

# Two-Level Game Semantics, Intersection Types, and Recursion Schemes

C.-H. Luke Ong<sup>1</sup> and Takeshi Tsukada<sup>2,3</sup>

<sup>1</sup> Department of Computer Science, University of Oxford

<sup>2</sup> Graduate School of Information Science, Tohoku University

<sup>3</sup> JSPS Research Fellow

**Abstract.** We introduce a new cartesian closed category of *two-level* arenas and innocent strategies to model intersection types that are refinements of simple types. Intuitively a property (respectively computation) on the upper level refines that on the lower level. We prove *Subject Expansion*—any lower-level computation is closely and canonically tracked by the upper-level computation that lies over it—which is a measure of the robustness of the two-level semantics. The game semantics of the type system is *fully complete*: every winning strategy is the denotation of some derivation. To demonstrate the relevance of the game model, we use it to construct new semantic proofs of non-trivial algorithmic results in higher-order model checking.

## 1 Introduction

The recent development of *higher-order model checking*—the model checking of trees generated by higher-order recursion schemes (HORS) against (alternating parity) tree automata—has benefitted much from ideas and methods in semantics. Ong’s proof [1] of the decidability of the monadic second-order (MSO) theories of trees generated by HORS was based on game semantics [2]. Using HORS as an intermediate model of higher-order computation, Kobayashi [3] showed that safety properties of functional programs can be verified by reduction to the model checking of HORS against trivial automata (i.e. Büchi tree automata with a trivial acceptance condition). His model checking algorithm is based on an intersection-type-theoretic characterisation of the trivial automata acceptance problem of trees generated by HORS.<sup>4</sup> This type-theoretic approach was subsequently refined and extended to characterise alternating parity tree automata [5], thus yielding a new proof of Ong’s MSO decidability result. (Several other proofs of the result are now known. Hague et al. [6] developed a new hierarchy of *collapsible pushdown automata* and proved that they are equi-expressive with HORS for generating trees. Salvati and Walukiewicz’s proof [7] uses a Krivine machine formulation of the operational semantics of HORS.)

This paper was motivated by a desire to understand the connexions between the game-semantic proof [1] and the type-based proof [3,5] of the MSO decidability result.

---

<sup>4</sup> Independently, Salvati [4] has proposed essentially the same intersection type system for the simply-typed  $\lambda$ -calculus without recursion from a different perspective.

As a first step in clarifying their relationship, we construct a *two-level game semantics* to model intersection types that are refinements of simple types. Given a set  $Q$  of colours (modelling the states of an automaton), we introduce a cartesian closed category whose objects are triples  $(A, U, K)$  called *two-level arenas*, where  $A$  is a  $Q$ -coloured arena (modelling intersection types),  $K$  is a standard arena (modelling simple types), and  $U$  is a colour-forgetting function from  $A$ -moves to  $K$ -moves which preserves the justification relation. A map of the category from  $(A, U, K)$  to  $(A', U', K')$  is a pair of innocent and colour-reflecting strategies,  $\sigma : A \rightarrow A'$  and  $\bar{\sigma} : K \rightarrow K'$ , such that the induced colour-forgetting function maps plays of  $\sigma$  to plays of  $\bar{\sigma}$ . This captures the intuition that the upper-level computation represented by  $\sigma$  refines (or is more constrained than) the lower-level computation represented by  $\bar{\sigma}$ , a semantic framework reminiscent of two-level denotational semantics in abstract interpretation as studied by Nielson [8]. Given triples  $\mathcal{A}_1 = (A_1, U_1, K)$  and  $\mathcal{A}_2 = (A_2, U_2, K)$  that have the same base arena  $K$ , their *intersection*  $\mathcal{A}_1 \wedge \mathcal{A}_2$  is  $(A_1 \times A_2, [U_1, U_2], K)$ . Building on the two-level game semantics, we make the following contributions.

(i) How good is the two-level game semantics? Our answer is *Subject Expansion* (Theorem 3), which says intuitively that any computation (reduction) on the lower level can be closely and canonically tracked by the higher-level computation that lies over it. Subject Expansion clarifies the relationship between the two levels; we think it is an important measure of the robustness (and, as we shall see, the reason for the usefulness) of the game semantics.

(ii) We put the two-level game model to use by modelling Kobayashi’s intersection type system [3]. Derivations of intersection-type judgements, which we represent by the terms of a new proof calculus, are interpreted by *winning strategies* i.e. compact and total (in addition to innocent and colour-reflecting). We prove that the interpretation is *fully complete* (Theorem 5): every winning strategy is the denotation of some derivation.

(iii) Finally, to demonstrate the usefulness and relevance of the two-level game semantics, we apply it to construct new semantic proofs of three non-trivial *algorithmic* results in higher-order model checking: (a) characterisation of trivial automata acceptance (existence of an accepting run-tree) by a notion of typability [3], (b) minimality of the type environment induced by traversal tree [1], and (c) completeness of GTRecS, a game-semantics based practical algorithm for model checking HORS against trivial automata [9].

*Outline* We introduce (coloured) arenas, innocent strategies and related game-semantic notions in Section 3. In Section 4 we present two-level games, culminating in the Subject Expansion Theorem. In Section 5 we construct a fully complete two-level game model of Kobayashi’s intersection type system. Finally, Section 6 applies the game model to reason about algorithmic problems in higher-order model checking.

## 2 Two Structures of Intersection Type System

This section presents the intuitions behind the two levels. We explain that two different structures are naturally extracted from a derivation in an intersection type system. Here we use term representation for explanation. Two-level game semantics will be developed in the following sections based on this idea.

$$\frac{\frac{g : \tau}{g : p_1 \wedge p_3 \rightarrow q_1} \quad \frac{\frac{x : \sigma \quad x : \sigma}{x : p_1 \quad x : p_3}}{x : p_1 \wedge p_3}}{g x : q_1} \quad \frac{g : \tau \quad x : \sigma}{g : p_2 \rightarrow q_2 \quad x : p_2}}{g x : q_2}}{g x : q_1 \wedge q_2}$$

**Fig. 1.** A type derivation of the intersection type system. Here type environment  $\Gamma = \{g : ((p_1 \wedge p_3) \rightarrow q_1) \wedge (p_2 \rightarrow q_2), x : p_1 \wedge p_2 \wedge p_3\}$  is omitted.

$$\frac{\frac{g : \tau'}{p_1(g) : p_1 \times p_3 \rightarrow q_1} \quad \frac{\frac{x : \sigma' \quad x : \sigma}{p_1(x) : p_1 \quad p_3(x) : p_3}}{\langle p_1(x), p_3(x) \rangle : p_1 \times p_3}}{p_1(g) \langle p_1(x), p_3(x) \rangle : q_1} \quad \frac{g : \tau \quad x : \sigma}{p_2(g) : p_2 \rightarrow q_2 \quad p_2(x) : p_2}}{p_2(g) p_2(x) : q_2}}{\langle p_1(g) \langle p_1(x), p_3(x) \rangle, p_2(g) p_2(x) \rangle : q_1 \times q_2}$$

**Fig. 2.** A type derivation of the product type system, which corresponds to Fig. 1. Here  $\Gamma' = \{g : ((p_1 \times p_3) \rightarrow q_1) \times (p_2 \rightarrow q_2), x : p_1 \times p_2 \times p_3\}$  is omitted.

The intersection type constructor  $\wedge$  of an intersection type system is characterised by the following typing rules.<sup>5</sup>

$$\frac{\Gamma \vdash t : \tau_1 \quad \Gamma \vdash t : \tau_2}{\Gamma \vdash t : \tau_1 \wedge \tau_2} \quad \frac{\Gamma \vdash t : \tau_1 \wedge \tau_2}{\Gamma \vdash t : \tau_1} \quad \frac{\Gamma \vdash t : \tau_1 \wedge \tau_2}{\Gamma \vdash t : \tau_2}$$

At first glance, they resemble the rules for products. Let  $\langle t_1, t_2 \rangle$  be a pair of  $t_1$  and  $t_2$  and  $p_i$  be the projection to the  $i$ th element (for  $i \in \{1, 2\}$ ).

$$\frac{\Gamma \vdash t_1 : \tau_1 \quad \Gamma \vdash t_2 : \tau_2}{\Gamma \vdash \langle t_1, t_2 \rangle : \tau_1 \times \tau_2} \quad \frac{\Gamma \vdash t : \tau_1 \times \tau_2}{\Gamma \vdash p_1(t) : \tau_1} \quad \frac{\Gamma \vdash t : \tau_1 \times \tau_2}{\Gamma \vdash p_2(t) : \tau_2}$$

When we ignore terms and replace  $\times$  by  $\wedge$ , the rules in the two groups coincide. In fact, they are so similar that a derivation of the intersection type system can be transformed to a derivation of the product type system by replacing  $\wedge$  by  $\times$  and adjusting terms to the rules for product. See Figures 1 and 2 for example. This is the first structure behind an intersection-type derivation, which we call the *upper-level structure*.

However the upper-level structure alone does not capture all features of the intersection type system: specifically some derivations of the product type system have no corresponding derivation in the intersection type system. For example, while the type judgement  $x : p_1, y : p_2 \vdash \langle x, y \rangle : p_1 \times p_2$  is derivable, no term inhabits the judgement  $x : p_1, y : p_2 \vdash ? : p_1 \wedge p_2$ .

Terms in the rules explain this gap. We call them *lower-level structures*. To construct a term of type  $\tau_1 \times \tau_2$ , it suffices to find *any* two terms  $t_1$  of type  $\tau_1$  and  $t_2$  of type  $\tau_2$ . However to construct a term of type  $\tau_1 \wedge \tau_2$ , we need to find a term  $t$  that has both type  $\tau_1$  and type  $\tau_2$ . Thus a product type derivation has a corresponding intersection

<sup>5</sup> In the type system in Section 5, these rules are no longer to be independent rules, but a similar argument stands.

type derivation only if for all pairs  $\langle t_1, t_2 \rangle$  appearing at the derivation, the respective structures of  $t_1$  and  $t_2$  are “coherent”.

For example, let us examine the derivation in Figure 2, which contains two pair constructors. One appears at  $\langle p_1(x), p_3(x) \rangle : p_1 \times p_3$ . Here the left argument  $p_1(x) : p_1$  and the right argument  $p_3(x) : p_3$  are “coherent” in the sense that they are the same except for details such as types and indexes of projections. In other words, by forgetting such details,  $p_1(x) : p_1$  and  $p_3(x) : p_3$  become the same term  $x$ . The other pair appears at the root and the “forgetful” map maps both the left and right arguments to  $g x$ .

This interpretation decomposes an intersection type derivation into three components: a derivation in the simple type system with product (the upper-level structure), a term (the lower-level structure) and a “forgetful” map from the upper-level structure to the lower-level structure. Since recursion schemes are simply typed, we can assume a term to also be simply typed for our purpose. Hence the resulting two-level structure consists of two derivations in the simple type system with a map on nodes from one to the other.

### 3 Coloured Arena Games

This section defines coloured arenas, innocent strategies and related notions. We first introduce some basic notions in game semantics [2]. For sets  $A$  and  $B$ , we write  $A + B$  for the disjoint union and  $A \times B$  for the Cartesian product.

**Definition 1 (Coloured Arena).** For a set  $Q$  of symbols, a  $Q$ -coloured arena  $A$  is a quadruple  $(M_A, \vdash_A, \lambda_A, c_A)$ , where

- $M_A$  is a set of moves,
- $\vdash_A \subseteq M_A + (M_A \times M_A)$  is a justification relation,
- $\lambda_A : M_A \rightarrow \{P, O\}$ , and
- $c_A : M_A \rightarrow Q$  is a colouring.

We write  $\vdash_A m$  for  $m \in (\vdash_A)$  and  $m \vdash_A m'$  for  $(m, m') \in (\vdash_A)$ . The justification relation must satisfy the following conditions:

- For each  $m \in M_A$ , either  $\vdash_A m$  or  $m' \vdash_A m$  for a unique move  $m' \in M_A$ .
- If  $\vdash_A m$ , then  $\lambda_A(m) = O$ . If  $m \vdash_A m'$ , then  $\lambda_A(m) \neq \lambda(m')$ .

For a  $Q$ -coloured arena  $A$ , the set  $Init_A \subseteq M_A$  of initial moves of  $A$  is  $\{m \in M_A \mid \vdash_A m\}$ . A move  $m \in M_A$  is called an  $O$ -move if  $\lambda_A(m) = O$  and a  $P$ -move if  $\lambda_A(m) = P$ .

A justified sequence of a  $Q$ -coloured arena  $A$  is a sequence of moves such that each element except the first is equipped with a pointer to some previous move. We call the pointer a justification pointer. For a justified sequence  $s$  and moves  $m$  and  $m'$  in  $s$ , we say  $m'$  is hereditary justified by  $m$  if there exists a sequence of moves  $m_0, m_1, \dots, m_n$  in  $s$  that starting from  $m$  and ending with  $m'$  such that  $m_i$  is justified by  $m_{i-1}$  ( $1 \leq i \leq n$ ).

A well-formed sequence over  $A$  is a justified sequence  $s = m_0 \cdot m_1 \cdot \dots \cdot m_n$  that has the following properties:

**Well-openness**  $\vdash_A m_0$ ,

**Alternation** For all  $i < n$ ,  $\lambda_A(m_i) \neq \lambda_A(m_{i+1})$ , and

**Justification** If  $m_i$  points  $m_j$  ( $j < i$ ), then  $m_j \vdash_A m_i$ .

For well-formed sequences  $s$  and  $s'$ , we say  $s$  is a *prefix* of  $s'$  if the underlying sequence of moves of  $s$  is a prefix of that of  $s'$  and their justification pointers coincide.

