

# Automata, Logic and Games: Theory and Application

## Lecture 4. Higher-Order Model Checking 2 / 2

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## Overview of Part 2

- 1 Relating Families of Generators of Infinite Trees / Graphs.
- 2 Algorithmics and Expressivity
- 3 Reducing Model Checking to Type Inference
- 4 Compositional Model Checking of Higher-Type Böhm Trees
- 5 Practical Algorithms for Higher-Order Model Checking
- 6 Conclusions and Further Directions

Slides are viewable at

<http://www.cs.ox.ac.uk/people/luke.ong/personal/TACL15>

## Infinite structures generated by recursion schemes: key questions

- 1 **MSO decidability**: Is safety a genuine constraint for decidability?  
I.e. do trees generated by (arbitrary) recursion schemes have decidable MSO theories?
- 2 **Machine characterisation**: Find a hierarchy of automata that characterise the expressive power of recursion schemes.
- 3 **Expressivity**: Is safety a genuine constraint for expressivity?  
I.e. are there **inherently unsafe** word languages / trees / graphs?
- 4 **Graph families**:
  - 1 **Definition**: What is a good definition of “graphs generated by recursion schemes”?
  - 2 **Model-checking properties**: What are the decidable theories of the graph families?

## A Tale of Two Higher-Order Systems

<p><b>Safe Types</b> (Damm TCS 82)</p> $D_{i+1} := \bigcup_{k \geq 0} \underbrace{[D_i \times \cdots \times D_i]_k \rightarrow D_i}$ <p>Safety: awkward constraint but has clear algorithmic value</p>	<p><b>Simple Types</b> (Church JSL 40)</p> $\kappa := o \mid \kappa \rightarrow \kappa'$ <p>Natural and standard, semantically and in programming</p>
MSO model checking of <b>safe</b> recursion scheme is decidable (KNU 02)	<b>Q1:</b> MSO model checking of recursion scheme is decidable (O. 06)
Order- $n$ <b>safe</b> RS $\equiv$ order- $n$ PDA (Damm 82, KNU 02)	<b>Q2:</b> Order- $n$ RS $\equiv$ ?
	<b>Q3:</b> Are there inherently unsafe word languages / trees / graphs?
Hierarchy is strict (Damm 82)	?
Word languages are context-sensitive (Inaba & Maneth 08)	?

## Q1. (cont'd) Four different proofs of the MSO decidability result

- 1 Game semantics and traversals (O. LICS06)
  - variable profiles
- 2 Collapsible pushdown automata (Hague, Murawski, O. & Serre LICS08)
  - equi-expressivity theorem + rank aware automata
- 3 Type-theoretic characterisation of APT (Kobayashi & O. LICS09)
  - intersection refinement types
- 4 Krivine machines (Salvati & Walukiewicz ICALP11)
  - residuals

### A common pattern

- 1 Decision problem equivalent to solving an infinite parity game.
- 2 Simulate the infinite parity game by a finite parity game.
- 3 Key ingredient of the game: variable profiles / automaton control-states / intersection types / residuals.

## Q2: Machine characterisation: collapsible pushdown automata

Order-2 **collapsible** pushdown automata [HOMS, LiCS 08a] are essentially the same as **2PDA with links** [AdMO 05] and **panic automata** [KNUW 05].

**Idea:** Each stack symbol in 2-stack “remembers” the stack content at the point it was first created (i.e.  $push_1$ ed onto the stack), by way of a pointer to some 1-stack underneath it (if there is one such).

**Two new stack operations:**  $a \in \Gamma$  (stack alphabet)

- $push_1 a$ : pushes  $a$  onto the top of the top 1-stack, together with a pointer to the 1-stack immediately below the top 1-stack.
- $collapse$  (= **panic**) collapses the 2-stack down to the prefix pointed to by the  $top_1$ -element of the 2-stack.

Note that the pointer-relation is preserved by  $push_2$ .

## Example: Urzyczyn's Language $U$ over alphabet $\{ (, ), * \}$

**Definition** (Aehlig, de Miranda + O. FoSSaCS 05) A  $U$ -word has 3 segments:

$$\underbrace{(\dots(\dots( (\dots)\dots(\dots) )\dots)}_A \underbrace{)\dots)}_B \underbrace{*\dots*}_C$$

- Segment  $A$  is a prefix of a well-bracketed word that ends in  $($ , and the opening  $($  is **not** matched in the entire word.
- Segment  $B$  is a well-bracketed word.
- Segment  $C$  has length equal to the number of  $($  in segment  $A$ .

