

GAME SEMANTICS (DAY 2)

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GAME SEMANTICS

- ★ Two players: **○** (System) and **P** (Program)
- ★ Types are interpreted by games.
- ★ Programs are interpreted as strategies for P.
- ★ No winners or losers.
- ★ The dialogue is the central object of study.

GROUND ML

$$\theta ::= \zeta \mid \theta \times \theta \mid \theta \rightarrow \theta$$
$$\zeta ::= \text{unit} \mid \text{int} \mid \text{ref } \zeta$$

TYPING RULES I

$$\mathbb{A} = \biguplus_{\zeta} \mathbb{A}_{\zeta}$$

$$\begin{array}{c} \frac{}{U, \Gamma \vdash () : \text{unit}} \quad \frac{i \in \mathbb{Z}}{U, \Gamma \vdash i : \text{int}} \quad \frac{(x : \theta) \in \Gamma}{U, \Gamma \vdash x : \theta} \quad \frac{a \in U \cap \mathbb{A}_{\zeta}}{U, \Gamma \vdash a : \text{ref}_{\zeta}} \\ \\ \frac{U, \Gamma \vdash M : \text{int} \quad U, \Gamma \vdash N_0 : \theta \quad U, \Gamma \vdash N_1 : \theta}{U, \Gamma \vdash \text{if } M \text{ then } N_1 \text{ else } N_0 : \theta} \quad \frac{U, \Gamma \vdash M : \text{int}}{U, \Gamma \vdash \text{while}(M) : \text{unit}} \\ \\ \frac{U, \Gamma \uplus \{x : \theta\} \vdash M : \theta'}{U, \Gamma \vdash \lambda x^{\theta}. M : \theta \rightarrow \theta'} \quad \frac{U, \Gamma \vdash M : \theta \rightarrow \theta' \quad U, \Gamma \vdash N : \theta}{U, \Gamma \vdash MN : \theta'} \end{array}$$

TYPING RULES 2

$$\frac{U, \Gamma \vdash M : \theta \quad U, \Gamma \vdash N : \theta'}{U, \Gamma \vdash \langle M, N \rangle : \theta \times \theta'} \quad \frac{U, \Gamma \vdash M : \theta_1 \times \theta_2}{U, \Gamma \vdash \pi_i M : \theta_i} \quad i \in \{1, 2\}$$

$$\frac{U, \Gamma \vdash M : \text{int} \quad U, \Gamma \vdash N : \text{int}}{U, \Gamma \vdash M \oplus N : \text{int}} \quad \frac{U, \Gamma \vdash M : \text{ref} \zeta \quad U, \Gamma \vdash N : \text{ref} \zeta}{U, \Gamma \vdash M = N : \text{int}}$$

$$\frac{U, \Gamma \vdash M : \zeta}{U, \Gamma \vdash \text{ref}(M) : \text{ref} \zeta} \quad \frac{U, \Gamma \vdash M : \text{ref} \zeta}{U, \Gamma \vdash !M : \zeta} \quad \frac{U, \Gamma \vdash M : \text{ref} \zeta \quad U, \Gamma \vdash N : \zeta}{U, \Gamma \vdash M := N : \text{unit}}$$

OPERATIONAL SEMANTICS (AUXILIARY NOTATION)

Stores = $\{ S : \mathbb{A} \rightarrow (\{ \star \} \cup \mathbb{Z} \cup \mathbb{A}) \mid S \text{ finite and legal} \}$

$$(S[a \mapsto x])(a') = \begin{cases} S(a') & \text{if } a' \in \text{dom}(S) \setminus \{a\} \\ x & \text{if } a' = a \\ \text{undefined} & \text{otherwise} \end{cases}$$

$V ::= () \mid i \mid x \mid a \mid \langle V, V \rangle \mid \lambda x^\theta. M$

