

Verification of the Schorr-Waite Graph Marking Algorithm by Refinement

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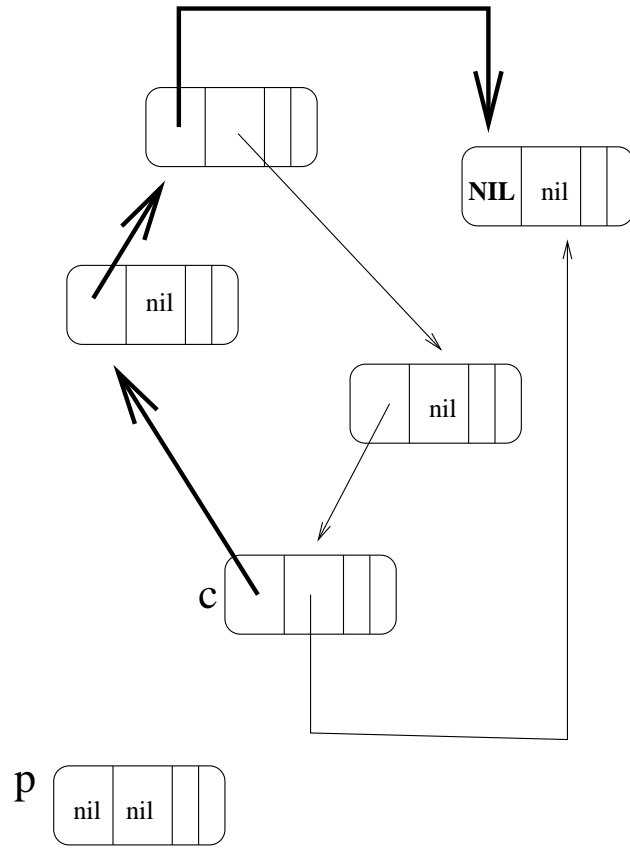
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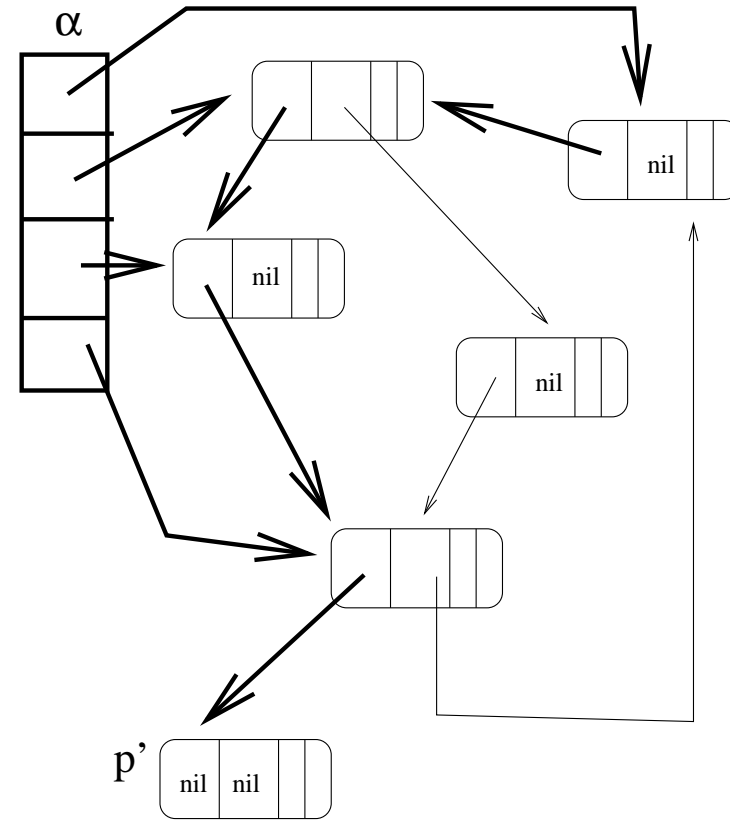
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The Schorr-Waite Graph Marking Algorithm

Given the root of a graph, the algorithm marks all the reachable nodes from the root by doing the depth-first traversal; the most creative part of the algorithm lies in implementing a stack without additional memory by reversing the link fields of nodes.