### Examples

- 1  $((()((())***)$  is a  $U$ -word
- 2 For each  $n \geq 0$ , we have  $((^n)^n (*^{n+2})$  is a  $U$ -word.  
Hence by “ $uvwxy$  Lemma”,  $U$  is not context-free.

Recognising  $U$  by a (det.) 2CPDA. E.g.  $((()) (**)) \in U$   
 (Ignoring control states for simplicity)

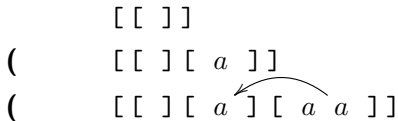
Upon reading	Do
( ) first * subsequent *	$push_2 ; push_1 a$ $pop_1$ $collapse$ $pop_2$

[[ ]]  
 ([ [ ] [ a ] ])



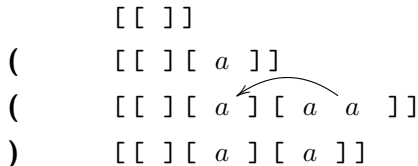
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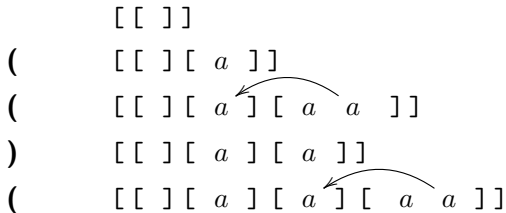
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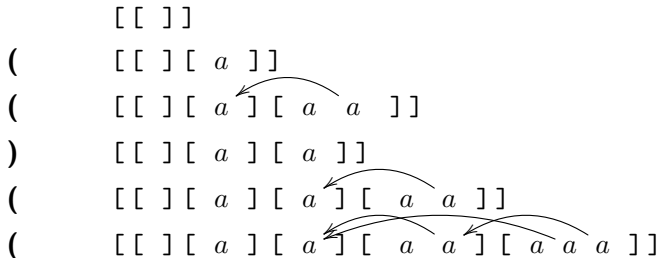
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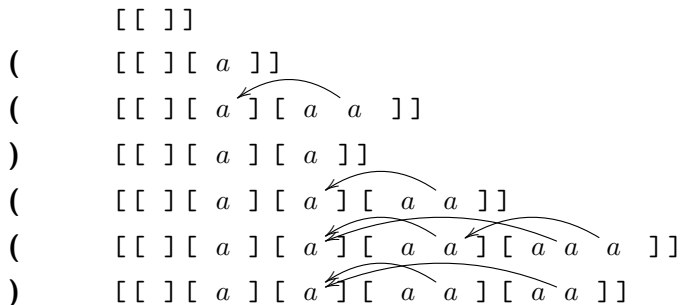
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 (Ignoring control states for simplicity)

Upon reading	Do
(	$push_2 ; push_1 a$
)	$pop_1$
first *	$collapse$
subsequent *	$pop_2$