For a well-formed sequence  $s$ , its *P-view*  $\lceil s \rceil$  and *O-view*  $\lfloor s \rfloor$  are defined inductively as follows:

$$\begin{aligned} \lceil \epsilon \rceil &= \epsilon \\ \lceil m \rceil &= m \\ \lceil s \cdot m \rceil &= \lceil s \rceil \cdot m \quad (\text{if } \lambda(m) = P) \\ \lceil s \cdot m \cdot s' \cdot m' \rceil &= \lceil s \rceil \cdot m \cdot m' \quad (\text{if } \lambda(m) = O \text{ and } m' \text{ is justified by } m) \\ \lfloor \epsilon \rfloor &= \epsilon \\ \lfloor m \rfloor &= m \\ \lfloor s \cdot m \rfloor &= \lfloor s \rfloor \cdot m \quad (\text{if } \lambda(m) = O) \\ \lfloor s \cdot m \cdot s' \cdot m' \rfloor &= \lfloor s \rfloor \cdot m \cdot m' \quad (\text{if } \lambda(m) = P \text{ and } m' \text{ is justified by } m) \end{aligned}$$

A *play* of an arena  $A$  is a well-formed sequence  $s$  satisfying the following conditions:

**Visibility** For every prefix  $s' \cdot m \preceq s$  ending with a P-move (resp. an O-move that is not initial),  $m$  is justified by a move in  $\lceil s' \rceil$  (resp.  $\lfloor s' \rfloor$ ).

A *P-strategy* (or a *strategy*)  $\sigma$  of an arena  $A$  is a prefix-closed subset of plays of  $A$  satisfying the following conditions:

**Determinacy** If  $s \cdot m \in \sigma$  and  $s \cdot m' \in \sigma$  for P-moves  $m$  and  $m'$  then  $s \cdot m = s \cdot m'$ .

**Contingent Completeness** If  $s \in \sigma$ ,  $m$  is an O-move and  $s \cdot m$  is a justified sequence, then  $s \cdot m \in \sigma$ .

**Colour Reflecting** Only the opponent can change the colour, i.e. for every P-move  $m_1^P$  and O-move  $m_2^O$ , if  $s \cdot m_1^O \cdot m_2^P \in \sigma$ , then  $c(m_1^O) = c(m_2^P)$ .

For arenas  $A_1$ ,  $A_2$  and  $A_3$ , an *interaction sequence* is a play of  $(A_1 \Rightarrow A_2) \Rightarrow A_3$ . We write  $\text{Int}(A_1, A_2, A_3)$  for the set of all interaction sequences. For an interaction sequence  $s \in \text{Int}(A_1, A_2, A_3)$ , a component of  $s$  is either  $(A_2, A_3)$  or  $(A_1, A_2, b)$  where  $b$  is an initial move occurring in  $s$ . The projection  $s \upharpoonright_X$  of an interaction sequence  $s$  into a component  $X$  is defined by:

- $s \upharpoonright_{(A_2, A_3)}$  is a subsequence of  $s$  consisting of all  $A_2$  moves and  $A_3$  moves in  $s$ .
- $s \upharpoonright_{(A_1, A_2, b)}$  is a subsequence of  $s$  consisting of all moves that are hereditary justified by  $b$ .

The projection into  $(A_1, A_3)$  is defined by a similar way:  $s \upharpoonright_{(A_1, A_3)}$  is a subsequence of  $s$  consisting of all  $A_1$  moves and  $A_3$  moves, in which initial  $A_1$  moves are justified by a (unique) initial  $A_3$  move occurring in  $s$ . For an interaction sequence  $s \in$

$\text{Int}(A_1, A_2, A_3)$ ,  $s \upharpoonright_{(A_2, A_3)}$  is a play of  $A_2 \Rightarrow A_3$ ,  $s \upharpoonright_{(A_1, A_2, b)}$  is of  $A_1 \Rightarrow A_2$  (for every initial  $A_2$  move  $b$  occurring in  $s$ ) and  $s \upharpoonright_{(A_1, A_3)}$  is of  $A_1 \Rightarrow A_3$ .

For strategies (or just sets of plays)  $\sigma_1 : A_1 \Rightarrow A_2$  and  $\sigma_2 : A_2 \Rightarrow A_3$ , the set  $\mathbf{Int}(\sigma_1, \sigma_2) \subseteq \text{Int}(A_1, A_2, A_3)$  of interaction sequences that are consistent with  $\sigma_1$  and  $\sigma_2$  is give by:

$$\mathbf{Int}(\sigma_1, \sigma_2) = \{s \in \text{Int}(A_1, A_2, A_3) \mid s \upharpoonright_{(A_2, A_3)} \in \sigma_2 \text{ and} \\ \text{for every initial } A_2 \text{ move } b, s \upharpoonright_{(A_1, A_2, b)} \in \sigma_1\}.$$

The *composition*  $(\sigma_1; \sigma_2) : A_1 \Rightarrow A_3$  is defined as  $\{s \upharpoonright_{(A_1, A_3)} \mid s \in \mathbf{Int}(\sigma_1, \sigma_2)\}$ . For each  $s \in (\sigma_1; \sigma_2)$ , the *uncovering* of  $s$  is the *minimum* interaction sequence  $u \in \mathbf{Int}(\sigma_1, \sigma_2)$  (with respect to the prefix ordering) such that  $s = u \upharpoonright_{(A_1, A_3)}$ .<sup>6</sup>

A strategy  $\sigma$  is *innocent* if for every pair of plays  $s \cdot m, s' \cdot m' \in \sigma$  ending with P-moves  $m$  and  $m'$ ,  $\ulcorner s \urcorner = \ulcorner s' \urcorner$  implies  $\ulcorner s \cdot m \urcorner = \ulcorner s' \cdot m' \urcorner$ . For an innocent strategy  $\sigma$  of  $A$ , the *view-function*  $f_\sigma$  of  $\sigma$  is the partial function on P-views of  $A$ , which maps a P-view  $p \in \sigma$  that ends with an O-move to a unique P-view  $p \cdot m \in \sigma$ .

We say an innocent strategy  $\sigma$  is *winning* just if the following holds:

**Compact** The domain  $\text{dom}(f_\sigma)$  of the view function of  $\sigma$  is a finite set.

**Total** If  $s \cdot m \in \sigma$  for an O-move  $m$ , then  $s \cdot m \cdot m' \in \sigma$  for some P-move  $m'$ .

We define three constructions of arenas: a binary product, an indexed product and a function space.

**Product** For  $Q$ -coloured arenas  $A$  and  $B$ , we define  $A \times B$  by:

$$\begin{aligned} - M_{A \times B} &= M_A + M_B, \\ - \vdash_{A \times B} m &\iff \vdash_A m \text{ or } \vdash_B m, \\ - m \vdash_{A \times B} m' &\iff m \vdash_A m' \text{ or } m \vdash_B m', \\ - \lambda_{A \times B}(m) &= \begin{cases} \lambda_A(m) & (\text{if } m \in M_A) \\ \lambda_B(m) & (\text{if } m \in M_B), \end{cases} \\ - c_{A \times B}(m) &= \begin{cases} c_A(m) & (\text{if } m \in M_A) \\ c_B(m) & (\text{if } m \in M_B). \end{cases} \end{aligned}$$

For an indexed set  $\{A_i\}_{i \in I}$  of  $Q$ -coloured arenas, their product  $\prod_{i \in I} A_i$  is defined similarly.

**Function Space** For  $Q$ -coloured arenas  $A$  and  $B$ , we define  $A \Rightarrow B$  by:

$$\begin{aligned} - M_{A \Rightarrow B} &= M_A \times \text{Init}_B + M_B, \\ - \vdash_{A \Rightarrow B} m &\iff \vdash_B m, \\ - m \vdash_{A \Rightarrow B} m' &\iff \\ &\bullet m \vdash_B m', \text{ or} \\ &\bullet \vdash_B m \text{ and } m' = (m'_A, m) \text{ and } \vdash_A m_A, \text{ or} \\ &\bullet m = (m_A, m_B) \text{ and } m' = (m'_A, m_B) \text{ and } m_A \vdash_A m'_A, \end{aligned}$$

<sup>6</sup> The definition here differs from the one in [2]: in [2], the uncovering is the *maximum* interaction sequence.

$$\begin{aligned}
- \lambda_{A \Rightarrow B}(m) &= \begin{cases} \lambda_A(m_A) & (\text{if } m = (m_A, m_B) \in M_A \times \text{Init}_B) \\ \lambda_B(m) & (\text{if } m \in M_B), \end{cases} \\
- c_{A \times B}(m) &= \begin{cases} c_A(m) & (\text{if } m = (m_A, m_B) \in M_A \times \text{Init}_B) \\ c_B(m) & (\text{if } m \in M_B). \end{cases}
\end{aligned}$$

We define a category whose objects are  $Q$ -coloured arenas; maps from  $A$  to  $B$  are innocent strategies of the arena  $A \Rightarrow B$ . The category is cartesian closed, and is thus a model of the simply-typed lambda calculus with (indexed) products.

**Theorem 1.** *For every set  $Q$ , the category of  $Q$ -coloured arenas and innocent strategies is cartesian closed with the product  $A \times B$  and function space  $A \Rightarrow B$ .*

## 4 Two-level Game Semantics

### 4.1 Category of Two-Level Arenas and Innocent Strategies

**Definition 2 (Two-Level Arenas).** An *two-level arena* based on  $Q$  is a triple  $\mathcal{A} = (A, U, K)$ , where  $A$  is a  $Q$ -coloured arena,  $K$  is a  $\{o\}$ -coloured arena (i.e. an ordinary arena, which we call the *base arena* of  $\mathcal{A}$ ) and  $U$  is a map from  $M_A$  to  $M_K$  that satisfies: (i)  $\lambda_A(m) = \lambda_K(U(m))$  (ii) If  $m \vdash_A m'$  then  $U(m) \vdash_K U(m')$ ; and if  $\vdash_A m$  then  $\vdash_K U(m)$ .

For a justified sequence  $s = m_1 \cdot m_2 \cdots m_k$ , we write  $U(s)$  to mean the justified sequence  $U(m_1) \cdot U(m_2) \cdots U(m_k)$  whose justification pointers are induced by those of  $s$ .

**Lemma 1.** *Let  $\mathcal{A} = (A, U, K)$  be a two-level arena and  $s$  be a play of  $A$ . Then  $U(s)$  is a play of  $K$ .*

*Proof.* Easy. □

For a strategy  $\sigma$  of  $A$ ,  $U(\sigma) := \{U(s) \mid s \in \sigma\}$  is a set of plays of  $K$ , which is not necessarily a strategy, since  $U(s)$  may not satisfy determinacy. (Recall that some upper-level structure has no corresponding lower-level structure.)

**Definition 3 (Strategy of Two-Level Arena Games).** A *strategy* of a two-level arena  $(A, U, K)$  is a pair  $(\sigma, \bar{\sigma})$  of strategies of  $A$  and  $K$  respectively such that  $U(\sigma) \subseteq \bar{\sigma}$ .

**Lemma 2.** *Let  $\mathcal{A}_i = (A_i, U_i, K_i)$  be a two-level arena for  $i = 1, 2, 3$ . Then for every interaction sequence  $s$  of  $(A_1, A_2, A_3)$*

- (i)  $U(\ulcorner s \urcorner) = \ulcorner U(s) \urcorner$
- (ii) *for any component  $C$  of  $s$ ,  $U(s \upharpoonright_C) = U(s) \upharpoonright_{U(C)}$  (here subscripts of  $U$  should be chosen appropriately).*

*In the above, the forgetful function  $U$  (whose definition we omit) has a natural extension to a forgetful function on components.*

*Proof.* Because  $U$  does not change the structure of justification pointers. □

**Definition 4 (Innocent Strategies).** A strategy  $(\sigma, \bar{\sigma})$  of  $\mathcal{A} = (A, U, K)$  is *innocent* just if  $\sigma$  and  $\bar{\sigma}$  are innocent as strategies of  $A$  and  $K$  respectively.

Let  $\mathcal{A}_i = (A_i, U_i, K_i)$  where  $i = 1, 2$  be two-level arenas. We define product, function space and intersection constructions as follows.

**Product**  $\mathcal{A}_1 \times \mathcal{A}_2 := (A_1 \times A_2, U, K_1 \times K_2)$ , where  $U : (M_{A_1} + M_{A_2}) \rightarrow (M_{K_1} + M_{K_2})$  is defined as  $U_1 + U_2$ .

**Function Space**  $\mathcal{A}_1 \Rightarrow \mathcal{A}_2 := (A_1 \Rightarrow A_2, U, K_1 \Rightarrow K_2)$ , where  $U : ((M_{A_1} \times \text{Init}_{A_2}) + M_{A_2}) \rightarrow ((M_{K_1} \times \text{Init}_{K_2}) + M_{K_2})$  is defined as  $U_1 \times U_2 + U_2$ .

We can now define a category whose objects are two-level arenas, and maps  $\mathcal{A}_1 \rightarrow \mathcal{A}_2$  are innocent strategies of  $\mathcal{A}_1 \Rightarrow \mathcal{A}_2$ . The composite of  $(\sigma_1, \bar{\sigma}_1) : \mathcal{A}_1 \Rightarrow \mathcal{A}_2$  and  $(\sigma_2, \bar{\sigma}_2) : \mathcal{A}_2 \Rightarrow \mathcal{A}_3$  is defined as  $(\sigma_1; \sigma_2, \bar{\sigma}_1; \bar{\sigma}_2) : \mathcal{A}_1 \Rightarrow \mathcal{A}_3$ .

We first show that the composition of strategies is well-defined (Lemma 4).

**Lemma 3.** Let  $\mathcal{A}_i = (A_i, U_i, K_i)$  be a two-level arena for  $i = 1, 2, 3$ ,  $\sigma_1 : \mathcal{A}_1 \Rightarrow \mathcal{A}_2$  and  $\sigma_2 : \mathcal{A}_2 \Rightarrow \mathcal{A}_3$  be strategies of coloured arenas. Then  $U_{\mathcal{A}_1 \Rightarrow \mathcal{A}_3}(\sigma_1; \sigma_2) \subseteq U_{\mathcal{A}_1 \Rightarrow \mathcal{A}_2}(\sigma_1); U_{\mathcal{A}_2 \Rightarrow \mathcal{A}_3}(\sigma_2)$ .

