

# Some steps towards noncommutative Gel'fand duality

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## Abstract

Gel'fand duality is one of the central mathematical insights of the last century [7]. Each abelian  $C^*$ -algebra  $\mathcal{A}$  gives rise to a compact or locally compact Hausdorff space, the Gel'fand spectrum  $\Sigma^{\mathcal{A}}$ . Conversely, each compact or locally compact Hausdorff space  $X$  determines an abelian  $C^*$ -algebra  $C(X)$  of continuous functions. In quantum theory, *noncommutative*  $C^*$ -algebras play a central rôle, but we are still lacking a good notion of spectrum for these algebras. Such a spectrum would be a suitable noncommutative space and would provide quantum theory with a geometrical underpinning that is absent so far. In previous work [5, 6], it was shown that the spectral presheaf  $\underline{\Sigma}^{\mathcal{A}}$  associated with an arbitrary unital  $C^*$ - or von Neumann algebra  $\mathcal{A}$  has many properties of a spectrum. Here we show that the assignment  $\mathcal{A} \mapsto \underline{\Sigma}^{\mathcal{A}}$  is functorial in a suitable sense and can be seen as the first half of a noncommutative version of Gel'fand duality. We show that for abelian algebras, our construction reduces to ordinary Gel'fand duality. Moreover, it is shown how the group of unitary operators in a von Neumann algebra is faithfully represented by automorphisms of the (set of subobjects of the) spectral presheaf.

## 1 Introduction

There is a well-developed strand of research aiming to describe the spectra of nonabelian  $C^*$ -algebras in terms of noncommutative topology. This approach has first been developed in functional analysis by Akemann [1, 2], Giles and Kummer [8] in the late 1960s and early 1970s, and later on in the form of quantales by Mulvey [9], Borceux, Rosický [3, 4] and others. On the other hand, the topos approach to the formulation of physical theories [6] has led to the consideration of certain spaces without points associated with nonabelian  $C^*$ - and von Neumann algebras. The object in question is the spectral presheaf. Let  $\mathcal{A}$  be a  $C^*$ -algebra, and let  $\underline{\Sigma}^{\mathcal{A}}$  be its spectral presheaf (see definition below). Here, we show that this assignment is functorial in a suitable sense and prove some consequences. We consider arbitrary  $*$ -homomorphisms between unital  $C^*$ -algebras.

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## 2 The spectral presheaf

Let  $\mathcal{A}$  be an arbitrary unital  $C^*$ -algebra, and let  $\mathcal{V}(\mathcal{A})$  be the set of commutative, unital  $C^*$ -subalgebras of  $\mathcal{A}$  such that the unit element in each  $C \in \mathcal{V}(\mathcal{A})$  is the unit element in  $\mathcal{A}$ .  $\mathcal{V}(\mathcal{A})$  is partially ordered under inclusion. The *spectral presheaf*  $\underline{\Sigma}^{\mathcal{A}}$  of  $\mathcal{A}$  is the contravariant, **Set**-valued functor on  $\mathcal{V}(\mathcal{A})$  defined

- (a) on objects: for all  $C \in \mathcal{V}(\mathcal{A})$ , let  $\underline{\Sigma}_C^{\mathcal{A}}$  be the Gel'fand spectrum of  $C$ , i.e., the space of characters of  $C$ , equipped with the Gel'fand topology;
- (b) on arrows: for all inclusions  $i_{C'C} : C' \hookrightarrow C$ , let  $\underline{\Sigma}^{\mathcal{A}}(i_{C'C}) : \underline{\Sigma}_C^{\mathcal{A}} \rightarrow \underline{\Sigma}_{C'}^{\mathcal{A}}$  be the function that sends each character  $\lambda$  to its restriction  $\lambda|_{C'}$  to the smaller algebra. This function is well-known to be continuous and surjective.

An analogous definition exists for arbitrary von Neumann algebras  $\mathcal{N}$ . In this case, we consider the poset  $\mathcal{V}(\mathcal{N})$  of abelian, counital *von Neumann* subalgebras and define the spectral presheaf  $\underline{\Sigma}^{\mathcal{N}}$  over this category.