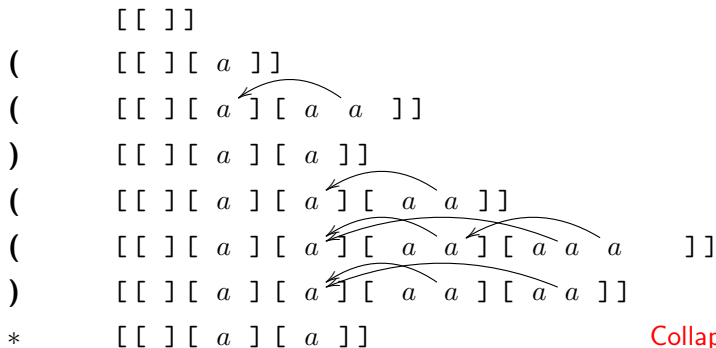
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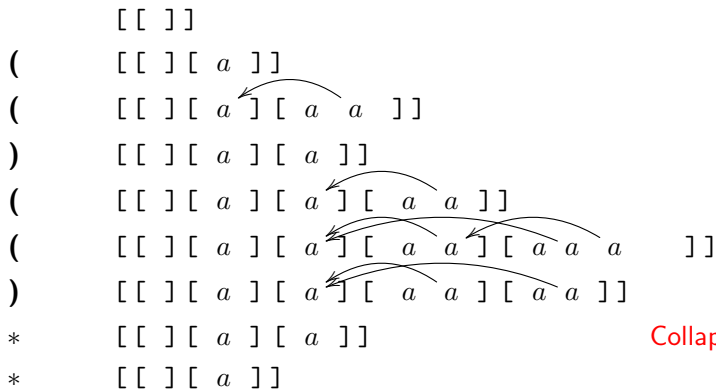
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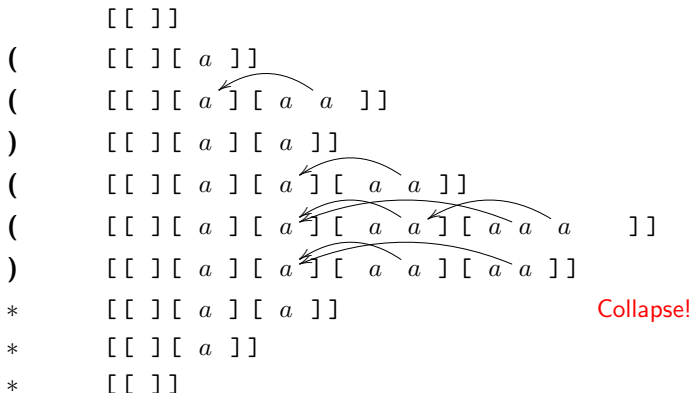
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Recognising  $U$  by a (det.) 2CPDA. E.g.  $((()) (**)) \in U$   
 (Ignoring control states for simplicity)

Upon reading	Do
(	$push_2 ; push_1 a$
)	$pop_1$
first *	$collapse$
subsequent *	$pop_2$



What does the height of the top 1-stack measure?



## Is order- $n$ CPDA strictly more expressive than order- $n$ PDA?

Equivalently, does the *collapse* operation add any expressive power?

Lemma (AdMO FoSSaCS05): Urzyczyn's language  $U$  is quite telling!

- 1  $U$  is *not* recognised by any 1PDA.
- 2  $U$  is recognised by a **non-deterministic** 2PDA.
- 3  $U$  is recognised by a **deterministic** 2CPDA.

### Question

- 1 *Is  $U$  recognisable by a deterministic 2PDA?*
- 2 *More generally, is  $U$  recognisable by a deterministic  $n$ PDA for any  $n$ ?*

If answer (to 1) is no, then there is an associated tree that is generated by an order-2 recursion scheme, but not by any order-2 **safe** recursion scheme.

## Q2: Machine characterization: order- $n$ RS = order- $n$ CPDA

Theorem (Equi-expressivity [Hague, Murawski, O. & Serre LICS08])

For each  $n \geq 0$ , *order- $n$  collapsible PDA* and *order- $n$  recursion schemes* are equi-expressive for  $\Sigma$ -labelled trees.

### Proof idea

- **From recursion scheme to CPDA:** Use game semantics [Hyland & O. 00] Idea: code traversals as  $n$ -stacks.  
**Invariant:** The top 1-stack is the **P-view** of the encoded traversal.  
For a direct proof (no game semantics), see [Carayol & Serre LICS12].
- **From CPDA to recursion scheme:**  
Code CPDA configuration  $c$  as a term  $M_c$ , so that  $c$  transitions to  $c'$  in CPDA implies  $M_c$  rewrites to  $M_{c'}$ .

Order- $n$  CPDA are a machine characterization of order- $n$  simply-typed lambda calculus with recursion.

### Q3: Is safety a genuine constraint on expressivity? (1)

#### Question (Safety, KNW FoSSaCS02)

*Are there inherently unsafe word languages / trees / graphs?*

**Word languages?** Yes

#### Theorem (Parys STACS11, LICS12)

*There is a language (essentially  $U$ ) recognised by a **deterministic** 2CPDA but not by any **deterministic**  $n$ PDA for all  $n \geq 0$ .*

Proof uses a powerful pumping lemma for HOPDA.

Another pumping lemma for  $n$ CPDA is used to prove a **hierarchy theorem** for collapsible graphs and unsafe trees [Kartzow & Parys, MFCS12].

Kobayashi (LICS13) gives a simpler proof of a pumping lemma (hence hierarchy theorem) using intersection types.

### Q3: Is safety a genuine constraint on expressivity? (2)

Are there inherently unsafe trees?

