A monad for full ground reference cells

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Abstract—We present a denotational account of dynamic allocation of potentially cyclic memory cells using a monad on a functor category. We identify the collection of heaps as an object in a different functor category equipped with a monad for adding hiding/encapsulation capabilities to the heaps. We derive a monad for full ground references supporting effect masking by applying a state monad transformer to the encapsulation monad. To evaluate the monad, we present a denotational semantics for a call-by-value calculus with full ground references, and validate associated code transformations.

I. INTRODUCTION

Linked lists are a common example of a dynamically allocated, mutable, and potentially cyclic data type involving three sorts of memory cell.

• **linked** **list** cells store values of type $1 + \text{ref}_{\text{list-cell}}$, i.e. a variant that is either a NIL value or a pointer to a list_cell;
• **list** **cell** cells store values of type $\text{ref}_{\text{data}} + \text{ref}_{\text{linked}}$, i.e. a pair of pointers to a data payload and another list; and
• **data** cells store values of type bool, i.e., a single bit.

Such reference cells, that may contain references to other references and create cycles, but may not store functions and thunks, are called full ground references [25].

Here we develop a denotational semantics for languages with full ground storage in which types denote sets-with-structure and program terms denote structure-preserving functions. When dynamic allocation is involved, such a semantics typically uses functor categories and is called possible-world semantics, e.g. [27], [28], [30], [33]. To motivate the functorial structure of types, consider the type of linked_list and note that unless a memory cell has been previously allocated, the empty list is the only possible value of this type. As we allocate new cells, our programs can access more linked lists, and the type of linked lists is functorial in worlds: collections of previously allocated memory lists.

Our work builds on Moggi’s [23] theory of computational effects and monads. Moggi [24] gave monads for dynamic allocation of names and dynamically allocated ground storage, where the range of storable values does not change between worlds, such as ref_data cells, which only store a bit, regardless of what memory locations have been previously allocated. Plotkin and Power [30] give a different monad for ground storage on the same functor category as Moggi. Their monad is Hilbert-Post complete with respect to the expected first-order program equivalences [34]. Ghica’s masters thesis [10] pioneered a functor category semantics for Idealised Algol extended with pointers, where dangling pointers played a crucial role in the model. The monad we propose here supports full ground storage for ML-like references without dangling pointers and validates the expected first-order program equivalences.

Possible-world semantics for local storage typically involves heaps, which assign a value of the appropriate type to each of a given set of locations. The situation is straightforward in the (ordinary) ground case, as heaps collect into a functor contravariant in worlds, with the functorial action given by projection. However, when heaps may contain cyclic data, this functorial action is ill-defined. For example, projecting a heap containing a cyclic list with two cells into a world containing only a single cell reference results in a dangling pointer.

We give a functorial action on heaps by defining the category of initialisations. Its objects are worlds, and its morphisms are world morphisms together with **initialisation data**: a specified value for each of the newly added locations in the codomain. The collection of heaps is functorial with respect to initialisations, and moreover, there is a way to transform monads over functors from initialisations into monads over functors from ordinary worlds, equipping the transformed monad with operations for mutating and dereferencing pointers.

We choose a specific monad over functors from initialisations which supports hiding capabilities. Adding this hiding capability to the heaps object gives the generalisation of the contravariant action given by projection in the (ordinary) ground case. The monad for full ground references is given by transforming the hiding monad in the aforementioned way. We define an allocation operation on this resulting monad.

To evaluate the suitability of this monad for modelling reference cells, we use three yardsticks. First, we prove this monad has the **effect masking** property: the global elements of the monad are unique through the unit of the monad. We interpret this result as stating that computations that do not leak references are semantically equivalent to pure values. Second, we use the monad to give adequate semantics to a total call-by-value calculus with full ground references. Finally, we show that the axioms for (non-full) ground references [30], [34] hold in this model.

We compare several existing semantic approaches to the denotational semantics of reference cells, to place the possible-worlds semantics in context.
Relational models: In these models [2–4], [7], heaps may associate to a location values of any type, and the semantics is given non-functorially. Semantic equivalence in these models does not validate some of the basic intended equations, such as:

\[
\text{let } x = \text{new true in true } \equiv \text{true}
\]

These models define, in addition, a logical relations interpretation, and being related by this relation implies contextual equivalence [12]. We then validate the equations of interest with respect to this relation. Contrasted with the semantics we present here, relational models are much simpler, and as a consequence they can model richer collections of effects than what we consider here.