## 3 The main result

In fact, we want to assign to a given  $C^*$ -algebra  $\mathcal{A}$  not just its spectral presheaf, but the *topos*  $\mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}}$  of presheaves over the context category  $\mathcal{V}(\mathcal{A})$ , together with the distinguished object  $\underline{\Sigma}^{\mathcal{A}} \in \text{Ob}(\mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}})$ . (Analogously for von Neumann algebras  $\mathcal{N}$ .) The reason is the following:

**Theorem 1** *Each  $*$ -homomorphism  $\phi : \mathcal{A} \rightarrow \mathcal{B}$  between unital  $C^*$ -algebras induces a geometric morphism  $\Phi : \mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}} \rightarrow \mathbf{Set}^{\mathcal{V}(\mathcal{B})^{\text{op}}}$  between the associated topoi such that the inverse image functor  $\Phi^* : \mathbf{Set}^{\mathcal{V}(\mathcal{B})^{\text{op}}} \rightarrow \mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}}$  maps the spectral presheaf  $\underline{\Sigma}^{\mathcal{B}}$  of  $\mathcal{B}$  to an object  $\Phi^*(\underline{\Sigma}^{\mathcal{B}})$  in the topos  $\mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}}$ . Using Gel'fand duality at each stage, we obtain a subobject  $(\mathcal{G} \circ \Phi^*)(\underline{\Sigma}^{\mathcal{B}})$  of the spectral presheaf  $\underline{\Sigma}^{\mathcal{A}}$  of  $\mathcal{A}$ .*

This shows that each morphism  $\phi$  of  $C^*$ -algebras induces a morphism in the opposite direction between the associated spectral presheaves. Hence, the assignment  $\mathcal{A} \mapsto \underline{\Sigma}^{\mathcal{A}}$  is functorial. The map from  $\underline{\Sigma}^{\mathcal{B}}$  to  $\underline{\Sigma}^{\mathcal{A}}$  constructed in the proof below is the generalisation of the continuous map  $\Sigma^{\mathcal{B}} \rightarrow \Sigma^{\mathcal{A}}, \lambda \mapsto \lambda \circ \varphi$  between the Gel'fand spectra induced by a  $*$ -homomorphism  $\varphi : \mathcal{A} \rightarrow \mathcal{B}$  between abelian  $C^*$ -algebras. (Note that we have contravariance.)

**Proof.** Let  $C \in \mathcal{V}(\mathcal{A})$  be an abelian subalgebra of  $\mathcal{A}$ . It is straightforward to show that  $\phi(C)$  is an abelian  $C^*$ -subalgebra of  $\mathcal{B}$ . Clearly, if  $C' \subset C$ , then  $\phi(C') \subseteq \phi(C)$ , hence  $\phi$  induces a morphism

$$\begin{aligned} \tilde{\phi} : \mathcal{V}(\mathcal{A}) &\longrightarrow \mathcal{V}(\mathcal{B}) \\ C &\longmapsto \phi(C) \end{aligned}$$

of posets, i.e., an order-preserving map. The posets  $\mathcal{V}(\mathcal{A})$  and  $\mathcal{V}(\mathcal{B})$  are the base categories of the topoi  $\mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}}$  and  $\mathbf{Set}^{\mathcal{V}(\mathcal{B})^{\text{op}}}$ , so  $\tilde{\phi}$  induces a geometric morphism  $\Phi : \mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}} \rightarrow \mathbf{Set}^{\mathcal{V}(\mathcal{B})^{\text{op}}}$ . The inverse image functor  $\Phi^*$  is given by

$$\begin{aligned} \Phi^* : \mathbf{Set}^{\mathcal{V}(\mathcal{B})^{\text{op}}} &\longrightarrow \mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}} \\ \underline{P} &\longmapsto \underline{P} \circ \tilde{\phi}. \end{aligned}$$

In particular,  $\Phi^*(\underline{\Sigma}^{\mathcal{B}}) = \underline{\Sigma}^{\mathcal{B}} \circ \tilde{\phi}$ , and we obtain

$$\forall C \in \mathcal{V}(\mathcal{A}) : \Phi^*(\underline{\Sigma}^{\mathcal{B}})_C = (\underline{\Sigma}^{\mathcal{B}} \circ \tilde{\phi})_C = \underline{\Sigma}_{\tilde{\phi}(C)}^{\mathcal{B}}.$$