Yes

Theorem (Parys STACS11, LICS12)

*There is a tree generated by an order-2 recursion scheme, but not by a safe order- $n$  RS, for any  $n$ .*

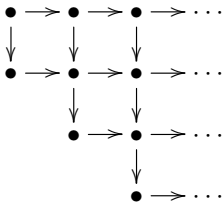
The tree is constructed from language  $U$ .

Are there inherently unsafe graphs? **Yes.**

Theorem (Hague, Murawski, O and Serre LICS08)

*Solvability of parity games over  $n$ CPDA graphs is  $n$ -EXPTIME complete.*

There is an MSO interpretation of the configuration graph of a 2CPDA configuration graph that give the “infinite half grid” which has an undecidable MSO theory.



**Corollary** There is a 2CPDA whose configuration graph (semi-infinite grid) is not that of any  $n$ PDA, for any  $n$ .

## A safety question for non-determinacy

### Question (Safety for Non-determinacy)

*Is there a word language recognised by a order- $n$  CPDA which is not recognisable by any **non-deterministic** higher-order PDA?*

For order 2, the answer is no.

### Theorem (Aehlig, de Miranda and O. FoSSaCS 2005)

*For every order-2 recursion scheme, there is a safe **non-deterministic** order-2 recursion scheme that generates the same word language.*

## Summary: A Tale of Two Higher-Order Systems

<b>Safe Types</b> (Damm 82) $D_{i+1} := \bigcup_{k \geq 1} \underbrace{[D_i \times \dots \times D_i]_k \rightarrow D_i]$	<b>Types</b> (Church JSL 40) $\kappa := o \mid \kappa \rightarrow \kappa'$
MSO model checking of <b>safe</b> recursion schemes is decidable [KNU 02]	<b>Q1:</b> MSO model checking of recursion schemes is decidable [O. 06]
Order- $n$ <b>safe</b> RS $\equiv$ order- $n$ PDA [Damm 82, KNU 01]	<b>Q2:</b> Order- $n$ RS $\equiv$ order- $n$ CPDA [Hague, Murawski, O. & Serre 08]
	<b>Q3a:</b> Inherently unsafe trees exist. [Parys 12]
	<b>Q3b:</b> Inherently unsafe graphs exist. [Hague, Murawski, O. & Serre 08]
Hierarchy is strict [Damm 82]	Hierarchy is strict [Kartzow & Parys 12]
Word languages are context-sensitive [Inaba & Maneth 08]	Order-3 unsafe word languages are context-sensitive (Kobayashi et al. 14)

## Parity Games, Mu-Calculus and APT are Equivalent

**Mu-Calculus**: modal logic extended with least and greatest fixpoint operators. (Scott, de Bakker; Kozen 82)

Mu-calculus and MSOL are equi-expressive for tree languages (Niwinski ?).

Mu-calculus is the bisimulation-invariant fragment of MSOL (JW 96).

**Mu-calculus Model Checking Problem and PARITY are inter-reducible**

$\Rightarrow$ : Essentially: Fundamental Semantic Theorem [Streett and Emerson Info & Comp 1989]

$\Leftarrow$ : E.g. [Walukiewicz Info & Comp 2001]

**Mu-calculus and APT are effectively equi-expressive for tree languages**  
[Emerson & Jutla FoCS 91]

$\Rightarrow$ : E.g. [Kupferman & Vardi JACM 2000]

$\Leftarrow$ : E.g. [Walukiewicz Info & Comp 2001]



Theorem (Kobayashi + O. LiCS 2009)

*Given an APT  $\mathcal{A}$  (equivalently MSO or mu-calculus formula) there is a type system  $\mathcal{K}_{\mathcal{A}}$  such that for every HORS  $G$ ,  $\text{tree}(G)$  is accepted by  $\mathcal{A}$  iff  $G$  is  $\mathcal{K}_{\mathcal{A}}$ -typable.*

## Refinement intersection types embedded with states and priorities

Fix an APT  $\mathcal{A} = (\Sigma, Q, \delta, q_I, \Omega)$ .

Construct **refinement types** from **states**  $q \in Q$  and **priorities**  $m_i$ .

**Refinement type (simply type)**  $\theta ::= q \mid \tau \rightarrow \theta$

**Intersection**  $\tau ::= \bigwedge_{i=1}^l (\theta_i, m_i)$

Thus a refinement type has the form

$$\tau_1 \rightarrow \cdots \rightarrow \tau_n \rightarrow q$$

where each  $\tau_i$  is an intersection. Write  $\top = \bigwedge \emptyset$ .