Step-indexing models: The key property of full ground storage is that, when initialising a new cell, all the information we need in order to determine its value is in the target world. In contrast, when references can hold functions or thunks, we also need to store the way such higher-order values will behave in future worlds, leading to a potential cyclicity in the semantics. Step-indexing models introduce a well-founded hierarchy on worlds that breaks this circularity. Step-indexing semantics to general references is given syntactically [1], [5], [8], or relationally [5], [6]. We hope that synthesising our technique with step-indexing models or other recursive-domain techniques would allow us to resolve the circularity in possible worlds and extend our model structure to general references.

Games models: Game semantics is especially well-suited for modelling local state in the presence of higher-order functions, and full ground state is no exception [25]. In game semantics, program terms denote strategies: dialogues between a Player — the program — and an Opponent — its environment. In such models the semantics of the heap is implicit (though, cf. nominal game semantics [36]), and manifest in the abilities of the Opponent. In contrast, in a sets-with-structure semantics, the heaps are fully explicit. In particular, it might be difficult to semantically decompose a game semantics into a monad over a bi-cartesian closed category for modelling pure languages.

To keep the discussion precise, we give two (general) points of comparison between the two kinds of semantics. The sets-with-structure semantics we present here does not validate some equations at higher types that a game semantics would normally validate, for example:

\[
\vdash \lambda \_ : \text{1.true} \\
\equiv \text{let } x = \text{new true in true } \equiv \text{true : bool}
\]

The reason is that the semantics allows us to inspect, for example, whether a value depends on references [29], [34].

In contrast, a sets-with-structure semantics makes it easier to exploit that some types are uninhabited, for example, to prove that in a total call-by-value language:

\[
x : \text{1} \rightarrow \text{false : bool}
\]

where 0 is the empty type. There are currently no call-by-value game-semantics for a total language that validate this equation. It might be possible to develop such a model, but it would be a less natural game semantics, as these have partiality wired in.

Parametric models: Using the semantic machinery needed to interpret parametric polymorphism, Reddy and Yang [31] give semantics to full ground storage. Each type denotes a functor that has at every world, in addition to the set of values at that world, a relation between those values. These facilitate semantic universal and existential quantification over worlds both at the level of types and terms. This model also makes use of dangling pointers. We hope further work would clarify how the extra semantic structure in such parametric models relates to our models.

Contribution:

1) We give a new monad for full ground storage over the category of functors from worlds and their morphisms into sets and functions.
2) We identify the collection of heaps as a functor from worlds and initialisations.
3) We decompose our full ground storage monad into a global state transformer applied to a monad for encapsulation.
4) We evaluate the monad in three ways:
   a) We prove the full ground storage monad satisfies the effect masking property.
   b) We use the monad to give adequate denotational semantics to a total call-by-value calculus for full ground storage.
   c) We show this model satisfies the usual equations for ground storage.

The rest of the paper is structured as follows. Sec. II defines full ground storage through the syntax and operational semantics of a calculus, and highlights where the semantic structure we expose appears in the operational account. Sec. III reviews the category-theoretic background and concepts we need for our development. Sec. IV defines the category of worlds and the category of initialisations. Sec. V gives an explicit formula for the full ground references monad. Sec. VI decomposes the monad into a state transformed monad for encapsulation, and uses this decomposition to analyse both the encapsulation monad and the full ground storage monad. Sec. VII returns to the calculus of Sec. II uses our monad to give denotational semantics to this calculus, and uses its adequacy to validate that the program equations for (ordinary) ground state carry over to the full ground setting. Sec. VIII concludes.

II. FULL GROUND STORAGE

Our formalism consists of two parts. First, we fix the description of the storable data structures in a well-founded way. We then define the syntax, type system, and semantics of programs that manipulate data structures involving those types.
The first component is a (typically countable) set \( S \) whose elements \( c \) are called cell sorts. Given \( S \), we define the set \( G^S \) of full ground types \( \gamma \) given inductively by

\[
\gamma ::= 0 \mid \gamma_1 + \gamma_2 \mid \mathbf{1} \mid \gamma_1 \times \gamma_2 \mid \text{ref}_c
\]

We omit the superscript in \( G^S \), and other superscripts and subscripts, wherever possible. The second component is a function \( \text{ctype} : S \to G \) assigning to each sort its content type; the type of values stored in cells of this sort.

