms of partial structures, as in Ladyman [1998] and in Da Costa and French [2003], or of quasi-structures, as in Krause [forthcoming] – or categorically, as suggested by Krause [forthcoming]. To use Cassirer's phrase, it has been a long time since mathematics moved from substance to function.

Moreover, the portability of OSR between physics and mathematics helps to explain why mathematics is so successfully applicable to a structurally-interpreted physical world. Indeed, the degree of portability is such that even the problems are similar. As Reck and Price [2000] have highlighted, there is a variety of structuralisms in the philosophy of mathematics and Carter [forthcoming] shows that some of the basic issues arising in the development of structuralist philosophies of physics, especially those concerning the ontology of individuality and the semantic of reidentification, bubble up in the structuralist philosophies of mathematics as well.

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All this reinforces the point that an ontology of structural objects is possible (read: logically consistent), but it does not yet remove all doubts about its seriousness, since OSR may be metaphysically too local and lack scalability.

This is where an informational approach turns out to be a further strength. An ontology of structural objects is portable to computer science as well, and while mathematics guarantees consistency, computer science facilitates scalability. Simply put, micro- and macro-objects are analysable as informational objects that satisfy OSR specifications. To see more precisely how, let us turn to an instructive example provided by the methodology of *Object Oriented Programming* (OOP; Rumbaugh [1991]).

OOP is a method of programming that changed the approach to software development. A program used to be viewed as an algorithmic procedure that takes input data, processes it, and produces output data. The difficulty was then represented by the elaboration of the algorithmic process. OOP shifted the focus from the logic procedures, required to manipulate the objects, to the objects that need to be manipulated. Consider a pawn in a chess game. Its identity is not determined by its contingent properties as a physical body, including its shape and colour. Rather, a pawn is a well-defined cluster of specific states (properties like white or black, and its strategic position on the board) and determined behavioural rules (it can move forward only one square at a time, but with the option of two squares on the first move; it can capture other pieces only by a diagonal, forward move; and it can be promoted to any piece except a king when it reaches the opposite side of the board), which in turn are possible only in relation to other pieces and the logical space of the board. For a good player, the actual pawn is only a placeholder, whereas the real pawn is an "informational object". It is not a material thing but a set of typed variables, using the LoA terminology, or a mental entity, to put it in Berkeley's terms, or an entity constituted by a bundle of properties, to use a Humean expression. Its existence and nature is determined by the differences and nomological relations that characterise the game of chess. The physical placeholder can be replaced by a cork without any semantic loss at the LoA required by the game. Indeed, a good player does not even need a physical placeholder at all. Now in OOP, data structures (e.g. the pawn's property of being white) and their behaviour (programming code, e.g. the pawn's power to capture pieces only by moving diagonally forward) are packaged Information Ethics Group - Research Report 05.09.05 -27

together as informational objects. Discrete objects are self-contained collections of data structures and computational procedures. They are grouped in a hierarchy of classes (e.g. pawns), with each class inheriting characteristics from the class above it (e.g. all pieces but the king can be captured, so every pawn can be captured). A class is a named representation for an abstraction, where an abstraction is a named collection of attributes and behaviour relevant to modelling a given entity for some particular purpose at a certain LoA. The routines or logic sequences that can manipulate the objects are called *methods*. A method is a particular implementation of an operation, i.e. an action or transformation that an object performs or is subject to by a certain class. Objects communicate with each other through well-defined interfaces called *messages*.

There is no need to add further details. Clearly, OOP provides us with a rich concept of informational objects that can be used to *conceptualize* a structural object as a combination of both data structure and behaviour in a single entity, and a system as a collection of discrete structural objects. Given the flexibility of the conceptualization, it becomes perfectly possible, indeed easy, to approach the macroworld of everyday experience in a structural-informational way.

All this does not mean that we can overstep the limits of our structural knowledge of reality set by ESR. Recall that all we are assuming to be in the world are informational objects as the conditions of possibility of those structures that our first-order LoAs allow us to know and to attribute to the world in the first place. Thus, OOP is not a philosophical ontology but a practical example of a valuable methodology that can clarify the nature of our ontological components and prove their scalability.

