

# Infinite Trees, Higher-Order Recursion Schemes and Game Semantics

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# Abstract

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**Higher-order recursion schemes** are a natural (and old) model of programs. They define a family of finitely branching infinite term-trees, which forms an infinite hierarchy according to their type-theoretic level.

Building on the famous work of Rabin 1969 and others, Knapik *et al.* (FOSSACS 2002) proved that the MSO theories of all such trees are decidable, provided the generating recursion scheme satisfies a syntactic constraint called **safety**. Is the safety assumption really necessary?

We prove that

- (i) The modal mu-calculus model-checking problem for trees generated by level- $n$  recursion schemes is  $n$ -EXPTIME complete, for all  $n \geq 0$ .
- (ii) Hence **trees generated by recursion schemes of every level, whether safe or not, have decidable MSO theories.**

In this talk, we survey the area, explain the result, and briefly sketch a game-semantic proof.

# Outline of Talk

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1. **Level- $n$  Recursion Schemes and their Value Trees**
2. A Model-Checking Problem
3. Knapik-Niwiński-Urzyczyn Hierarchy of Safe Trees, and the Safe Lambda Calculus
4. The Theorem and Proof Outline

# Level- $n$ Recursion Scheme $G = (\mathcal{N}, \Sigma, \mathcal{R}, S)$

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Fix a set  $Var$  of simply-typed variables.

- $\mathcal{N}$ : Simply-typed **non-terminals** of level (= order) at most  $n$

$$D : A_1 \rightarrow \cdots \rightarrow A_m \rightarrow o$$

including a distinguished **start symbol**  $S : o$ .

- $\Sigma$ : **Terminals**  $f : \underbrace{o \rightarrow \cdots \rightarrow o}_k \rightarrow o$  (written  $o^k \rightarrow o$ ) with  $k \geq 0$

- $\mathcal{R}$ : **Equations** for non-terminals  $D : A_1 \rightarrow \cdots \rightarrow A_m \rightarrow o$  of the shape

$$D \varphi_1 \cdots \varphi_m = e$$

where the **applicative term**  $e : o$  is constructed from

- terminals  $f, g, a$ , etc. from  $\Sigma$
- variables  $\varphi_1 : A_1, \dots, \varphi_m : A_m$  from  $Var$ ,
- non-terminals  $D, F, G$ , etc. from  $\mathcal{N} - \{ S \}$

# Examples

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Set  $\Sigma = \{ f, f' : o^2 \rightarrow o, g : o \rightarrow o, a : o \}$ .

**1. A level-0 example:** No variables.

$$G_1 : \begin{cases} S = f T T \\ T = f' U U \\ U = f T T \end{cases}$$

**2. A level-2 example.**

$B : (o \rightarrow o) \rightarrow (o \rightarrow o) \rightarrow o \rightarrow o, \quad F : (o \rightarrow o) \rightarrow o$

$$G_2 : \begin{cases} S = F g \\ B \varphi \psi x = \varphi (\psi x) \\ F \varphi = f (\varphi a) (F (B \varphi \varphi)) \end{cases}$$

## [[ G ]]: Value Tree (or Denotation) of a Recursion Scheme G

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The *value tree* [[ G ]] of a (deterministic) recursion scheme G is a possibly infinite applicative term *constructed from the terminals*, which is obtained by unfolding the equations *ad infinitum*, replacing formal by actual parameters each time, starting from S.

**Example.**  $\Sigma = \{ f, g, a \}$ . Take

$$G_1 : \begin{cases} S & = & F a \\ F x & = & f x (F (g x)) \end{cases}$$

We have  $[[ G_1 ]] = f a (f (g a) (f (g (g a))(\dots)))$ .

**We view the infinite term [[ G ]] as a  $\Sigma$ -tree (generated by G).**

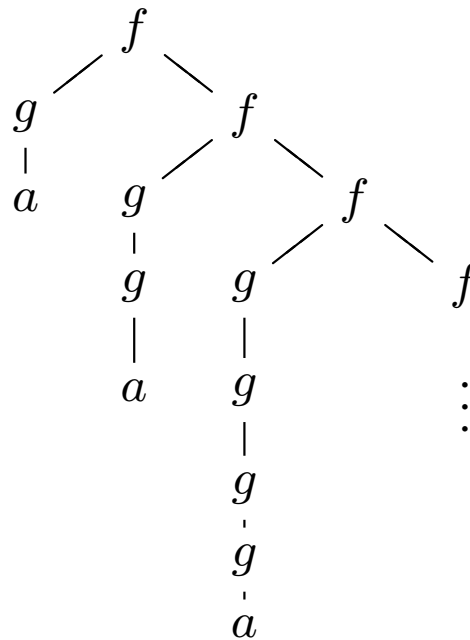
Formally a  $\Sigma$ -tree is a function  $t : T \longrightarrow \Sigma$  such that  $T \subseteq \{ 1, \dots, m \}^*$  is prefix-closed, and for all occurrences  $\alpha \in T$ , the symbol  $t(\alpha) \in \Sigma$  has arity  $k$  iff  $\alpha$  has  $k$  children, which must be  $\alpha 1, \dots, \alpha k \in T$ .

