

Introduction to Formal Proof

Bernard Sufrin

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1: Formal Proofs in Propositional Calculus

Introduction

▷ A calculus by which the *validity* (correctness) of propositional conjectures is judged

▷ A propositional conjecture has some *premisses* and a *conclusion*

▷ Example 1:

It is raining

If I wear a hat and it is raining then my head stays dry

My head is not dry

I therefore conclude that

I am not wearing a hat

▷ Question: is this conjecture valid?



▷ Example 2:

It is raining

If I wear a hat and it is raining then my head stays dry

My head is dry

I therefore conclude that

I am wearing a hat

Question: is this conjecture valid?

▷ Example 3:

I conclude (without premisses) that

If today is Tuesday then we are in Paris

Question: is this conjecture valid?



Propositional Language: propositions

▷ A *proposition* is a meaningful declarative sentence that may be true or false in a situation.

▷ Examples:

- "Socrates is mortal"
- "The King's Arms is at the junction of Cornmarket with High Street"
- "I am hungry"
- "Tony Blair is a war-criminal"
- "It is raining and my head is wet"
- "If I wear a hat and it is raining then my head stays dry"

▷ But not

- "Do you like green eggs and ham?"
- "Can you catch it in your hat?"
- "Let's go!"
- "Don't mention the war."



Propositional Language: atomic propositions

▷ An *atomic proposition* is a proposition with no logical connectives in it.

▷ Examples:

- "Socrates is mortal"
- "The King's Arms is at the junction of Cornmarket with High Street"
- "I am hungry"
- "Tony Blair is a war-criminal"

▷ But not

- "It is raining and my head is wet" ("... and ...")
- "If I wear a hat and it is raining then my head stays dry" ("if ... and ... then ...")



Symbolic representation

- ▷ Atomic propositions denoted by letters/identifiers
- ▷ Propositional connectives written in symbols

<i>It is raining</i>	R
If I wear a hat and it is raining then my head stays dry	$(H \wedge R) \rightarrow D$
<i>My head is not dry</i>	$\neg D$
I therefore conclude that	_____
<i>I am not wearing a hat</i>	$\neg H$

- ▷ ... **therefore** ... separates the premisses of a conjecture from its conclusion
- It is not a propositional connective*



Composing Propositions with Logical Connectives

▷ not ...	$\neg\phi$	}	where ϕ and ψ are propositions
▷ ... and ...	$\phi \wedge \psi$		
▷ ... or ...	$\phi \vee \psi$		
▷ if ... then ...	$\phi \rightarrow \psi$		
▷ ... if and only if ...	$\phi \leftrightarrow \psi$		

- ▷ The connectives are not independent of each other
- ▷ There are other connectives, but these are the most common
- ▷ Sometimes other symbols are used for connectives (typically $\Rightarrow, \Leftrightarrow$ for $\rightarrow, \leftrightarrow$)



Parsing

- ▷ Priority of connectives is (in descending order) $\neg, \wedge, \vee, \rightarrow, \leftrightarrow$
 - \rightarrow has slightly higher priority on its right than on its left
 - Some texts give \wedge the same priority as \vee
 - (Jape gives \wedge and \vee slightly higher priority on their left)

▷ If in doubt, parenthesize!

▷ Examples:

- $\overline{\overline{(A \wedge B \rightarrow C \vee D)}} \leftrightarrow A \rightarrow B \rightarrow \overline{\overline{C \vee D}}$
- $\overline{\overline{\neg A}} \rightarrow A$
- $\overline{\overline{A \vee B \vee C \vee D \wedge E \wedge F}}$



Presenting a conjecture

▷ Informal: "if you accept *these premisses*¹ then you should accept *this conclusion*"

▷ Formal: "from *these premisses* we may validly infer *this conclusion*."

- In horizontal form: *premiss, premiss, premiss, ...* \vdash *conclusion*

◦ In vertical form:

$$\frac{\text{premiss} \quad \text{premiss} \quad \text{premiss} \quad \dots}{\text{conclusion}}$$

▷ e.g. the conjecture:

$$R, H \wedge R \rightarrow D, D \vdash H \qquad \frac{R \quad H \wedge R \rightarrow D \quad D}{H}$$

▷ e.g. the conjecture:

$$R, H \wedge R \rightarrow D, \neg D \vdash \neg H \qquad \frac{R \quad H \wedge R \rightarrow D \quad \neg D}{\neg H}$$


¹ i.e. their truth

What is the nature of a valid conjecture?

