

## LECTURE 9: FUNCTIONS

Software Engineering  
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- Suppose that

$$A : \mathbb{P} T_1$$
$$B : \mathbb{P} T_2$$

(i.e.,  $A$  is a subset of  $T_1$  and  $B$  is a subset of  $T_2$ ).

The the cartesian product of  $A$  and  $B$  is given by the expression

$$A \times B$$

and is a set containing all the *ordered pairs* whose first element comes from set  $A$  and whose second element comes from set  $B$ .

- EXAMPLE. If

$$A == \{1, 2\}$$
$$B == \{3, 4\}$$

then

$$A \times B = \{(1, 3), (1, 4), (2, 3), (2, 4)\}.$$

### 1 Cartesian Products

- As defined earlier, a set is an *unstructured* object: the order in which elements occur in a set is not important.
- However, many objects in formal system specification require some structure or ordering — otherwise how could we have things like Modula-2 RECORDS or C structures?
- *Cartesian products* are one way of making objects which have structure.

- An ordered pair is an example of an  $n$ -tuple; in this case  $n = 2$ .
- We list the components of an  $n$ -tuple in parentheses.
- Cartesian products are not restricted to just 2 sets — we can have as many as we wish.

- **Definition:** If

$$S_1, \dots, S_n$$

are arbitrary sets, then

$$S_1 \times \dots \times S_n$$

is the set of  $n$ -tuples over  $S_1, \dots, S_n$ :

$$S_1 \times \dots \times S_n == \{(e_1, \dots, e_n) \mid e_1 \in S_1 \wedge \dots \wedge e_n \in S_n\}.$$

- Things to note about cartesian products:
  - $\#(S_1 \times \cdots \times S_n) = \#S_1 * \cdots * \#S_n$
  - $\neg \forall S_1, S_2 : Set \bullet (S_1 \times S_2) = (S_2 \times S_1)$  (i.e., the cartesian product operation does not commute).
- Finally, let  $\mathcal{S} = \{S_1, \dots, S_n\}$  be an indexed set of sets; then the cartesian product over its component sets is often written:

$$\prod_{i \in 1..n} S_i$$

or just

$$\prod \mathcal{S}$$

- Cartesian products are sometimes called cross products.

- If  $PN$  is so defined, then we say  $PN(mike) = 1531$ , and  $PN(eric) = 1489$ .
- QUESTION: Can we define the set of functions from  $T_1$  to  $T_2$  as the set of ordered pairs  $T_1 \times T_2$ ?

- ANSWER: No — this doesn't work: consider the set

$$PN == \{(mike, 1531), (mike, 1455)\}$$

what is  $PN(mike)$  defined to be here?

- Functions have a *uniqueness* property: every possible input to the function must have at most one associated output.

## 2 Functions

- Functions are mathematical objects that *take some arguments* and *return some values*.
- One *model* for functions is as a set of *ordered pairs*.
- EXAMPLE. Imagine a function in a Modula-2 program that takes as its sole argument a name representing somebody in a computer department, and returns as its sole result their phone number:

```
PROCEDURE PN(n: Name): PhoneNum
```

So that

```
PN('mike') = 1531
```

```
PN('eric') = 1489
```

We can represent this function as the set

$$PN == \{(mike, 1531), (eric, 1489)\}$$

- Note that it is *is* possible for two inputs to map to the *same* output.
- What happens when we try to put a value into a function when there is no corresponding output listed? If

$$PN == \{(mike, 1531)\}$$

then  $PN(eric) = ?$

In this case we say that the function is *undefined* for that value.

### 3 Domain and Range

- There are two important sets associated with a function:
  - *domain*: the set representing all input values for which the function is defined;
  - *range*: the set representing all outputs of the function that correspond to a defined input.

- **Definition:** If  $f$  is an arbitrary function then

$$\text{dom } f$$

is an expression returning the domain of  $f$  and

$$\text{ran } f$$

is an expression returning its range.

- EXERCISE. Using set comprehension, define the domain and range of a function  $f$  which maps values from  $T_1$  to  $T_2$ .

SOLUTION.

$$\text{dom } f == \{x : T_1 \mid \exists y : T_2 \bullet (x, y) \in f\}$$

$$\text{ran } f == \{x : T_2 \mid \exists y : T_1 \bullet (y, x) \in f\}$$

### 4 Total and Partial Functions

- The most general kind of functions we consider are *partial functions*.
- **Definition:** If  $f$  is a function from  $T_1$  to  $T_2$ , then  $f$  is a partial function. The set of all partial functions from  $T_1$  to  $T_2$  is given by the expression

$$T_1 \rightarrow T_2.$$

- Note that:

$$- \emptyset \in T_1 \rightarrow T_2$$

(i.e, the emptyset is a partial function).