OPERATIONAL SEMANTICS

$$\begin{array}{l} (i \oplus j, S) \longrightarrow (k, S) \quad (k = i \oplus j) \\ ((\lambda x.M)V, S) \longrightarrow (M[V/x], S) \\ (\pi_1 \langle V_1, V_2 \rangle, S) \longrightarrow (V_1, S) \\ (\pi_2 \langle V_1, V_2 \rangle, S) \longrightarrow (V_2, S) \\ (\text{if } 0 \text{ then } M \text{ else } M', S) \longrightarrow (M', S) \\ (\text{if } i \text{ then } M \text{ else } M', S) \longrightarrow (M, S) \quad (i > 0) \\ (\text{while}(M), S) \longrightarrow (\text{if } M \text{ then while}(M) \text{ else } (), S) \\ (a = b, S) \longrightarrow (0, S) \quad (a \neq b) \\ (a = a, S) \longrightarrow (1, S) \\ (!a, S) \longrightarrow (S(a), S) \\ (a := V, S) \longrightarrow ((), S[a \mapsto V]) \\ (\text{ref}(V), S) \longrightarrow (a', S[a' \mapsto V]) \quad (a' \notin \text{dom}(S)) \\ \\ \frac{(M, S) \longrightarrow (M', S')}{(E[M], S) \longrightarrow (E[M'], S')} \end{array}$$

EVALUATION CONTEXTS

$$E ::= [] \mid E \oplus M \mid V \oplus E \mid \text{if } E \text{ then } M \text{ else } M \mid EM \mid VE \mid \langle E, M \rangle \\ \mid \langle V, E \rangle \mid \pi_i E \mid \text{ref}(E) \mid E = M \mid x = E \mid !E \mid E := M \mid V := E$$

For any term $\vdash M : \text{unit}$, we write $M \Downarrow$ if

$$(\emptyset, M) \longrightarrow \twoheadrightarrow (S, ())$$

for some store S .

SHORTHANDS

- $\text{let } x = M \text{ in } N$ stands for the term $(\lambda x^\theta. N)M$
- $M; N$ stands for $\text{let } x = M \text{ in } N$, where x does not occur in N
- *while* M *do* N can be coded as

$\text{if } M \text{ then while}((N; M)) \text{ else } ()$

- We can define divergent terms of type θ by

$\text{div}_\theta \equiv \text{while}(1); M_\theta,$

where M_θ is an arbitrary term of type θ .

CONTEXTS

$C ::= [] \mid \text{if } C \text{ then } M \text{ else } M \mid \text{if } M \text{ then } C \text{ else } M \mid \text{if } M \text{ then } M \text{ else } C$
 $\mid \text{while}(C) \mid \lambda x^\theta.C \mid MC \mid CM \mid \langle C, M \rangle \mid \langle M, C \rangle \mid \pi_i C \mid C \oplus M$
 $\mid M \oplus C \mid C = M \mid M = C \mid \text{ref}(C) \mid !C \mid C := M \mid M := C$

EQUIVALENCE

$\Gamma \vdash M_1 : \theta$ and $\Gamma \vdash M_2 : \theta$ are *equivalent* (written $\Gamma \vdash M_1 \cong M_2$) if, for any context C such that $\vdash C[M_1], C[M_2] : \text{unit}$,

$C[M_1] \Downarrow$ if and only if $C[M_2] \Downarrow$.

EQUIVALENCE?

$\text{gen} \equiv \lambda z^{\text{int}}. \text{let } x = \text{ref}(0) \text{ in } (x := z; x) : \text{int} \rightarrow \text{ref int}$

$\text{gen}' \equiv \text{let } x = \text{ref}(0) \text{ in } \lambda z^{\text{int}}. (x := z; x) : \text{int} \rightarrow \text{ref int}$

$C \equiv (\lambda f^{\text{int} \rightarrow \text{ref int}}. \text{if } (f0 = f0) \text{ then } () \text{ else div}) []$

EQUIVALENCE?

$M_1 \equiv \text{let } x = \text{ref}(0) \text{ in } \lambda y^{\text{ref int}}. x = y : \text{ref int} \rightarrow \text{int},$

$M_2 \equiv \lambda y^{\text{ref int}}. 0 : \text{ref int} \rightarrow \text{int}.$

FULL ABSTRACTION

$\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket$ if and only if $M_1 \cong M_2$

COMPOSITIONAL INTERPRETATION

- Types interpreted by games between O and P .
- Terms interpreted by strategies for P .
- Each syntactic construct interpreted through special strategies, constructions on strategies and composition.
- This is elegant but may obscure intuitions. We shall start with a more direct interpretation.