Now we can apply ordinary Gel'fand duality: for each  $C \in \mathcal{V}(\mathcal{A})$ , we have an arrow  $\phi|_C : C \rightarrow \phi(C)$  between abelian  $C^*$ -algebras, which determines a continuous function  $\mathcal{G}_{\phi|_C} : \Sigma_{\phi(C)} \rightarrow \Sigma_C$  given by  $\lambda \mapsto \lambda \circ \phi|_C$ . Using the fact that  $\underline{\Sigma}_{\tilde{\phi}(C)}^{\mathcal{B}} = \Sigma_{\phi(C)}$  and  $\underline{\Sigma}_C^{\mathcal{A}} = \Sigma_C$ , we define

$$\forall C \in \mathcal{V}(\mathcal{A}) : \mathcal{G}_{\phi|_C}(\Phi^*(\underline{\Sigma}^{\mathcal{B}})_C) = \mathcal{G}_{\phi|_C}(\underline{\Sigma}_{\tilde{\phi}(C)}^{\mathcal{B}}) = \{\lambda \circ \phi|_C \mid \lambda \in \underline{\Sigma}_{\tilde{\phi}(C)}^{\mathcal{B}}\} \subseteq \underline{\Sigma}_C^{\mathcal{A}}.$$

It is easy to check that the components  $\mathcal{G}_{\phi|_C}$  ( $C \in \mathcal{V}(\mathcal{A})$ ) assemble into a natural transformation  $\mathcal{G} : \Phi^*(\underline{\Sigma}^{\mathcal{B}}) \rightarrow \underline{\Sigma}^{\mathcal{A}}$  and that the image of  $\Phi^*(\underline{\Sigma}^{\mathcal{B}})$  under  $\mathcal{G}$  is a subobject of  $\underline{\Sigma}^{\mathcal{A}}$ . We write this subobject as  $(\mathcal{G} \circ \Phi^*)(\underline{\Sigma}^{\mathcal{B}})$ . ■

For von Neumann algebras  $\mathcal{M}, \mathcal{N}$ , the appropriate morphisms are weakly continuous  $*$ -homomorphisms  $\phi : \mathcal{M} \rightarrow \mathcal{N}$ . Such a  $\phi$  induces an order-preserving map  $\tilde{\phi} : \mathcal{V}(\mathcal{M}) \rightarrow \mathcal{V}(\mathcal{N})$  between the posets of abelian von Neumann subalgebras, which in turn determines a geometric morphism  $\Phi : \mathbf{Set}^{\mathcal{V}(\mathcal{M})^{\text{op}}} \rightarrow \mathbf{Set}^{\mathcal{V}(\mathcal{N})^{\text{op}}}$ . The composite  $\mathcal{G} \circ \Phi^*$  maps  $\underline{\Sigma}^{\mathcal{N}}$  to  $\underline{\Sigma}^{\mathcal{M}}$ .

The mapping  $\mathcal{G} \circ \Phi^*$  is a composite of the inverse image part of a geometric morphism, i.e., and arrow between from the topos  $\mathbf{Set}^{\mathcal{V}(\mathcal{B})^{\text{op}}}$  to the topos  $\mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}}$ , and an arrow  $\mathcal{G}$  in the topos  $\mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}}$ . As matters stand,  $\mathcal{G}$  is not yet a functor from  $\mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}}$  to itself, because it is only defined by its action on  $\Phi^*(\underline{\Sigma}^{\mathcal{B}})$ . Since this is all we need here (and  $\mathcal{G}$  is not applied to any other object in  $\mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}}$ ), we are at liberty to extend the definition of  $\mathcal{G}$  to other objects and arrows in  $\mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}}$  such that it becomes a functor. Another option is to consider the spectral presheaves  $\underline{\Sigma}^{\mathcal{A}}$  and  $\underline{\Sigma}^{\mathcal{B}}$  topos-externally as locales in  $\mathbf{Set}$ . By very similar arguments, a  $*$ -homomorphism  $\phi : \mathcal{A} \rightarrow \mathcal{B}$  induces a morphism from  $\underline{\Sigma}^{\mathcal{B}}$  to  $\underline{\Sigma}^{\mathcal{A}}$ .