## A level-2 example.

$$\Sigma = \{ f, g, a \}. \quad B : (o \rightarrow o) \rightarrow (o \rightarrow o) \rightarrow o \rightarrow o, \quad F : (o \rightarrow o) \rightarrow o$$

$$G_2 : \begin{cases} S & = & F g \\ B \varphi \psi x & = & \varphi (\psi x) \\ F \varphi & = & f (\varphi a) (F (B \varphi \varphi)) \end{cases}$$

$$\text{The value tree, } \llbracket G_2 \rrbracket : \{ 1, 2 \}^* \longrightarrow \Sigma, \text{ is: } \begin{cases} \epsilon & \mapsto & f & & 11 & \mapsto & a \\ 1 & \mapsto & g & & 21 & \mapsto & g \\ 2 & \mapsto & f & & 22 & \mapsto & f \\ & & \dots & & \dots & & \dots \end{cases}$$



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# Model Checking Problem

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Parametrized over logical language  $\mathcal{L}$  and level  $n$ .

## MODEL CHECKING PROBLEM ( $\mathcal{L}$ , LEVEL- $n$ $\Sigma$ -TREES)

$\left\{ \begin{array}{l} \text{INSTANCE:} \quad \text{A level-}n \text{ recursion scheme } G, \text{ and a formula } \varphi \in \mathcal{L} \\ \text{QUESTION:} \quad \text{Does the } \Sigma\text{-tree } \llbracket G \rrbracket \text{ satisfy } \varphi? \end{array} \right.$

We consider  $\mathcal{L} =$

- Monadic Second-Order (MSO) Logic, and
- Modal mu-calculus.

## A fundamental direction in Verification:

Find classes of finitely-presentable infinite structures (e.g. trees, graphs, etc.) whose MSO model-checking problem is decidable.

# Monadic Second-Order Logic (for $\Sigma$ -trees $t : T \longrightarrow \Sigma$ )

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First-order variables:  $x, y, z$ , etc. (ranging over *nodes*, which are finite words over  $\{1, \dots, m\}$ , for a fixed  $m$ )

Second-order variables:  $X, Y, Z$ , etc. (ranging over *sets* of nodes i.e. *monadic* relations)

MSO formulas are built up from **atomic formulas**:

1. **Parent-child relationship between nodes**:  $\mathbf{d}_i(x, y) \equiv$  “ $y$  is  $i$ -child of  $x$ ”
2. **Node labelling**:  $\mathbf{p}_f(x) \equiv$  “ $x$  has label  $f$ ” where  $f$  is a  $\Sigma$ -symbol
3. **Set-membership**:  $x \in X$

and closed under

- boolean connectives:  $\neg, \vee, \wedge, \rightarrow$
- first-order quantifications:  $\forall x. -, \exists x. -$
- second-order quantifications:  $\forall X. -, \exists X. -$ .

# Why MSO Logic?

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It is a kind of gold standard!

**MSO is *very expressive*.** Over graphs, MSO is strictly more expressive than modal mu-calculus, into which all standard temporal logics (e.g. LTL, CTL, CTL\*, etc.) can embed.

**Over trees, modal mu-calculus is as expressive as (but algorithmically more tractable than) MSO:** For every MSO  $\varphi$ , there is a modal mu-calculus formula  $p_\varphi$  s.t. for every  $\Sigma$ -tree  $t$ , we have  $t \models \varphi \iff t, \epsilon \models p_\varphi$ .

**Any obvious extension of MSO would break decidability.** Either of the following would permit an encoding of a Turing machine:

- Second-order quantification over binary relations.
- Freely interpretable binary relations in the vocabulary.

E.g.  $T_a(i, t) =$  “ $i$ -th cell of the semi-infinite tape contains  $a \in \Sigma$  at time  $t$ ”.

## Examples of MSO-definable properties of trees

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Several useful relations are definable:

1. **Set inclusion** (and hence equality):  $X \subseteq Y \equiv \forall x . x \in X \rightarrow x \in Y$ .
2. **“Is-an-ancestor-of” or prefix ordering**  $x \leq y$  (and hence  $x = y$ ):

$$\text{PrefCl}(X) \equiv \forall xy . y \in X \wedge \bigvee_{i=1}^m \mathbf{d}_i(x, y) \rightarrow x \in X$$

$$x \leq y \equiv \forall X . \text{PrefCl}(X) \wedge y \in X \rightarrow x \in X$$

### Examples:

- **Reachability property**: “ $X$  is a path”
- “ $X$  is a **cut**” i.e. no two nodes in it are  $\leq$ -compatible, and it has a non-empty intersection with every maximal path; “ $X$  is finite”.
- **Recurrence condition**: “There are **infinitely** many occurrences of the symbol  $f : o \rightarrow o$ .”

**But “MSO cannot count”**: E.g. “ $X$  has twice as many elements as  $Y$ ”.

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## Structures with decidable MSO theories: *some milestones*

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1. **Rabin 1969**: Regular trees. “*Mother of all decidability results*”
2. **Muller and Schupp 1985**: Configuration graphs of pushdown automata.
3. **Caucal (ICALP 1996)**: Prefix-recognizable graphs (=  $\epsilon$ -closures of configuration graphs of pushdown automata, **Stirling 2000**).
4. **Knapik, Niwiński and Urzyczyn (TLCA 2001, FOSSACS 2002)**:  $\Sigma$ -trees generated by *safe* recursion schemes of all finite levels.
5. **Caucal (MFCS 2002)**. Hierarchies of trees  $(\mathcal{T}_n)_{n \in \omega}$  and graphs  $(\mathcal{G}_n)_{n \in \omega}$ :
  - $\mathcal{G}_0$  are the finite graphs;  $\mathcal{T}_0$  are the finite trees.
  - Trees in  $\mathcal{T}_{n+1}$  are the *unfoldings* of graphs in  $\mathcal{G}_n$  (= **KNU safe trees**)
  - Graphs in  $\mathcal{G}_n$  are the *inverse rational images* of trees in  $\mathcal{T}_n$ .