- ▷ Propositional calculus is a formal system that we use to judge the validity of conjectures.
- ▷ The validity of a conjecture is judged *solely from its form*, not on the meanings/interpretations of the atomic propositions.
- ▷ The validity of $R, H \wedge R \rightarrow D, \neg D \vdash \neg H$
 - is independent of the interpretation H, R, D in the real world.
 - *does not* establish the truth of the premisses.
 - *so should not, on its own*, convince you that $\neg H$
- ▷ An alternative interpretation
 - R “there are roses in my garden”
 - H “there’s a hedgehog in my garden”
 - D “I am depressed”



What is the purpose of a proof system?

- ▷ **If you know only that a particular conjecture has been proven:**
 - When the premisses are all true then you should accept the conclusion
 - When some of the premisses are untrue then you need not accept the conclusion
- ▷ **If you know only that a conjecture has not (yet) been proven:**
 - Then you need not (yet) accept the conclusion, even if all the premisses are true



- ▷ Example conjecture: commutativity of conjunction: (for any propositions ϕ and ψ)

$$\frac{\psi \wedge \phi}{\phi \wedge \psi}$$

$$\psi \wedge \phi \vdash \phi \wedge \psi$$

- “from $\psi \wedge \phi$ we can infer $\phi \wedge \psi$ ”
- “if we have established $\psi \wedge \phi$ then we can infer $\phi \wedge \psi$ ”

- ▷ Intuitive argument

1. Take $\psi \wedge \phi$ as a premiss
2. Since we have established (by premiss) $\psi \wedge \phi$ we can infer ϕ
3. Since we have established (by premiss) $\psi \wedge \phi$ we can infer ψ
4. Since we established (on the previous two lines) both ϕ and ψ we can infer $\phi \wedge \psi$



- ▷ Here we use the words *infer*, *conclude*, and *deduce* more or less interchangeably.

- ▷ We say that a proposition has been *established* in a proof of a conjecture if it is a premiss or has been inferred / concluded / deduced (directly or indirectly) from the premisses of the conjecture by means of the proof rules that we are using.



Proof Rules for conjunction

▷ “and introduction”:

- In a proof in which we have established ϕ and established ψ , we can conclude $\phi \wedge \psi$

$$\frac{\phi \quad \psi}{\phi \wedge \psi} \wedge\text{-intro}$$

▷ “and elimination”

- In a proof in which we have established $\phi \wedge \psi$, we can conclude ϕ

$$\frac{\phi \wedge \psi}{\phi} \wedge\text{-elim-L}$$

- In a proof where we have established $\phi \wedge \psi$, we can conclude ψ

$$\frac{\phi \wedge \psi}{\psi} \wedge\text{-elim-R}$$



▷ Formal presentations of the proof

- In linear form

- 1: $\psi \wedge \phi$ premiss
- 2: ϕ $\wedge\text{-elim-R 1}$
- 3: ψ $\wedge\text{-elim-L 1}$
- 4: $\phi \wedge \psi$ $\wedge\text{-intro 2, 3}$

- As a tree

$$\frac{\frac{\psi \wedge \phi}{\phi} \wedge\text{-elim-R} \quad \frac{\psi \wedge \phi}{\psi} \wedge\text{-elim-L}}{\phi \wedge \psi} \wedge\text{-intro}$$

- ▷ The proof tree is complete because its root is the conclusion of the conjecture and each leaf is a premiss of the conjecture.



- ▷ The proof rules are parameterized by ϕ and ψ

- ▷ View them as functions that construct proofs from proofs

- ▷ Example: proof that $\phi \wedge (\psi \wedge \kappa) \vdash (\phi \wedge \psi) \wedge \kappa$

$$\frac{\frac{\frac{\phi \wedge (\psi \wedge \kappa)}{\phi} \wedge\text{-elim-L} \quad \frac{\frac{\phi \wedge (\psi \wedge \kappa)}{\psi \wedge \kappa} \wedge\text{-elim-R}}{\psi} \wedge\text{-elim-L}}{\phi \wedge \psi} \wedge\text{-intro} \quad \frac{\phi \wedge (\psi \wedge \kappa)}{\kappa} \wedge\text{-elim-R}}{(\phi \wedge \psi) \wedge \kappa} \wedge\text{-intro}$$

- ▷ The proof tree is complete because its root is the conclusion of the conjecture and each leaf is a premiss of the conjecture.