The map  $\mathcal{G}_{\phi} : \Sigma^{\mathcal{B}} \rightarrow \Sigma^{\mathcal{A}}$  between the Gel'fand spectra of abelian  $C^*$ -algebras  $\mathcal{A}, \mathcal{B}$  induced by a  $*$ -homomorphism  $\phi : \mathcal{A} \rightarrow \mathcal{B}$  is continuous. It remains to be worked out how the notion of continuity generalises to the nonabelian situation: in which sense, if any, can the composite  $\mathcal{G} \circ \Phi^* : \underline{\Sigma}^{\mathcal{B}} \rightarrow \underline{\Sigma}^{\mathcal{A}}$  be regarded as continuous? (It is known that the spectral presheaves each carry a distinguished family of subobjects that can be seen as opens.)

## 4 Reduction to ordinary Gel'fand duality

If  $\mathcal{A}$  is an abelian  $C^*$ -algebra, we expect to get back ordinary Gel'fand duality. This does not quite happen, though: the poset  $\mathcal{V}(\mathcal{A})$  contains all abelian  $C^*$ -subalgebras of  $\mathcal{A}$ , so  $\mathcal{A}$

itself is the top element of  $\mathcal{V}(\mathcal{A})$  (if  $\mathcal{A}$  is abelian), but is not the only element. Accordingly, the spectral presheaf  $\underline{\Sigma}^{\mathcal{A}}$  will contain the Gel'fand spectrum of  $\mathcal{A}$ , but also the spectra of all its subalgebras. This can easily be remedied if we consider the poset  $\mathcal{V}^Z(\mathcal{A})$  of those abelian subalgebras that contain the center  $Z$  of  $\mathcal{A}$ : for  $\mathcal{A}$  abelian,  $Z = \mathcal{A}$ , and the poset  $\mathcal{V}^Z(\mathcal{A})$  contains only  $\mathcal{A}$ . Hence,  $\mathbf{Set}^{\mathcal{V}^Z(\mathcal{A})^{\text{op}}} = \mathbf{Set}$ , and we are in the usual situation that the spectrum of the abelian algebra  $\mathcal{A}$  is an object in  $\mathbf{Set}$ , i.e., it is a set (with additional structure).

The choice of  $\mathcal{V}^Z(\mathcal{A})$  as the poset of abelian subalgebras and base category of the topos still makes sense if  $\mathcal{A}$  is nonabelian. Then  $\mathcal{V}^Z(\mathcal{A})$  of course contains more than one element, and the spectral presheaf lives in a topos different from  $\mathbf{Set}$ .

## 5 The action of the unitary group

Let  $\hat{U} \in \mathcal{A}$  be a unitary operator. Then

$$\begin{aligned} l_{\hat{U}} : \mathcal{A} &\longrightarrow \mathcal{A} \\ \hat{A} &\longmapsto \hat{U}\hat{A}\hat{U}^{-1} \end{aligned}$$

is a  $*$ -homomorphism from  $\mathcal{A}$  to itself. Of course, unitary operators are of central importance in quantum theory, both for the description of time evolution and to express covariance properties.  $l_{\hat{U}}$  induces an automorphism

$$\begin{aligned} \tilde{l}_{\hat{U}} : \mathcal{V}(\mathcal{A}) &\longrightarrow \mathcal{V}(\mathcal{A}) \\ C &\longmapsto \hat{U}C\hat{U}^{-1} \end{aligned}$$

of the poset of abelian subalgebras and hence a geometric automorphism  $L_{\hat{U}} : \mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}} \rightarrow \mathbf{Set}^{\mathcal{V}(\mathcal{A})^{\text{op}}}$  of the topos associated with  $\mathcal{A}$ . The inverse image functor  $L_{\hat{U}}^*$  acts on the spectral presheaf  $\underline{\Sigma}^{\mathcal{A}}$  in the following way:

$$\forall C \in \mathcal{V}(\mathcal{A}) : L_{\hat{U}}^*(\underline{\Sigma}^{\mathcal{A}})_C = (\underline{\Sigma}^{\mathcal{A}} \circ \tilde{l}_{\hat{U}})_C = \underline{\Sigma}_{\tilde{l}_{\hat{U}}(C)}^{\mathcal{A}}.$$