TOYML

The types of ToyML are generated according to the following grammar.

$$\beta ::= \text{unit} \mid \text{int} \mid \text{ref int}$$

$$\theta ::= \beta \mid \beta \rightarrow \beta$$

TOYML

$$\begin{array}{c} \frac{}{\Gamma \vdash () : \text{unit}} \quad \frac{i \in \mathbb{Z}}{\Gamma \vdash i : \text{int}} \quad \frac{(x : \theta) \in \Gamma}{\Gamma \vdash x : \theta} \quad \frac{\Gamma \vdash M : \text{int}}{\Gamma \vdash \text{while}(M) : \text{unit}} \\ \\ \frac{\Gamma \vdash M : \text{int} \quad \Gamma \vdash N : \text{int}}{\Gamma \vdash M \oplus N : \text{int}} \quad \frac{\Gamma \vdash M : \text{int} \quad \Gamma \vdash N, N' : \theta}{\Gamma \vdash \text{if } M \text{ then } N \text{ else } N' : \theta} \\ \\ \frac{\Gamma \uplus \{x : \beta\} \vdash M : \beta'}{\Gamma \vdash \lambda x^\beta . M : \beta \rightarrow \beta'} \quad \frac{\Gamma \vdash M : \beta \rightarrow \beta' \quad \Gamma \vdash N : \beta}{\Gamma \vdash MN : \beta'} \\ \\ \frac{\Gamma \vdash M : \text{int}}{\Gamma \vdash \text{ref}(M) : \text{ref int}} \quad \frac{\Gamma \vdash M : \text{ref int} \quad \Gamma \vdash N : \text{ref int}}{\Gamma \vdash M = N : \text{int}} \\ \\ \frac{\Gamma \vdash M : \text{ref int}}{\Gamma \vdash !M : \text{int}} \quad \frac{\Gamma \vdash M : \text{ref int} \quad \Gamma \vdash N : \text{int}}{\Gamma \vdash M := N : \text{unit}} \end{array}$$

EXAMPLES

$\vdash 1 : \text{int}$

$\star \quad (r_{\downarrow}, 1)$
 $O \quad P$

$x : \text{int} \vdash x + 1 : \text{int}$

$i \quad (r_{\downarrow}, i + 1)$
 $O \quad P$

EXAMPLES

$x : \text{int}, f : \text{int} \rightarrow \text{int} \vdash fx + fx : \text{int}$

(i, \dagger) (c_f, i) (r_f, j) (c_f, i) (r_f, j') $(r_{\downarrow}, j + j')$
 O P O P O P

$f : \text{int} \rightarrow \text{int}, g : \text{int} \rightarrow \text{int} \vdash f(g(0)) + 1 : \text{int}$

(\dagger, \dagger) $(c_g, 0)$ (r_g, i) (c_f, i) (r_f, j) $(r_{\downarrow}, j + 1)$
 O P O P O P

EXAMPLES

$$\vdash \lambda x^{\text{int}}.x + 1 : \text{int} \rightarrow \text{int}$$

$$\begin{array}{cccccc} \star & (r_{\downarrow}, \dagger) & (c, i_1) & (r, i_1 + 1) & (c, i_2) & (r, i_2 + 1) & \dots \\ O & P & O & P & O & P & \end{array}$$

$$f : \text{int} \rightarrow \text{int} \vdash \text{let } y = f(0) \text{ in } (\lambda x^{\text{int}}.f(x + y) + 1) : \text{int} \rightarrow \text{int}$$

$$\begin{array}{cccccccc} \dagger & (c_f, 0) & (r_f, j) & (r_{\downarrow}, \dagger) & (c, i_1) & (c_f, i_1 + j) & (r_f, j_1) & (r, j_1 + 1) & \dots \\ O & P & O & P & O & P & O & P & \end{array}$$

EXAMPLES

$x : \text{ref int} \vdash !x + 1 : \text{int}$

$$\begin{array}{cc} a^{(a,i)} & (r_{\downarrow}, i + 1)^{(a,i)} \\ O & P \end{array}$$

$x : \text{ref int}, f : \text{int} \rightarrow \text{int} \vdash f(!x) + !x : \text{int}$

$$\begin{array}{cccc} (a, \dagger)^{(a,i)} & (c_f, i)^{(a,i)} & (r_f, j)^{(a,i')} & (r_{\downarrow}, j + i')^{(a,i')} \\ O & P & O & P \end{array}$$

EXAMPLES

$\vdash \lambda x^{\text{int}}.\text{ref}(x) : \text{int} \rightarrow \text{ref int}$

\star
 O

$(r_{\downarrow}, \dagger)$
 P

(c, i_1)
 O

$(r, a_1)^{(a_1, i_1)}$
 P

$(c, i_2)^{(a_1, i'_1)}$
 O

$(r, a_2)^{(a_1, i'_1)(a_2, i_2)}$
 P

$(c, i_3)^{(a_1, i''_1)(a_2, i'_2)}$
 O

$(r, a_3)^{(a_1, i''_1)(a_2, i'_2)(a_3, i_3)} \dots$
 P

$a_i \neq a_j$

LET'S PLAY!