**Question.** Do  $\Sigma$ -trees generated by *unsafe* recursion schemes have decidable MSO theories? If so, at which levels?

# Trees generated by *Safe* recursion schemes

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	<i>Trees</i>
Level 0	Regular trees
Level 1	Generated by DPDAs

(All level-0 and level-1 trees are safe.)

## **Safety seems a robust definition: several characterisations**

1. Hierarchy of **higher-order pushdown trees** generated by higher-order pushdown automata (KNU 2002)  
E.g. A level-2 stack is a stack of level-1 stacks.
2. **Caucal Tree Hierarchy** 2002: generated from finite trees by iterated transformations that preserve MSO decidability.
3. **Indexed grammars** of level  $n + 1$  have exponents / indices that are grammars of level  $n$ . (**Maslov 1976**)

## What is the safety constraint?

W. Damm: [Derived types](#) in “IO and OI Hierarchies”, TCS 1982.

**Definition** [KNU02]. A level-2 equation is *unsafe* if the RHS has a subterm  $P$  such that

- (i)  $P$  is level 1
- (ii)  $P$  occurs in an [operand](#) position (i.e. as 2nd argument of the application operator)
- (iii)  $P$  contains a level-0 parameter.

**Examples of unsafe equations:**  $f : o^2 \rightarrow o$ ,  $G, H : o$ .

$$\begin{aligned} G x &= H (f x) \\ F \varphi x y &= f (F (F \varphi y) y (\varphi x)) a \end{aligned}$$

Safety (as presented above) seems syntactically awkward and semantically unnatural, but has important algorithmic value.



## In what sense is a safe $\lambda$ -term *safe*?

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### A basic idea in lambda calculus / logic:

When performing  $\beta$ -reduction, one must use *capture-avoiding* substitution, which is standardly implemented by *renaming bound variables* afresh upon each substitution.

### There is an algorithmic price to pay for renaming:

Any machine that correctly computes:

$$\left\{ \begin{array}{l} \text{INPUT:} \quad \text{A simply-typed } \lambda\text{-term } M \\ \text{OUTPUT:} \quad \text{A } \beta\text{-reduction sequence from } M \end{array} \right.$$

needs an *unbounded* supply of names, and hence unbounded memory.

**Safety lets us get away with no renaming of bound variables!**

## Safety reformulated as a simply-typed theory

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We reexpress (and generalize) the safety constraint as a simply-typed theory. Sequents have the form

$$\underbrace{x_1 : A_1, \dots, x_i : A_i}_{\text{level } l_1} \mid \dots \mid \underbrace{x_l : A_l, \dots, x_n : A_n}_{\text{level } l_m} \vdash M : B$$

- Each  $A_i$  and  $B$  are **homogeneous**<sup>a</sup>.
- Typing context **partitioned** according to levels with  $l_1 \geq \dots \geq l_m$ .

**Formation rules must respect (uniqueness of) the partition:**

- When forming abstraction, **all** variables of the lowest type-partition must be abstracted in an atomic step.
- When forming application, the operator-term must be applied to **all** operand-terms (one for each type) of the highest type-partition, in one atomic step.

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<sup>a</sup> $o$  is **homogeneous**; and  $(A_1 \rightarrow \dots \rightarrow A_n \rightarrow o)$  is **homogeneous** just if  $level(A_1) \geq level(A_2) \geq \dots \geq level(A_n)$ , and each  $A_i$  is homogeneous.

## Safe $\lambda$ -calculus makes algorithmic sense

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**Examples.** Set  $\Gamma = F : (o \rightarrow o) \rightarrow o \rightarrow o \rightarrow o \mid \varphi : o \rightarrow o \mid x : o, y : o$

1.  $(F\varphi)x : o \rightarrow o$  is not safe.
2.  $\lambda x y.F\varphi xy$  is safe but not  $\lambda x.F\varphi xy$ .

**Theorem.** “Safe  $\lambda$ -calculus =  $\alpha$ -conversion-free  $\lambda$ -calculus”

I.e. when performing  $\beta$ -reductions on a **safe** (recursively-defined)  $\lambda$ -term, there is no need to rename bound variables when substituting.

Thus when reducing a safe  $\lambda$ -term, we do not need any supply of fresh name.

**Safe  $\lambda$ -calculus** seems of independent interest, and deserves further investigations. E.g. what kind of reasoning principles does it support (via Curry-Howard)? Does it have interesting models?

**Nevertheless, we shall prove that safety is *not* necessary for decidability.**

## Two questions about safety

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Is safety a genuine or spurious constraint for:

1. **Expressiveness.** Are there *inherently* unsafe  $\Sigma$ -trees?

I.e. Is there an unsafe recursion scheme whose value tree is not the value tree of any safe recursion scheme? If so, at what level?

**Conjecture.** Yes, at level 2. But note:

**Theorem.** (A+deM+O FOSSACS 2005) There is no inherently unsafe **word language** at level 2.

2. **Decidability.** Is safety necessary for decidability? No, not at level 2.