OOP is a good example of how the concept of informational object provides a useful way to conceptualise precisely the relata described as structural objects by OSR. Once again, it is reassuring to see that all this too is not a complete novelty. Dennett [1991], for example, argues that macro-objects are patterns and that the existence of a pattern as a real object depends on the usefulness of the theories – especially their explanatory power and reliability in making predictions – that admit that pattern in their ontology. One may say that Informational Structural Realism introduces a more flexible and powerful methodology to clarify and make precise

Dennett's "pattern-like" objects.<sup>18</sup> A computational approach (e.g. the methodology of Object Oriented Programming) can do for the scalability of a structural ontology what category or group theory can do for its portability. So ISR is perfectly applicable.

## 5. Informational structural realism

The time has come to summarise the proposed solution to the ontological challenge to SR introduced in section 1.

Informational structural realism (ISR) is a version of SR. As a form of realism, ISR is (0-order) committed to the existence of a mind-independent reality addressed by and constraining our knowledge. Like ESR, ISR supports LoAs that carry a first-order, minimal ontological commitment in favour of the structural properties of reality. Like OSR, ISR also supports LoAs that carry a second-order, minimal ontological commitment in favour of structural objects. Unlike ESR and OSR, ISR supports an informational interpretation of these structural objects. The second-order commitment is justified by epistemic reasons. We are allowed to commit ourselves ontologically to whatever minimal conception of objects is useful to make sense of our first-order commitment. The first commitment answers the question "what can we know?"; the second commitment answers the question "what can we safely assume to be there?". We are now ready for a definition:

ISR) Explanatorily, instrumentally and predictively successful models (especially, but not only, those propounded by scientific theories) at a given LoA can be, in the best circumstances, increasingly informative about the relations that obtain between the (possibly sub-observable) informational objects that constitute the system under investigation (through the observable phenomena).

A significant consequence of ISR is that, as far as we can tell, the ultimate nature of reality is informational, that is, it makes sense to adopt LoAs that commit our theories to a view of reality as mind-independent and constituted by structural objects that are neither substantial nor material (they might well be, but we have no need to suppose them to be so) but informational. This allows IRS to reconcile two metaphysical views in the philosophy of information. One is Wiener's, who thought that

<sup>&</sup>lt;sup>18</sup> I owe this insight to Wallace [2003].

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"information is information, not matter or energy. No materialism which does not admit this can survive at the present day" (Wiener [1961], 132). The other is Wheeler's, who proposed the "it from bit" thesis: "It from bit. Otherwise put, every "it" – every particle, every field of force, even the space-time continuum itself – derives its function, its meaning, its very existence entirely – even if in some contexts indirectly – from the apparatus-elicited answers to yes-or-no questions, binary choices, bits. "It from bit" symbolizes the idea that every item of the physical world has at bottom – a very deep bottom, in most instances – an immaterial source and explanation; that which we call reality arises in the last analysis from the posing of yes-no questions and the registering of equipment-evoked responses; in short, that all things physical are information-theoretic in origin and that this is a participatory universe." (Wheeler (1990), 5).

When Cassirer talked about structuralism, he had in mind, like Kant and Russell earlier and Maxwell later, a full-blooded ontology of objects as structural entities (see for example the quotation from French in the previous section). ISR seeks to fulfil this role. Of course, if an ontology of informational objects is scalable to the macroworld, then it should be applicable to the folk ontology of cars and chairs and usable within other philosophical contexts. There is no space to show here how well ISR can pass this test, but the interested reader is referred to some initial work in epistemology (Floridi [2004]), computer ethics (Floridi [2003b]b) and the philosophy of mind (Floridi [2003a]a), where ISR has already been shown to be a flexible and fruitful approach.

#### 6. Ten objections and replies

The case in favour of ISR can now be reinforced by clearing the ground of some potential perplexities and objections.<sup>19</sup>

6.1) One might wonder whether reconciling ESR and OSR really has any purpose. The objection is that ESR may be an appealing position, but it faces a serious objection (articulated by Psillos and Papineau), namely

i) if restriction of beliefs to structural claims is really no restriction at all, then

<sup>&</sup>lt;sup>19</sup> In this section I have summarised several questions and objections that I have received during and after the conference, when the paper circulated among several colleagues. I take full responsibility for their specific formulation.