- if  $f \in T_1 \rightarrow T_2$  then  $f$  may be undefined for some value in  $T_1$ .

- EXAMPLE. If

$$PN == \{(eric, 1489), (mike, 1531)\}$$

then

$$\text{dom } PN = \{eric, mike\}$$

and

$$\text{ran } PN = \{1531, 1489\}$$

- Theorems about domain and range:

$$\# \text{ dom } f \geq \# \text{ ran } f$$

$$\text{dom}(f \cup g) = (\text{dom } f) \cup (\text{dom } g)$$

$$\text{ran}(f \cup g) = (\text{ran } f) \cup (\text{ran } g)$$

$$\text{dom}(f \cap g) \subseteq (\text{dom } f) \cap (\text{dom } g)$$

$$\text{ran}(f \cap g) \subseteq (\text{ran } f) \cap (\text{ran } g)$$

$$\text{dom } \emptyset = \emptyset$$

$$\text{ran } \emptyset = \emptyset$$

- Some partial functions have the property of being defined for *all* potential input values: these are *total functions*.

- **Definition:** If  $f \in T_1 \rightarrow T_2$  and  $\text{dom } f = T_1$ , then  $f$  is said to be a *total function* from  $T_1$  to  $T_2$ . The set of total functions from  $T_1$  to  $T_2$  is given by the expression:

$$T_1 \rightarrow T_2.$$

- EXERCISE. Define the set  $T_1 \rightarrow T_2$  using set comprehension.

SOLUTION.

$$T_1 \rightarrow T_2 ==$$

$$\{f : T_1 \rightarrow T_2 \mid \text{dom } f = T_1\}$$

- **QUESTION:** What happens if a function takes *more than one* argument?  
ANSWER: Then we say that the function takes just one input, from the cartesian product of the input argument types.
- **EXAMPLE.** The function *plus* takes two integers as inputs, adds them together and returns the result;
 
$$\text{plus} : \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z}$$
- The expression
 
$$f : D_1 \times \dots \times D_m \rightarrow R_1 \times \dots \times R_n$$
 which specifies the type of the function  $f$  is called the *signature* of  $f$ .

## 5.2 Surjections

- **Definition:** A function  $f$  is *onto* iff every possible element  $y \in \text{ran } f$  has some corresponding value  $x \in \text{dom } f$  such that  $f(x) = y$ .
  - **EXAMPLE.** Suppose
 
$$T_1 == \{a, b, c, d\}$$

$$T_2 == \{e, f, g\}$$

$$f_1 : T_1 \rightarrow T_2$$

$$f_2 : T_1 \rightarrow T_2$$
- Then
- $$f_1 == \{(a, e), (b, f), (c, g)\}$$
- is a surjection; but
- $$f_2 == \{(a, e), (b, f)\}$$
- is not a surjection, as there is no value  $x \in \text{dom } f_2$  such that  $f_2(x) = g$ .
- *Do not confuse surjections with total functions.*

## 5 Properties of Functions

### 5.1 Injections

- **Definition:** A function is *one-to-one* iff every element in the domain maps to a different element in the range. One-to-one functions are also called *injections*.
- **EXAMPLES.** The following is an injection:
 
$$\{(mike, 1531), (eric, 1489)\}$$
 whereas the following is not:
 
$$\{(mike, 1531), (eric, 1531)\}$$

- Finally, if a function is both an injection and a surjection, then it is called a *bijection*.
- There are operators for building combinations of types:

constructor	returns
$\rightarrow$	partial functions
$\rightarrow$	(total) functions
$\Upsilon \rightarrow$	partial injections
$\Upsilon \rightarrow$	(total) injections
$\rightarrow \Upsilon$	partial surjections
$\rightarrow \Upsilon$	(total) surjections
$\Upsilon \rightarrow$	bijections

## 6 The Maplet Notation

- A more convenient way of writing the function

$$\{(mike, 1531), (eric, 1489)\}$$

is to write

$$\{mike \mapsto 1531, eric \mapsto 1489\}$$

- The symbol  $\mapsto$  is called the *maplet arrow*: the expression  $mike \mapsto 1531$  is called a *maplet*.
- (The maplet notation is just Z syntactic sugar.)

## 7.1 Domain Restriction

- Suppose, given our function  $PN$  which maps a person in a department to their phone number, we wanted to extract another function which just contained the details of the logic group.
- Let  $LG$  be the set containing names of logic group members.
- Then the following expression will do the trick:

$$LG \triangleleft PN$$

- $\triangleleft$  is the *domain restriction* operator.
- **Definition:** Suppose  $f$  is a function

$$f : T_1 \rightarrow T_2$$

and  $S$  is a set

$$S : \mathbb{P} T_1$$

then

$$S \triangleleft f$$

is an expression which returns the function obtained from  $f$  by removing from it all maplets  $x \mapsto y$  such that  $x \notin S$ .