**Theorem.** (A+deM+O TLCA 2005)  $\Sigma$ -trees denoted by level-2 recursion schemes, **whether safe or not**, have decidable MSO theories.

**Question.** What about higher levels?

Yes: Decidability result extends to all levels - main result of this talk.

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## **Theorem.**

- (i) The modal mu-calculus model checking problem for trees generated by level- $n$  recursion schemes is  $n$ -EXPTIME complete, for all  $n \geq 0$ .
- (ii) Hence trees generated by recursion schemes of every level, whether safe or not, have decidable MSO theories.

The level-2 case has also been obtained, independently, by Knapik, Niwiński, Urzyczyn + Walukiewicz (ICALP 2005) using a new kind of machines called “panic automata”.

**Theorem.** For every level- $n$  (deterministic) recursion scheme  $G$ , for every modal mu-calculus formula  $\varphi$ , it is decidable whether  $\llbracket G \rrbracket \models \varphi$ .

Thanks to Rabin, Emerson + Jutla, etc., equivalent to deciding if  $\llbracket G \rrbracket$  is accepted by an alternating parity tree automaton  $\mathcal{B}$  – call  $\mathcal{B}$  the **property APT**.

Recall:  $\mathcal{B}$  accepts  $\llbracket G \rrbracket$  iff  $\mathcal{B}$  has an accepting run-tree over  $\llbracket G \rrbracket$ .

**Proof approach:** Transfer algorithmic analysis from value tree  $\llbracket G \rrbracket$  to an auxiliary **computation tree**  $\lambda(G)$ .

### Two major technical components:

1. **Strong 1-1 correspondence** between **paths** in value tree and **traversals** over the computation tree, established using game semantics.
2. **Recognition of (accepting) traversals.**
  - **P-views** of a traversal over a computation tree are paths in the same tree.
  - Thus we can simulate traversals by certain paths over the computation tree, as formalised by the notion of **traversal-simulating APT**  $\mathcal{C}$ .

## Computation trees, *concretely*

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Fix a level- $n$  recursion scheme  $G$ . Transform  $G \mapsto \overline{G}$ :

1. **Expand each RHS to its  $\eta$ -long form**, including ground-type subterm in operand position. Thus  $e : o$   $\eta$ -expands to  $\lambda.e$  (“dummy lambdas”).
2. **Explicit “apply” symbol**: Replace every ground-type subterm  $D e_1 \cdots e_n$  by  $@ D e_1 \cdots e_n$ , where  $D$  ranges over non-terminals.
3. **Curry each equation**.

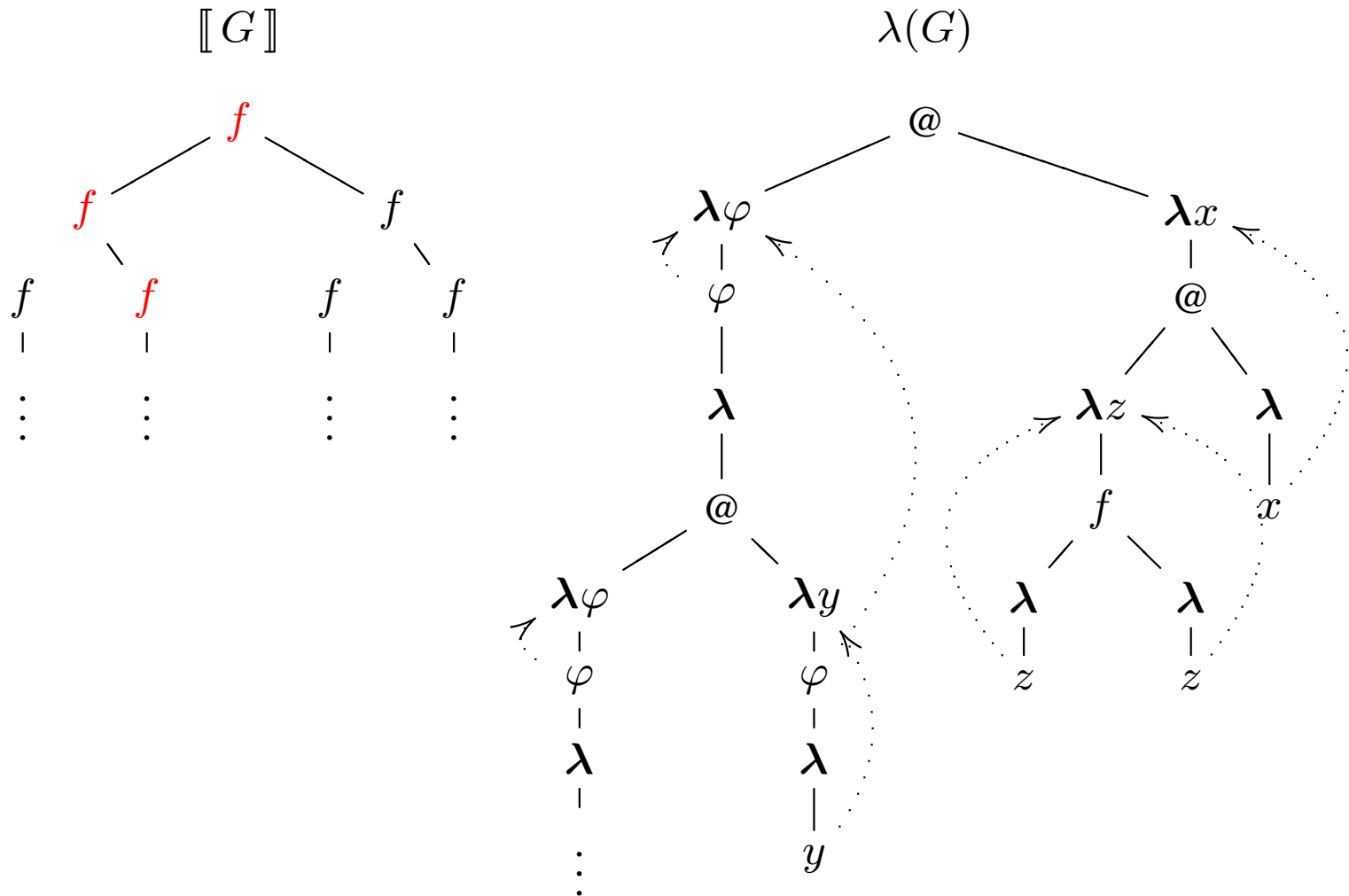
The **computation tree**  $\lambda(G)$  is the infinite term-tree obtained by unfolding the transformed equations in  $\overline{G}$  – a level-0 recursion scheme! – *ad infinitum*.