- ii) ESR collapses into full-blown realism; therefore
- iii) ESR cannot provide a notion of continuity in science that avoids the pessimistic meta-induction; however
- iv) we want to retain the notion that structure is what is preserved successfully across otherwise radical theory change; so
- we had better move to a notion of ontological structural realism in which structures directly represent reality; and we can be right about those structures. But then,
- vi) from the beginning OSR conceded that the argument against ESR was compelling and sought to develop a notion of realism about structure that did not fall prey to the same argument; so
- vii) why should OSR be interested in reconciling its view with ESR, when it has already been conceded that the latter is untenable?
- viii) Conversely, if it is felt that adopting ESR is inconsistent with adopting OSR, then one first needs to overcome the objection that made it necessary to move to OSR in the first place.

The answer is that the objection is valid but unsound, as it starts from a false premise:

- in order to hold the inference (i) → (ii), the objection needs to be serious about "collapse", that is, it must mean that ESR is at least logically equivalent to full-blown realism (FBR), so that (ii) = (ESR ↔ FBR), which means that
- 2) (i)  $\rightarrow$  (ESR  $\leftrightarrow$  FBR); but then
- 3) if (ESR  $\leftrightarrow$  FBR) is false, then
  - a) either (i) is true, in which case the inference in (2) is invalid and anything can trivially follow from it;
  - b) or (i) is false, but then anything can trivially follow from (i) itself;

in either case, the whole argument is valid but unsound. So the point becomes considering whether (ESR  $\leftrightarrow$  FBR) is false.

It is. If properly constructed, ESR cannot become equivalent to FBR both for theoretical reasons – for example, ESR rejects the possibility of knowledge of the intrinsic nature of objects in themselves, which is supported by FBR, so the two forms of realism have different doxastic scopes, with only ESR implementing "Kantian humility" – and for metatheoretical reasons – since, if ESR could be reduced to FBR,

then, *mutatis mutandis*, objections such as Newman's could be extended to FBR as well, an obvious *reductio*.

Once (i)  $\rightarrow$  (ii) is dismissed, it becomes clear that (iii) cannot be held, since it depends on (ii). As for the inference (iv)  $\rightarrow$  (v), it may be sound, but it is also uninteresting unless it is further constrained by (iii), that is, unless (iii) were true and hence ((iii)  $\wedge$  (iv))  $\rightarrow$  (v) were the case non-trivially, but we have seen that (iii) cannot be granted.

A supporter of the objection may retort that (vi)-(viii) still apply, independently of whether they follow from the premises, which could be mended or replaced.

The reply is that, once we take a more sceptical view of (2), the rest of the alleged reconstruction of the original dialectic between ESR and OSR becomes historically inaccurate and conceptually suspicious.

Regarding the historical inaccuracy, one needs to remember that OSR has emerged within the context of the debate on individuality in quantum mechanics (French and Redhead [1988]) and that it is actually eliminativist OSR that runs the risk of becoming indistinguishable from a stronger form of scientific realism, which may then be unable to cope with the *Pessimistic Meta-Induction Argument* (see sections 1 and 2.1). So point (vi) cannot be granted as a faithful description of the current internal debate between ESR and OSR (see for example Morganti [2004]). Such debate is much more reliably interpreted by e.g. Ladyman [1998] and Votsis [forthcoming] in terms of a discussion concerning the ontological implications of SR. Moreover, even if (vi) were actually granted *de facto* in the literature, no structural realist should grant it *de jure*, because we have seen that (ESR  $\leftrightarrow$  FBR) is unjustified, and it appears even more evidently so from a SR-friendly perspective. Concerning (vii), there are no more reasons to raise the rhetorical question, since ESR is far from untenable, given that the inference in (2) is either invalid or valid but trivial. As for (viii), the whole point of section 2 is to show that ESR and OSR are not necessarily inconsistent but may be reconciled, a point that now may be better appreciated as quite interesting. The objection should address not the value of the endeavour but, in this case, the validity of the arguments.

Regarding the suspicious nature of the objection, this is the interesting aspect mentioned above. The objection (mistakenly) assumes (2) to be uncontroversial in

order to build a Trojan horse, as we have seen in section 1.1. But *Timeo Danaos et dona ferentes* ("I fear the Greeks, even when they bring gifts"), as Virgil would have put it. The goal of the previous reconstruction is not really to wonder whether reconciling ESR and OSR is to any purpose – from an SR-friendly perspective it obviously is, witness the current debate – but rather to move an implicit attack against SR as a whole: for if ESR is untenable, then SR can be reduced to OSR, but then SR can be more easily defeated by showing that OSR is equally untenable because of its metaphysical consequences. Now, from a structuralist perspective, ESR is the strongest position, when it comes to resisting objections from without; but OSR is the most satisfactory, when it comes to settling internal debates. As I made explicit at the beginning, ISR is not an attempt to support SR directly, but to show that supporters of SR could successfully combine by means of ISR the strengths of both ESR and OSR in order to counterbalance any *divide et impera* strategy.