## 7 Manipulating Functions

- As functions are just sets, we can use the apparatus of set theory to manipulate them.
- However, there are certain things we do so often that it is useful to define operators for them.

- **EXAMPLE.** Let

$$PN == \{mjw \mapsto 1531, \\ en \mapsto 1488, \\ ajt \mapsto 1777\}$$

and

$$S_1 == \{mike, en\}$$

$$S_2 == \{ajt\}$$

then

$$S_1 \triangleleft PN = \{mjw \mapsto 1531, en \mapsto 1488\}$$

$$S_2 \triangleleft PN = \{ajt \mapsto 1777\}$$

- **EXERCISE.** Define, by set comprehension, the  $\triangleleft$  operator.

$$S \triangleleft f == \{x : T_1; y : T_2 \mid \\ (x \in T_1) \wedge (x \mapsto y) \in f \\ \bullet x \mapsto y\}$$

- **Theorems about domain restriction:**

$$\text{dom}(S \triangleleft f) = S \cap \text{dom} f$$

$$S \triangleleft f \subseteq f$$

$$\emptyset \triangleleft f = \emptyset$$

### 7.2 Range Restriction

- Just as we can restrict the domain of a function, so we can restrict its range.

- Definition:** Suppose  $f$  is a function

$$f : T_1 \rightarrow T_2$$

and  $S$  is a set

$$S : \mathbb{P} T_2$$

then

$$f \triangleright S$$

is an expression which returns the function obtained from  $f$  by removing from it all maplets  $x \mapsto y$  such that  $y \notin S$ .

- Given  $PN$  as previously defined, and

$$S_1 == \{1531, 1488\}$$

$$S_2 == \{1777\}$$

then

$$f \triangleright S_1 = \{mike \mapsto 1531, en \mapsto 1488\}$$

$$f \triangleright S_2 = \{ajt \mapsto 1777\}.$$

- EXERCISE. Define  $\triangleright \dots$

- EXAMPLE. Given  $PN$  as previously defined, and

$$S == \{mikew\}$$

then

$$S \triangleleft PN = \{en \mapsto 1488, ajt \mapsto 1777\}.$$

- Definition:** Suppose  $f$  is a function

$$f : T_1 \rightarrow T_2$$

and  $S$  is a set

$$S : \mathbb{P} T_1$$

then

$$S \triangleleft f$$

is an expression which returns the function obtained from  $f$  by removing from it all maplets  $x \mapsto y$  such that  $x \in S$ .

- EXERCISE. Define  $\triangleleft$  — you don't need a set comprehension.

$$S \triangleleft f == (\text{dom } f \setminus S) \triangleleft f$$

### 7.3 Domain Subtraction

- Suppose we want to take  $PN$  and *remove* from it all members of the logic group.
- If  $LG$  is the set containing the logic group, then

$$LG \triangleleft PN$$

is an expression that will do the trick.

- $\triangleleft$  is the *domain subtraction* operator. (Also called domain anti-restriction.)

### 7.4 Range Subtraction

- The range subtraction operator is  $\triangleright$ .
- EXERCISE. Given  $PN$  as previously defined, and

$$S = \{1531, 1488\}$$

what does

$$PN \triangleright S$$

evaluate to?

- EXERCISE. Define  $\triangleright \dots$

$$f \triangleright S == f \triangleright (\text{ran } f \setminus S).$$

## 7.5 Function Overriding

- Suppose we have the function  $PN$  that gives people's phone numbers, and someone changes their extension number — then we want to reflect this by changing  $PN$ .

Given  $PN$  as previously defined; what expression can we use to change mike's number to 1555?

$$(PN \setminus \{mike \mapsto 1531\}) \cup \{mike \mapsto 1555\}$$

Yuk!

- Z provides the  $\oplus$  symbol for *function overriding*:

$$PN \oplus \{mike \mapsto 1555\} = \{mike \mapsto 1555, \\ en \mapsto 1488, \\ ajt \mapsto 1777\}$$

- **Definition:** If

$$f_1 : T_1 \mapsto T_2 \\ f_2 : T_1 \mapsto T_2$$

then

$$f_1 \oplus f_2$$

is an expression returning the function that results from overwriting  $f_1$  with  $f_2$ :

$$f_1 \oplus f_2 == (\text{dom}(f_2) \triangleleft f_1) \cup f_2.$$