**Example 1.** To capture the example from the introduction, choose \( S := \{ \text{linked_list}_c, \text{list_cell}_c, \text{data}_c \} \) and set \( \text{ctype}_c, c \in S \), as in the introduction.

A full ground storage signature is a pair \( \Sigma = \langle S^\Sigma, \text{ctype}^\Sigma \rangle \) of such a set and a content type assignment for it. We can view a full ground storage signature as an abstract description of a sequence of top-level data declarations. Fix such a signature \( \Sigma \) for the remainder of this manuscript.

**A. Syntax**

Fig. 1 presents the \( \lambda_{\text{ref}} \)-calculus, our subject of study. We let \( x \) range over a countable set of identifiers, and \( \ell \) range over a countably infinite set \( L \) of locations. The occurrences of \( x \)'s in the following constructs are binding: function abstraction, non-empty pattern matching, and allocation. It is a standard call-by-value Church-style, higher-order calculus. We shade the parts specific to references.

We include reference literals \( \ell \) which will inhabit the reference types \( \text{ref}_c \). The core of high-level languages like ML does not usually have global memory locations. However, in our core calculus we will include values for references for two reasons. First, having values for references makes the operational semantics straightforward and similar in appearance to the natural global state semantics. Second, the resulting calculus enables us to present some program equivalences involving the simultaneous initialisation of a cyclic structure. Each of the parts specific to references.

The assignment and dereferencing constructs are standard. We use a non-standard allocation operation \( \ell \) which allows the simultaneous initialisation of a cyclic structure. Each of the initialisation values \( v_i \) has access to each of the other newly allocated references \( x_1, \ldots, x_n \). We require the initialisation data to be given as values. Allowing computation at this point would be unsound, as an arbitrary computation may try to dereference the yet-uninitialised locations.

We will make use of the following syntactic sugar:

\[
\begin{align*}
\text{let} \ (x : \tau) &= t \ \text{in} \ s & \equiv & \ (\lambda x : \tau.s) \ t \\
\text{new}_c \ t &= \ (\text{let} \ (x : \text{ctype} \ c) = t \ \text{in} \ \text{letref} \ (y : \text{ref}_c) := x \ \text{in} \ y) \\
(t_1, \ldots, t_n) &= \ (t_1, \ldots, t_n) \\
\tau_1 \times \cdots \times \tau_n &= \ \tau_1 \times \cdots \times \tau_n
\end{align*}
\]

When it is clear from the context, we omit type annotations. With these conventions in place, the examples in the introduction are special cases of full ground storage.

**Example 2.** We allocate a cyclic list: \( \text{letref} \) (payload \ : \text{ref}_\text{data} \ ) := 42, (\text{cyclic_list} \ : \text{ref}_\text{linked_list} \ ) := \text{inj}_2 \text{head}, (\text{head} \ : \text{ref}_\text{list_cell} \ ) := (\text{payload}, \text{cyclic_list}) \text{in} \text{cyclic_list}

Our type-system needs to associate a sort to each location literal \( \ell \) the program may use. A heap layout \( w \) is a partial function with finite support \( w : L \rightarrow \text{fin} \ S \). We write \( \{ \ell_1 : c_1, \ldots, \ell_n : c_n \} \) for the heap whose layout whose support is \( w = \{ \ell_1, \ldots, \ell_n \} \) defined by \( w(\ell_i) = c_i \). When \( w(\ell) = c \), we write \((\ell : c) \in w \). We write \( w \leq w' \) when \( w' \) extends \( w \). Layout extension is thus a partial order. Heap layouts are a standard abstraction and appear under different names: state-types \([5], [7]\), and location worlds \([31]\).