6.2) It may be objected that the definition of ESR, offered in section 1, is inconsistent with e.g. Grover Maxwell's conception of structural realism, for the latter would allow one-place predicates qualifying objects, if they were suitably perceptual, that is, it is only theoretical terms with dubious status – for the empiricist – that have to be replaced in the Ramsey sentence.

This objection provides the opportunity to clarify two points. First, the definition of ESR in section 1 is explicitly based on the neo-Kantian versions of SR endorsed by Cassirer, Russell and Poincaré, among others. The approach is arguably justified. Bitbol [2002], French [2001], Gower [2000] and Ryckman [2003], for example, provide well-supported reconstructions of structural realism from a transcendental perspective. The latter equates the nature of things-in-themselves with the first-order properties (monadic or unary relations) qualifying noumena. This is why the definition explicitly specifies that by "first-order, one-place predicates qualifying the objects in themselves" one is referring to "the intrinsic nature of the noumena" (note that the objection overlooks all the specifications in italics). In other words, the relations that are said to be unknowable, in the definition of ESR, are not the n-places relations subject to Ramseyfication mentioned by the objection, which are perfectly acceptable. This is the second point. The relations mentioned by the objection are the equivalent of phenomenal relations, in Kantian terms. So the Information Ethics Group – Research Report 05.09.05 – 33

definition is consistent with Maxwell's version of SR as well. This will become even more obvious in the reply to the next objection.

6.3) The previous objection leads to a further concern regarding the mixing of issues specific to philosophy of science and the epistemology of scientific theories with general issues in epistemology and metaphysics. This seems an unjustified confusion. For example, someone who may have genuine worries specifically about the epistemology of scientific theories may have no worries about epistemology in the broad sense, considering that it is only when things become unobservable that we begin to run into difficulties, and this seems a perfectly respectable position.

The objection is correct insofar as it argues that issues specific to the different fields in question do not have to be brought together, but it is mistaken in inferring that therefore they cannot or should not be combined, and that doing so may not be helpful but only confusing. Threading together issues in different contexts is not a problem per se. On the contrary, in the previous pages the strategy has been intentionally adopted in order to provide interdisciplinary depth and strength to the case for ISR. One can cast light on problems that have several dimensions only by analysing them from different perspectives, while leaving anyone free to maintain a more localised position, should she wish to do so. In the reply to objection (6.2), for example, we have seen that it is important to understand carefully when one is speaking about relations as unknowable predicates of noumena/relata or as ordinary predicates in the language of a scientific theory or in any ordinary experience. In this respect, the new example provided by objection (6.3) is equally enlightening. From a Kantian perspective, it is simply not true that we begin to run into philosophical difficulties only when unobservables are in question, not least because the scope of "unobservable" is much wider. It is a scope inherited by several structural realists. For example, following Russell's version of structural realism, and hence assuming a Neo-Kantian perspective, Maxwell [1968] remarks that "all of the external world, including even our own bodies, is unobserved and unobservable" (152). This reinforces the reply to the second objection, if necessary: Maxwell's conception of SR allows one-place predicates qualifying objects only insofar as the objects are not unobservable in the strong sense just seen, not in the sense that they are suitably perceptual, as alleged by the objection. Poincaré and Worrall endorse the same

perspective: unobservable means noumenal, that is, epistemically inaccessible.<sup>20</sup> One may disagree with them. Indeed, throughout the article I have been careful not to adopt the same conceptual vocabulary, preferring to use sub-observable to refer to the usual unobservables as ordinarily understood in philosophy of science (this is also the reason why it is better to keep the comment on Maxwell's understanding of "observable" separate from the previous objection). Yet one must also concede that, following their line of reasoning, it is natural to merge issues in epistemology with issues in the philosophy of science, metaphysical issues with issues concerning the ontological commitments of scientific theories. This is the Kantian approach that has been intentionally followed in this paper as well, where scientific knowledge is taken to be only knowledge of a special kind.

6.4) A slightly different objection, related to the previous one, concerns the use of the term "instrumentalism", which seems unclear. At points, instrumentalism is said to be a form of realism, but that is flatly incompatible with the notion of realism that is pertinent in philosophy of science (realism about scientific theories or entities). Evidently, a more general sense of realism is thus supposed to be in use.