SWMarking Algorithm



StackMarking Algorithm

Two Specifications of the Schorr-Waite Algorithm

The full specification:

Given a graph of unmarked nodes and its root, the algorithm marks all the nodes reachable from the root; moreover, when it terminates, the algorithm restores the link fields of the nodes to their initial values.

A partial specification:

The algorithm does the same thing as the depth-first traversal with an explicit stack.

Question

Can we formulate a program logic to show that the Schorr-Waite algorithm does the same thing as the depth-first traversal?

Main Idea: Hoare Quadruple

$$\{ \text{Same} \wedge p = p' \wedge \text{NoDangling}(p, p') \} \begin{array}{c} \text{SWMarking}(p) \\ \text{StackMarking}(p') \end{array} \{ \text{Same} \wedge \text{NoDangling}(p, p') \}$$

- With relations, it is easy to compare the heaps of the two programs; consequently, simple relations are often enough.
- The proof rules for showing quadruples can easily be obtained from those of the Separation Logic.
- This extends the methods of Reynolds, Hoare and Morgan to handle heaps.^a

^aFor a more complete list of references, see de Roever and Engelhart's book.

Semantic Domains

$$\begin{array}{lll}
 \text{Locs} \cup \{\text{nil}\} & \subseteq & \text{Vals} \\
 \text{Stacks} & \stackrel{\Delta}{=} & \text{Vars} \rightarrow_{fn} \text{Vals} \\
 \text{Heaps} & \stackrel{\Delta}{=} & \text{Locs} \rightarrow_{fn} \text{Vals} \times \text{Vals} \times \text{Vals} \times \text{Vals} \\
 \text{States} & \stackrel{\Delta}{=} & \text{Stacks} \times \text{Heaps}
 \end{array}$$

Relations

Assertions $P, Q := (E \mapsto E, E, E, E) \mid E = E' \mid \text{emp} \mid P * Q \mid P \Rightarrow Q \mid \forall x. P$

Relations $R, S := \begin{pmatrix} P_1 \\ P_2 \end{pmatrix} \mid E = E' \mid \text{Emp} \mid R * S \mid R \Rightarrow S \mid \forall x. R$

Review: Semantics of Assertions

For $s \in \text{Stacks}$ and $h \in \text{Heaps}$,^a

$$s, h \models (E \mapsto E_1, E_2, E_3, E_4) \text{ iff } \text{dom}(h) = \{\llbracket E \rrbracket s\} \\ \wedge h(\llbracket E \rrbracket s) = (\llbracket E_1 \rrbracket s, \llbracket E_2 \rrbracket s, \llbracket E_3 \rrbracket s, \llbracket E_4 \rrbracket s)$$

$$s, h \models P * Q \text{ iff } \exists h', h''. \\ h = h' * h'' \wedge s, h' \models P \wedge s, h'' \models Q$$

$$s, h \models \text{emp} \text{ iff } h = []$$

$$s, h \models E = E' \text{ iff } \llbracket E \rrbracket s = \llbracket E' \rrbracket s$$

$$s, h \models P \Rightarrow Q \text{ iff } s, h \models P \Longrightarrow s, h \models Q$$

^a $h_1 \# h_2$ holds iff $\text{dom}(h_1) \cap \text{dom}(h_2) = \emptyset$. When $h_1 \# h_2$, $h_1 * h_2$ is the union of h_1 and h_2 .

Semantics of Relations

For $s \in \text{Stacks}$ and $h_1, h_2 \in \text{Heaps}$,

$$s, h_1, h_2 \models \begin{pmatrix} P_1 \\ P_2 \end{pmatrix} \quad \text{iff} \quad s, h_1 \models P_1 \text{ and } s, h_2 \models P_2$$

$$s, h_1, h_2 \models R * S \quad \text{iff} \quad \exists h'_1, h''_1, h'_2, h''_2.$$

$$h_1 = h'_1 * h''_1 \wedge h_2 = h'_2 * h''_2$$

$$\wedge s, h'_1, h'_2 \models R \wedge s, h''_1, h''_2 \models S$$

$$s, h_1, h_2 \models \text{Emp} \quad \text{iff} \quad h_1 = h_2 = []$$

$$s, h_1, h_2 \models E = E' \quad \text{iff} \quad \llbracket E \rrbracket s = \llbracket E' \rrbracket s$$

$$s, h_1, h_2 \models R \Rightarrow S \quad \text{iff} \quad s, h_1, h_2 \models R \implies s, h_1, h_2 \models S$$