- ▷ Same proof (linear presentation)

- 1: $\phi \wedge (\psi \wedge \kappa)$ premiss
- 2: ϕ $\wedge\text{-elim-L 1}$
- 3: $\psi \wedge \kappa$ $\wedge\text{-elim-R 1}$
- 4: ψ $\wedge\text{-elim-L 3}$
- 5: $\phi \wedge \psi$ $\wedge\text{-intro 2 4}$
- 6: κ $\wedge\text{-elim-R 3}$
- 7: $(\phi \wedge \psi) \wedge \kappa$ $\wedge\text{-intro 5, 6}$

- ▷ In this proof the pattern for each rule is matched in more than one way



Proof Rules for disjunction

▷ Introduction rules are straightforward

$$\frac{\phi}{\phi \vee \psi} \vee\text{-intro-L}$$

$$\frac{\psi}{\phi \vee \psi} \vee\text{-intro-R}$$



▷ Elimination rule captures the idea of case analysis

$$\frac{(\phi \vee \psi) \quad \begin{array}{|l} \phi \\ \vdots \\ \kappa \end{array} \quad \begin{array}{|l} \psi \\ \vdots \\ \kappa \end{array}}{\kappa} \vee\text{-elim}$$

▷ We can conclude κ in a proof in which we have established $\phi \vee \psi$ and in which we have

- (a) established κ by assuming ϕ , and
- (b) established κ by assuming ψ

▷ We have established $(\phi \vee \psi)$, i.e. that at least one of ϕ and ψ hold, but not which of them

▷ Having *both* proof (a) and proof (b) means it doesn't matter which

▷ $\begin{array}{|l} \alpha \\ \vdots \\ \kappa \end{array}$ means: *this particular instance of α cannot be referenced outside the subproof of κ*



▷ Case study: proof of $E \vee (F \wedge G) \vdash (E \vee F) \wedge (E \vee G)$

1: $E \vee F \wedge G$	premise
2: E	assumption
3: $E \vee F$	\vee intro 2
4: $E \vee G$	\vee intro 2
5: $(E \vee F) \wedge (E \vee G)$	\wedge intro 3,4
6: $F \wedge G$	assumption
7: G	\wedge elim 6
8: F	\wedge elim 6
9: $E \vee F$	\vee intro 8
10: $E \vee G$	\vee intro 7
11: $(E \vee F) \wedge (E \vee G)$	\wedge intro 9,10
12: $(E \vee F) \wedge (E \vee G)$	\vee elim 1,2-5,6-11

What makes this proof **formal** is that it doesn't depend on the **meanings** of E , F , or G or of the premiss or the conclusion, it just depends on the **syntactic forms** of the premiss and the conclusion and the propositions (formulae) that arise in the course of the proof.



Proof rules as "conjecture transformers"

▷ Q: But how did I go about *finding* the proof of $E \vee (F \wedge G) \vdash (E \vee F) \wedge (E \vee G)$?

▷ A: At each stage I used a proof rule to transform a conjecture (the goal) to the set of conjectures that need to be proved in order for it to hold (the subgoals).

A subgoal that's an assumption (or premiss) requires no further work.



▷ The starting goal (the original conjecture) is:

- 1: $E \vee (F \wedge G)$ premiss
- ...
- 2: $(E \vee F) \wedge (E \vee G)$

- We guess from the form of the premiss that we can finish the proof with \vee -elim
- Using this rule transforms the starting goal into two subgoals

- 1: $E \vee F \wedge G$ premiss
- 2: E assumption
- ...
- 3: $(E \vee F) \wedge (E \vee G)$
- 4: $F \wedge G$ assumption
- ...
- 5: $(E \vee F) \wedge (E \vee G)$
- 6: $(E \vee F) \wedge (E \vee G)$ \vee elim 1,2-3,4-5

(alternate guess is that we can finish the proof with \wedge -intro)



▷ After two \vee -intro steps we have completed the first subgoal

- 1: $E \vee F \wedge G$ premiss
- 2: E assumption
- 3: $E \vee F$ \vee intro 2
- 4: $E \vee G$ \vee intro 2
- 5: $(E \vee F) \wedge (E \vee G)$ \wedge intro 3,4
- 6: $F \wedge G$ assumption
- ...
- 7: $(E \vee F) \wedge (E \vee G)$
- 8: $(E \vee F) \wedge (E \vee G)$ \vee elim 1,2-5,6-7