Extend  $\Omega$  to a priority map on types:

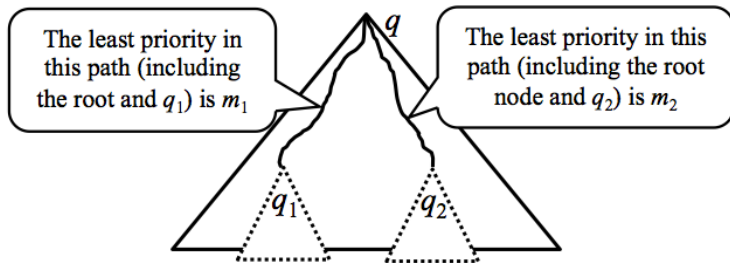
$$\Omega(\tau_1 \rightarrow \cdots \rightarrow \tau_n \rightarrow q) := \Omega(q).$$

# Intuition

Regard automaton states as the base types i.e. types of trees

- $q$  is the type of trees accepted by the automaton from state  $q$
- $q_1 \wedge q_2$  is the type of trees accepted from both  $q_1$  and  $q_2$
- $\tau \rightarrow q$  is the type of functions that take a tree of type  $\tau$  and return a tree of type  $q$

A tree function described by  $(q_1, m_1) \wedge (q_2, m_2) \rightarrow q$ .



(The above is a tree context of a run-tree, not the generated tree.)

## Typing judgments $\Gamma \vdash t : \theta$

where **environment**  $\Gamma$  is a finite set of **bindings** of the form  $F : (\theta, m)$  or  $x : (\theta, m)$ , and  $F \in \mathcal{N}$  ranges over function symbols of the HORS.

**Typing System**  $\mathcal{K}_{\mathcal{A}}$ : Validity is defined by induction over four rules.

$$\frac{}{x : (\theta, \Omega(\theta)) \vdash x : \theta} \quad (\text{T-VAR})$$

$$\frac{\Gamma, x : \bigwedge_{i \in I} (\theta_i, m_i) \vdash t : \theta \quad I \subseteq J}{\Gamma \vdash \lambda x. t : \bigwedge_{i \in J} (\theta_i, m_i) \rightarrow \theta} \quad (\text{T-ABS})$$

$$\frac{\Gamma_0 \vdash s : \bigwedge_{i=1}^k (\theta_i, m_i) \rightarrow \theta \quad \Gamma_i \vdash t : \theta_i \ (\forall i \in \{1, \dots, k\})}{\Gamma_0 \cup (\Gamma_1 \uparrow m_1) \cup \dots \cup (\Gamma_k \uparrow m_k) \vdash s t : \theta} \quad (\text{T-APP})$$

where  $\Gamma \uparrow m := \{ F : (\theta, \max(m, m')) \mid F : (\theta, m') \in \Gamma \}$ .

Note: no weakening.)

## A Typing Parity Game: Assume HORS $G$ & APT $\mathcal{A} = \langle \Sigma, Q, \delta, q_I, \Omega \rangle$

Verifier's vertices are **bindings**  $F : (\theta, m)$ , with priority  $\Omega(\theta)$ .

Refuter's vertices are **environments**, ranged over by  $\Gamma$ , with priority 0.

Edge set of the (bipartite) digraph is defined as:

$$\{ (F : (\theta, m), \Gamma) \mid \Gamma \vdash rhs(F) : \theta \} \cup \{ (\Gamma, F : (\theta, m)) \mid F : (\theta, m) \in \Gamma \}$$

This defines a **finite** parity game.

**Idea:** Verifier makes typing assertions; Refuter challenges the assumptions (bindings in environment).

- **Start vertex:**  $S : (q_I, \Omega(q_I))$ .
- Given a binding  $F : (\theta, m)$ , **Verifier** chooses an environment  $\Gamma$  such that  $\Gamma \vdash rhs(F) : \theta$  is valid.
- Given  $\Gamma$ , **Refuter** chooses a binding  $F : (\theta, m)$  in  $\Gamma$ , and challenges Verifier to prove that  $F$  has type  $\theta$ .

We say that  $G$  is **typable** just if Verifier has a winning strategy.