*Proof.* Let  $\bar{s} \in U(\sigma_1; \sigma_2)$ . By definition, we have  $s \in (\sigma_1; \sigma_2)$  such that  $U(s) = \bar{s}$ . Let  $u \in \mathbf{Int}(\sigma_1, \sigma_2)$  be the uncovering of  $s$ , i.e. an interaction sequence that satisfies the following properties.

- (i)  $u \upharpoonright_{(A_1, A_3)} = s$ .
- (ii)  $u \upharpoonright_{(A_2, A_3)} \in \sigma_2$ .
- (iii) For any initial  $A_2$  move  $b$  in  $u$ ,  $u_A \upharpoonright_{(A_1, A_2, b)} \in \sigma_1$ .

Let  $U_{(\mathcal{A}_1, \mathcal{A}_2, \mathcal{A}_3)}$  be the forgetful map on interaction sequences and  $\bar{u} = U_{(\mathcal{A}_1, \mathcal{A}_2, \mathcal{A}_3)}(u)$ . The following argument shows that  $\bar{u} \in \mathbf{Int}(U(\sigma_1), U(\sigma_2))$  (here we use Lemma 2. Subscripts of  $U$  should be chosen appropriately).

- (i)  $\bar{u} \upharpoonright_{(K_1, K_3)} = \bar{s}$ , since

$$\bar{u} \upharpoonright_{(K_1, K_3)} = U(u) \upharpoonright_{U((A_1, A_3))} = U(u \upharpoonright_{(A_1, A_3)}) = U(s) = \bar{s}.$$

- (ii)  $\bar{u} \upharpoonright_{(K_2, K_3)} \in U\sigma_2$ , since

$$\bar{u} \upharpoonright_{(K_2, K_3)} = U(u) \upharpoonright_{U((A_2, A_3))} = U(u \upharpoonright_{(A_2, A_3)}) \in U(\sigma_2).$$

- (iii) Let  $(K_1, K_2, \bar{b})$  be a component of  $\bar{u}$ . There is a component  $(A_1, A_2, b)$  such that  $U((A_1, A_2, b)) = (K_1, K_2, \bar{b})$ . Then

$$\bar{u} \upharpoonright_{(K_1, K_2, \bar{b})} = U(u) \upharpoonright_{U((A_1, A_2, b))} = U(u \upharpoonright_{(A_1, A_2, b)}) \in U(\sigma_1).$$

Therefore  $\bar{s} = \bar{u} \upharpoonright_{(K_1, K_3)} \in (U(\sigma_1); U(\sigma_2))$ .  $\square$

**Lemma 4.** Let  $\mathcal{A}_i = (A_i, U_i, K_i)$  be a two-level arena for  $i = 1, 2, 3$ ,  $(\sigma_1, \bar{\sigma}_1) : \mathcal{A}_1 \Rightarrow \mathcal{A}_2$  and  $(\sigma_2, \bar{\sigma}_2) : \mathcal{A}_2 \Rightarrow \mathcal{A}_3$  be strategies. Then  $(\sigma_1, \bar{\sigma}_1); (\sigma_2, \bar{\sigma}_2) = (\sigma_1; \sigma_2, \bar{\sigma}_1; \bar{\sigma}_2)$  is a strategy of  $\mathcal{A}_1 \Rightarrow \mathcal{A}_3$ .



*Proof.* Obviously,  $\sigma_1; \sigma_2$  is a strategy of  $A_1 \Rightarrow A_3$  and  $\bar{\sigma}_1; \bar{\sigma}_2$  be a strategy of  $K_1 \Rightarrow K_3$ . So it suffices to show that  $U_{A_1 \Rightarrow A_3}(\sigma_1; \sigma_2) \subseteq (\bar{\sigma}_1; \bar{\sigma}_2)$ . Since  $(\sigma_1, \bar{\sigma}_1)$  is a strategy of  $A_1 \Rightarrow A_2$ , we have  $U_{A_1 \Rightarrow A_2}(\sigma_1) \subseteq \bar{\sigma}_1$ . Similarly,  $U_{A_2 \Rightarrow A_3}(\sigma_2) \subseteq \bar{\sigma}_2$ . By Lemma 3 and monotonicity of composition, we have

$$U_{A_1 \Rightarrow A_3}(\sigma_1; \sigma_2) \subseteq (U_{A_1 \Rightarrow A_2}(\sigma_1); U_{A_2 \Rightarrow A_3}(\sigma_2)) \subseteq (\bar{\sigma}_1; \bar{\sigma}_2)$$

as required.  $\square$

It is easy to see that innocence is preserved by composition, since innocence and composition are defined component-wise. Therefore composition of the category of two-level arenas and innocent strategies is well-defined.

**Theorem 2.** *The category of two-level arenas and innocent strategies is cartesian closed.*

*Proof.* Trivial.  $\square$

If two two-level arenas share the same base arena, then we can construct their *intersection*.

**Definition 5 (Intersection of Two-Level Arenas).** Let  $\mathcal{A}_i = (A_i, U_i, K)$  for  $i = 1, 2$  be two-level arenas that share the same base arena  $K$ . Their *intersection*  $\mathcal{A}_1 \wedge \mathcal{A}_2$  is defined as  $(A_1 \times A_2, U, K)$ , where  $U : (M_{A_1} + M_{A_2}) \rightarrow M_K$  is defined as  $[U_1, U_2]$ .

For every base arena  $K$ , we define  $\top_K$  as the two-level arena  $(\top, \emptyset, K)$ , where  $\top$  is the empty arena, which is the terminal object in the category of  $Q$ -coloured arenas.

For a  $Q$ -coloured arena  $A$ , we write  $!_A$  for the unique strategy of  $A \Rightarrow \top$ . For a two-level arena  $\mathcal{A} = (A, U, K)$ , we define  $!_{\mathcal{A}} : \mathcal{A} \Rightarrow \top_K$  as  $(!_A, \text{id}_K)$ .

**Lemma 5.** *Let  $\mathcal{A}_1 = (A_1, U_1, K)$  and  $\mathcal{A}_2 = (A_2, U_2, K)$  be two-level arenas that share the same base arena  $K$ . The arena  $\mathcal{A}_1 \wedge \mathcal{A}_2$  is the pullback of  $\mathcal{A}_1$  and  $\mathcal{A}_2$ , i.e. there are innocent strategies  $p_1$  and  $p_2$  of two-level arenas that make the following diagram a pullback square.*

$$\begin{array}{ccc} \mathcal{A}_1 \wedge \mathcal{A}_2 & \xrightarrow{p_1} & \mathcal{A}_1 \\ p_2 \downarrow & & \downarrow !_{\mathcal{A}_1} \\ \mathcal{A}_2 & \xrightarrow{!_{\mathcal{A}_2}} & \top_K \end{array}$$

*Proof.* Taking  $p_1 = (\pi_1, \text{id}_K)$  and  $p_2 = (\pi_2, \text{id}_K)$ .  $\square$

## 4.2 Subject Expansion

**Theorem 3 (Subject Expansion).** *Let  $\mathcal{A}_i = (A_i, U_i, K_i)$  be a two-level arena for  $i = 1, 2$  and  $K$  be a base arena. If*

$$\begin{array}{ccc} \mathcal{A}_1 & \xrightarrow{(\sigma, \bar{\sigma})} & \mathcal{A}_2 \\ & & \\ & & \text{(in two-level arenas)} \end{array} \quad \begin{array}{ccc} K_1 & \xrightarrow{\bar{\sigma}} & K_2 \\ \bar{\sigma}_1 \searrow & \circlearrowleft & \nearrow \bar{\sigma}_2 \\ & K & \end{array} \\ \text{(in base arenas)}$$

then there are a two-level arena  $\mathcal{A}$  whose underlying kind arena is  $K$  and strategies  $\sigma_1 : A_1 \rightarrow \mathcal{A}$  and  $\sigma_2 : \mathcal{A} \rightarrow A_2$  such that

$$\begin{array}{ccc} \mathcal{A}_1 & \xrightarrow{(\sigma, \bar{\sigma})} & \mathcal{A}_2 \\ & \searrow (\sigma_1, \bar{\sigma}_1) \quad \circ & \nearrow (\sigma_2, \bar{\sigma}_2) \\ & & \mathcal{A} \end{array}$$

Moreover, there is a canonical triple  $(\sigma_1, \mathcal{A}, \sigma_2)$ : for every triple  $(\sigma'_1, \mathcal{A}', \sigma'_2)$  that satisfies  $\sigma'_1; \sigma'_2 = \sigma$ , there exists a mapping  $\varphi$  from moves of  $\mathcal{A}$  to moves of  $\mathcal{A}'$  such that  $[\text{id}_{\mathcal{A}_1}, \varphi](\sigma_1) \subseteq \sigma'_1$  and  $[\varphi, \text{id}_{\mathcal{A}_2}](\sigma_2) \subseteq \sigma'_2$ .

The key observation of the proof is that *innocent* strategies can (mostly) be reconstructed from their interaction sequences. Let  $\sigma_1$  and  $\sigma_2$  be innocent strategies of  $A \Rightarrow B$  and  $B \Rightarrow C$ . Observe that since  $\sigma_2$  is innocent, it is determined by the set of P-views in  $\sigma_2$ . Using  $\mathbf{Int}(\sigma_1, \sigma_2)$  we define a set of P-views by  $\varphi'_2 = \{\ulcorner s \downarrow_{B \Rightarrow C} \urcorner \mid s \in \mathbf{Int}(\sigma_1, \sigma_2)\}$ . Then  $\varphi'_2$  can be regarded as a view function, which determines an innocent strategy  $\sigma'_2$ . Similarly, we can construct a view function  $\varphi'_1$  and an innocent strategy  $\sigma'_1$ . Then the resulting strategies  $\sigma'_1$  and  $\sigma'_2$  are respective *under-approximations* of  $\sigma_1$  and  $\sigma_2$  i.e.  $\sigma'_1 \subseteq \sigma_1$  and  $\sigma'_2 \subseteq \sigma_2$  and  $\sigma'_1; \sigma'_2 = \sigma_1; \sigma_2$ .

Now the goal is to construct “interaction sequences” of  $\sigma_1$  and  $\sigma_2$ . There are two conditions that the set  $\mathbf{Int}(\sigma_1, \sigma_2)$  of all interaction sequences must satisfy.

- $u \in \mathbf{Int}(\sigma_1, \sigma_2)$  implies  $U(u) \in \mathbf{Int}(\bar{\sigma}_1, \bar{\sigma}_2)$ .
- $u \in \mathbf{Int}(\sigma_1, \sigma_2)$  implies  $u \downarrow_{\mathcal{A}_1 \Rightarrow \mathcal{A}_2} \in \sigma$ .

These requirements give basic patterns of interaction sequences. Let  $s \in \sigma$  and  $\bar{u} \in \mathbf{Int}(\bar{\sigma}_1, \bar{\sigma}_2)$  and  $\bar{u} = \bar{m}_1 \cdot \bar{m}_2 \cdots \bar{m}_k$ , such that  $U(s) = \bar{u} \downarrow_{K_1 \Rightarrow K_2}$ . Then a justified sequence of pairs of moves of base arenas and  $Q$ -coloured arenas

$$\left[ \begin{array}{c} \bar{m}_1 \\ m_1 \end{array} \right] \cdot \left[ \begin{array}{c} \bar{m}_2 \\ m_2 \end{array} \right] \cdots \left[ \begin{array}{c} \bar{m}_k \\ m_k \end{array} \right]$$

is called *annotated interaction sequences* generated by  $s$  and  $u$  if (i)  $\bar{m}_i \in K_1 \cup K_2$  implies  $U(m_i) = \bar{m}_i$ , (ii)  $(m_1 \cdot m_2 \cdots m_k) \downarrow_{\mathcal{A}_1 \Rightarrow \mathcal{A}_2} = s$ , (iii)  $\bar{m}_i \in K$  implies  $m_i = \star$ . An interaction sequence over  $\sigma_1$  and  $\sigma_2$  can be constructed by replacing  $\star$  with appropriate moves.