- Dialogue between the environment (O) and the program (P).
- Technically, sequences of moves that involve names drawn from an infinite set (stable under name invariance, i.e. nominal sets).
- Moves are accompanied by evolving stores.
- We focus on complete plays (all questions answered, all calls have returns), as these characterize contextual equivalence.

SEMANTIC VALUES (USED BY PLAYERS)

$$\mathcal{V}_{\text{unit}} = \{\star\}$$

$$\mathcal{V}_{\text{int}} = \mathbb{Z}$$

$$\mathcal{V}_{\text{ref int}} = \mathbb{A}_{\text{int}}$$

$$\mathcal{V}_{\beta \rightarrow \beta'} = \{\dagger\}$$

MOVES

Let $\Gamma = \{x_1 : \theta_1, \dots, x_m : \theta_m\}$ and $\Gamma \vdash M : \theta$ be a ToyML typing judgment. The set $M_{\Gamma \vdash \theta}$ of *moves associated with Γ and θ* is defined to be

$$M_{\Gamma \vdash \theta} = I_{\Gamma} \cup M_{\theta} \cup \bigcup_{1 \leq i \leq m} M_{x_i}$$

$$M_{\Gamma \vdash \theta} = I_{\Gamma} \cup M_{\theta} \cup \bigcup_{1 \leq i \leq m} M_{x_i}$$

- I_{Γ} is the set of *initial moves* given by

$$I_{\Gamma} = \{ (\ell_1, \dots, \ell_m) \mid \ell_i \in \mathcal{V}_{\theta_i}, 1 \leq i \leq m \};$$

- M_{θ} is the set of *output moves* defined by

$$- M_{\theta} = \{ (r_{\downarrow}, \ell) \mid \ell \in \mathcal{V}_{\theta} \} \text{ if } \theta \text{ is a base type,}$$

$$- M_{\theta} = \{ (r_{\downarrow}, \dagger) \} \cup \{ (c, \ell) \mid \ell \in \mathcal{V}_{\theta'} \} \cup \{ (r, \ell) \mid \ell \in \mathcal{V}_{\theta''} \} \text{ if } \theta = \theta' \rightarrow \theta'';$$

- M_{x_i} is the set of *variable moves*, taken to be empty if θ_i is a base type and, if $\theta_i = \theta'_i \rightarrow \theta''_i$, equal to

$$\{ (c_{x_i}, \ell) \mid \ell \in \mathcal{V}_{\theta'_i} \} \cup \{ (r_{x_i}, \ell) \mid \ell \in \mathcal{V}_{\theta''_i} \}.$$

MOVES SUMMARY

- Each non-initial move consists of a pair (t, ℓ) of a *tag* and a *(semantic) value*.
- For each function-type identifier x in Γ , we have introduced tags \mathbf{c}_x and \mathbf{r}_x . They can be viewed as calls and returns related to that identifier. The accompanying value in e.g. a move (\mathbf{c}_x, ℓ) corresponds to the value that identifier is called with.
- Similarly, \mathbf{r}_\downarrow can be taken to correspond to the fact that our modelled term was successfully evaluated, and, if θ is a function type, \mathbf{c} and \mathbf{r} refer respectively to calling the corresponding value and obtaining a result.

NOTATION FOR MOVES

$$M_{\Gamma \vdash \theta} = I_{\Gamma} \cup M_{\theta} \cup \bigcup_{1 \leq i \leq m} M_{x_i}$$

- I_{Γ} is the set of *initial moves* given by

$$I_{\Gamma} = \{ (\ell_1, \dots, \ell_m) \mid \ell_i \in \mathcal{V}_{\theta_i}, 1 \leq i \leq m \};$$

Moves are ranged over by m and variants. We shall use i to range over I_{Γ} , and we shall often write i_{x_i} for ℓ_i .

MOVE OWNERSHIP

- O -moves (context)

initial moves and those with tags r_x, c

- P -moves (program)

those with tags r_\downarrow, c_x, r

Using ownership of moves, we can extend the definition to *names* saying that a name a is owned by the owner of the first move m in which it occurs.