Typing contexts \( \Gamma \) are partial functions with finite support from the set of identifiers to the set of types. We use the list-

\[
\begin{align*}
\tau ::= & \quad \text{types} \\
\text{ref}_c & \quad \text{reference} \\
0 & \quad \text{empty} \\
\tau_1 + \tau_2 & \quad \text{binary sum} \\
\mathbf{1} & \quad \text{unit} \\
\tau_1 \times \tau_2 & \quad \text{binary product} \\
\tau_1 \rightarrow \tau_2 & \quad \text{function} \\
v ::= & \quad \text{values} \\
x & \quad \text{identifier} \\
\text{inj}_{\tau_1 \times \tau_2} v & \quad \text{sum constructor} (i = 1, 2) \\
() & \quad \text{unit} \\
(t_1, t_2) & \quad \text{pair} \\
\lambda x : \tau.t & \quad \text{function abstraction} \\
t, s ::= & \quad \text{terms} \\
!t & \quad \text{dereferencing} \\
\text{letref} \ (x_1 : \text{ref}_c_1) := v_1, \ldots, (x_n : \text{ref}_c_n) := v_n \text{ in cyclic_list}
\end{align*}
\]
like notation \( \Gamma, x : \tau \) for the extension of \( \Gamma \) by the assignment \( x \mapsto \tau \), and the membership-like notation \((x : \tau) \in \Gamma\) to state that \(\Gamma(x) = \tau \). The type system is given in Fig. 2 via an inductively defined quaternary relation \( \vdash_w t : \tau \) between contexts \( \Gamma \), layouts \( w \), terms \( t \), and types \( \tau \).

Location literals \( \ell \) are limited to the locations in the layout \( w \), which does not change throughout the typing derivation. To assign, the type of the assigned value needs to match the sort of the reference, and similarly the type of the dereferenced value matches that of the reference. Finally, for allocation, the initialisation values may refer to each of the newly allocated references, as does the remainder of the computation.

By construction, every typeable term has a unique type in a given context.

A value substitution \( \theta \) is a partial function with finite support from the set of identifiers to values. Defining capture avoiding substitution \( \ell[\theta] \) and proving the substitution lemma is standard and straightforward. In the sequel we will hand-wave around the standard issues with \( \alpha \)-equivalence, and omit the standard freshness conditions on bound variables.

Finally, the type system is monotone with respect to layout extension: \( \Gamma \vdash_w t : \tau \), and \( w' \geq w \) implies \( \Gamma \vdash_{w'} t : \tau \). In particular, if we define \( \tau w \) to be the set of closed values of type \( \tau \) assuming layout \( w \), \( \tau w \) is functorial in \( w \) in the following sense: for every \( w \leq w' \), \( \tau w \subseteq \tau w' \).

B. Operational semantics

We present a big-step operational semantics. We expect a small-step semantics or stack-machine semantics to be similarly straightforward.

An untyped heap \( \eta \) is a partial function with finite support \( w_\eta \) from \( L \) to values. A typed heap \( \eta \) consists of a pair of a layout \( w \eta \), and a function from the set of locations in \( w \eta \) to values that assigns to every \( (\ell : c) \in w_\eta \) a well-typed closed value: \( \vdash_{w_\eta} \ell(\ell) : c \text{type } c \). We denote the set of heaps with layout \( w \) by \( H w \). For every layout \( w \), an untyped heap can be turned into a typed heap from \( H w \) in at most one way. Note that \( H w \) is not functorial with respect to layout extension: there is no obvious way to turn an arbitrary heap in \( H w \) into a heap in \( H w' \) for every \( w' \geq w \).

A configuration is a pair \( \langle t, \eta \rangle \) consisting of a term \( t \) and an untyped heap \( \eta \). A terminal configuration is one whose term is a value. We say that a sequence of locations \( \ell_1, \ldots, \ell_n \) is fresh for layout \( w \), and write \#_w (\ell_1, \ldots, \ell_n) \text{ is fresh} \) for every layout \( w \), and write \#_w (\ell_1, \ldots, \ell_n), when the locations are pairwise distinct and collectively disjoint from \( w \)’s support. Fig. 3 defines the evaluation relation \( \langle t, \eta \rangle \Downarrow \langle v, \eta' \rangle \) between configurations and terminal configurations.

Locations, as values, are fully evaluated. Assignment updates the heap, and dereferencing retrieves the appropriate value from the heap. The rule for allocation requires the newly allocated locations to be fresh for the current heap, and then extends the heap with the given initialisation values with the new locations substituted in. It then carries the execution in the body of the allocation with those new locations substituted in. As the only requirement of the new location is to be fresh, this semantics is not deterministic, and a phrase might evaluate to several different configurations with different heap layouts.