*Example 1.* Let  $\bar{\sigma}_1$  and  $\bar{\sigma}_2$  be strategies defined by

$$\begin{aligned} \bar{\sigma}_1 &= \llbracket c : o^1, a : o^2 \rightarrow o^3 \vdash (\lambda x.a(a(x)), c) : (o^4 \rightarrow o^5) \times o^6 \rrbracket \\ \bar{\sigma}_2 &= \llbracket f : o^4 \rightarrow o^5, x : o^6 \vdash f(f(x)) : o^7 \rrbracket, \end{aligned}$$

where  $\llbracket \cdot \rrbracket$  is the standard interpretation of the simply-typed lambda calculus. Their composition is equivalent to

$$\begin{aligned} &\llbracket c : o^1, a : o^2 \rightarrow o^3 \vdash f(f(x))[(\lambda x.a(a(x)))/f, c/x] : o^7 \rrbracket \\ &= \llbracket c : o^1, a : o^2 \rightarrow o^3 \vdash a(a(a(c))) : o^7 \rrbracket, \end{aligned}$$

which have a derivation of a judgement

$$\llbracket c : q_0^P, a : (q_3^O \rightarrow q_4^P) \wedge (q_2^O \rightarrow q_3^P) \wedge (q_1^O \rightarrow q_2^P) \wedge (q_0^O \rightarrow q_1^P) \vdash a(a(a(c))) : q_4^O \rrbracket.$$

(Here  $o^1$  and  $o^2$  are different occurrences of the same kind,  $q_1$  and  $q_2$  are different types and  $q_1^P$  and  $q_1^O$  are different occurrences of the same type  $q_1$ .) Let  $\sigma$  be the strategy corresponding to the derivation. Then  $\sigma$  contains a play

$$s = q_4^O \cdot q_4^P \cdot q_3^O \cdot q_3^P \cdot q_2^O \cdot q_2^P \cdot q_1^O \cdot q_1^P \cdot q_0^O \cdot q_0^P$$

that is mapped by  $U$  to  $U(s) = \bar{s} = o^7 \cdot o^3 \cdot o^2 \cdot o^3 \cdot o^2 \cdot o^3 \cdot o^2 \cdot o^3 \cdot o^2 \cdot o^1$ ; and  $\mathbf{Int}(\bar{\sigma}_1, \bar{\sigma}_2)$  contains  $\bar{u} = o^7 \cdot o^5 \cdot o^3 \cdot o^2 \cdot o^3 \cdot o^2 \cdot o^4 \cdot o^5 \cdot o^3 \cdot o^2 \cdot o^3 \cdot o^2 \cdot o^4 \cdot o^6 \cdot o^1$ . Note that  $U(s) = \bar{u} \upharpoonright_{K_1 \Rightarrow K_2}$ . The annotated interaction sequence generated by  $s$  and  $\bar{u}$  is

$$\left[ \begin{array}{c} o^7 \\ q_4^O \end{array} \right] \cdot \left[ \begin{array}{c} o^5 \\ \star \end{array} \right] \cdot \left[ \begin{array}{c} o^3 \\ q_4^P \end{array} \right] \cdot \left[ \begin{array}{c} o^2 \\ q_3^O \end{array} \right] \cdot \left[ \begin{array}{c} o^3 \\ q_3^P \end{array} \right] \cdot \left[ \begin{array}{c} o^2 \\ q_2^O \end{array} \right] \cdot \left[ \begin{array}{c} o^4 \\ \star \end{array} \right] \cdot \left[ \begin{array}{c} o^5 \\ \star \end{array} \right] \cdot \left[ \begin{array}{c} o^3 \\ q_2^P \end{array} \right] \cdots \left[ \begin{array}{c} o^1 \\ q_0^P \end{array} \right].$$

The set of moves with which  $\star$  is replaced should satisfy competing requirements. Occurrences of  $\star$  should be distinguished as much as possible in order to fulfil the universal property, but distinguishing them too much makes  $\sigma_1$  and  $\sigma_2$  non-innocent strategies. A coloured arena  $A = (M, \vdash, \lambda, c)$  is defined as follows.

- $M = \left\{ \left( \begin{array}{c} \bar{u} \\ p \end{array} \right) \mid \bar{u} \in \mathbf{Int}(\bar{\sigma}_1, \bar{\sigma}_2), \bar{u} \text{ ends with } K\text{-move}, p \in \sigma, \ulcorner p \urcorner = p, U(p) = \bar{u} \upharpoonright_{K_1 \Rightarrow K_2} \right\}$
- $\vdash \left( \begin{array}{c} \bar{u} \\ p \end{array} \right)$  iff the last move of  $\bar{u}$  is an initial move of  $K$ ;  $\left( \begin{array}{c} \bar{u} \\ p \end{array} \right) \vdash \left( \begin{array}{c} \bar{u}' \\ p' \end{array} \right)$  iff (i)  $p$  is a prefix of  $p'$ , and (ii) The last move of  $\bar{u}'$  is justified by the last move of  $\bar{u}$ .
- $\lambda \left( \begin{array}{c} \bar{u} \\ p \end{array} \right) = \lambda_K(\bar{m})$  where  $\bar{m}$  is the last move of  $\bar{u}$ ; and  $c \left( \begin{array}{c} \bar{u} \\ p \end{array} \right) = c_{A_1 \Rightarrow A_2}(m)$  where  $m$  is the last move of  $p$ .

The two-level arena  $\mathcal{A}$  is defined as  $(A, U, K)$  where  $U \left( \begin{array}{c} \bar{u} \\ p \end{array} \right) = \bar{m}$  (here  $\bar{m}$  is the last move of  $\bar{u}$ ).

**Definitions of  $\sigma_1$  and  $\sigma_2$**  For each pair  $(p, \bar{u}) \in \sigma \times \mathbf{Int}(\bar{\sigma}_1, \bar{\sigma}_2)$  such that  $p$  is a P-view and  $U(p) = \bar{u} \upharpoonright_{K_1 \Rightarrow K_2}$ , we construct an interaction sequence of  $\mathbf{Int}(\mathcal{A}_1, \mathcal{A}, \mathcal{A}_2)$ , written  $\langle \bar{u}, p \rangle$ . Basically,  $\langle \bar{u}, p \rangle$  is generated by replacing  $\star$  in the annotated interaction sequence with appropriate moves of  $\mathcal{A}$ .  $\langle \bar{u}, p \rangle$  is defined by induction on  $\bar{u}$  as follows:

$$\begin{aligned} \langle \bar{u} \cdot \bar{m}, p \rangle &= \langle \bar{u}, p \rangle \cdot \left( \begin{array}{c} \bar{u} \cdot \bar{m} \\ p \end{array} \right) \quad (\text{if } \bar{m} \in K) \\ \langle \bar{u} \cdot \bar{m}, p \cdot m \rangle &= \langle \bar{u}, p \rangle \cdot m \quad (\text{if } \bar{m} \in K_1 \Rightarrow K_2) \end{aligned}$$

where justification pointers are induced from  $\bar{u} \cdot \bar{m}$ .

**Lemma 6.** *Let  $p$  be a P-view of  $\mathcal{A}_1 \Rightarrow \mathcal{A}_2$  and  $\bar{u} \in \mathbf{Int}(K_1, K, K_2)$  be an interaction sequence and assume that  $U(p) = \bar{u} \upharpoonright_{K_1 \Rightarrow K_2}$ . Then  $\langle \bar{u}, p \rangle \in \mathbf{Int}(\mathcal{A}_1, \mathcal{A}, \mathcal{A}_2)$ .*

*Proof.* By induction on the length of  $\bar{u}$ . □

We define  $I \subseteq \text{Int}(\mathcal{A}_1, \mathcal{A}, \mathcal{A}_2)$  by

$$I = \{ \langle \bar{u}, p \rangle \mid \bar{u} \in \mathbf{Int}(\bar{\sigma}_1, \bar{\sigma}_2), p \in \sigma, \ulcorner p \urcorner = p, U(p) = u \upharpoonright_{K_1 \Rightarrow K_2} \}.$$

Now we define strategies. Let  $\varphi_1$  be an view function of an arena  $A_1 \Rightarrow A$  determined by a set of P-views  $\{ \ulcorner s \upharpoonright_{A_1 \Rightarrow A} \urcorner \mid s \in I \}$  and  $\varphi_2$  be a view function of an arena  $A \Rightarrow A_2$  determined by  $\{ \ulcorner s \upharpoonright_{A \Rightarrow A_2} \urcorner \mid s \in I \}$ . The strategy  $\sigma_1$  is induced from  $\varphi_1$  and  $\sigma_2$  from  $\varphi_2$ .

We show that  $\sigma_1$  and  $\sigma_2$  are well-defined. We need an auxiliary lemma.

**Lemma 7.** *Let  $p$  be a P-view of  $A_1 \Rightarrow A_2$  and  $\bar{u} \in \text{Int}(K_1, K, K_2)$  be an interaction sequence and assume that  $U(p) = \bar{u} \upharpoonright_{K_1 \Rightarrow K_2}$ .*

- (i) *If  $\bar{u}$  ends with an O-move of  $K_1 \Rightarrow K$ , then  $\langle \bar{u}, p \rangle$  can be determined by  $\ulcorner \langle \bar{u}, p \rangle \upharpoonright_{A_1 \Rightarrow A} \urcorner$ .*
- (ii) *If  $\bar{u}$  ends with an O-move of  $K \Rightarrow K_2$ ,  $\langle \bar{u}, p \rangle$  can be determined by  $\ulcorner \langle \bar{u}, p \rangle \upharpoonright_{A \Rightarrow A_2} \urcorner$ .*

*Proof.* We prove (i). (ii) is shown by a similar way.

If  $\bar{u}$  ends with a move of  $\mathcal{A}$ , then the last move contains as much information as the pair  $(\bar{u}, p)$ . Assume that  $\bar{u}$  ends with a move of  $K_1$ . Then there are moves  $\bar{m}_1^P$  and  $\bar{m}_2^O$  and some justified sequence  $\bar{v}$  such that  $\bar{u} = \bar{u}' \cdot \bar{m}_1^P \cdot \bar{v} \cdot \bar{m}_2^O$ , where  $\bar{m}_1^P$  justifies  $\bar{m}_2^O$ . Note that  $K_1 \Rightarrow K_2$  component of  $\bar{u}$  is a P-view by the assumption. Thus  $\bar{v}$  contains no moves in  $K_1 \Rightarrow K_2$ . Since  $U(p) = \bar{u} \upharpoonright_{K_1 \Rightarrow K_2} = \bar{u}' \cdot \bar{m}_1^P \cdot \bar{v} \cdot \bar{m}_2^O \upharpoonright_{K_1 \Rightarrow K_2}$ , there are some moves  $m_1^P, m_2^O \in A_1 \Rightarrow A_2$  and a P-view  $p'$  of  $A_1 \Rightarrow A_2$  such that  $p = p' \cdot m_1^P \cdot m_2^O$  and  $U(m_1^P) = \bar{m}_1^P$  and  $U(m_2^O) = \bar{m}_2^O$ . Therefore we have

$$\langle \bar{u}, p \rangle = \langle \bar{u}', p' \rangle \cdot m_1^P \cdot m_2^O.$$

Since  $m_2^O$  is an O-move of  $A_1$ ,

$$\ulcorner \langle \bar{u}, p \rangle \urcorner = \ulcorner \langle \bar{u}', p' \rangle \cdot m_1^P \cdot m_2^O \urcorner = \ulcorner \langle \bar{u}', p' \rangle \urcorner \cdot m_1^P \cdot m_2^O.$$

By induction hypothesis, we can compute  $\langle \bar{u}', p' \rangle$  from  $\ulcorner \langle \bar{u}', p' \rangle \urcorner$ . Thus (i) holds.  $\square$

**Lemma 8.**  *$\varphi_1$  and  $\varphi_2$  are well-defined view functions.*

*Proof.* We prove that  $\varphi_1$  is well-defined. Well-definedness of  $\varphi_2$  is shown by the same way.

Let  $s \in \varphi_1$  be an play ending with an O-move. What we should show are:

- (i)  $s \cdot m \in \varphi_1$  for some  $m$  that has the same colour as the last move of  $s$ .
- (ii) If  $s \cdot m \in \varphi_1$  and  $s \cdot m' \in \varphi_1$ , then  $s \cdot m = s \cdot m'$ .

(i) is easy to show because for every  $u \in I$  ending with an O-move of  $A_1 \Rightarrow A$ , we have  $u \cdot m \in I$  for some move  $m$  of  $A_1 \Rightarrow A$ . We prove (ii). Assume that  $s \cdot m \in \varphi_1$  and  $s \cdot m' \in \varphi_1$ . By definition of  $\varphi_1$ , we have  $u \cdot m, u' \cdot m' \in I$  such that  $\ulcorner (u \cdot m) \upharpoonright_{A_1 \Rightarrow A} \urcorner = s \cdot m$  and  $\ulcorner (u' \cdot m') \upharpoonright_{A_1 \Rightarrow A} \urcorner = s \cdot m'$ . Since  $s$  ends with an O-move of  $A_1 \Rightarrow A$ , by Lemma 7,  $s$  completely determines  $u$  and  $u'$ . Thus  $u = u'$  and  $u \cdot m' \in \varphi_1$ . By determinacy of  $\sigma, \bar{\sigma}_1$  and  $\bar{\sigma}_2$ , we have  $u \cdot m = u \cdot m'$  as required.  $\square$

Thanks to Lemma 8,  $\sigma_1$  and  $\sigma_2$  are well-defined innocent strategies. Trivially,  $U(\sigma_1) \subseteq \bar{\sigma}_1$  and  $U(\sigma_2) \subseteq \bar{\sigma}_2$ .

**Lemma 9.**  $(\sigma_1; \sigma_2) = \sigma$ .

*Proof.* We first prove that  $\sigma \subseteq (\sigma_1; \sigma_2)$ . Since  $\sigma$  is innocent, it suffices to show that  $\sigma_1; \sigma_2$  contains every P-view  $p \in \sigma$ . Let  $p \in \sigma$  be a P-view. Then  $U(p) \in \bar{\sigma} = (\bar{\sigma}_1; \bar{\sigma}_2)$ . Thus there is  $\bar{u} \in \mathbf{Int}(\bar{\sigma}_1, \bar{\sigma}_2)$  such that  $U(p) = \bar{u} \upharpoonright_{K_1 \Rightarrow K_2}$ . By definition,  $\langle \bar{u}, p \rangle \in I$ . We can prove  $\langle \bar{u}, p \rangle \in \mathbf{Int}(\sigma_1, \sigma_2)$  by induction on the length of  $\bar{u}$ . So  $p = \langle \bar{u}, p \rangle \upharpoonright_{A_1 \Rightarrow A_2} \in (\sigma_1; \sigma_2)$ .

Second we prove that  $(\sigma_1; \sigma_2) \subseteq \sigma$ . It suffices to show that  $p \in \sigma$  for every P-view  $p \in (\sigma_1; \sigma_2)$ . Since  $p \in (\sigma_1; \sigma_2)$ , we have its uncovering  $u \in \mathbf{Int}(\sigma_1, \sigma_2)$  (so  $p = u \upharpoonright_{A_1 \Rightarrow A_2}$ ). Then by induction on the length of  $u$ , we can prove that  $u \upharpoonright_{A_1 \Rightarrow A_2} \in \sigma$ .  $\square$

Thanks to Lemma 9, we have finished to construct an object  $\mathcal{A}$  and strategies  $\sigma_1$  and  $\sigma_2$ , required by Theorem 3.