Example Relations

$$1. \exists x, l, r, m, d. \begin{pmatrix} x \mapsto l, r, m, d \\ x \mapsto l, r, m, d \end{pmatrix}$$

$$2. \text{Same} \stackrel{\Delta}{=} \text{Emp} \vee (\exists x, l, r, m, d. \begin{pmatrix} x \mapsto l, r, m, d \\ x \mapsto l, r, m, d \end{pmatrix}) * \text{Same}$$

$$3. \text{Twisted} \stackrel{\Delta}{=} \text{Emp} \vee (\exists x, l, r, m, d. \begin{pmatrix} x \mapsto l, r, m, d \\ x \mapsto r, l, m, d \end{pmatrix}) * \text{Twisted}$$

Hoare Quadruple

When $\text{FV}(C_1) \cap \text{FV}(C_2) = \emptyset$, the quadruple $\{R\}_{C_2}^{C_1}\{S\}$ says that for all s, h_1, h_2 such that $s, h_1, h_2 \models R$,

1. both $s|_{\text{FV}(C_1)}, h_1, C_1$ and $s|_{\text{FV}(C_2)}, h_2, C_2$ do not generate memory faults;
2. $s|_{\text{FV}(C_1)}, h_1, C_1$ may diverge iff $s|_{\text{FV}(C_2)}, h_2, C_2$ may diverge;
3. for all s', h'_1, h'_2 such that
 - $s|_{\text{FV}(C_1)}, h_1, C_1 \rightsquigarrow^* s'|_{\text{FV}(C_1)}, h'_1$,
 - $s|_{\text{FV}(C_2)}, h_2, C_2 \rightsquigarrow^* s'|_{\text{FV}(C_2)}, h'_2$ and
 - $s|_{\text{Vars}-\text{FV}(C_1, C_2)} = s'|_{\text{Vars}-\text{FV}(C_1, C_2)}$,

we have $s', h'_1, h'_2 \models S$.

Example Specifications by Hoare Quadruples

$$\{ \text{Same} \wedge p = p' \wedge \text{NoDangling}(p, p') \} \begin{array}{c} \text{SWMarking}(p) \\ \text{StackMarking}(p') \end{array} \{ \text{Same} \wedge \text{NoDangling}(p, p') \}$$

Proof Rule: Embedding from Hoare Triples

$$\frac{[P_1] \ C_1 \ [Q_1] \quad [P_2] \ C_2 \ [Q_2]}{\left\{ \begin{pmatrix} P_1 \\ P_2 \end{pmatrix} \right\} \ C_1 \ C_2 \ \left\{ \begin{pmatrix} Q_1 \\ Q_2 \end{pmatrix} \right\}}$$

when $\text{Modifies}(C_1) \cap \text{FV}(P_2, Q_2) = \text{Modifies}(C_2) \cap \text{FV}(P_1, Q_1) = \emptyset$.

- Only total correctness triples can be embedded.
 - Recall that a *total* correctness triple $[P]C[Q]$ says that for all s, h satisfying P , (s, h, C) *always terminates* without memory faults, and all the final states satisfy Q .
- Many proof rules from the Separation Logic can be embedded.

Instances

$$\left\{ \begin{pmatrix} E \mapsto E_1, E_2, E_3, E_4 \\ P \end{pmatrix} \right\} \quad \begin{matrix} E.2 := F \\ \text{skip} \end{matrix} \quad \left\{ \begin{pmatrix} E \mapsto E_1, F, E_3, E_4 \\ P \end{pmatrix} \right\}$$

$$\left\{ \begin{pmatrix} P \\ Q * (E \mapsto E_1, E_2, E_3, E_4) \end{pmatrix} \right\} \quad \begin{matrix} \text{skip} \\ \text{dispose}(E) \end{matrix} \quad \left\{ \begin{pmatrix} P \\ Q \end{pmatrix} \right\}$$

Proof Rule: Sequencing

$$\frac{\begin{array}{c} \{R\} C_1 \{R'\} \\ C_2 \end{array} \quad \begin{array}{c} \{R'\} C'_1 \{S\} \\ C'_2 \end{array}}{\begin{array}{c} \{R\} C_1; C'_1 \{S\} \\ C_2; C'_2 \end{array}}$$