## Reducing APT Model Checking to a Typing Parity Game

### Theorem (Correctness)

*Given an APT  $\mathcal{A}$  there is a type system  $\mathcal{K}_{\mathcal{A}}$  such that for every HORS  $G$ ,  $\text{tree}(G)$  is accepted by  $\mathcal{A}$  iff  $G$  is  $\mathcal{K}_{\mathcal{A}}$ -typable.*

**Parameterised complexity:** There is a fixed-parameter polytime (in the size of HORS) type inference algorithm for  $\mathcal{K}_{\mathcal{A}}$ .

Using an upper bound for PARITY, the runtime<sup>1</sup> is

$$O(r^{1+\lfloor p/2 \rfloor} \mathbf{exp}_n((a \cdot |Q| \cdot p)^{1+\epsilon}))$$

where

- $n$  and  $r$  are respectively the order and number of rules of the HORS
- $a$  is largest arity of the types
- $p$  and  $|Q|$  are respectively the number of priority and states of the APT.

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<sup>1</sup> $\mathbf{exp}_0(x) = x$ ;  $\mathbf{exp}_{k+1}(x) := 2^{\mathbf{exp}_k(x)}$ .

- 1 Like (most of) standard model checking, higher-order model checking is a **whole program analysis**. This can seem surprising: higher order is *supposed* to aid modular structuring of programs!
- 2 Parity games are central to model checking (of reactive systems). We don't know how to compose parity game.  
A foundational problem for higher-order model checking: **we lack a cartesian closed category of parity games!**
- 3 There are several algorithms for model checking ground-type trees (= trees **without** binders). But we do not know how to model check **higher-order trees** i.e. **Böhm trees**.
- 4 The elegant theorems of “Rabin’s Heaven” fail in the world of Böhm trees.  
**What is the appropriate (decidable) logical theory for Böhm trees?**

# Theorems of “Rabin’s Heaven” do not hold for Böhm trees

- 1 A  $\lambda Y$ -definable Böhm tree with undecidable MSO theory  
(Salvati; Clairambault & Murawski FSTTCS 2013)

$BT(Y(\lambda f.\lambda y^o.\lambda x^{o \rightarrow o}.b(xy)(f(xy))))a$

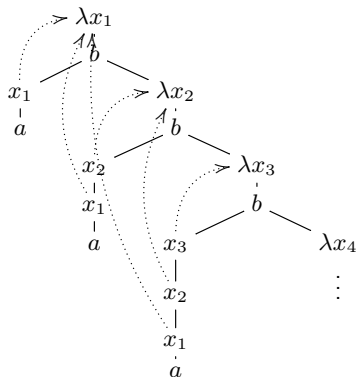
However the question whether a given  $\lambda Y$ -definable Böhm tree has a given intersection type is decidable.

E.g. the property

“there are only finitely many occurrences of bound variables in each branch”

is describable as an intersection type.

- 2 Emptiness of Stirling’s alternating dependency tree automata—a compelling device for analysing Böhm trees—is undecidable.  
(O. & Tzevelekos LICS 2009)





## Type-Checking Game

$$U \models \tau$$

“Verifier has a winning strategy in the game that checks Böhm tree  $U$  has type  $\tau$ ”

Formulas  $\tau$  are a slight variant of the types in (Kobayashi & O. LICS 2009), parameterised by base types  $Q$ , and a **winning condition**  $(\mathbb{E}, \mathbb{F}, \Omega)$ , which is an algebraic abstraction of the  $\omega$ -regular winning conditions:

$$\begin{array}{ll} \text{prime types} & \tau, \sigma ::= q \mid \alpha \rightarrow \tau \\ \text{intersection types} & \alpha, \beta ::= \bigwedge_{i \in I} \langle \tau_i; e_i \rangle \end{array}$$

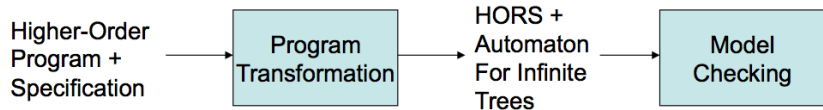
where  $q \in Q$ ,  $e_i \in \mathbb{E}$  (**effect set**), and  $I$  is a finite indexing set.