We prove Felleisen-Wright soundness for \( \lambda_{ref}^\Sigma \).

**Theorem 1** (preservation). Evaluation preserves typeability: for every well-typed closed term \( \vdash w t : \tau \) and for every \( w_1 \geq w \) and \( \eta_1 \in H w_1 \), if \( \langle t, \eta_1 \rangle \Downarrow \langle v, \eta_2 \rangle \), then there is some \( w_2 \geq w_1 \) such that \( \vdash_{w_2} v : \tau \) and \( \eta_2 \in H w_2 \).

The proof is by straightforward induction on the evaluation relation after strengthening the induction hypothesis to closed substitutions in open terms.

**Theorem 2** (totality). All well-typed closed programs fully evaluate: for every \( \vdash w t : \tau \), \( w_1 \geq w \) and \( \eta_1 \in H w_1 \) there exist some \( w_2 \geq w_1 \), \( \vdash w_2 v : \tau \), and \( \eta_2 \in H w_2 \) such that \( \langle t, \eta_1 \rangle \Downarrow \langle v, \eta_2 \rangle \).
is typed with respect to heap layout logical predicates, and a layout extension

\[
\langle 1 \rangle \downarrow \langle \lambda x : \tau.t, \eta \rangle \quad \langle \langle \ell, t_1 \rangle, \eta \rangle \downarrow \langle \langle \ell, t_2 \rangle, \eta \rangle \quad \langle \langle \ell, \eta \rangle \downarrow \langle \ell, \eta \rangle \rangle
\]

where the operation \( \oplus \) denotes layout extension by the given locations and sorts. The initialisation data in the allocation construct is given for the top extension. The crucial step in the proof is that we can transform this initialisation data into initialisation data for the extension in the bottom row. Applying this transformed initialisation data on the given heap is precisely the functorial action of the collection of heaps.

C. Observational equivalence

To define observational equivalence, we need a few more technical definitions. Let \( \Gamma, \Gamma' \) be two typing contexts. We say that \( \Gamma' \) extends \( \Gamma \), and write \( \Gamma' \geq \Gamma \) when \( \Gamma' \) extends \( \Gamma \) as a function from identifier names to types. In the sequel we write \( \Gamma \vdash t, s : \tau \) to indicate that the quintuple \( \langle \Gamma, w, t, s, \tau \rangle \) belongs to the quinary relation given by the conjunction \( \Gamma \vdash w \vdash t : \tau \) and \( \Gamma \vdash w \vdash s : \tau \).

Consider any two terms \( \Gamma \vdash t, s : \tau \). We define the set of contexts plugged with \( \Gamma \vdash t, s : \tau \), which we denote by \( \mathcal{C}[\Gamma \vdash t, s : \tau] \), as the smallest quinary relation jointly compatible with the typing rules that contains the quintuples \( \langle \Gamma', w', t, s, \tau \rangle \) for every \( \Gamma' \vdash w \vdash t, s : \tau \), \( \Gamma' \geq \Gamma \), and \( w' \geq w \).

We say that two terms \( \Gamma \vdash w \vdash t_1, t_2 : \tau \) are observationally equivalent when for all closed boolean plugged contexts \( \Gamma \vdash w, \eta' \in \mathcal{H}_w^\tau \) and boolean values \( \vdash v : \bool \), we have:

\[
\exists \eta_1((s_1, \eta') \downarrow \langle v, \eta_1 \rangle) \iff \exists \eta_2((s_2, \eta') \downarrow \langle v, \eta_2 \rangle)
\]

Note that by the Preservation Theorem [1] if such heaps \( \eta_i \) exist, they can be typed.