The objection is correct: instrumentalism is said to be a form of realism in three cases, and in each case what is at stake is a minimal form of realism. However, this sense is neither unclear nor unusual. An instrumentalist may wish to claim that she is a realist insofar as she accepts "the ontological thesis" of realism, namely that there is a mind-independent world. That this is a fairly common way of looking at instrumentalism is shown, for example, by Psillos [2000b], who, in a carefully argued defence of scientific realism, suggests, correctly, that what distinguishes scientific realism from instrumentalism is not "The Metaphysical Thesis: the world has a definite and mind-independent structure" (which one must assume they both share, though Psillos is not explicit about this) but rather "The Semantic Thesis: scientific theories should be taken at face-value".

6.5) The "Ockham" motivation for the move to structural objects at the second level is a crucial part of the argument in section 2.4, but it needs stronger motivation. The first

<sup>&</sup>lt;sup>20</sup> On this point see Votsis [forthcoming].

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level LoA is agnostic about the *relata* of its relations – it says that they are just things standing in some relations. Why is it then *simpler* to construe them structurally? At the first level, we have objects bearing relational properties; it is not clear that objects with monadic properties are a different *type* of objects, or that monadic predicates are more complicated than relational predicates.

The objection is useful to dispel two potential confusions. The first is that, by talking of first- vs. second-order LoAs one may be talking about first- vs. secondorder predicates (monadic or not). This is not the case, and indeed in 2.2 the precise formulation says "derivative or second-order". The second-order LoA, at which one may argue in favour of a structural understanding of *relata*, is a meta-level: it is related to the first-order LoA as a sentence p in English may be related to one in Italian that p is explaining. It has nothing to do with the sense in which first- and second-order is (justifiably but inappropriately) used in the objection. So the issue here is not monadic vs. non-monadic predicates. The "Ockhamist strategy" is first applied at the "object-level" (nothing to do with "level of objects", of course), where ESR is shown to provide the best alternative, and then is re-applied at a meta-level, where OSR is shown to provide the best alternative because it is the one that assumes the less demanding ontology for the *relata* in question. The distinction clarifies why the argument is explicitly described as *transcendental*, a label that would make no sense if first- and second-order were understood as the objection suggests, instead of levels of reflection.

The second potential confusion concerns the value of the "Ockhamist strategy". When, by reflecting on the conditions of possibility of ESR, we come to consider the possibility of OSR, we still do so economically, but the nature of the question has changed by moving up one level: instead of asking "what can we know?", a question answered by ESR, we now ask "what is the least that we can safely assume to be there?" in order for our acceptance of ESR to make sense. The latter is a question that pertains to OSR, which offers a structuralist analysis of entities as the least demanding approach consistent with the acceptance of ESR. Both strategies adopt Ockham's razor, but one in order to constrain one's commitment to the *number* of types of things in the world that are knowable (the doxastic scope discussed in the reply to objection 6.1), and hence defend ESR; the other in order to constrain one's commitment to the possible *nature* of things in the world that would \_\_\_\_\_\_\_ Information Ethics Group – Research Report 05.09.05 \_\_\_\_\_\_\_ 36

be consistent with the previous commitment, and hence defend OSR as supporting ESR.

6.6) Concerning the modified Leibnizian principle of the necessary inexistence of the logically undifferentiable, isn't there another counter-example to this proposed principle? What if we consider the world as a whole? There is nothing for it to be differentiated from, but it still exists.

The objection is correct in pointing out that a principle that held the necessary inexistence of the *undifferentiated* would be irreparably mistaken, for the counterexample would indeed apply. Yet this is irrelevant, for the principle endorsed in the paper (as the objection itself indicates) holds the necessary inexistence of the *logically undifferentiable*. So the objection does not apply, since a world W as a whole would still be logically differentiable, even if it were actually undifferentiated, and it would be so not only with respect to any of its parts, but also to its negative counterpart, to a whole  $\neg W$ . The principle says that if x is logically undifferentiable, i.e. if it is logically impossible to differentiate x from  $\neg x$ , then x does not exit. This seems as safe (and perhaps trivial) as the indiscernibility of the identicals, not as controversial (and therefore interesting) as the identity of the indiscernibles.

6.7) Concerning the toy universe, why can't we think of the white surface itself as an individual?