Labels in  $\lambda(G)$  from a **finite** set – **no renaming** of bound variables.

**Semantically**: The computation tree  $\lambda(G)$  is just (a representation of) the **uncovering** (aka *fully revealed strategy*) of the value tree  $\llbracket G \rrbracket$ , which is an innocent strategy.

$$G : \begin{cases} S & = & F H \\ F \varphi & = & \varphi (F \varphi) \\ H z & = & f z z \end{cases} \quad \mapsto \quad \bar{G} : \begin{cases} S & = & @ F (\lambda x. @ H \lambda. x) \\ F & = & \lambda \varphi. \varphi (\lambda. @ F (\lambda y. \varphi (\lambda. y))) \\ H & = & \lambda z. f (\lambda. z) (\lambda. z) \end{cases}$$

The **computation tree**  $\lambda(G)$  is (the abstract syntax tree of) the **unfolding** of  $\bar{G}$ :





**Theorem. (Correspondence)** Let  $G$  be a level- $n$  recursion scheme.

- (i) There is a 1-1 correspondence between **maximal paths**  $p$  in ( $\Sigma$ -labelled) value tree  $\llbracket G \rrbracket$  and **maximal traversals**  $t_p$  over computation tree  $\lambda(G)$ .
- (ii) Further, traversal  $t_p$  is the *uncovering* of (and, hence,  $\Sigma$ -projects onto) path  $p$ .

Thus: Property APT  $\mathcal{B}$  has an **accepting run-tree** over  $\llbracket G \rrbracket$

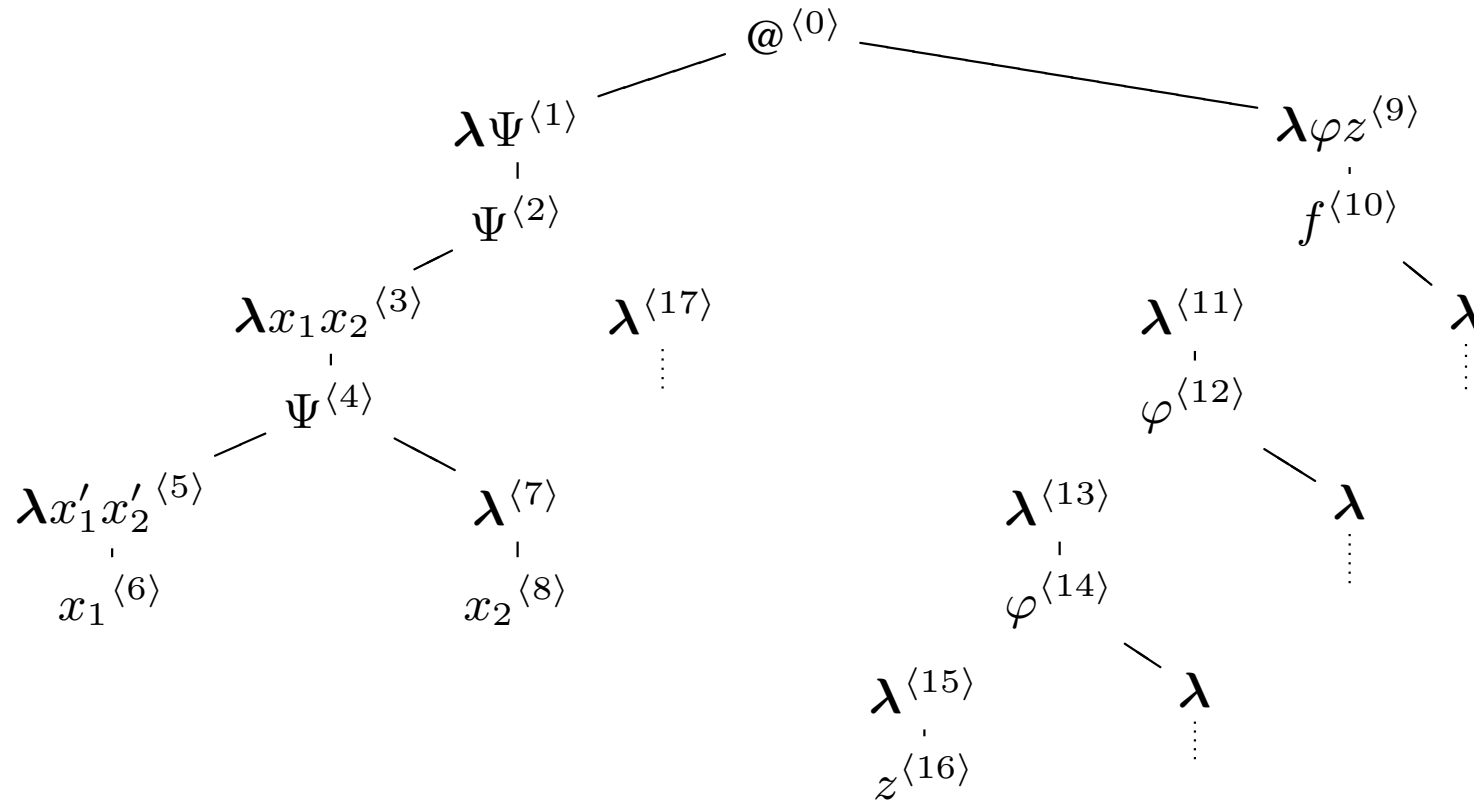
by def.  $\iff$   $\left\{ \begin{array}{l} \exists \text{ certain set of } \delta_{\mathcal{B}}\text{-respecting, state-annotated maximal} \\ \text{paths in } \llbracket G \rrbracket \text{ satisfying parity condition} \end{array} \right.$