▷ Working on the first subgoal: we guess we can finish with \wedge -intro

- 1: $E \vee F \wedge G$ premiss
- 2: E assumption
- ...
- 3: $E \vee F$
- ...
- 4: $E \vee G$
- 5: $(E \vee F) \wedge (E \vee G)$ \wedge intro 3,4
- 6: $F \wedge G$ assumption
- ...
- 7: $(E \vee F) \wedge (E \vee G)$
- 8: $(E \vee F) \wedge (E \vee G)$ \vee elim 1,2-5,6-7

This yields two nested subgoals (2...3) and (2...4) – one for each conjunct



▷ Working on the second subgoal (the bottom box)

- we can see we are going to need both conjuncts so we take two \wedge -elim steps

- 1: $E \vee F \wedge G$ premiss
- 2: E assumption
- 3: $E \vee F$ \vee intro 2
- 4: $E \vee G$ \vee intro 2
- 5: $(E \vee F) \wedge (E \vee G)$ \wedge intro 3,4
- 6: $F \wedge G$ assumption
- 7: G \wedge elim 6
- 8: F \wedge elim 6
- ...
- 9: $(E \vee F) \wedge (E \vee G)$
- 10: $(E \vee F) \wedge (E \vee G)$ \vee elim 1,2-5,6-9



▷ We see that we can finish this subproof with \wedge -intro

1: $E \vee F \wedge G$	premise
2: E	assumption
3: $E \vee F$	\vee intro 2
4: $E \vee G$	\vee intro 2
5: $(E \vee F) \wedge (E \vee G)$	\wedge intro 3,4
6: $F \wedge G$	assumption
7: G	\wedge elim 6
8: F	\wedge elim 6
...	
9: $E \vee F$	
...	
10: $E \vee G$	
11: $(E \vee F) \wedge (E \vee G)$	\wedge intro 9,10
12: $(E \vee F) \wedge (E \vee G)$	\vee elim 1,2-5,6-11

▷ The two resulting subgoals are closed by \vee -intro rules

1: $E \vee F \wedge G$	premise
2: E	assumption
3: $E \vee F$	\vee intro 2
4: $E \vee G$	\vee intro 2
5: $(E \vee F) \wedge (E \vee G)$	\wedge intro 3,4
6: $F \wedge G$	assumption
7: G	\wedge elim 6
8: F	\wedge elim 6
9: $E \vee F$	\vee intro 8
10: $E \vee G$	\vee intro 7
11: $(E \vee F) \wedge (E \vee G)$	\wedge intro 9,10
12: $(E \vee F) \wedge (E \vee G)$	\vee elim 1,2-5,6-11

▷ Notice that assumption 2 is not used outside of 2-5, nor is 6 used outside of 6-11.

Exercise: Could we have started the proof search by using \wedge -intro?

Proof Rules for Implication

▷ Elimination rule (a.k.a *modus-ponens*) is straightforward

$$\frac{\phi \quad \phi \rightarrow \psi}{\psi} \rightarrow\text{-elim}$$

▷ Concrete example: proof of $H, R, H \wedge R \rightarrow D \vdash D$

$$\frac{\frac{H \wedge R \rightarrow D}{D} \text{premiss} \quad \frac{\frac{H}{H \wedge R} \text{premiss} \quad \frac{R}{H \wedge R} \text{premiss}}{H \wedge R} \wedge\text{-intro}}{D} \rightarrow\text{-elim}$$

▷ Introduction rule

$$\frac{\boxed{\begin{array}{c} \phi \\ \vdots \\ \psi \end{array}}}{\phi \rightarrow \psi} \rightarrow\text{-intro}$$

To prove $\phi \rightarrow \psi$ assume ϕ and prove ψ from it.

The box means "don't refer to the assumed occurrence of ϕ outside of the nested subproof"

▷ and it just takes one more \rightarrow -elim to close the (gap in the) proof

1:	$E \rightarrow (F \rightarrow G)$	premiss
2:	$E \rightarrow F$	assumption
3:	E	assumption
4:	F	\rightarrow -elim 2,3
5:	$F \rightarrow G$	\rightarrow -elim 1,3
6:	G	\rightarrow -elim 5,4
7:	$E \rightarrow G$	\rightarrow -intro 3 — 6
8:	$(E \rightarrow F) \rightarrow (E \rightarrow G)$	\rightarrow -intro 2 — 7

▷ EXERCISE: Use this sequence to explain why the “boxed assumption” restriction of \rightarrow -intro is satisfied by this proof.