Perhaps we could, but so far nobody has been able to solve the problem of finding a principle of identification for an x that, by definition, is undifferentiated, like our white surface. This is one of the main arguments used by Quine against second-order logic: we have no right to treat e.g. colours as individuals because we have no criteria to identify them as such. Things are even worse in our toy universe, where there is not even an external "place" from which an observer can wonder about the identity criterion. So the reply is: no individuality without identifiability, and the toy universe is by definition constructed as unidentifiable.

6.8) The discussion concerning the concept of datum is problematic. We are told that "[A] datum can be reduced to just a lack of uniformity, that is, a binary difference".
Now, it seems that two distinct ideas are being run together here. First, a datum \_\_\_\_\_\_\_ Information Ethics Group – Research Report 05.09.05 \_\_\_\_\_\_\_ 37

involves (i) a difference between (at least) two possible states of an entity and (ii) a background condition of readability. So a datum involves distinguishable (binary) differences, not just difference per se. But if so, it looks less clear that data will be the suitable entities for basic structural entities in OSR. The notion of datum outlined in the paper seems to overplay the condition of readability as constituting the datum; this cannot be right.

The objection presents an epistemological conception of datum, based on "readability", which would be acceptable in other contexts, but that is inappropriate here in order to understand the argument developed in favour of OSR and its basic structural entities. The concept of data employed here is as mere differentiae de re, that is, mind-independent, concrete points of lack of uniformity in the fabric of being. These *differentiated* entities are epistemically (still) virgin but (already) ontically distinctly-existing. It is not a matter of vocabulary. Clearly, we are dealing with a stage that logically precedes the one described by the objection. The relata/data, as structural entities, do not yet require any background condition to be read, for they do not need to be read at all in order to subsist. If they did, OSR would be a version of absolute idealism, that is, it would reduce the existence of the external world to its epistemic condition of "readability", something that is explicitly rejected. Indeed, at this initial stage, we do not even know whether the relata as differences de re may be so synchronically, in which case one could imagine them as two entities, or diachronically so, in which case one could imagine them as two states of the same entity. We can only guess, for the assumption is that these data/relata are noumenal, and we do not have access to them. This sense of datum as difference or inequality de *re* provides the condition of possibility of the sort of epistemological datum that is then described by the objection, but that is a further step. A step criticised by the following objection.

6.9) If a datum is a difference, then a datum is an abstract thing, as a difference is an abstract, rather than a concrete thing. But this raises a severe problem, if data are supposed to be the basic objects in our structural realism (assuming that this is supposed to be structural realism as a possible position in philosophy of science). For the structural objects need to be *concrete* things in the world (even if they are things structurally conceived), as they are the things that other concrete things are made \_\_\_\_\_\_ Information Ethics Group – Research Report 05.09.05 \_\_\_\_\_\_ 38

from. You cannot make concrete things out of abstract things, so informational objects do not seem to be viable candidates for the objects in an ontological structural realism fit for the philosophy of science.

The reply to the objection can be articulated in three steps. First, it is true that no concrete things can come out of purely abstract things, at least not without presupposing some metaphysical superpower that science (and its philosophy) had better leave alone, if they can. However, no reason has been offered to justify the view that data, understood as differentiae de re, may not be as concrete as one's definition of "concrete" may allow. Indeed, we have seen that objection (6.8) goes exactly in the opposite direction, for it stresses that it is *insufficient* to consider data as concrete differences, since, still according to objection (6.8), their conception must also include an epistemic component ("readability"). Now, the correct position is somewhere in between: as far as the argument in favour of ISR is concerned, data are neither purely epistemic (abstract) entities, as suggested by objection (6.9), nor ontic (concrete) differentiae de re inseparably coupled to some epistemic component, as suggested by objection (6.8). They are (or need to be treated as) ontic (concrete) differences that are *then* epistemically exploitable as resources, by agents like us, for their cognitive processes. After all, one should recall that the data/differences in question can be concrete because we do not have to assume something as radical and problematic as Leibniz's conception of monads: Eddington's package hypothesis (Urrelata + Ur-relations) is sufficient to support OSR.

Second, it should therefore be clear that the interpretation of structural objects as informational objects is not meant to replace an ontology of concrete things with one of virtual entities. As specified, OOP provides us with an interesting example of how we may conceptualise structural objects and make sense of their ontology.