**Canonicity of  $\mathcal{A}$**  Let  $(\sigma'_1, \mathcal{A}', \sigma'_2)$  be another triple that satisfies the requirement of subject expansion except for canonicity. So  $\sigma'_1 : \mathcal{A}_1 \rightarrow \mathcal{A}'$ ,  $\sigma'_2 : \mathcal{A}' \rightarrow \mathcal{A}_2$ ,  $U(\sigma'_i) \subseteq \bar{\sigma}_i$  (for  $i = 1, 2$ ) and  $\sigma = (\sigma'_1; \sigma'_2)$ .

What we should do is construction of a function from moves of  $A$  to moves of  $A'$ . Let  $\binom{\bar{u}}{p}$  be a move of  $A$ . Note that by definition  $p$  ends with an O-move of  $A_1 \Rightarrow A_2$ . Let  $m$  be the move such that  $p \cdot m \in \sigma$ . Since  $p \cdot m \in \sigma = (\sigma'_1; \sigma'_2)$ , we have the uncovering of  $p \cdot m$  over  $\sigma'_1$  and  $\sigma'_2$ , say  $u'$ . Since  $U(\sigma'_1) \subseteq \bar{\sigma}_1$  and  $U(\sigma'_2) \subseteq \bar{\sigma}_2$ , we have  $U(u') \in (\bar{\sigma}_1; \bar{\sigma}_2)$ . So  $\langle \bar{u}', p \cdot m \rangle$  is a postfix of  $\langle \bar{u}, p \rangle$ . Thus  $\langle \bar{u}', p \cdot m \rangle$  contains the move  $\binom{\bar{u}}{p}$ , say, as the  $k$ th move. Let  $m'$  be the  $k$ th move of  $u'$ . We map  $\binom{\bar{u}}{p}$  to  $m'$ .

Let  $\varphi$  be the mapping defined below. It is easy to prove that  $\varphi$  is well-defined.  $[\text{id}_{K_1}, \varphi](\sigma_1) \subseteq \sigma'_1$  (resp.  $[\varphi, \text{id}_{K_2}](\sigma_2) \subseteq \sigma'_2$ ) can be show by induction on the length of plays in  $\sigma_1$  (resp.  $\sigma_2$ ).

## 5 Interpretation of Intersection Types

In this section, we interpret Kobayashi's intersection type system [3] in the two-level game model, and show that the interpretation is *fully complete* i.e. every winning strategy is the denotation of some derivation.

### 5.1 An Intersection Type System

We consider the standard Church-style simply-typed lambda calculus defined by the following grammar:

$$\begin{array}{ll} \text{Sorts} & \kappa ::= o \mid \kappa_1 \rightarrow \kappa_2 \\ \text{Terms} & t ::= x \mid \lambda x^\kappa. t \mid t_1 t_2 \end{array}$$

We refer to simple types as *kinds* to avoid confusion with intersection types. Let  $\Delta$  be a *kind environment* i.e. a set of variable-kind bindings,  $x : \kappa$ . We write  $\Delta \vdash t :: \kappa$  to

mean  $t$  has kind  $\kappa$  under the environment  $\Delta$ . Fix a set  $Q$  of symbols, ranged over by  $q$ . The set of *intersection pre-types* is defined by the following grammar where  $n \geq 0$ :

$$\text{Intersection Pre-Types} \quad \tau, \sigma ::= q \mid \tau \rightarrow \sigma \mid \bigwedge_{i \in I} \tau_i$$

The *well-kindedness relation*  $\tau :: \kappa$  is defined by the following rules.

$$\frac{}{q :: o}$$

$$\frac{\tau_i :: \kappa \quad (\text{for all } i \in I) \quad \sigma :: \kappa'}{(\bigwedge_{i \in I} \tau_i) \rightarrow \sigma :: \kappa \rightarrow \kappa'}$$

An *intersection type* is an intersection pre-type  $\tau$  such that  $\tau :: \kappa$  for some  $\kappa$ .

An (*intersection*) *type environment*  $\Gamma$  is a set of variable-type bindings,  $x : \bigwedge_{i \in I} \tau_i$ . We write  $\Gamma :: \Delta$  just if  $x : \bigwedge_{i \in I} \tau_i \in \Gamma$  implies that for some  $\kappa$ ,  $x : \kappa \in \Delta$  and  $\tau_i :: \kappa$  for all  $i \in I$ . *Valid typing sequents* are defined by induction over the following rules.

$$\frac{}{\Gamma, x : \bigwedge_{i \in I} \tau_i \vdash x : \tau_i}$$

$$\frac{\Gamma \vdash t_1 : (\bigwedge_{i \in I} \tau_i) \rightarrow \sigma \quad \Gamma \vdash t_2 : \tau_i \quad (\text{for all } i \in I)}{\Gamma \vdash t_1 t_2 : \sigma}$$

$$\frac{\Gamma, x : \bigwedge_{i \in I} \tau_i \vdash t : \sigma \quad \tau_i :: \kappa \quad (\text{for all } i \in I)}{\Gamma \vdash \lambda x^{\kappa}. t : (\bigwedge_{i \in I} \tau_i) \rightarrow \sigma}$$

**Lemma 10.** *If  $\Delta \vdash t :: \kappa$  and  $\Gamma :: \Delta$  and  $\Gamma \vdash t : \tau$ , then  $\tau :: \kappa$ .*

*Proof.* Easy induction on the structure of  $\Delta \vdash t :: \kappa$ . □

## 5.2 Representing Derivations by Proof Terms

For notational convenience, we use a Church-style simply-kinded lambda calculus with (indexed) product as a term representation of derivations. The raw terms are defined as follows.

$$M ::= p_i(x) \mid \lambda x^{\bigwedge_{i \in I} \tau_i}. M \mid M_1 M_2 \mid \prod_{i \in I} M_i$$

where  $I$  is a finite indexing set. We omit  $I$  and simply write  $\lambda x^{\bigwedge_i \tau_i}$  and so on if  $I$  is clear from the context or unimportant. We say a term  $M$  is *well-formed* just if for every application subterm  $M_1 M_2$  of  $M$ ,  $M_2$  has the form  $\prod_{i \in I} N_i$ . We consider only well-formed terms. By abuse of notation, we write  $\top$  for  $\prod \emptyset$ .

We give a type system for terms of the calculus, which resemble the intersection type system, but is syntax directed, i.e., a term completely determines the structure of a derivation.

$$\begin{array}{c}
\frac{}{\Gamma, x : \bigwedge_{i \in I} \tau_i \Vdash \mathfrak{p}_i(x) : \tau_i} \\
\\
\frac{\Gamma, x : \bigwedge_{i \in I} \tau \Vdash M : \sigma}{\Gamma \Vdash \lambda x^{\bigwedge_{i \in I} \tau_i}. M : (\bigwedge_{i \in I} \tau_i) \rightarrow \sigma} \\
\\
\frac{\Gamma \Vdash M_1 : (\bigwedge_i \tau_i) \rightarrow \sigma \quad \Gamma \Vdash M_2 : \bigwedge_i \tau_i}{\Gamma \Vdash M_1 M_2 : \sigma} \\
\\
\frac{\Gamma \Vdash M_i : \tau_i \quad \tau_i :: \kappa \quad (\text{for all } i)}{\Gamma \Vdash \prod_i M_i : \bigwedge_i \tau_i}
\end{array}$$

We call a term-in-context  $\Gamma \Vdash M : \tau$  a *proof term*. Observe that a proof term is essentially a typed lambda term with (indexed) product. Here an intersection type  $\tau_1 \wedge \dots \wedge \tau_n$  is interpreted as a product type  $\tau_1 \times \dots \times \tau_n$  and a proof term  $M_1 \sqcap \dots \sqcap M_n$  is a tuple  $\langle M_1, \dots, M_n \rangle$ . Then all variables are bound to tuples and a proof term  $\mathfrak{p}_i(x)$  is a projection into the  $i$ th element.

Unfortunately, not all the proof terms correspond to a derivation of the intersection type system. For example,  $\lambda f^{(q_1 \wedge q_2) \rightarrow p}. \lambda x^{q_1}. \lambda y^{q_2}. f(\mathfrak{p}(x) \sqcap \mathfrak{p}(y))$  is a proof term of the type  $((q_1 \wedge q_2) \rightarrow p) \rightarrow q_1 \rightarrow q_2 \rightarrow p$ , but there is no inhabitant of that type. In the intersection type system,  $t : \tau \wedge \sigma$  only if  $t : \tau$  and  $t : \sigma$  for the same term  $t$ , but the proof term  $\mathfrak{p}(x) \sqcap \mathfrak{p}(y)$  violates the requirement.

We introduce a judgement  $M :: t$  that means the structure of  $M$  coincides with the structure of  $t$ .

$$\begin{array}{lcl}
\mathfrak{p}_i(x) :: x & & \\
\lambda x^{\bigwedge_i \tau_i}. M :: \lambda x^\kappa. t & \text{iff} & M :: t \text{ and } \tau_i :: \kappa \text{ for all } i \\
M_1 M_2 :: t_1 t_2 & \text{iff} & M_1 :: t_1 \text{ and } M_2 :: t_2 \\
\prod_i M_i :: t & \text{iff} & M_i :: t \text{ for all } i
\end{array}$$

By definition,  $\top :: t$  for every term  $t$ .

**Lemma 11 (Coincidence).** (i) For every derivations  $\mathcal{D}$  whose conclusion is  $\Gamma \vdash t : \tau$ , there exists a proof term  $\text{Term}(\mathcal{D})$  such that  $\Gamma \Vdash \text{Term}(\mathcal{D}) : \tau$  and  $\text{Term}(\mathcal{D}) :: t$ . (ii) If  $\Gamma \Vdash M : \tau$  and  $M :: t$ , there exists a unique derivation  $\mathcal{D}$  of  $\Gamma \vdash t : \tau$  such that  $\text{Term}(\mathcal{D}) = M$ .

*Proof.* Easy induction on the structure of  $\mathcal{D}$  and of  $M$ , respectively.

We write  $[\Gamma :: \Delta] \vdash [M :: t] : [\tau :: \kappa]$  just if  $\Gamma :: \Delta, M :: t, \tau :: \kappa, \Delta \vdash t :: \kappa$  and  $\Gamma \Vdash M : \tau$ . Let  $t$  be a term such that  $\Delta \vdash t :: \kappa$ . The previous lemma says that there is a one-to-one correspondence between a derivation of  $\Gamma \vdash t : \tau$  and a proof term  $M$  such that  $[\Gamma :: \Delta] \vdash [M :: t] : [\tau :: \kappa]$ .

*Example 2.* Let  $Q = \{q_1, q_2\}$  and take  $\theta \rightarrow (q_1 \wedge q_2) \rightarrow q_1 :: (o \rightarrow o) \rightarrow o \rightarrow o$  where  $\theta = (q_1 \rightarrow q_1) \wedge (q_2 \rightarrow q_1) \wedge (q_1 \wedge q_2 \rightarrow q_1)$  and terminal  $f : q_1 \rightarrow q_2$ . Set  $M := \lambda x^\theta. y^{q_1 \wedge q_2}. \mathfrak{p}_2(x)(f^{q_1 \rightarrow q_2}(\mathfrak{p}_1(x)(\mathfrak{p}_3(x)(\mathfrak{p}_1(y) \sqcap \mathfrak{p}_2(y))))))$ . Then we have  $M :: \lambda xy.x(f(xxy))$ .

**Lemma 12.** *It is decidable, given  $\Gamma \Vdash M : \tau$ , whether  $M :: t$  for some  $t$ . Hence, thanks to Lemma 11, it is decidable whether a proof term represents a derivation.*

*Proof.* The definition of  $M :: t$  itself gives a simple decision procedure.

We define an operational semantics for terms and proof terms. The reduction relation is the least congruence defined by the following  $\beta$ -reduction and  $\eta$ -expansion redex rules:

$$\begin{aligned} (\lambda x^\kappa . s) t &\longrightarrow_\beta [t/x] s \\ t^{\kappa_1 \rightarrow \kappa_2} &\longrightarrow_\eta \lambda x^{\kappa_1} . (t^{\kappa_1 \rightarrow \kappa_2} x^{\kappa_1})^{\kappa_2} \quad (x \text{ is fresh}) \end{aligned}$$

Here  $[t'/x]$  is the standard capture-avoiding substitution of  $t'$  for  $x$ . We write  $\longrightarrow$  for  $\longrightarrow_\beta \cup \longrightarrow_\eta$ ,  $\longrightarrow^*$  for reflexive and transitive closure of  $\longrightarrow$ , and  $=_{\beta\eta}$  for reflexive, transitive and symmetric closure of  $\longrightarrow$ .

The reduction relation of proof terms is defined similarly:

$$\begin{aligned} (\lambda x^{\wedge_i \tau_i} . M) (\prod_i N_i) &\longrightarrow_\beta [\prod_i N_i/x] M \\ M^{\wedge_i \tau_i \rightarrow \sigma} &\longrightarrow_\eta \lambda x^{\wedge_i \tau_i} . M (\prod_i \mathfrak{p}_i(x)) \quad (x \text{ is fresh}) \end{aligned}$$

where (the base case of) the substitution is given by

$$\begin{aligned} [\prod_i N_i/x] (\mathfrak{p}_i(x)) &= N_i \\ [\prod_i N_i/x] (\mathfrak{p}_i(y)) &= \mathfrak{p}_i(y) \quad (\text{if } x \neq y). \end{aligned}$$

We write  $[M :: t] \longrightarrow [M' :: t']$  if  $t \longrightarrow t'$  and  $M \longrightarrow^* M'$ . It is easy to see that if  $M :: t$  and  $t \longrightarrow t'$ , then there exists a unique  $M'$  such that  $M \longrightarrow^* M'$  and  $M' :: t'$  ( $M \longrightarrow^* M'$  reduces all the redexes at the positions similar to the redex of  $t \longrightarrow t'$ ).