## Some Results (Tsukada & O. LICS 2014)

- 1  $\models$  conservatively extends the MSO properties of trees (without binders).
- 2 **Decidability of  $\lambda\mathbf{Y}$ -definable Böhm trees:** It is decidable, given a  $\lambda\mathbf{Y}$ -term  $M$  and  $\tau$ , whether  $\models \text{BT}(M) : \tau$ .
- 3 **Two-Level Compositionality:**
  - ▶ If Böhm trees  $U$  and  $V$  are composable, then the set of properties (i.e. types) of  $U \circ V$  is completely determined by those of  $U$  and of  $V$ .
  - ▶ Further if  $\models U : \tau$  and  $\models V : \sigma$  imply  $\models U \circ V : \delta$ , then the winning strategies  $s_U^\tau$  of  $\models U : \tau$  and  $s_V^\sigma$  of  $\models V : \sigma$  are composable, and yield a winning strategy  $s_U^\tau \circ s_V^\sigma$  of  $\models U \circ V : \delta$ .
- 4 **Effective Selection:** If  $\models \text{BT}(M) : \tau$  then there exists, constructively, a  $\lambda\mathbf{Y}$ -definable winning strategy of  $\models \text{BT}(M) : \tau$ .
- 5 **Transfer Theorem:**  $\Gamma \vdash M : \tau$  iff  $\Gamma \models \text{BT}(M) : \tau$ .

Underpinning the above is a **cartesian closed category of  $\omega$ -regular games**. They give a “runnable” or **strategy-aware model**, which can be used to model check higher-type Böhm trees.

## Verification Problem: “Does $\mathcal{P}$ satisfy specification $\varphi$ ?”



### Safety Verification by Reduction to Higher-Order Model Checking [Kobayashi POPL09]

This method is **fully automatic**, **sound** and **complete** for

- **functional boolean programs** (simply-typed  $\lambda$ -calculus + recursion + finite base types)
- many verification problems; e.g. resource usage, reachability and flow analysis.

Brute-force search of the state space will not work!

Assume a 2-state automaton.

Order	Types $\kappa$	# Refinement Types of $\kappa$ $\rho(\kappa)$
0	$o$	2
1	$o \rightarrow o$	$2^2 \times 2 = 8$
2	$(o \rightarrow o) \rightarrow o$	$2^8 \times 2 = 512$
3	$((o \rightarrow o) \rightarrow o) \rightarrow o$	$2^{513} \approx 10^{154} \gg \# \text{ atoms in universe!}$

Note:  $\rho(\kappa_1 \rightarrow \kappa_2) = 2^{\rho(\kappa_1)} \times \rho(\kappa_2)$

An intensively active and competitive research topic: are there **practical** algorithms for model checking HORS?

**Working Hypothesis:** The worst-case complexity is realised only by pathological / contrived examples, not by programs that humans write.

<sup>2</sup>On realistic examples, terminate in minutes rather than months or years.

Recall different proofs of the MSO decidability of HORS:

(G) Game semantics [O. LICS06]

(C) Collapsible PDA [Hague, Murawski, O. & Serre LICS08]

(T) Intersection refinement types [Kobayashi POPL09; K. & O. LICS09]

Each has been the basis of attempts to construct practical algorithms.

Algorithm	Basis	Properties	Propagation	
TRecS	T	trivial	forward	Tohoku, 2009
GTRecS1 & 2	G	trivial	forward	Tohoku, 2011
TravMC	G	trivial	forward	Oxford, 2012
C-SHORE	C	co-trivial	backward	RHL, TUM, LIAFIA, '13
HorSat	C	co-trivial	backward	Tokyo, 2013
HorSatT	C/T	trivial	mixed	Tokyo, 2013

None of the above can scale robustly beyond HORS of a few hundred rules!

Based on refinement types, but uses **abstraction refinement**.

**Input:** HORS  $G$ , alternating trivial automaton  $\mathcal{A} = \langle \Sigma, Q, \delta, q_I \rangle$

**Output:** YES if  $\mathcal{A}$  accepts  $\text{tree}(G)$ ; NO otherwise.

Preface constructs an eventually stable sequence of type contexts  $(C_i)_{i \in \omega}$ :

$$\begin{aligned} C_0 &= \langle \Gamma_{\exists}^0, \Gamma_{\forall}^0 \rangle &= \langle \emptyset, \emptyset \rangle \\ C_{k+1} &= \langle \Gamma_{\exists}^{k+1}, \Gamma_{\forall}^{k+1} \rangle &= \langle \Gamma_{\exists}^k \uplus \text{env}_A(C_k), \Gamma_{\forall}^k \uplus \text{env}_R(C_k) \rangle \end{aligned}$$

with limit  $C = \langle \Gamma_{\exists}, \Gamma_{\forall} \rangle$ . If  $S : q_I \in \Gamma_{\exists}$  return YES: return NO otherwise.