Third, by talking of concrete *differentiae de re*, and conceptualising them as data structures and hence as informational objects, we are defending a version of structural realism that supports at least an irreducible, fundamental dualism, if not a Leibnizian pluralism, as the correct description of the ultimate nature of reality.

6.10) It is difficult to relate the comments on ontological commitment in section 2.3 to the comments in section 4. If a type of ontological commitment is associated with a particular choice of Level of Abstraction, then how is it possible to port ontology from \_\_\_\_\_\_\_\_ Information Ethics Group – Research Report 05.09.05 \_\_\_\_\_\_\_ 39

one Level of Abstraction to a disjoint one? Moreover, the treatment of informational objects and ontology makes it hard to see what work the appeal to Object Oriented Programming is doing.

The objection raises several issues. First, the connection between 2.3 and 4 is the following: it is possible to reconcile ESR and OSR by showing that they work at different LoAs, but OSR still faces the problem of providing an ontology that may be applied both to sub-observable and to observable objects, if possible. In 4, it is shown that, by translating structural objects into informational objects, this can be achieved in a way that is both efficient and elegant.

Second, the same question about portability could be asked in computer science, where the answer is that a piece of software p is portable from platform A to platform B if there is a compiler for p both for A and for B, even when A and B are completely different (disjoint). An ontology is portable from a LoA<sub>x</sub> to another LoA<sub>y</sub> (even when they are disjoint) if there is one or more LoA<sub>z</sub> that can translate the ontology from one to the other. For example, it has been argued that Kripke's view of rigid designators is based on an ontology that is "portable" to Aristotle's view of substances.

Third, the appeal to OOP is that it provides an intuitive example of a welldefined method to clarify how one may conceptualise structural objects as informational objects. A unified ontology, scalable from the sub-observable to the observable, has an added value, with respect to localist ontologies: it does not have to assume some radical dichotomy between fields of knowledge. We have rejected Aristotle's (large scale) distinction between sublunar and celestial physics/ontology, and it would be better not to reintroduce it at the (small scale) level of observable vs. unobservable worlds. ISR does support a Kantian-style dualism between knowable and unknowable, and at least a dualist if not a pluralist metaphysics, but not a dualism in terms of ultimately irreconcilable ontologies for utterly different fields of knowledge.

Finally, a further general advantage of an informational approach to structural realism is that one is able to practice what one preaches, that is, use informational concepts to develop and defend an informational approach.

## 7. Conclusion

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At least since Plato's images of the line and of the cave, philosophers have often relied on spatial analogies to explain their theories. References to rooms are particularly popular. Sextus Empiricus thought that we are like people in a dark room, searching for gold or shooting at a target: no matter how long the search or the shooting proceeds, it is pointless because in principle there is no way to establish whether any of us has found a nugget or hit the mark (*Outlines of Pyrrhonism* I.52 and II.325). Turing used different rooms for his famous test. And Searle devised a Chinese room for his counterexample. I shall rely on their examples and suggest a double box analogy to illustrate ISR. But first, a final bit of terminology.

In software engineering, *black-box* refers to a test-design method that focuses on testing functional or behavioral requirements of a program. The methodology treats the *analysandum* as a completely opaque and closed system, avoiding using explicit knowledge of its internal nature or structure to understand the way it works. The opposite methodology is known as *white-box* test design. This allows one to "look inside" the system, and it focuses on using specific and detailed knowledge of the program code to guide the selection of test data. A *grey-box* approach is one that allows only a partial view of the internal properties of the system.

According to ISR, any white-box approach to reality is excluded in principle, given the LoA-mediated nature of knowledge. Forget about getting out of Plato's cave. There is no God's eye perspective from without. We look at the world as if we were in Sextus' dark room. This is the first box. We are inside it, but our goal is not mimetic, nor are our (often *causal*) interactions with the furniture in the room unidirectional, as Sextus assumed. Unlike Sextus', ours is only a grey-box. In the best cases, it allows the reconstruction of the structural properties relating the furniture of the room, i.e., our informational objects. These are our second kind of boxes. As in Turing's test, they are black-boxes, not directly knowable, but "epistemically interactable" through LoAs. Sometimes, we can indirectly capture their nature by observing their behaviour and mutual interactions, but we do not know their intrinsic properties. How we relate them and use them to build other black-boxes is our responsibility. This is the right context in which to talk about a demiurgic power (Floridi and Sanders [2004a]). ISR takes our epistemic goal to be constructionist (mind, not *constructivist* in any psychologistic or "sociologistic" sense), not mimetic. Knowledge is not a matter of either (a) discovering and describing, or (b) inventing Information Ethics Group – Research Report 05.09.05 – 41