Whenever it is necessary, we use the fact that `skip` is the identity for sequencing:

$$C \equiv \text{skip}; C \equiv C; \text{skip}$$

Proof Rule: Conditional

$$\begin{array}{c}
 R \Rightarrow (B_1 \Leftrightarrow B_2) \quad \{R \wedge B_1 \wedge B_2\} \begin{array}{c} C_1 \\ C_2 \end{array} \{S\} \quad \{R \wedge \neg B_1 \wedge \neg B_2\} \begin{array}{c} C'_1 \\ C'_2 \end{array} \{S\} \\
 \hline
 \{R\} \begin{array}{c} \text{if } B_1 \text{ then } C_1 \text{ else } C'_1 \\ \text{if } B_2 \text{ then } C_2 \text{ else } C'_2 \end{array} \{S\}
 \end{array}$$

Proof Rule: Loop

$$\frac{R \Rightarrow (B_1 \Leftrightarrow B_2) \quad \{R \wedge B_1 \wedge B_2\} \overset{C_1}{C_2} \{R\}}{\{R\} \begin{array}{l} \text{while } B_1 \text{ do } C_1 \text{ od} \\ \text{while } B_2 \text{ do } C_2 \text{ od} \end{array} \{R \wedge \neg B_1 \wedge \neg B_2\}}$$

The condition of the rule implies that the one while-loop may diverge iff the other while-loop may diverge.

Structural Rules

FRAME RULE

 $(\text{Modifies}(C_1, C_2) \cap \text{FV}(R') = \emptyset)$

$$\frac{\{R\}_{C_2}^{C_1}\{S\}}{\{R * R'\}_{C_2}^{C_1}\{S * R'\}}$$

CONJUNCTION

$$\frac{\{R\}_{C_2}^{C_1}\{S\} \quad \{R'\}_{C_2}^{C_1}\{S'\}}{\{R \wedge R'\}_{C_2}^{C_1}\{S \wedge S'\}}$$

CONSEQUENCE

$$\frac{R' \Rightarrow R \quad \{R\}_{C_2}^{C_1}\{S\} \quad S \Rightarrow S'}{\{R'\}_{C_2}^{C_1}\{S'\}}$$

AUXILIARY VARIABLE ELIMINATION

 $(x \notin \text{FV}(C_1, C_2))$

$$\frac{\{R\}_{C_2}^{C_1}\{S\}}{\{\exists x. R\}_{C_2}^{C_1}\{\exists x. S\}}$$

Specification of Schorr-Waite Marking Algorithm

$$\{ \text{Same} \wedge p=p' \wedge \text{NoDangling}(p, p') \} \begin{matrix} \text{SWMarking}(p) \\ \text{StackMarking}(p') \end{matrix} \{ \text{Same} \wedge \text{NoDangling}(p, p') \}$$

where

$$\text{Same} \triangleq \begin{pmatrix} \text{emp} \\ \text{emp} \end{pmatrix} \vee (\exists x, l, r, m, d. \begin{pmatrix} x \mapsto l, r, m, d \\ x \mapsto l, r, m, d \end{pmatrix}) * \text{Same}$$