III. Preliminaries

We assume familiarity with categories, functors, and natural transformations. We denote by \( \Set \) the category of sets and functions. Let \( C \) be a small category. We denote the category of functors \( X, Y : C \to \Set \) and natural transformations between them by \( \mathbf{[C, \Set]} \). As we will interpret types in such a category, we recall its bi-cartesian closed structure: its sum and products are given component-wise, and the exponential is given by an end formula (see below). We assume familiarity with ends and coends over \( \Set \), which we will use in the explicit description of the full ground storage monad. To fix terminology and notation, given a mixed variance functor \( P : \mathcal{C}^{\text{op}} \times C \to \Set \), we denote its end and its ending wedge as follows, for all \( w' \in C \):

\[
\pi_{w'} : \int_{w \in C} P(w, w) \to P(w', w')
\]

We denote its coend and its coending wedge as follows:

\[
q_{w'} : P(w', w) \to \int_{w \in C} P(w, w)
\]

Consider any functor \( u : \mathcal{E} \to \mathcal{W} \) between two small categories. Precomposition with \( u \) induces a functor \( u' : [\mathcal{W}, \Set] \to [\mathcal{E}, \Set] \). By generalities, this functor has a right adjoint \( u_\ast : [\mathcal{E}, \Set] \to [\mathcal{W}, \Set] \) called the right Kan extension along \( u \), which we will use to establish the
existence of the monoidal strength structure for our monad. Let \( w \in \mathcal{W} \) be an object in \( \mathcal{W} \). We will use the following specific kind of comma category in our ends and coends. The comma category \( w \downarrow u \) has as objects pairs \((e, \rho)\) where \( e \in \mathcal{E} \) is an object and \( \rho : w \to w e \) is a morphism in \( \mathcal{W} \). Its morphisms \( \varepsilon : (e_1, \rho_1) \to (e_2, \rho_2) \) are morphisms \( \varepsilon : e_1 \to e_2 \in \mathcal{E} \) such that \( \rho_1 \circ \rho_2 \). As we assumed \( \mathcal{E} \) and \( \mathcal{W} \) are small, so is \( w \downarrow u \). Precomposition with the projection functor \( \pi : (w \downarrow u) \to \mathcal{E} \) turns every mixed variance functor \( P : \mathcal{E}^{op} \times \mathcal{E} \to \mathcal{W} \) into a mixed variance functor \( \overline{P} : (w \downarrow u)^{op} \times (w \downarrow u) \to \mathcal{W} \). There is then a canonical isomorphism:

\[
\int_{w,u} P = \int_{(e,\rho) \in w \downarrow u} P(e,\rho) \cong \int_{e \in \mathcal{E}} (P(e,e))^\mathcal{W}(w,we)
\]

Also recall the end formula for exponentials in \( \mathcal{W}, \mathbf{Set} \):

\[
X^Y \cong \int_{w \in \mathcal{W}} (Xw)^\mathcal{W}(w,w') \times Y(w') \cong \int_{w' \in \mathcal{W}} (Xw)(Yw')
\]

To describe the tensorial strengths for our monads, we assume familiarity with symmetric monoidal closed categories, though concretely we will only use a cartesian structure. The following concept will simplify the presentation of the adjunctions. Let \( \mathcal{W} = \langle \mathcal{W}, \otimes, I, a, l, r \rangle \) be a symmetric monoidal closed category. A monoidal \( \mathcal{W} \)-actegory, also known as a \( \mathcal{W} \)-actegory, is a tuple \( \mathfrak{E} = \langle \mathcal{E}, \otimes, \alpha, \lambda \rangle \) where:

- \( \otimes : \mathcal{W} \times \mathcal{E} \to \mathcal{E} \) is a two-argument functor;
- \( \alpha_{X,Y,A} : (X \otimes Y) \circ A \to X \circ (Y \otimes A) \) is a natural isomorphism; and
- \( \lambda_A : I \circ A \to A \) is a natural isomorphism

subject to the following coherence axioms:

\[
\alpha_{X,Y,Z,A} \circ \alpha_{X \otimes Y,Z,A} = \id_X \circ \alpha_{Y,Z,A} \circ \alpha_{X,Y \otimes Z,A} \circ \alpha_{X,Y,Z} \circ \id_A
\]

\[
\id_X \circ \lambda_A \circ \alpha_{X,I,A} = \id_X
\]

We say that a \( \mathcal{W} \)-actegory \( \mathfrak{E} \) is bi-closed if the following two right adjoints exist:

- \(- \circ A \vdash A \to - : \mathcal{E} \to \mathcal{W} \) for every \( A \in \mathcal{E} \);
- \( X \circ - \vdash X \to - : \mathcal{E} \to \mathcal{W} \) for every \( X \in \mathcal{W} \).