### 5.3 Game Semantics of Intersection Types

A two-level arena represents a proof of well-kindedness,  $\tau :: \kappa$ . The interpretation is straightforward since we have arena constructors  $\Rightarrow$  and  $\wedge$ :

$$\begin{aligned} \llbracket q :: o \rrbracket &:= (\llbracket q \rrbracket, U, \llbracket o \rrbracket) \\ \llbracket (\bigwedge_{i \in I} \tau_i) \rightarrow \sigma :: \kappa \rightarrow \kappa' \rrbracket &:= (\bigwedge_{i \in I} \llbracket \tau_i :: \kappa \rrbracket) \Rightarrow \llbracket \sigma :: \kappa' \rrbracket, \end{aligned}$$

where  $\llbracket q \rrbracket$  is a  $Q$ -coloured arena with a single move of the colour  $q$ ,  $\llbracket o \rrbracket$  is a  $\{o\}$ -coloured arena with a single move, and  $U$  maps the unique move of  $\llbracket q \rrbracket$  to the unique move of  $\llbracket o \rrbracket$ . Let  $\Gamma$  be a type environment with  $\Gamma :: \Delta$ . Suppose

$$\begin{aligned} \Gamma &= x_1 : \bigwedge_{i \in I_1} \tau_i^1, \dots, x_n : \bigwedge_{i \in I_n} \tau_i^n \\ \Delta &= x_1 : \kappa_1, \dots, x_n : \kappa_n \end{aligned}$$



Then  $\llbracket \Gamma :: \Delta \rrbracket := \prod_{j \leq n} (\bigwedge_{i \in I_j} \llbracket \tau_i^j :: \kappa_i \rrbracket)$ .

A proof  $[\Gamma :: \Delta] \vdash [M :: t] : [\tau :: \kappa]$ , which is equivalent to a derivation of  $\Gamma \vdash t : \tau$  (Lemma 11), is interpreted as a strategy of the two-level arena  $\llbracket \Gamma :: \Delta \rrbracket \Rightarrow \llbracket \tau :: \kappa \rrbracket$ , defined by the following rules (for simplicity, we write  $\llbracket M :: t \rrbracket$  instead of  $\llbracket [\Gamma :: \Delta] \vdash [M :: t] : [\tau :: \kappa] \rrbracket$ ):

$$\begin{aligned} \llbracket \mathbf{p}_i(x) :: x \rrbracket &:= \pi_x; \mathbf{p}_i \\ \llbracket \prod_i M_i :: t \rrbracket &:= \prod_i \llbracket M_i :: t \rrbracket \\ \llbracket M_1 M_2 :: t_1 t_2 \rrbracket &:= \langle \llbracket M_1 :: t_1 \rrbracket, \llbracket M_2 :: t_2 \rrbracket \rangle; \mathbf{eval} \\ \llbracket \lambda x. M :: \lambda x. t \rrbracket &:= \Lambda(\llbracket M :: t \rrbracket) \end{aligned}$$

where  $\pi_x$  is the projection  $\llbracket (\Gamma, x : \bigwedge_i \tau_i) :: (\Delta, x : \kappa) \rrbracket \longrightarrow \llbracket \bigwedge_i \tau_i :: \kappa \rrbracket$  and for strategies  $\sigma_i : \llbracket \Gamma :: \Delta \rrbracket \longrightarrow \llbracket \tau_i :: \kappa \rrbracket$  indexed by  $i$ , the strategy  $\prod_i \sigma_i : \llbracket \Gamma :: \Delta \rrbracket \longrightarrow \bigwedge_i \llbracket \tau_i :: \kappa \rrbracket$  is the canonical map of the pullback.

**Lemma 13 (Componentwise Interpretation).** *Let  $[\Gamma :: \Delta] \vdash [M :: t] : [\tau :: \kappa]$  be a derivation. Then  $\llbracket M :: t \rrbracket = (\llbracket M \rrbracket, \llbracket t \rrbracket)$ .*

*Proof.* By induction on  $M$ .

**Lemma 14 (Substitution).** *Suppose  $[(\Gamma, x : \bigwedge_i \tau_i) :: (\Delta, x : \kappa)] \vdash [M :: t] : [\sigma :: \kappa']$  and  $[\Gamma :: \Delta] \vdash [\prod_i N_i :: u] : [\bigwedge_i \tau_i :: \kappa]$ . Then*

$$\langle \mathbf{id}_{\llbracket \Gamma :: \Delta \rrbracket}, \llbracket \prod_i N_i :: u \rrbracket \rangle; \llbracket M :: t \rrbracket = \llbracket (\prod_i N_i / x) M :: ([u/x] t) \rrbracket.$$

*Proof.* By Lemma 13 and a well-know result for the standard interpretation [2].

**Lemma 15.** *Suppose  $[\Gamma :: \Delta] \vdash [M :: t] : [\bigwedge_i \tau_i \rightarrow \sigma :: \kappa \rightarrow \kappa']$ . Then*

$$\llbracket M :: t \rrbracket = \llbracket (\lambda x. \bigwedge_i \tau_i. M (\prod_i \mathbf{p}_i(x))) :: (\lambda x. \kappa. t x) \rrbracket.$$

**Theorem 4 (Adequacy).** *Let  $[\Gamma :: \Delta] \vdash [M_1 :: t_1] : [\tau :: \kappa]$  and  $[\Gamma :: \Delta] \vdash [M_2 :: t_2] : [\tau :: \kappa]$  be two proofs such that  $[M_1 :: t_1] =_{\beta\eta} [M_2 :: t_2]$ . Then  $\llbracket M_1 :: t_1 \rrbracket = \llbracket M_2 :: t_2 \rrbracket$ .*

*Proof.* A consequence of the two lemmas above.

**Theorem 5 (Definability).** *Let  $(\sigma, \bar{\sigma}) : \llbracket \Gamma :: \Delta \rrbracket \rightarrow \llbracket \tau :: \kappa \rrbracket$  be a winning strategy. There is a derivation  $[\Gamma :: \Delta] \vdash [M :: t] : [\tau :: \kappa]$  such that  $(\sigma, \bar{\sigma}) = \llbracket M :: t \rrbracket$ .*

*Proof.* (Sketch) By the standard argument of definability [2], we have a proof term  $M$  and a simply-typed lambda term  $t$  such that  $\llbracket M \rrbracket = \sigma : \llbracket \Gamma \rrbracket \longrightarrow \llbracket \tau \rrbracket$  and  $\llbracket t \rrbracket = \bar{\sigma} : \llbracket \Delta \rrbracket \longrightarrow \llbracket \kappa \rrbracket$ , where  $\llbracket \cdot \rrbracket$  is the standard interpretation of typed lambda terms (here intersection  $\wedge$  in  $\Gamma$  and  $\tau$  is interpreted as a product). If  $[\Gamma :: \Delta] \vdash [M :: t] : [\tau :: \kappa]$  is a valid derivation, by Lemma 13, we have  $\llbracket M :: t \rrbracket = (\sigma, \bar{\sigma})$  as required. Thus it suffices to show that  $M :: t$ , which can be shown by an easy induction.  $\square$

We can use Church-style type-annotated terms in  $\beta$ -normal  $\eta$ -long form, called *canonical terms*, to represent winning strategies, which are terms-in-context of the form:  $\Gamma \Vdash \mathfrak{p}_i(x) M_1 \cdots M_n : q$  where  $\Gamma = \cdots, x : \bigwedge_i \alpha_i, \cdots$  and  $\alpha_i = \tau_1 \rightarrow \cdots \rightarrow \tau_n \rightarrow q$ , and for each  $k \in \{1, \dots, n\}$ ,

$$M_k = \prod_{j \in J_k} \lambda y_{kj1}^{\tau_{kj1}} \cdots y_{kj r}^{\tau_{kj r}} . N_{kj} : \bigwedge_{j \in J_k} \beta_{kj} = \tau_k$$

such that for each  $j \in J_k$ ,  $\beta_{kj} = \tau_{kj1} \rightarrow \cdots \rightarrow \tau_{kj r} \rightarrow q_{kj}$  with  $r = r_{kj}$  and  $\Gamma, y_{kj1} : \tau_{kj1}, \cdots, y_{kj r} : \tau_{kj r} \Vdash N_{kj} : q_{kj}$  is a canonical term. (We assume that canonical terms are proof terms that represent derivations.)

By definition, canonical terms are not  $\lambda$ -abstractions. We call terms-in-context such as  $M_k$  above canonical terms in (partially) *curried form*; they have the shape  $\Gamma \Vdash \lambda \bar{x}. M : \tau_1 \rightarrow \cdots \rightarrow \tau_n \rightarrow q$ . Note that in case  $n = 0$ , the curried form retains an outermost “dummy lambda”  $\Gamma \Vdash \lambda. M : q$ . With this syntactic convention, we obtain a tight correspondence between syntax and semantics.

**Lemma 16.** *Let  $\tau :: \kappa$ . There is a one-to-one correspondence between winning strategies over the two-level arena  $\llbracket \tau :: \kappa \rrbracket$  and canonical terms in curried form of the shape  $\emptyset \Vdash M : \tau$  (with  $\eta$ -long  $\beta$ -normal simply-typed term  $t$  such that  $M :: t$ ).*

*Proof.* First observe that a two-level arena is a forest; each move of the arena can be represented by the subtree rooted at the move. In other words, moves of  $\llbracket \tau :: o \rrbracket$  correspond to (and can be named by) the prime subtypes of  $\tau$ . Consider the abstract syntax trees of these terms, so that the nodes at levels 0, 2, 4, etc. are labelled by lambdas (i.e.  $\lambda \bar{x}$ ), and nodes at levels 1, 3, 5, etc. are labelled by variables. The idea is that a node labelled by a lambda (respectively variable) of prime type  $\theta$  represents the O-move (respectively P-move) named by  $\theta$ . It suffices to observe that there is a one-one correspondence between the even-length paths in such a tree, and the even-length P-views in the corresponding winning strategy. (Note that an innocent strategy—*qua* set of legal positions—is determined by its subset of even-length P-views, which is just its view function.) We check that the term representation satisfies the axioms of winning strategy. P/O-alternation holds by construction of the canonical term; pointers to O-moves correspond to the standard lambda binding, and pointers to P-move correspond to the edges from a lambda node to its parent, which is a variable node. Colour-reflection, totality (leaves of a tree are by construction either a variable or  $\top$ ) and contingent completeness all hold by definition of canonical term.

A strategy  $(\sigma, \bar{\sigma})$  of  $\mathcal{A} = (A, U, K)$  is *P-full* (respectively *O-full*) just if every P-move (respectively O-move) of  $A$  occurs in  $\sigma$ . Suppose  $(\sigma, \bar{\sigma})$  is a winning strategy of  $\llbracket \tau :: \kappa \rrbracket$ . Then: (i) If  $(\sigma, \bar{\sigma})$  is P-full, then it is also O-full. (ii) There is a subtype  $\tau' :: \kappa$  of  $\tau$  such that  $(\sigma, \bar{\sigma})$  is winning and P-full over  $\llbracket \tau' :: \kappa \rrbracket$ .

A derivation  $[\Gamma :: \Delta] \vdash [M :: t] : [\tau :: \kappa]$  is *relevant* just if for each abstraction subterm  $\lambda x^{\bigwedge_{i \in I} \tau_i}. M'$  of  $M$  and  $i \in I$ ,  $M'$  has a free occurrence of  $\mathfrak{p}_i(x)$ .

**Lemma 17.**  $[\Gamma :: \Delta] \vdash [M :: t] : [\tau :: \kappa]$  is relevant iff  $\llbracket M :: t \rrbracket$  is P-full.

*Proof.* The right-to-left direction is shown by a easy modification of the standard proof of definability (see [2, Proposition 7.4]). To prove the left-to-right direction, we first normalise  $M :: t$  to the canonical form, say  $M' :: t'$ . It is easy to prove (syntactically) that normalisation preserves relevance of a derivation, so  $M' :: t'$  is also relevant. Then by (easy) induction on canonical forms, we prove that  $\llbracket M' :: t' \rrbracket$  is full. By adequacy,  $\llbracket M :: t \rrbracket$  is also full.

## 6 Applications to HORS Model-Checking

Fix a ranked alphabet  $\Sigma$  and a HORS  $G = \langle \Sigma, \mathcal{N}, S, \mathcal{R} \rangle$  we first give the game semantics  $\llbracket G \rrbracket$  of  $G$  (see [1] for a definition of HORS). Let  $\mathcal{N} = \{ F_1 : \kappa_1, \dots, F_n : \kappa_n \}$  with  $F_1 = S$  (start symbol), and  $\Sigma = \{ a_1 : r_1, \dots, a_m : r_m \}$  where each  $r_i = ar(a_i)$ , the arity of  $a_i$ . Writing  $\llbracket \Sigma \rrbracket := \prod_{i=1}^m \llbracket o^{r_i} \rightarrow o \rrbracket$  and  $\llbracket \mathcal{N} \rrbracket := \prod_{i=1}^n \llbracket \kappa_i \rrbracket$ , the *game semantics* of  $G$ ,  $\llbracket G \rrbracket : \llbracket \Sigma \rrbracket \rightarrow \llbracket o \rrbracket$ , is the composite

$$\llbracket \Sigma \rrbracket \xrightarrow{\Lambda(\mathbf{g})} (\llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket) \xrightarrow{Y} \llbracket \mathcal{N} \rrbracket \xrightarrow{\{S::o\}} \llbracket o \rrbracket$$

in the cartesian closed category of  $o$ -coloured arenas and innocent strategies, where

- $\mathbf{g} = \langle g_1, \dots, g_n \rangle : \llbracket \Sigma \rrbracket \times \llbracket \mathcal{N} \rrbracket \rightarrow \llbracket \mathcal{N} \rrbracket$ ; each component  $g_i = \llbracket \Sigma \cup \mathcal{N} \vdash \mathcal{R}(F_i) :: \kappa_i \rrbracket$ , and  $\Lambda(-)$  is currying
- $Y$  is the standard fixpoint strategy (see [2, §7.2]), and
- $\{S :: o\} = \pi_1 : \llbracket \mathcal{N} \rrbracket \rightarrow \llbracket o \rrbracket$  is the projection map.