**Invariant:** For each  $k \geq 0$

- Verifier has a winning strategy in typing parity game induced by  $(\Gamma_{\exists}^k, \mathcal{A})$ .
- Verifier has a winning strategy in typing parity game induced by  $(\Gamma_{\forall}^k, \neg \mathcal{A})$ .

**Variant (Termination).**  $(S : q_I \in \Gamma_{\exists}) \vee (\text{env}_R(C_k) \setminus \Gamma_{\forall} \neq \emptyset)$ .

<sup>3</sup><http://mjolnir.cs.ox.ac.uk/web/preface>

## Category 1 Benchmarks (Times in seconds.)

Benchmark	Rules	Order	Decision	Preface	TRecS
map_filter-e	64	5	R	0.53	0.01
fold_left	65	4	A	0.39	0.03
fold_right	65	4	A	0.39	0.03
forall_eq_pair	66	4	A	0.39	0.03
forall_leq	66	4	A	0.39	0.03
a-cppr	74	3	R	0.38	0.01
search-e	96	5	R	0.90	0.01
search	119	4	A	0.46	1.04
map_filter	143	5	A	0.51	0.13
risers	148	5	A	0.44	0.33
r-file	156	2	A	0.82	1.50
fold_fun_list	197	6	A	0.44	0.89
zip	210	3	A	0.58	15.10

JIT compilation on Mono incurs a performance overhead.

When compiled ahead-of-time on Windows, Preface solves all the above in  $< 0.05$  sec, though still slightly slower than TRecS.

**General Trend:** Preface overtakes TRecS for larger HORS ( $> 200$  rules).

## Category 2 Benchmarks

Benchmark	Rules	Order	Preface	HorSat	HorSatT	C-SHORE	GTRecS2
cfa-psdes	237	7	0.51	0.28	1.81	3.44	–
cfa-matrix-1	383	8	0.61	0.73	6.30	18.58	–
cfa-life2	898	14	1.46	5.94	–	–	–

Instances arising from a control flow analysis tool. cfs-life2 has arity 29!

## Category 3 Benchmarks

Benchmark	Rules	Order	Preface	HorSat	HorSatT	C-SHORE	GTRecS2
exp2-1600	1606	2	8.39	–	–	–	10.47
exp2-3200	3206	2	17.51	–	–	–	59.13
exp2-6400	6406	2	39.58	–	–	–	–
exp2-12800	12806	2	92.19	–	–	–	–
exp4-400	408	4	14.12	–	106.53	–	–
exp4-800	808	4	30.55	–	–	–	–
exp4-1600	1608	4	71.06	–	–	–	–
exp4-3200	3208	4	–	–	–	–	–

These order- $n$  RS generate  $\text{exp}_n$ -sized trees (hence exercising their full power); their certificates are proportional to the number of rules.

“–” means TIMEOUT; set to 2 mins.

**Conclusion:** Preface scales readily to thousands of rules, well-beyond the capabilities of state-of-the-art HOMC tools.



## Reality check: How far are we from verifying all of (say) Haskell?

HORS do **not** model:

- 1 algebraic data types and infinite data structures (e.g. integers)
- 2 function definition by pattern matching.

An approach based on **pattern-matching recursion schemes (PMRS)**  
[O. & Ramsay POPL11, ICFP12]

- **PMRS is a good model of functional programs**: PMRS is essentially the IR of Glasgow Haskell Compiler less the  $F_\omega$ -types
- **Verification problem is undecidable**: use static (flow) analysis + higher-order model checking + CEGAR loop.

**Realistic Goal**: Verify thousands of SLOC in seconds; or verify Haskell libraries in tens of seconds.

**Questions**: How does the model checking compare with (i) other approaches to verify functional programs? (ii) model checking of C programs?

## Conclusions

- Higher-order model checking is challenging and worthwhile.
- HORS are a robust and highly expressive grammar for infinite trees. They have rich algorithmic properties.
- Recent progress in the theory have benefitted from semantic methods (game semantics and types), in conjunction with more standard techniques from algorithmic verification.
- Despite prohibitive (hyper-exponential) complexity, there is growing evidence that practical HOMC algorithms are possible.