Programs

```

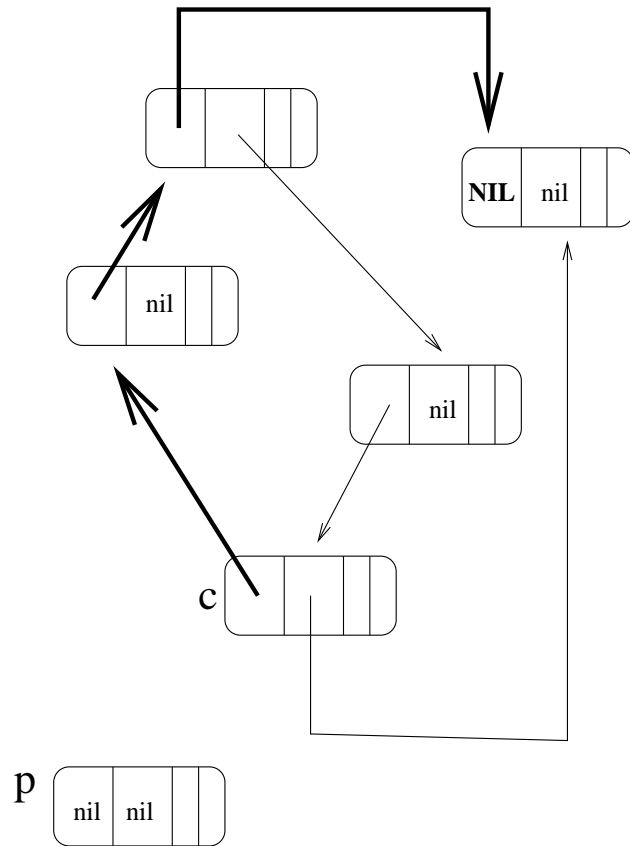
c := p;
if p!=nil then p:=p.1;
while (c!=nil)
  do if (p!=nil) then m := p.3
      else m := marked;
      if (p!=nil /\ m!=marked)
      then
        t := p.1;
        p.1 := c;
        c := p;
        p := t;
        c.3 := marked;
        c.4 := left
      else r := c.4;
        if (r=left)
        then
          t := c.1;
          c.1 := p;
          p := c.2;
          c.2 := t;
          c.4 := right
        else
          t := p;
          p := c;
          c := c.2;
          p.2 := t