We apply the same trick as before and use Gel'fand duality: the morphism  $l_{\hat{U}}|_C : C \rightarrow l_{\hat{U}}(C)$  of abelian  $C^*$ -algebras induces a function  $\mathcal{G}_{l_{\hat{U}}} : \Sigma_{\phi(C)} \rightarrow \Sigma_C$ , which allows us to define

$$\mathcal{G}_{l_{\hat{U}}}(L_{\hat{U}}^*(\underline{\Sigma}^{\mathcal{A}})_C) = \mathcal{G}_{l_{\hat{U}}}(\underline{\Sigma}_{\tilde{l}_{\hat{U}}(C)}^{\mathcal{A}}) = \{\lambda \circ l_{\hat{U}}|_C \mid \lambda \in \underline{\Sigma}_{\tilde{l}_{\hat{U}}(C)}^{\mathcal{A}}\}$$

Clearly,  $\mathcal{G}_{l_{\hat{U}}}(L_{\hat{U}}^*(\underline{\Sigma}^{\mathcal{A}})_C)$  can be identified with  $\underline{\Sigma}_C^{\mathcal{A}}$ . This may seem trivial: we have just mapped each component  $\underline{\Sigma}_C^{\mathcal{A}}$  of the spectral presheaf to itself. Yet, this is not actually a problem, since subobjects of  $\underline{\Sigma}^{\mathcal{A}}$  are not left invariant, they are 'rotated' by the action of  $\mathcal{G}_{l_{\hat{U}}} \circ L_{\hat{U}}^*$  in the appropriate way, as we will show now.

Let  $\underline{S}$  be a subobject of  $\underline{\Sigma}^{\mathcal{A}}$ . In particular, for each component  $\underline{S}_C$ , we have  $\underline{S}_C \subseteq \underline{\Sigma}_C^{\mathcal{A}}$ . Then

$$\mathcal{G}_{l_{\hat{U}}}(L_{\hat{U}}^*(\underline{S})_C) = \{\lambda \circ l_{\hat{U}}|_C \mid \lambda \in \underline{S}_{\tilde{l}_{\hat{U}}(C)}\}.$$

Intuitively, this means that the application of  $\mathcal{G}_{l_{\hat{U}}} \circ L_{\hat{U}}^*$  to  $\underline{S}$  gives a subobject that has the same 'shape' as  $\underline{S}$ , but is rotated by  $\hat{U}$ . For each  $C \in \mathcal{V}(\mathcal{A})$ , the component  $\underline{S}_{l_{\hat{U}}(C)}$  at  $l_{\hat{U}}(C)$  is moved to become the new component at  $C$ .

The inverse transformation is the geometric morphism  $L_{\hat{U}^{-1}}$  induced by the unitary  $\hat{U}^{-1}$ , composed with the arrow  $\mathcal{G}_{l_{\hat{U}^{-1}}}$ . It is clear that two different unitaries  $\hat{U}_1, \hat{U}_2$  induce two different geometric morphisms  $L_{\hat{U}_1}, L_{\hat{U}_2}$ . We have shown:

**Proposition 2** *There is a faithful representation of the unitary group  $\mathcal{U}(\mathcal{A})$  of the algebra  $\mathcal{A}$  by automorphisms of  $\text{Sub}(\underline{\Sigma}^{\mathcal{A}})$ , the set of subobjects of the spectral presheaf  $\underline{\Sigma}^{\mathcal{A}}$ .*

For a von Neumann algebra  $\mathcal{N}$ , we can consider the automorphisms  $l_{\hat{U}} : \mathcal{N} \rightarrow \mathcal{N}$  ( $\hat{U} \in \mathcal{U}(\mathcal{N})$ ) as well, since they are weakly continuous. Hence, we also get a faithful representation of the unitary group  $\mathcal{U}(\mathcal{N})$  by automorphisms of  $\text{Sub}(\underline{\Sigma}^{\mathcal{N}})$ .

## 6 Future work

There are many interesting open questions. The first one is if and how the map  $\mathcal{G} \circ \Phi^* : \underline{\Sigma}^{\mathcal{B}} \rightarrow \underline{\Sigma}^{\mathcal{A}}$  defined above can be seen as continuous. Of course, it is of great interest to see whether there is a functor from spectral presheaves (and the topoi in which they lie) to  $C^*$ -algebras, giving the other half of a noncommutative Gel'fand duality. Clearly, this is a highly non-trivial problem and will require new ideas.

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