Thm (Corr)  $\iff$   $\left\{ \begin{array}{l} \exists \text{ certain set of } \delta_{\mathcal{B}}\text{-respecting, state-annotated maximal} \\ \text{traversals over } \lambda(G) \text{ satisfying parity condition} \end{array} \right.$

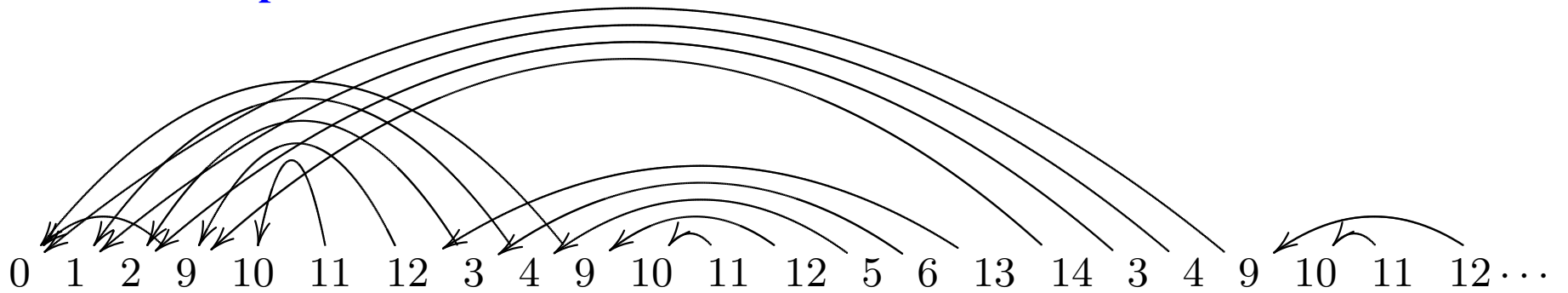
by def.  $\iff$  Property APT  $\mathcal{B}$  has an **accepting traversal-tree** over  $\lambda(G)$ .

**Problem:** How to recognise such state-annotated traversals?

Higher-order traversals can be very complex!



**A level-3 example:**



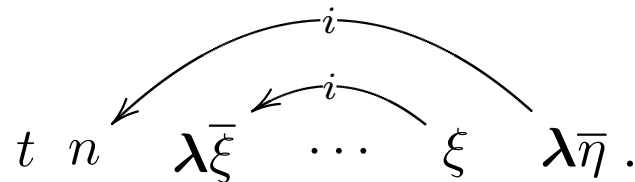
**Definition.** *Traversals* over  $\lambda(G)$  are justified sequences defined by induction:

**(Root)** The singleton sequence (comprising  $\epsilon$ ) is a traversal.

**(App)** If  $t @$  is a traversal, so is  $t @ \overset{\leftarrow 0}{\lambda \bar{\xi}}$ .

**(Sig)** If  $t f$  is a traversal, so is  $t f \overset{\leftarrow i}{\lambda}$  where  $1 \leq i \leq \text{arity}(f)$ .

**(Var)** If  $t n \lambda \bar{\xi} \dots \xi$  is a traversal, so is



**(Lam)** If  $t \lambda \bar{\xi}$  is a traversal, so is  $t \lambda \bar{\xi} n$ , such that  $\lceil t \lambda \bar{\xi} n \rceil$  is a path in  $\lambda(G)$ .