▷ Here's the proof in tree form (with the origins of assumptions labelled):

$$\frac{\frac{\frac{\frac{\overline{E \rightarrow (F \rightarrow G)}}{\text{premiss}}}{F \rightarrow G}}{\frac{G}{E \rightarrow G} \rightarrow\text{-intro}_3}}{(E \rightarrow F) \rightarrow (E \rightarrow G)} \rightarrow\text{-intro}_2}{\frac{\frac{\overline{E \rightarrow F}}{\text{hyp}_2} \quad \overline{E} \text{ hyp}_3}{F} \rightarrow\text{-elim}}{\overline{E} \text{ hyp}_3} \rightarrow\text{-elim}} \rightarrow\text{-elim}$$

▷ EXERCISE: Use this tree to explain why the “boxed assumption” restriction of \rightarrow -intro is satisfied by this proof.

▷ ASIDE: it can be quite challenging to keep track of assumptions made during the process of discovering a proof that you are recording in tree form.



A Paradox?

▷ One consequence of accepting the \rightarrow -intro rule is the theorem $F \vdash E \rightarrow F$

1:	F	premiss
2:	E	hyp
3:	F	copy 1
4:	$E \rightarrow F$	\rightarrow -intro

- We have proved that if F holds anyway, then (for any proposition E) that $E \rightarrow F$, the natural language interpretation of which is: “if E then F ”
- But in natural language “if E then F ” is sometimes taken to suggest that E is, in some sense, *relevant to*, or a *causal factor* in F .
- There is no real paradox here: just take $E \rightarrow F$ to mean “ F holds in every situation in which E holds.”



Rules for iff

▷ If we take $\phi \leftrightarrow \psi$ as an abbreviation for “ $\phi \rightarrow \psi$ and $\psi \rightarrow \phi$ ” we get the rules:

$$\frac{\phi \rightarrow \psi \quad \psi \rightarrow \phi}{\phi \leftrightarrow \psi} \text{abb-}\leftrightarrow\text{-intro} \quad \frac{\phi \leftrightarrow \psi}{\phi \rightarrow \psi} \text{abb-}\leftrightarrow\text{-elim-r} \quad \frac{\phi \leftrightarrow \psi}{\psi \rightarrow \phi} \text{abb-}\leftrightarrow\text{-elim-l}$$

which capture the essence of the abbreviation; but mention an additional connective (\leftrightarrow)

▷ The following rules are of equivalent logical power; and they mention *only* \leftrightarrow

$$\frac{\frac{\psi}{\phi} \quad \frac{\phi}{\psi}}{\phi \leftrightarrow \psi} \leftrightarrow\text{-intro} \quad \frac{\phi \quad \phi \leftrightarrow \psi}{\psi} \leftrightarrow\text{-elim-r} \quad \frac{\psi \quad \phi \leftrightarrow \psi}{\phi} \leftrightarrow\text{-elim-l}$$



Proof Rules for Negation

▷ Informal meaning of \neg is captured by

- “If you believe ϕ then you shouldn’t believe $\neg\phi$ ”
- “If you believe $\neg\phi$ then you shouldn’t believe ϕ ”

▷ The rules for \neg must demonstrate that ϕ and $\neg\phi$ contradict each other.

▷ We use the symbol \perp to mean *contradiction*.



▷ Introduction: if ϕ leads to a contradiction, then believe $\neg\phi$

$$\frac{\boxed{\begin{array}{c} \phi \\ \vdots \\ \perp \end{array}}}{\neg\phi} \neg\text{-intro}$$

▷ Elimination: believing both ϕ and $\neg\phi$ is contradictory

$$\frac{\phi \quad \neg\phi}{\perp} \neg\text{-elim}$$

▷ Contradiction-elimination: $\frac{\perp}{\phi} \perp\text{-elim}$

▷ Double-negation-elimination: $\frac{\neg\neg\phi}{\phi} \neg\neg\text{-elim}$



▷ An important consequence of these rules – called classical contradiction or *reductio ad absurdam* (RAA) – is: if $\neg\phi$ leads to a contradiction, then believe ϕ

$$\frac{\boxed{\begin{array}{c} \neg\phi \\ \vdots \\ \perp \end{array}}}{\phi} \text{contradiction(classical)}$$