*Remark 1.* Since the set of P-views of  $\llbracket G \rrbracket$  coincide with the branch language<sup>7</sup> of the *value tree* of  $G$  (i.e. the  $\Sigma$ -labelled tree generated by  $G$ ; see [1]) and an innocent strategy is determined by its P-views, we identify the map  $\llbracket G \rrbracket$  with the value tree of  $G$ .

Now fix a trivial automaton  $\mathcal{B} = \langle Q, \Sigma, q_I, \delta \rangle$ . We extend the game-semantic account to express the run tree of  $\mathcal{B}$  over the value tree  $\llbracket G \rrbracket$  in the category of  $Q$ -based two-level arenas and innocent strategies. First set

$$\begin{aligned} \llbracket \delta :: \Sigma \rrbracket &:= \prod_{a \in \Sigma} \bigwedge_{(q, a, \bar{q}) \in \delta} \llbracket q_1 \rightarrow \dots \rightarrow q_{ar(a)} \rightarrow q :: \underbrace{o \rightarrow \dots \rightarrow o}_{ar(a)} \rightarrow o \rrbracket \\ &= (\llbracket \delta \rrbracket, U, \llbracket \Sigma \rrbracket) \end{aligned}$$

where  $\llbracket \delta \rrbracket$  is the  $Q$ -coloured arena  $\prod_{a \in \Sigma} \prod_{(q, a, \bar{q}) \in \delta} \llbracket q_1 \rightarrow \dots \rightarrow q_{ar(a)} \rightarrow q \rrbracket$  and  $\bar{q} = q_1, q_2, \dots, q_{ar(a)}$ .

A *run tree* of  $\mathcal{B}$  over  $\llbracket G \rrbracket$  is just an innocent strategy  $(\rho, \llbracket G \rrbracket)$  of the arena  $\llbracket \delta :: \Sigma \rrbracket \Rightarrow \llbracket q_I :: o \rrbracket = (\llbracket \delta \rrbracket \Rightarrow \llbracket q_I \rrbracket, V, \llbracket \Sigma \rrbracket \Rightarrow \llbracket o \rrbracket)$ . Every P-view  $\bar{p} \in \llbracket G \rrbracket$  has a unique ‘‘colouring’’ i.e. a P-view  $p \in \rho$  such that  $V(p) = \bar{p}$ . This associates a colour (state) with each node of the value tree, which corresponds to a run tree in the concrete presentation.

<sup>7</sup> Let  $m$  be the maximum arity of the symbols in  $\Sigma$ , and write  $[m] = \{1, \dots, m\}$ . The *branch language* of  $t : \text{dom}(t) \rightarrow \Sigma$  consists of (i)  $(f_1, d_1)(f_2, d_2) \dots$  if there exists  $d_1 d_2 \dots \in [m]^\omega$  s.t.  $t(d_1 \dots d_i) = f_{i+1}$  for every  $i \in \omega$ ; and (ii)  $(f_1, d_1) \dots (f_n, d_n) f_{n+1}$  if there exists  $d_1 \dots d_n \in [m]^*$  s.t.  $t(d_1 \dots d_i) = f_{i+1}$  for  $0 \leq i \leq n$ , and the arity of  $f_{n+1}$  is 0.

### 6.1 Characterisation by Complete Type Environment

Using  $G$  and  $\mathcal{B}$  as before, Kobayashi [3] showed that  $\llbracket G \rrbracket$  is accepted by  $\mathcal{B}$  if, and only if, there is a *complete type environment*  $\Gamma$ , meaning that (i)  $S : q_I \in \Gamma$ , (ii)  $\Gamma \vdash \mathcal{R}(F) : \theta$  for each  $F : \theta \in \Gamma$ . As a first application of two-level arena games, we give a semantic counterpart of the characterisation. Let  $\Gamma = \{F_1 : \bigwedge_{j \in I_1} \tau_{1j} :: \kappa_1, \dots, F_n : \bigwedge_{j \in I_n} \tau_{nj} :: \kappa_n\}$  be a type environment of  $G$ . Set  $\llbracket \Gamma :: \mathcal{N} \rrbracket := \prod_{i=1}^n \bigwedge_{j \in I_i} \llbracket \tau_{ij} :: \kappa_i \rrbracket = (\llbracket \Gamma \rrbracket, U_1, \llbracket \mathcal{N} \rrbracket)$  where  $\llbracket \Gamma \rrbracket := \prod_{i=1}^n \prod_{j \in I_i} \llbracket \tau_{ij} \rrbracket$ .

**Theorem 6.** *Using  $\Sigma, G$  and  $\mathcal{B}$  as before,  $\llbracket G \rrbracket$  is accepted by  $\mathcal{B}$  if, and only if, there exists  $\Gamma$  such that*

- (i)  $S : q_I \in \Gamma$ , and
- (ii) *there exists a strategy  $\sigma$  (say) of the  $Q$ -coloured arena  $\llbracket \delta \rrbracket \times \llbracket \Gamma \rrbracket \Rightarrow \llbracket \Gamma \rrbracket$  such that  $(\sigma, \mathbf{g})$  defines a winning strategy of the two-level arena*

$$(\llbracket \delta :: \Sigma \rrbracket \times \llbracket \Gamma :: \mathcal{N} \rrbracket) \Rightarrow \llbracket \Gamma :: \mathcal{N} \rrbracket = (\llbracket \delta \rrbracket \times \llbracket \Gamma \rrbracket \Rightarrow \llbracket \Gamma \rrbracket, V_1, \llbracket \Sigma \rrbracket \times \llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket)$$

*Proof.* Suppose we have  $\Gamma$  and  $\sigma$  that satisfy the conditions. The following composite map in the category of two-level arenas and innocent strategies

$$\llbracket \delta :: \Sigma \rrbracket \xrightarrow{\Lambda(\sigma, \mathbf{g})} (\llbracket \Gamma :: \mathcal{N} \rrbracket \Rightarrow \llbracket \Gamma :: \mathcal{N} \rrbracket) \xrightarrow{(Y, Y)} \llbracket \Gamma :: \mathcal{N} \rrbracket \xrightarrow{\{S:q_I\}} \llbracket q_I :: o \rrbracket$$

gives the strategy  $(\rho, \llbracket G \rrbracket)$  over  $(\llbracket \delta \rrbracket \Rightarrow \llbracket q_I \rrbracket, V, \llbracket \Sigma \rrbracket \Rightarrow \llbracket o \rrbracket)$ . To show  $V(\rho) = \llbracket G \rrbracket$ , it suffices to show that if  $V(m_1) \cdots V(m_n) \cdot m \in \llbracket G \rrbracket$  and  $m$  is an O-move of  $\llbracket \Sigma \rrbracket \Rightarrow \llbracket o \rrbracket$ , then  $V(m') = m$  for some O-move  $m'$  of  $\llbracket \delta \rrbracket \Rightarrow \llbracket q_I \rrbracket$ . But an O-move  $m$  of  $\llbracket \Sigma \rrbracket \Rightarrow \llbracket o \rrbracket$  is either the unique move of  $\llbracket o \rrbracket$  or a move corresponding to an argument of a tree constructor in  $\Sigma$  (i.e. a move corresponding to  $o_i$  for some  $a :: o_1 \rightarrow \cdots \rightarrow o_n \rightarrow o \in \Sigma$ ). By definition of  $\delta$  and  $q_I$ , there exists a corresponding move  $m'$  in  $\llbracket \delta \rrbracket \Rightarrow \llbracket q_I \rrbracket$  for each O-move  $m$  of  $\llbracket \Sigma \rrbracket \Rightarrow \llbracket o \rrbracket$ . The desired property then follows from the contingent completeness of  $\rho$ .

To prove the converse, suppose that  $\llbracket G \rrbracket$  is accepted by  $\mathcal{B}$ . I.e. we have a run-tree given by a strategy  $(\rho, \llbracket G \rrbracket)$  over the two-level arena  $(\llbracket \delta \rrbracket \Rightarrow \llbracket q_I \rrbracket, U_1, \llbracket \Sigma \rrbracket \Rightarrow \llbracket o \rrbracket)$  such that  $U_1(\rho) = \llbracket G \rrbracket$ . By definition of  $\llbracket G \rrbracket$ , the following diagram (in the category of base arenas) commutes:

$$\begin{array}{ccc} \llbracket \Sigma \rrbracket & \xrightarrow{\llbracket G \rrbracket} & \llbracket o \rrbracket \\ & \searrow \Lambda(\mathbf{g}) & \nearrow \mathbf{fix} \\ & \llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket & \end{array}$$

where  $\mathbf{fix}$  is the composite

$$(\llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket) \xrightarrow{Y} \llbracket \mathcal{N} \rrbracket \xrightarrow{\{S:o\}} \llbracket o \rrbracket.$$

By Subject Expansion (Theorem 3), there exist a two-level arena  $\mathcal{A} = (A, U_2, \llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket)$  and strategies  $\sigma_1$  and  $\sigma_2$  of  $Q$ -coloured arenas that make the diagram

$$\begin{array}{ccc} \llbracket \delta :: \Sigma \rrbracket & \xrightarrow{(\rho, \llbracket G \rrbracket)} & \llbracket q_I :: o \rrbracket \\ & \searrow^{(\sigma_1, \Lambda(\mathbf{g}))} & \nearrow^{(\sigma_2, \mathbf{fix})} \\ & (A, U_2, \llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket) & \end{array}$$

commutes.

By analysis of  $\sigma_2$ , there exist a  $Q$ -coloured arena  $\Gamma$  (say) and hence a two-level arena  $(\Gamma \Rightarrow \Gamma, U', \llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket)$ , a map  $(\uparrow, \text{id}_{\llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket})$  from  $(A, U_2, \llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket)$  to  $(\Gamma \Rightarrow \Gamma, U', \llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket)$ , and a map  $(\downarrow, \text{id}_{\llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket})$  in the opposite direction, such that  $\uparrow; \downarrow = \text{id}_{\mathcal{A}}$ .

Thus we have the following commutative diagram:

$$\begin{array}{ccc} \llbracket \delta :: \Sigma \rrbracket & \xrightarrow{(\rho, \llbracket G \rrbracket)} & \llbracket q_I :: o \rrbracket . \\ & \searrow^{(\sigma_1; \uparrow, \Lambda(\mathbf{g}))} & \nearrow^{(\downarrow; \sigma_2, \mathbf{fix})} \\ & (\Gamma \Rightarrow \Gamma, U', \llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket) & \end{array}$$

It follows from  $U_1(\rho) = \llbracket G \rrbracket$  that  $U(\sigma_1; \uparrow) = \Lambda(\mathbf{g})$  where  $U$  is the relevant forgetful function. Hence, by uncurrying  $(\sigma_1; \uparrow, \Lambda(\mathbf{g}))$ , we obtain a total and hence winning strategy of  $(\llbracket \delta :: \Sigma \rrbracket \times \llbracket \Gamma :: \mathcal{N} \rrbracket) \Rightarrow \llbracket \Gamma :: \mathcal{N} \rrbracket$  as desired.  $\square$

## 6.2 Minimality of Traversals-induced Typing

Using the same notation as before, interaction sequences from  $\mathbf{Int}(\Lambda(\mathbf{g}), \mathbf{fix}) \subseteq \text{Int}(\llbracket \Sigma \rrbracket, \llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket, \llbracket o \rrbracket)$  form a tree, which is (in essence) the *traversal tree* in the sense of Ong [1].

*Prime types*, which are intersection types of the form  $\theta = \bigwedge_{i \in I_1} \theta_{1i} \rightarrow \dots \rightarrow \bigwedge_{i \in I_n} \theta_{ni} \rightarrow q$ , are equivalent to *variable profiles* (or simply *profiles*) [1]. Precisely  $\theta$  corresponds to profile  $\hat{\theta} := (\{\widehat{\theta}_{1i} \mid i \in I_1\}, \dots, \{\widehat{\theta}_{ni} \mid i \in I_n\}, q)$ . We write profiles of ground kind as  $q$ , rather than  $(q)$ . Henceforth, we shall use prime types and profiles interchangeably.

Tsukada and Kobayashi [10] introduced (a kind-indexed family of) binary relations  $\leq_\kappa$  between profiles of kind  $\kappa$ , and between sets of profiles of kind  $\kappa$ , by induction over the following rules.

- (i) If for all  $\theta \in A$  there exists  $\theta' \in A'$  such that  $\theta \leq_\kappa \theta'$  then  $A \leq_\kappa A'$ .
- (ii) If  $A_i \leq_{\kappa_i} A'_i$  for each  $i$  then  $(A_1, \dots, A_n; q) \leq_{\kappa_1 \rightarrow \dots \rightarrow \kappa_n \rightarrow o} (A'_1, \dots, A'_n, q)$ .

A *profile annotation* (or simply *annotation*) of the traversal tree  $\mathbf{Int}(\Lambda(\mathbf{g}), \mathbf{fix})$  is a map of the nodes (which are move-occurrences of  $M_{\llbracket \Sigma \rrbracket} + M_{\llbracket \mathcal{N} \rrbracket \Rightarrow \llbracket \mathcal{N} \rrbracket} + M_{\llbracket o \rrbracket}$ ) of the tree to profiles. We say that an annotation of the traversal tree is *consistent* just if whenever a move  $m$ , of kind  $\kappa_1 \rightarrow \dots \rightarrow \kappa_n \rightarrow o$  and simulates  $q$ , is annotated with profile  $(A_1, \dots, A_n, q')$ , then (i)  $q' = q$ , (ii) for each  $i$ ,  $A_i$  is a set of profiles of kind  $\kappa_i$ ,

(iii) if  $m'$  is annotated with  $\theta$  and  $i$ -points to  $m$ , then  $\theta \in A_i$ . Now consider *annotated moves*, which are moves paired with their annotations, written  $(m, \theta)$ . We say that a profile annotation is *innocent* just if whenever  $u_1 \cdot (m_1, \theta_1)$  and  $u_2 \cdot (m_2, \theta_2)$  are even-length paths in the annotated traversal tree such that  $\ulcorner u_1 \urcorner = \ulcorner u_2 \urcorner$ , then  $m_1 = m_2$  and  $\theta_1 = \theta_2$ .