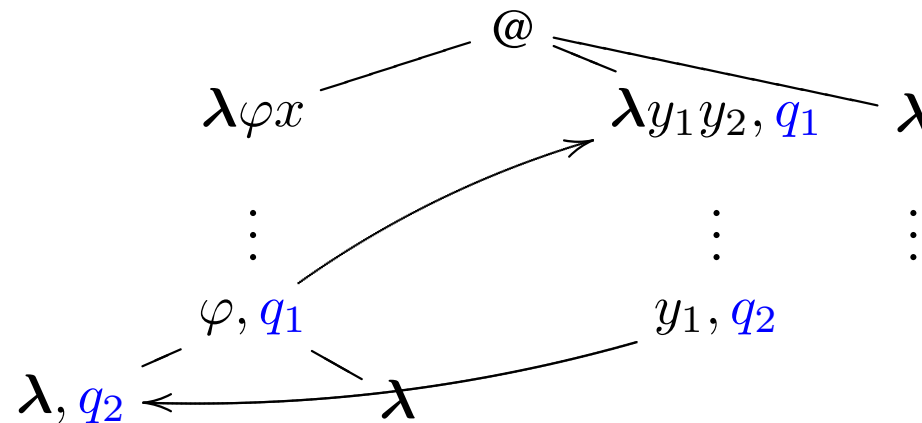
A traversal jumps all over the comp. tree, and can visit a node infinitely often!

**Key lemma:** P-views of traversals are paths in the computation tree.

## Simulate traversals by *paths* – A level-2 illustration

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**Idea.** Simulate a traversal by the respective **P-views** of all its prefixes, which can be shown to be a set of paths in the computation tree.



Suppose a traversal jumps from  $\varphi$  at simulating state  $q_1$  to a sibling subtree rooted at  $\lambda y_1 y_2$ , subsequently exits it at  $y_1$  and rejoins the original subtree at first  $\lambda$ -child of  $\varphi$  with state  $q_2$ .

Simulate the traversal by **paths**:

- At  $\varphi$  with  $q_1$ , **guess** that the detour will return at first  $\lambda$ -child with state  $q_2$
- **Spawn** an automaton at  $\lambda y_1 y_2$  to **verify the guess**.

## Formalising the guesses as **Variable Profiles** $\mathbf{VP}_G^{\mathcal{B}}(A)$

Fix a higher-order recursion scheme  $G$ , and a property APT  $\mathcal{B} = \langle \Sigma, Q, \delta, q_0, \Omega \rangle$  with  $p$  priorities. Write  $[p] = \{ 1, \dots, p \}$ .

$$\begin{aligned}\mathbf{VP}_G^{\mathcal{B}}(o) &= \text{Var}_G^o \times Q \times [p] \times 2^\emptyset \\ \mathbf{VP}_G^{\mathcal{B}}(A_1 \rightarrow \dots \rightarrow A_n \rightarrow o) &= \text{Var}_G^A \times Q \times [p] \times 2^{(\cup_{i=1}^n \mathbf{VP}_G^{\mathcal{B}}(A_i))}\end{aligned}$$

Asserting

$$(\varphi, q, m, c) \in \mathbf{VP}_G^{\mathcal{B}}(A)$$

at node  $\alpha$  of computation tree means: the traversal being simulated will reach some descendant-node that is labelled  $\varphi$

- (i) with state  $q$ , such that
- (ii)  $m$  is the highest priority that will have been encountered up to that point
- (iii) further, the traversal (which will then jump to the root of a subtree that denotes the *actual* argument of  $\varphi$ ) will eventually return to the children of the node labelled  $\varphi$  “in accord with  $c$ ”.

## Traversal-simulating APT $\mathcal{C}$ : simulates $\mathcal{B}$ -states and verifies guesses

$\mathcal{C}$ -automata descend the computation tree with states  $q\rho$  where  $q$  is the  $\mathcal{B}$ -state being simulated, and environment  $\rho$  is the set of profiles of variable (within current scope) to be verified.

**Suppose automaton with state  $q\rho$  reading node with label  $l$ : Some cases**  
(verification of priorities omitted)

- $l$  is level-0 variable  $x$ .

If  $\rho = \{ (x, q, m, \emptyset) \}$ , succeed; otherwise abort.

- $l$  is a  $\Sigma$ -symbol  $f : o^k \rightarrow o$ .

Guess a set  $\{ (i_1, q_1), \dots, (i_l, q_l) \}$  satisfying  $\delta_{\mathcal{B}}(q, f)$  (abort, if impossible), and guess environments  $\rho_1, \dots, \rho_l$  such that  $\bigcup_{j=1}^l \rho_j = \rho$ . For each  $j$ , spawn automata with state  $q_j \rho_j$  in direction  $i_j$ .

- $l$  is an @ with children labelled by  $\lambda\bar{\varphi}$  and  $\lambda\bar{\eta}_1, \dots, \lambda\bar{\eta}_k$ .

Guess  $\rho' = \{ (\varphi_{i_j}, q_j, m_j, c_j) : 1 \leq j \leq l \}$ , and spawn automaton with state  $q\rho'$  in direction 0. Guess  $\rho_1, \dots, \rho_l$  with  $\bigcup_{j=1}^l \rho_j = \rho$ . For each  $j$ , spawn automaton with state  $q_j (\rho_j \cup c_j)$  in direction  $i_j$ .

**Theorem (Simulation).** The following are equivalent:

- (i) Property APT  $\mathcal{B}$  has an accepting traversal-tree over the computation tree  $\lambda(G)$ .
- (ii) Traversal-simulating APT  $\mathcal{C}$  has an accepting run-tree of over the computation tree  $\lambda(G)$ .

“(i)  $\Rightarrow$  (ii)” : From the traversal-tree annotated only by  $\mathcal{B}$ -states, we perform a succession of annotation operations, transforming it to a traversal-tree annotated by  $\mathcal{C}$ -states.

The set of P-views of all such  $\mathcal{C}$ -state-annotated traversals *is* precisely an accepting run-tree of  $\mathcal{C}$ .