▷ Exercise: “prove” the classical contradiction rule



▷ A straightforward proof using both negation rules

$$\begin{array}{ll} 1: \neg(E \vee F) & \text{premise} \\ 2: E & \text{assumption} \\ 3: E \vee F & \vee \text{ intro } 2 \\ 4: \perp & \neg \text{ elim } 3,1 \\ 5: \neg E & \neg \text{ intro } 2-4 \\ 6: F & \text{assumption} \\ 7: E \vee F & \vee \text{ intro } 6 \\ 8: \perp & \neg \text{ elim } 7,1 \\ 9: \neg F & \neg \text{ intro } 6-8 \\ 10: \neg E \wedge \neg F & \wedge \text{ intro } 5,9 \end{array}$$

▷ Proof discovery:

- the goal consequent matches a rule consequent.
- then for each conjunct goal we looked for a way of eliminating not from the premiss



▷ Law of the Excluded Middle: $\vdash \phi \vee \neg\phi$

▷ This theorem has no premisses.

1:	$\neg(\phi \vee \neg\phi)$	assumption
2:	$\neg\phi \wedge \neg\neg\phi$	Theorem $\neg(\phi \vee \neg\phi) \vdash \neg\phi \wedge \neg\neg\phi$
3:	$\neg\neg\phi$	\wedge -elim 2
4:	$\neg\phi$	\wedge -elim 2
5:	\perp	\neg -elim 4,3
6:	$(\phi \vee \neg\phi)$	contra (classical) 1–5

▷ Proof discovery:

- the goal consequent is a disjunction, but
- using an \vee -intro rule would require us to choose one of the disjuncts to prove
- so we structure the proof as a proof by contradiction

▷ Exercise: prove the theorem cited on line 2



Derived Rules

▷ Exercise: prove $\phi \wedge \psi \rightarrow \theta \vdash \phi \rightarrow (\psi \rightarrow \theta)$ (call this proof $IC(\phi, \psi, \kappa)$)

▷ Exercise: prove $\phi \rightarrow (\psi \rightarrow \theta) \vdash \phi \wedge \psi \rightarrow \theta$ (call this proof $CI(\phi, \psi, \kappa)$)

Q: Can these proofs become part of the proof of $\vdash E \rightarrow (F \rightarrow G) \leftrightarrow E \wedge F \rightarrow G$?

A: Imagine just substituting the proof trees at the appropriate point

$$\frac{\frac{\frac{(E \rightarrow (F \rightarrow G))}{\vdots CI(E, F, G)}}{E \wedge F \rightarrow G}}{(E \rightarrow (F \rightarrow G)) \rightarrow (E \wedge F \rightarrow G)} \rightarrow\text{-intro} \quad \frac{\frac{\frac{(E \wedge F \rightarrow G)}{\vdots IC(E, F, G)}}{E \rightarrow (F \rightarrow G)}}{(E \wedge F \rightarrow G) \rightarrow (E \rightarrow (F \rightarrow G))} \rightarrow\text{-intro}}{E \rightarrow (F \rightarrow G) \leftrightarrow E \wedge F \rightarrow G} \leftrightarrow\text{-intro}$$

▷ This justifies the notion that (substitution instance of) a proven conjecture (a.k.a theorem) that has been named can be used within another proof *as if it were a proof rule*.



▷ Denying the Consequent: (a.k.a *Modus Tollens*)

$$\frac{\phi \rightarrow \psi \quad \neg\psi}{\neg\phi} \text{MT}$$

▷ Proof

1:	$\phi \rightarrow \psi$	premiss
2:	$\neg\psi$	premiss
3:	ϕ	assumption
4:	ψ	\rightarrow -elim 1, 3
5:	\perp	\neg -elim 4, 2
6:	$\neg\phi$	\neg -intro 3-5



A first glance at soundness and completeness

▷ If I find a proof of $R, H \wedge R \rightarrow D, \neg D \vdash \neg H$

... then what should I do if I am wearing a hat and it is raining and my head is wet?