```

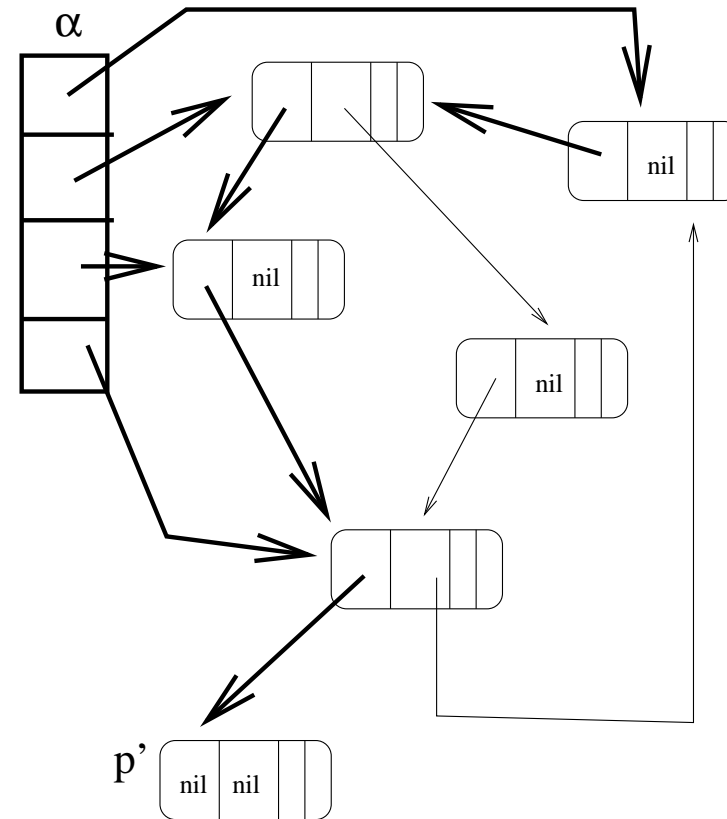
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a := [(p',left)];
if p'!=nil then p':=p'.1;
while (a != [])
  do if (p'!=nil) then m':=p'.3
      else m':=marked;
      if (p'!=nil /\ m'!=marked)
      then
        a := (p',left):a;
        p'.3 := marked;
        p'.4 := left;
        p' := p'.1
      else if (#2(hd a)=left)
      then
        #1(hd a).4 := right;
        p' := #1(hd a).2;
        a := (#1(hd a),right):(tl a)
      else
        p' := #1(hd a);
        a := tl a

```



SWMarking Algorithm



StackMarking Algorithm

Invariant Relation

The invariant relation is:

$$\text{Same} * (\text{Stack } p \ c \ \alpha) * p \overset{\circ}{=} p' \wedge \begin{pmatrix} \text{NoDanglingSW}(p, c) \\ \text{NoDanglingStack}(p', \alpha) \end{pmatrix}$$

where

$$E \overset{\circ}{=} E' \triangleq E = E' \wedge \text{Emp}$$

$$\text{Stack } p \ c \ [] \triangleq (c \overset{\circ}{=} \text{nil})$$

$$\text{Stack } p \ c \ (x, \text{left}): \alpha \triangleq \exists n, r. c \overset{\circ}{=} x * \begin{pmatrix} c \mapsto n, r, \text{marked}, \text{left} \\ x \mapsto p, r, \text{marked}, \text{left} \end{pmatrix} * \text{Stack } c \ n \ \alpha$$

$$\text{Stack } p \ c \ (x, \text{right}): \alpha \triangleq \exists n, l. c \overset{\circ}{=} x * \begin{pmatrix} c \mapsto l, n, \text{marked}, \text{right} \\ x \mapsto l, p, \text{marked}, \text{right} \end{pmatrix} * \text{Stack } c \ n \ \alpha$$

Verification of Pop

We like to show:

$$\begin{array}{ccc}
 \{ \text{Same} * \text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' * \alpha \overset{\circ}{=}(c, \text{right}) : \alpha_0 \wedge \left(\begin{array}{l} \text{NoDanglingSW}(p, c) \wedge c \neq \text{nil} \\ \text{NoDanglingStack}(p', \alpha) \wedge \alpha \neq [] \end{array} \right) \} & & \\
 \text{SWPop}(p, c) & & \text{StackPop}(p', \alpha) \\
 \{ \text{Same} * \text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' \wedge \left(\begin{array}{l} \text{NoDanglingSW}(p, c) \\ \text{NoDanglingStack}(p', \alpha) \end{array} \right) \} & &
 \end{array}$$

Assuming:

$$\{\text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' * \alpha \overset{\circ}{=}(c, \text{right}): \alpha_0\} \begin{array}{c} \text{SWPop}(p, c) \\ \text{StackPop}(p', \alpha) \end{array} \{\text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' * \text{Same}\}$$

we can write the following proof outline:

$$\begin{array}{c} \{\text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' * \alpha \overset{\circ}{=}(c, \text{right}): \alpha_0 * \text{Same}\} \\ \left[\begin{array}{c} \{\text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' * \alpha \overset{\circ}{=}(c, \text{right}): \alpha_0\} \\ \text{SWPop}(p, c) \quad \text{StackPop}(p', \alpha) \\ \{\text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' * \text{Same}\} \end{array} \right] \text{Frame Rule} \\ \{\text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' * \text{Same} * \text{Same}\} \\ \{\text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' * \text{Same}\} \end{array}$$

The last step uses the fact that $\text{Same} * \text{Same} \Rightarrow \text{Same}$.

Since the following triples hold:

$$\begin{aligned} & [\text{NoDanglingSW}(p, c) \wedge c \neq \text{nil}] \text{SWPop}(p, c) [\text{NoDanglingSW}(p, c)] \\ & [\text{NoDanglingStack}(p', \alpha) \wedge \alpha \neq []] \text{StackPop}(p', \alpha) [\text{NoDanglingStack}(p', \alpha)] \end{aligned}$$

we have:

$$\left\{ \begin{pmatrix} \text{NoDanglingSW}(p, c) \wedge c \neq \text{nil} \\ \text{NoDanglingStack}(p', \alpha) \wedge \alpha \neq [] \end{pmatrix} \right\} \text{SWPop}(p, c) \text{StackPop}(p', \alpha) \left\{ \begin{pmatrix} \text{NoDanglingSW}(p, c) \\ \text{NoDanglingStack}(p', \alpha) \end{pmatrix} \right\}$$