Every consistent (and innocent) annotation  $\alpha$  of an (accepting) traversal tree gives rise to a typing environment, written  $\Gamma_\alpha$ , which is the set of bindings  $F_i : \theta$  where  $i \in \{1, \dots, n\}$  and  $\theta$  is the profile that annotates an occurrence of an initial move of  $\llbracket \kappa_i \rrbracket$ . Note that  $\Gamma_\alpha$  is finite because there are only finitely many types of a given kind. We define a relation between annotations:  $\alpha_1 \leq \alpha_2$  just if for each occurrence  $m$  of a move of kind  $\kappa$  in the traversal tree,  $\alpha_1(m) \leq_\kappa \alpha_2(m)$ .

**Theorem 7.** (i) *Let  $\alpha$  be a consistent and innocent annotation of a traversal tree. Then  $\Gamma_\alpha$  is a complete type environment.*

(ii) *There is  $\leq$ -minimal consistent and innocent annotation, written  $\alpha_{\min}$ . Then  $\Gamma_{\alpha_{\min}} \leq \Gamma_\alpha$  meaning that for all  $F : \theta \in \Gamma_{\alpha_{\min}}$  there exists  $F : \theta' \in \Gamma_\alpha$  such that  $\theta \leq \theta'$ .*

(iii) *Every complete type environment  $\Gamma$  determines a consistent and innocent annotation  $\alpha_\Gamma$  of the traversal tree.*

### 6.3 Game-Semantic Proof of Completeness of GTRecS [9]

GTRecS [9] is a higher-order model checker proposed by Kobayashi. Although GTRecS is inspired by game-semantics, the formal development of the algorithm is purely type-theoretical and no concrete relationship to game semantics is known. Here we give a game-semantic proof of completeness of GTRecS based on two-level arena games.

The novelty of GTRecS lies in a function on type bindings, named **Expand**. For a set  $\Gamma$  of nonterminal-type bindings, **Expand**( $\Gamma$ ) is defined as

$$\Gamma \cup \bigcup \{ \Gamma' \cup \{ F_i : \tau' \} \mid \Gamma \preceq_P \Gamma' \wedge \Gamma' \vdash \mathcal{R}(F_i) : \tau' \wedge \Gamma \preceq_O \{ F_i : \tau' \} \},$$

where  $\Gamma' \vdash \mathcal{R}(F_i) : \tau'$  is relevant. Here for types  $\tau_1$  and  $\tau_2$ ,  $\tau_1 \preceq_P \tau_2$  if the arena  $\llbracket \tau_2 \rrbracket$  is obtained by adding only proponent moves to  $\llbracket \tau_1 \rrbracket$ . For example,  $(\bigwedge \emptyset) \rightarrow q \preceq_P ((\bigwedge \emptyset) \rightarrow q') \rightarrow q$  but  $(\bigwedge \emptyset) \rightarrow q \not\preceq_P (q'' \rightarrow q') \rightarrow q$ , since  $q'$  is at the proponent position and  $q''$  at the opponent position.  $\Gamma \preceq_P \Gamma'$  is defined as  $\forall F : \tau' \in \Gamma'. \exists F : \tau \in \Gamma. \tau \preceq_P \tau'$ . Similarly,  $\tau \preceq_O \tau'$  and  $\Gamma \preceq_O \Gamma'$  are defined.

Our goal is to analyse **Expand** game theoretically. The result is Lemma 21, which states that **Expand** overapproximates one step interaction of two strategies,  $\sigma$  and **fix**. Completeness of GTRecS is a corollary of Lemma 21.

Fix a type environment  $\Gamma$  and a winning strategy  $\sigma : \llbracket \delta \rrbracket \longrightarrow (\Gamma^1 \Rightarrow \Gamma^2)$  (here we use superscripts to distinguish occurrences of  $\Gamma$ ) that is induced from the derivation of  $\vdash G : \Gamma$ . The strategy **fix** :  $(\llbracket \Gamma^1 \rrbracket \Rightarrow \llbracket \Gamma^2 \rrbracket) \longrightarrow \llbracket q_I \rrbracket$  is defined as the composite of  $(\llbracket \Gamma^1 \rrbracket \Rightarrow \llbracket \Gamma^2 \rrbracket) \xrightarrow{Y} \llbracket \Gamma \rrbracket \xrightarrow{\{S:q_I\}} \llbracket q_I \rrbracket$ . For  $n \in \{1, 2, \dots\}$ , the *n*th approximation of **fix** is defined by  $\lfloor \mathbf{fix} \rfloor_n = \{s \in \mathbf{fix} \mid |s| \leq 2n + 1\}$ . Thus  $\lfloor \mathbf{fix} \rfloor_n$  is a strategy that behaves like **fix** until the *n*th interaction, but stops after that. For the notational convenience, we define  $\lfloor \mathbf{fix} \rfloor_\infty = \mathbf{fix}$ .

Our goal is to show the concrete relationship between  $[\mathbf{fix}]_n$  and  $\mathbf{Expand}(\{S : q_I\})$  (Lemma 21). Completeness of GTRecS is an easy consequence of Lemma 21.

Let  $n \in \{0, 1, 2, \dots, \infty\}$ . The  $n$ th approximation of  $\mathbf{fix}$  induces approximation of arenas and strategies. An arena  $[I^1 \Rightarrow I^2]_n$  is defined as the restriction of  $I^1 \Rightarrow I^2$  that consists of only moves appearing at  $\mathbf{Int}(\sigma, [\mathbf{fix}]_n)$ , and a strategy  $[\sigma]_n : \llbracket \delta \rrbracket \rightarrow [I^1 \Rightarrow I^2]_n$  is the restriction of  $\sigma$  to the arena.

**Lemma 18.**  $[I^1]_\infty = [I^2]_\infty$  and  $S : q_0 \in [I^2]_\infty$ .

*Proof.* Easy. □

*Remark 2.* It is not necessarily the case that  $[I^1]_\infty = I^1$  or  $[I^2]_\infty = I^2$ .

**Lemma 19.** For  $n \in \{0, 1, \dots, \infty\}$ ,  $[\sigma]_n$  is a full and winning strategy.

*Proof.* All properties other than totality come from the fact that  $[\sigma]_n$  is a restriction of  $\sigma$ . To prove totality, a key observation is that every maximal sequence  $s \in [\mathbf{fix}]_n$  ends with a O-move. Thus every maximal interaction sequence  $s \in \mathbf{Int}(\sigma, [\mathbf{fix}]_n)$  ends with a O-move of  $(I^1 \Rightarrow I^2) \rightarrow \langle q_0 \rangle$ , since  $\sigma$  is contingent complete and total. Therefore if  $sm \in [\sigma]_n$  is maximal, then  $m$  is a P-move of  $(C \times I^1 \Rightarrow I^2)$ .  $[\sigma]_n$  is full by definition. □

If  $I = \{F_i : \bigwedge_j \tau_{i,j} \mid F_i \in \mathcal{N}\}$ , then the arena  $\llbracket \delta \rrbracket \Rightarrow (I^1 \Rightarrow I^2)$  can be decomposed as  $\prod_{i,j} (\llbracket \delta \rrbracket \Rightarrow (I^1 \Rightarrow \tau_{i,j}))$ . By the same way, the arena  $\llbracket \delta \rrbracket \Rightarrow [I^1 \Rightarrow I^2]_n$  is decomposed as  $\prod_{i,j} (\llbracket \delta \rrbracket \Rightarrow ([I^1]_{n,i,j} \Rightarrow [\tau_{i,j}]_n))$ .

Let  $[I^1]_n$  be the union of variable-type bindings corresponding to  $\bigcup_{i,j} [I^1]_{n,i,j}$  and  $[I^2]_n$  be the set of type bindings  $\{F_i : [\tau_{i,j}]_n\}_{i,j}$ .

**Lemma 20.** For all  $n \in \{0, 1, \dots, \infty\}$ , we have  $([I^1]_n \cup [I^2]_n) \preceq_O [I^1]_{n+1}$  and  $([I^1]_n \cup [I^2]_n) \preceq_P [I^2]_{n+1}$  (here  $\infty + 1 = \infty$ ).

*Proof.* Assume that  $[I^1]_n \cup [I^2]_n \not\subseteq [I^1]_{n+1} \cup [I^2]_{n+1}$ . Let  $m$  be an element of their difference. By definition of  $[I^1]_{n+1}$  and  $[I^2]_{n+1}$ , there is a sequence  $sm \in \mathbf{Int}(\Lambda(\sigma), [\mathbf{fix}]_{n+1})$  ending with  $m$ . Let  $s'm_0$  be the maximal prefix of  $sm$  such that  $s'm_0 \in \mathbf{Int}(\Lambda(\sigma), [\mathbf{fix}]_n)$ . Then  $m_0$  is a O-move of  $I^1$  or a P-move of  $I^2$ . (Otherwise  $m_0$  must be a move of  $C$ , that implies  $s'm_0$  is also maximal in  $\mathbf{Int}(\Lambda(\sigma), \mathbf{fix})$ , but this contradict to existence of its extension  $sm \in \mathbf{Int}(\Lambda(\sigma), [\mathbf{fix}]_{n+1})$ .) By the definition of  $[\mathbf{fix}]_{n+1}$ ,  $sm = s'm_0 m'_0 s''m$  for some  $s''$ , where  $m'_0$  corresponds to  $m_0$ . This interaction sequence is maximal. Therefore  $m$  is an O-move of  $I^1$  or a P-move of  $I^2$ . Moreover  $m$  is justified by a move of the view of  $s'm_0 m'_0 s''$ , i.e., a move of  $[I^1]_n \cup [I^2]_n$  or their counterpart. So the proposition holds. □

By Lemma 17 and Lemma 19, we have a relevant derivation of  $\delta \cup [I^1]_{n,i,j} \vdash \mathcal{R}(F_i) : \tau_{i,j}$ . Combination of these derivations and Lemma 20 leads to the next lemma.

**Lemma 21.**  $[I^1]_n \cup [I^2]_n \subseteq \mathbf{Expand}^n(\{S : q_0\})$ .

*Proof.* By induction on  $n$ . The case  $n = 0$  is trivial since  $[I^1]_0 = \emptyset$  and  $[I^2]_0 = \{S : q_0\}$ .

Suppose  $[I^1]_n \cup [I^2]_n \subseteq \mathbf{Expand}^n(\{S : q_0\})$ . We use the following proposition (see [9, Appendix C].)

If  $\Gamma \preceq_O \Gamma'$  and  $\theta \preceq_P \theta'$  and  $\Gamma' \vdash \mathcal{R}(F) : \theta'$ , then  $\Gamma' \cup \{F : \theta'\} \subseteq \mathbf{Expand}(\Gamma \cup \{F : \theta\})$ .

The lemma follows from the proposition and previous lemmas.  $\square$

**Conclusions and Further Directions** Two-level arena games are an accurate model of intersection types. Thanks to Subject Expansion, they are a useful semantic framework for reasoning about higher-order model checking.

For future work, we aim to (i) consider properties that are closed under disjunction and quantifications, and (ii) study a call-by-value version of intersection games. In orthogonal directions, it would be interesting to (iii) construct an intersection game model for untyped recursion schemes [10], and (iv) build a CCC of intersection games parameterised by an alternating parity tree automaton, thus extending our semantic framework to mu-calculus properties.

*Acknowledgement* This work is partially supported by Kakenhi 22 · 3842 and EPSRC EP/F036361/1. We thank Naoki Kobayashi for encouraging us to think about game-semantic proofs and for insightful discussions.

## References

1. Ong, C.H.L.: On model-checking trees generated by higher-order recursion schemes. In: LICS, IEEE Computer Society (2006) 81–90
2. Hyland, J.M.E., Ong, C.H.L.: On full abstraction for PCF: I, II, and III. *Inf. Comput.* **163**(2) (2000) 285–408
3. Kobayashi, N.: Types and higher-order recursion schemes for verification of higher-order programs. In Shao, Z., Pierce, B.C., eds.: *POPL, ACM* (2009) 416–428
4. Salvati, S.: On the membership problem for non-linear abstract categorical grammars. *Journal of Logic, Language and Information* **19**(2) (2010) 163–183
5. Kobayashi, N., Ong, C.H.L.: A type system equivalent to the modal mu-calculus model checking of higher-order recursion schemes. In: LICS, IEEE Computer Society (2009) 179–188
6. Hague, M., Murawski, A.S., Ong, C.H.L., Serre, O.: Collapsible pushdown automata and recursion schemes. In: LICS. (2008) 452–461
7. Salvati, S., Walukiewicz, I.: Krivine machines and higher-order schemes. In: ICALP (2). (2011) 162–173
8. Nielson, F.: Two-level semantics and abstract interpretation. *Theor. Comput. Sci.* **69**(2) (1989) 117–242
9. Kobayashi, N.: A practical linear time algorithm for trivial automata model checking of higher-order recursion schemes. In Hofmann, M., ed.: FOSSACS. Volume 6604 of Lecture Notes in Computer Science., Springer (2011) 260–274
10. Tsukada, T., Kobayashi, N.: Untyped recursion schemes and infinite intersection types. In Ong, C.H.L., ed.: FOSSACS. Volume 6014 of Lecture Notes in Computer Science., Springer (2010) 343–357