▷ If I find a proof of $R, H \wedge R \rightarrow D, D \vdash H$

... then what should I do if it is raining and my head is dry and I am not wearing a hat?



- ▷ What if we cannot find a proof of " $R, H \wedge R \rightarrow D, D \vdash H$ "?
 - is it because the conjecture is invalid?
 - is it because we are insufficiently clever?
 - is it because the proof rules we have given so far are inadequate or wrong?

- ▷ More generally, we can ask questions *about the proof rules*:
 - Completeness: is there a proof of every valid conjecture of the form " $P_1, P_2, \dots, P_n \vdash Q$ "?
 - Soundness: if we can find a proof for " $P_1, P_2, \dots, P_n \vdash Q$ " then is it valid?

- ▷ But to answer these questions we need
 - an independent characterization of the notion of *validity*.
 - a way of conducting rigorous proofs *about proofs*!



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**Note 1:**

“Calculus” used in this logical context signifies a systematic (*i.e.* rule-based rather than intuitive) method of reasoning by calculation.

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Note 2:

We use “rules of inference”, “inference rules”, and “proof rules” interchangeably in this course.

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Note 3: Linear Proofs represent DAGs

We emphasise that the tree and linear presentations are *presentations of the same underlying proof structure*. They are not different proofs.

The correspondence between the linear presentation

$$\begin{array}{l}
 1: \phi \wedge (\psi \wedge \kappa) \quad \text{premiss} \\
 2: \phi \quad \wedge\text{-elim-L } 1 \\
 3: \psi \wedge \kappa \quad \wedge\text{-elim-R } 1 \\
 4: \psi \quad \wedge\text{-elim-L } 3 \\
 5: \phi \wedge \psi \quad \wedge\text{-intro } 2 \ 4 \\
 6: \kappa \quad \wedge\text{-elim-R } 3 \\
 7: (\phi \wedge \psi) \wedge \kappa \quad \wedge\text{-intro } 5, 6
 \end{array}$$

and the tree presentation $\frac{\frac{\frac{\phi \wedge (\psi \wedge \kappa)}{\phi} \quad 1. \text{ premiss}}{\psi \wedge \kappa} \quad 2. \wedge\text{-elim-L}}{\psi} \quad 4. \wedge\text{-elim-L}}{\phi \wedge \psi} \quad 5. \wedge\text{-intro} \quad \frac{\frac{\frac{\phi \wedge (\psi \wedge \kappa)}{\psi \wedge \kappa} \quad 1. \text{ premiss}}{\psi \wedge \kappa} \quad 3. \wedge\text{-elim-R}}{\psi \wedge \kappa} \quad 6. \wedge\text{-elim-R}}{\kappa} \quad 7. \wedge\text{-intro}$

is made explicit here by labelling each node of the tree with its corresponding line number.

In general the linear presentation of a proof represents a DAG whose traversal yields a tree presentation.

Note 4:

For a simple concrete example suppose we want to show that $2n$ is always even, we can go about it in this way:

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$$\frac{\frac{\vdots}{\text{even}(n) \vee \text{odd}(n)}}{\text{even}(2n)} \quad \frac{\frac{\frac{\text{odd}(n)}{\vdots}}{\text{even}(2n)}}{\text{even}(2n)} \quad \vee\text{-elim}$$

Note 8: Typographical conventions41 

- ▷ Many texts follow very strict typographical conventions when discussing logic and, in particular, proving general theorems. We are much less meticulous in this part of the course, though we have a mild tendency to use Greek capitals to stand for arbitrary propositions in proof rules and very general theorems, while using Roman capitals to stand for specific atomic propositions.
- ▷ But because our arguments are strictly formal, and do not depend on the interpretations of atomic propositions, a proof done in our notes using Roman letters is as valid as one that would appear typographically more general if we were enforcing a typographical convention.
- ▷ For example: earlier we showed part of a proof that \vee distributes through \wedge using Roman capitals to stand for the propositions involved; and here is a proof of the law of the excluded middle that uses a Roman letter for the proposition.

1: $\neg(E\vee\neg E)$	assumption
2: $\neg E\wedge\neg\neg E$	Theorem $\neg(E\vee F) \vdash \neg E\wedge\neg F$
3: $\neg\neg E$	\wedge elim 2
4: $\neg E$	\wedge elim 2
5: \perp	\neg elim 4,3
6: $E\vee\neg E$	contra (classical) 1-5