and constructing, but of (c) designing and modelling reality, its features and behaviours into a meaningful world as we experience it. And one may design and model successfully even in the dark. Intelligibility is the name of the epistemic game, and humanity tries to achieve it at any cost, even when this means distorting reality in the most absurd way, from the conception of a flat earth placed at the centre of the universe to the interpretation of natural forces and events as anthropomorphic divinities or to the assumption of calories, phlogiston and luminiferous ether. Since we wish to devise an intelligible conceptual environment for ourselves, we do so not by trying to picture or photocopy whatever is in the room (mimetic epistemology),<sup>21</sup> but by interacting with it as a *resource* for our semantic tasks, interrogating it through experience, tests and experiments. Reality in itself is not a source but a resource for knowledge. Structural objects (clusters of data as relational entities) work epistemologically like constraining affordances: they allow or invite certain constructs (they are *affordances* for the information system that elaborates them) and resist or impede some others (they are *constraints* for the same system), depending on the interaction with, and the nature of, the information system that processes them. They are exploitable by a theory, at a given LoA, as input of adequate queries to produce information (the model) as output. This epistemic malleability of reality as a resource seems to be what Chakravartty [2003] defines as the "dispositional nature" of structural objects<sup>22</sup> and Saunders [2003] calls their "heuristic plasticity". Transforming constraining affordances into information need not be a metaphysically violent business (as Bacon thought it might), if reality in itself is indeed indeterminate (Rosen and Smith [2004]) or if we are ready to be led by it insofar as it is determinate. From this perspective, semantic concerns (most importantly reference, representation and truth) belong to the relation among models, that is, among outcomes of LoAs (Kant's phenomenal world of experience), not to the relation between models and reality in itself.<sup>23</sup>

It turns out that we are like Turing's interrogator, since the model of investigation is erotetic: we have indirect (LoA-mediated) access to reality and can

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<sup>&</sup>lt;sup>21</sup> Suárez [2003] provides a series of arguments and references against what I have called here mimetic epistemology.

<sup>&</sup>lt;sup>22</sup> Apparently David Lewis held a similar view, see Langton [2004].

<sup>&</sup>lt;sup>23</sup> This seems to me the point of convergence between ISR and the position expressed by French and Saatsi [2004].

query it as a database. Bacon and Galilei shared a similar view. But since our task is not to find out who is who, we resemble Searle in his Chinese room: we get the input on one side and output information on the other. The difference, in this case, is that we have some understanding of the rules of the game. It makes little sense to ask whether the factual information we gain resembles its source. The Parthenon is as concrete and objective as anyone may wish it to be, but it does not represent marble. Knowing reality is interpreting it constructively, not portraying it passively.

So the basic idea behind ISR is quite simple: black-boxes inside a grey-box. The last specification to be added is that these qualifications are LoA-dependent, in the same way as the distinction between being a system and being a component or unit of a system is. A black-box may be opened, but opening it transforms it into a grey-box, in which more black-boxes may be found. Whether *ad infinitum* we simply cannot know. It might be Russian dolls (informational objects) all the way in.<sup>24</sup>

<sup>&</sup>lt;sup>24</sup> Many people have helped me to shape and sharpen the ideas presented in this paper. I am grateful to John Weckert and the Centre for Applied Philosophy and Public Ethics (CAPPE) for his invitation to deliver the keynote lecture at the *1st Australian Computing and Philosophy Conference* (*CAP@AU*; the Australian National University in Canberra, 31 October – 2 November, 2003), on which this article is based. I am also grateful to Olga Pombo, for the opportunity to deliver a series of lectures on the philosophy of information at the Universidade Nova de Lisboa in March 2003, which provided the first basis for this paper; and to Jim Moor, for the opportunity of discussing a revised version of it at a seminar at Dartmouth College in April 2004. In each case, I benefited enormously from the audience's feedback. Gianluca Paronitti and Matteo Turilli made several useful comments on a previous version. I am not sure I have answered all their insightful questions. I was influenced by Erich Reck's work on mathematical structuralism and he kindly helped me with his papers. Paul Oldfield carefully copyedited the final manuscript. As usual, my intellectual debt to Jeff Sanders is such that he is virtually a coauthor, although I remain the only one of us responsible for any remaining mistake.

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