By combining the two quadruples, we obtain the conclusion:

$$\begin{aligned} & \{ \text{Same} * \text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' * \alpha \overset{\circ}{=} (c, \text{right}) : \alpha_0 \wedge \begin{pmatrix} \text{NoDanglingSW}(p, c) \wedge c \neq \text{nil} \\ \text{NoDanglingStack}(p', \alpha) \wedge \alpha \neq [] \end{pmatrix} \} \\ & \quad \text{SWPop}(p, c) \quad \text{StackPop}(p', \alpha) \\ & \{ \text{Same} * \text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' \wedge \begin{pmatrix} \text{NoDanglingSW}(p, c) \\ \text{NoDanglingStack}(p', \alpha) \end{pmatrix} \} \end{aligned}$$

Discharging the Assumption

Still need to show:

$$\{\text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' * \alpha \overset{\circ}{=}(c, \text{right}) : \alpha_0\} \xrightarrow[\text{StackPop}(p', \alpha)]{\text{SWPop}(p, c)} \{\text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' * \text{Same}\}$$

Proof Outline

$$\{\text{Stack } p \ c \ \alpha * p \overset{\circ}{=} p' * \alpha \overset{\circ}{=}(c, \text{right}): \alpha_0\}$$

$$\{\text{Stack } p \ c \ (c, \text{right}): \alpha_0 * p \overset{\circ}{=} p' * \alpha \overset{\circ}{=}(c, \text{right}): \alpha_0\}$$

$$\{\exists l_0, n_0. \begin{pmatrix} c \mapsto l_0, n_0, \text{marked}, \text{right} \\ c \mapsto l_0, p, \text{marked}, \text{right} \end{pmatrix} * \text{Stack } c \ n_0 \ \alpha_0 * \alpha \overset{\circ}{=}(c, \text{right}): \alpha_0\}$$

$$\left\{ \begin{pmatrix} c \mapsto l_0, n_0, \text{marked}, \text{right} \\ c \mapsto l_0, p, \text{marked}, \text{right} \end{pmatrix} * \text{Stack } c \ n_0 \ \alpha_0 * \alpha \overset{\circ}{=}(c, \text{right}): \alpha_0 \right\}$$

$$t := p; \quad \text{skip};$$

$$\left\{ \begin{pmatrix} c \mapsto l_0, n_0, \text{marked}, \text{right} \\ c \mapsto l_0, t, \text{marked}, \text{right} \end{pmatrix} * \text{Stack } c \ n_0 \ \alpha_0 * \alpha \overset{\circ}{=}(c, \text{right}): \alpha_0 \right\}$$

$$p := c; \quad \text{skip};$$

$$\left\{ \begin{pmatrix} p \mapsto l_0, n_0, \text{marked}, \text{right} \\ p \mapsto l_0, t, \text{marked}, \text{right} \end{pmatrix} * \text{Stack } p \ n_0 \ \alpha_0 * \alpha \overset{\circ}{=}(p, \text{right}): \alpha_0 \right\}$$

$$\left\{ \begin{pmatrix} p \mapsto l_0, n_0, \text{marked}, \text{right} \\ p \mapsto l_0, t, \text{marked}, \text{right} \end{pmatrix} * \text{Stack } p \ n_0 \ \alpha_0 * \alpha \stackrel{\circ}{=} (p, \text{right}) : \alpha_0 \right\}$$

$c := p.2;$ skip;

$$\left\{ \begin{pmatrix} p \mapsto l_0, c, \text{marked}, \text{right} \\ p \mapsto l_0, t, \text{marked}, \text{right} \end{pmatrix} * \text{Stack } p \ c \ \alpha_0 * \alpha \stackrel{\circ}{=} (p, \text{right}) : \alpha_0 \right\}$$

$p.2 := t;$ skip;

$$\left\{ \begin{pmatrix} p \mapsto l_0, t, \text{marked}, \text{right} \\ p \mapsto l_0, t, \text{marked}, \text{right} \end{pmatrix} * \text{Stack } p \ c \ \alpha_0 * \alpha \stackrel{\circ}{=} (p, \text{right}) : \alpha_0 \right\}$$

$$\{\text{Same} * \text{Stack } p \ c \ (\text{tl } \alpha) * \alpha \stackrel{\circ}{=} (p, \text{right}) : \alpha_0\}$$

skip $p' := \#1(\text{hd } \alpha);$

$$\{p \stackrel{\circ}{=} p' * \text{Same} * \text{Stack } p \ c \ (\text{tl } \alpha) * \alpha \stackrel{\circ}{=} (p, \text{right}) : \alpha_0\}$$

skip $\alpha := \text{tl } \alpha;$

$$\{p \stackrel{\circ}{=} p' * \text{Same} * \text{Stack } p \ c \ \alpha\}$$

Conclusion

- When the two programs have similar structures, the proof rules for the quadruples are useful.
- The proof rules for Hoare quadruples are incomplete.
- It is still necessary to prove the correctness of the “more abstract program.”