SEQUENCES AND PLAYS

- We aim to define a notion of complete play next.
- It is intended to model successful interactions between a program and some environment.
- We begin with the underlying **sequences** of moves.
- Sequences augmented with stores yield **plays**.

COMPLETE SEQUENCES

A *complete sequence* over $\Gamma \vdash \theta$ is a (possibly empty) sequence of moves $i(t_1, \ell_1) \cdots (t_k, \ell_k)$ such that the sequence $t_1 \cdots t_k$ of tags matches the grammar:

$$X r_{\downarrow} (\mathbf{c} X \mathbf{r})^* \quad \text{where} \quad X = \left(\sum_{(x: \theta' \rightarrow \theta'') \in \Gamma} (\mathbf{c}_x \mathbf{r}_x) \right)^* .$$

We assume that $X r_{\downarrow} (\mathbf{c} X \mathbf{r})^*$ degenerates to $X r_{\downarrow}$ when \mathbf{c}, \mathbf{r} are not available in $M_{\Gamma \vdash \theta}$, i.e. θ is a base type.

COMPLETE PLAYS

A *complete play* over $\Gamma \vdash \theta$ is a sequence $m_1^{S_1} \cdots m_k^{S_k}$ of moves-with-store satisfying the conditions below.

- $m_1 \cdots m_k$ is a complete sequence over $\Gamma \vdash \theta$.
- For any $1 \leq i \leq k$, $\text{dom}(S_i) = \nu(m_1 \cdots m_i)$.

$\nu(x)$ stands for the set of elements of \mathbb{A} (names) that occur in x .

INTERPRETATION

- Next we shall discuss how to assign, to any ToyML term $\Gamma \vdash M : \theta$, a set of complete plays over $\Gamma \vdash \theta$. We shall write $(\Gamma \vdash M : \theta)$ for that set.
- This constitutes a very direct account of the game-semantic interpretation of GroundML (to follow), specialised to ToyML.
- The complete-play interpretation is guaranteed to yield the following result.

Theorem (Full Abstraction)

Let $\Gamma \vdash M_1, M_2 : \theta$ be ToyML terms. Then $\Gamma \vdash M_1 \cong M_2$ if and only if $(\Gamma \vdash M_1) = (\Gamma \vdash M_2)$.

INITIAL CASES

$() \mid i \mid x \mid x \oplus y \mid \text{ref}(x) \mid x = y \mid$

$!x \mid x := y \mid \text{if } x \text{ then } N \text{ else } N'$

SKIP AND INTEGERS

Skip command $()$

$(\Gamma \vdash () : \text{unit})$ is defined to contain all complete plays over $\Gamma \vdash \text{unit}$ that have the shape $i^S(r_{\downarrow}, \star)^S$. Here P simply responds with the move (r_{\downarrow}, \star) without modifying the store.

Integer constant (i)

The defining complete plays for $(\Gamma \vdash i : \text{int})$ have the shape $i^S(r_{\downarrow}, i)^S$. This follows the same pattern as above, except that the value is i .

VARIABLES

- The complete plays in $(\Gamma \vdash x : \beta)$ all have the form $i^S(\mathbf{r}_\downarrow, i_x)^S$.
- For $(\Gamma \vdash x : \beta \rightarrow \beta')$, the complete plays must have the form

$$i^S(\mathbf{r}_\downarrow, \dagger)^S X_1 \cdots X_k$$

where $k \geq 0$ and

$$X_i = (\mathbf{c}, \ell_i)^{S_i} (\mathbf{c}_x, \ell_i)^{S_i} (\mathbf{r}_x, \ell'_i)^{S'_i} (\mathbf{r}, \ell'_i)^{S'_i}$$

for all $1 \leq i \leq k$.

P never changes the stores played by O . In contrast, O is allowed to modify the stores insofar as the definition of complete play allows, i.e. the integer values in S'_i may be different from the corresponding values in S_i .

Arithmetic operations ($x \oplus y$)

$(\Gamma \vdash x \oplus y : \text{int})$ is given by complete plays of the form

$$i^S(r_{\downarrow}, i_x \oplus i_y).$$

Reference creation ($\text{ref}(x)$)

$(\Gamma \vdash \text{ref}(x) : \text{ref int})$ is defined by complete plays of the form

$$i^S(r_{\downarrow}, a)^{S[a \mapsto i_x]}$$

with $a \in \mathbb{A}_{\text{int}} \setminus \text{dom}(S)$.