“(ii)  $\Rightarrow$  (i)” : Reconstruct each traversal (of the putative traversal-tree) as a sequence of segments of paths (=P-views) in the accepting run-tree, thus inheriting an accepting state-annotation.

## Key Steps of Decidability Proof

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Let  $G$  be any level- $n$  recursion scheme, and  $\varphi$  a modal mu-calculus formula.

Value tree  $\llbracket G \rrbracket$  satisfies  $\varphi$

$\iff$  { Theorems of Rabin, Muller + Schupp, Emerson + Jutla, etc. }

**Property APT**  $\mathcal{B}_\varphi$  accepts the value tree  $\llbracket G \rrbracket$

$\iff$  { Definition of APT }

$\mathcal{B}_\varphi$  has an accepting run-tree over the value tree  $\llbracket G \rrbracket$

$\iff$  { **Correspondence Theorem** }

$\mathcal{B}_\varphi$  has an *accepting traversal-tree* over the computation tree  $\lambda(G)$

$\iff$  { **Simulation Theorem** }

**Traversal-simulating APT**  $\mathcal{C}$  has an accepting run-tree over  $\lambda(G)$



# Complexity of Modal Mu-Calculus Model Checking

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Mu-calculus model checking of level- $n$  trees is  $n$ -EXPTIME hard, because it is already so for **safe** trees (T. Cachat ICALP'04).

**Use parity game to show problem is decidable in  $n$ -EXPTIME.**

**Theorem.** (Jurdzinski 2000) Eloise's winning regions and strategy in a parity game with  $|V|$  vertices and  $|E|$  edges and  $p \geq 2$  priorities is computed in time

$$O \left( p \cdot |E| \cdot \left( \frac{|V|}{\lfloor p/2 \rfloor} \right)^{\lfloor p/2 \rfloor} \right)$$

**Theorem.** Given a **property APT**  $\mathcal{B} = \langle Q, \Sigma, \delta, q_0, \Omega \rangle$  with  $p$  priorities, and a level- $n$  recursion schemes  $G$  (whether safe or not), acceptance of  $\llbracket G \rrbracket$  by  $\mathcal{B}$  is decidable in time  $\exp_n O(|G| \cdot |Q| \cdot p)$ .

Hence MSO theories of these trees are decidable (non-elementarily).

## Further directions: a selection

1. **Conjecture:** There are *inherently* unsafe trees (at level 2) - Urzyczyn's tree.
2. What is the **automata-theoretic counterpart** of (possibly unsafe) higher-order recursion schemes. E.g. Stirling's *pointer machines*.
3. Definition of hierarchy of **graphs generated by high-order recursion schemes**? Are their MSO theories decidable? Relationship with Caucal Hierarchy?
4. **"Mixing semantic and verification games"**: Denotational semantics of  $\lambda$ -calculus "relative to an alternating parity tree automaton (APT)". Construct a CCC, parameterized by an APT, with maps witnessed by profiles ("guesses").
5. Algorithmic properties of  $\Sigma$ -trees generated by **stateful** (Algol-like) rec. schemes.
6. Given a  $\mu$ -formula over  $\llbracket G \rrbracket$ , is its **"winning region"** computable?
7. Identify properties and/or subclasses of trees that are **"feasibly" model-checkable**.

## Safe $\lambda$ -calculus, safe word and tree languages, higher-order PDAs:

1. Safe  $\lambda$ -calculus (Idealised Algol?): Models? Proof theory (via Curry-Howard)?
2. Are safe word languages **context-sensitive**?
3. **Higher-order (visibly) PDA**; hot topic - 6 recent PhD theses! Equiv. problem.

## Safe Lambda Calculus: System $\mathcal{S}$ Typing Rules

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$$\frac{(\overline{A_1} \mid \cdots \mid \overline{A_n} \mid o) \text{ homogeneous} \quad b \text{ is a type-}B \text{ constant}}{\overline{x_1} : \overline{A_1} \mid \cdots \mid \overline{x_n} : \overline{A_n} \vdash b : B}$$

$$\frac{(\overline{A_1} \mid \cdots \mid \overline{A_n} \mid o) \text{ homogeneous}}{\overline{x_1} : \overline{A_1} \mid \cdots \mid \overline{x_n} : \overline{A_n} \vdash x_{ij} : A_{ij}}$$

$$\frac{\overline{x_1} : \overline{A_1} \mid \cdots \mid \overline{x_{n+1}} : \overline{A_{n+1}} \vdash M : B \quad (\overline{A_{n+1}} \mid B) \text{ homogeneous}}{\overline{x_1} : \overline{A_1} \mid \cdots \mid \overline{x_n} : \overline{A_n} \vdash \lambda \overline{x_{n+1}}. M : (\overline{A_{n+1}} \mid B)}$$

$$\frac{\Gamma \vdash M : (\overline{B_1} \mid \cdots \mid \overline{B_m} \mid o) \quad \Gamma \vdash N_1 : B_{1l_1} \cdots \Gamma \vdash N_{l_1} : B_{1l_1}}{\Gamma \vdash MN_1 \cdots N_{l_1} : (\overline{B_2} \mid \cdots \mid \overline{B_m} \mid o)}$$

When forming abstraction, **all variables** of the (right-most) type-partition must be abstracted. When forming application, the operator-term must be applied to **all operand-terms** (one for each type) of the left-most type-partition.