We denote the natural bijection of the first adjunction by \( \text{curry}^- : \mathcal{W}(X \circ A, B) \to \mathcal{E}(X,A \to B) \), its inverse by \( \text{uncurry}^- \), and its counit by \( \text{eval}^- \), and similarly for \( \to \).

As the name suggests, a \( \mathcal{W} \)-actegory is a \( \mathcal{W} \)-category (\( \mathcal{W} \)-enriched category) with the structure permuted:

**Theorem (11), (13).** These data are canonically isomorphic:

- a bi-closed \( \mathcal{W} \)-actegory \( \mathfrak{E} \); and
- a \( \mathcal{W} \)-category \( \mathfrak{E} \) with powers and copowers.

and moreover, the adjoints \( \to \) and \( \vdash \) enrich.

Up to isomorphism, the enrichment is given by the adjoint \( \to \), the copowers by the monoidal action \( \otimes \), and the powers by the adjoint \( \vdash \). The relevance of \( \mathcal{W} \)-actegories to our situation is that the monoidal action in our setting is much simpler than the enrichment. Working with monoidal actions thus simplifies many calculations.

Finally, we assume familiarity with monads, formulated as Kleisli triples. Let \( T = \langle T, \text{return}, \Rightarrow \rangle \) be a monad over a \( \mathcal{W} \)-actegory \( \mathfrak{E} \). A tensorial strength for \( T \) is a natural transformation \( \text{str} : X \otimes TA \to T(X \otimes A) \) satisfying the following coherence axioms:

\[
T\lambda_A \circ \text{str}_{I,A} = \lambda_TA
\]

\[
\text{str}_{X,Y,A} \circ \text{id}_X \circ \text{str}_{Y,T_A} \circ \alpha_{X,Y,T_A} = T\alpha_{X,Y,A} \circ \text{str}_{X \otimes Y,A}
\]

\[
\text{str}_{X,A} \circ \alpha_X \circ \text{return}_A = \text{return}_{X,A}
\]

\[
\text{str}_{X,Y,A} \circ \text{id}_X \circ \Rightarrow \circ \text{id}_{T_A} = \text{id}_{T(X \otimes A)}
\]

\[
\text{str}_{X,A} \circ \text{str}_{X,T_A} = \text{str}_{X,A} \circ \text{id}_X \circ \Rightarrow = \text{id}_{T(A)}
\]

Let \( T \) and \( \mathfrak{S} \) be two such strong monads. We say that a monad morphism \( m : T \to \mathfrak{S} \) is strong when for all \( X \in \mathcal{W}, A \in \mathcal{E} \):

\[
m(X,A) \circ \text{str}_{X,A} = \text{str}_{X,A} \circ \text{id}_X \circ \otimes m
\]

When the actegory is bi-closed, the data for a strong monad and the data for a \( \mathcal{W} \)-monad are canonically isomorphic (cf. [15]).

We are now ready to describe the state monad transformer that will give our monad for reference cells, as a straightforward consequence of transforming a monad across the adjunction \(- \circ A \vdash A \to - \) (see also e.g. [22]):

**Proposition 3.** Let \( \mathfrak{E} \) be a symmetric monoidal closed category and \( \mathfrak{E} \) be a bi-closed \( \mathcal{W} \)-actegory. Let \( A \) be an object in \( \mathcal{E} \). For every strong monad \( T \) over \( \mathfrak{E} \), we have a strong monad \( TA = \{T, \text{return}, \Rightarrow, \text{str}\} \) over \( \mathcal{W} \) given by:

\[
TA := A \to P(\vdash A)
\]

\[
\text{return}_X^T := \text{curry}^-_{X,A,P(A \circ A)}(\text{return}_X^A)
\]

\[
\Rightarrow_X^T f := A \to (\Rightarrow_X^A,Y \circ A \text{uncurry}^-_{X,A,P(Y \circ A)} f)
\]

\[
\text{str}_{X,Y}^T := \text{curry}^-_{X \otimes TY,A, P((X \circ Y) \circ A)}
\]

\[
(P \alpha_{X,Y,A} \circ \text{str}_{X,Y,A} \circ \text{id}_X \circ \text{eval}^-_{A,P(Y \circ A)} \circ \alpha_{X,Y,T_A})
\]

and for every strong monad morphism \( m : P_1 \to P_2 \) we have a strong monad morphism \( m^T : T_1 \to T_2 \) between the corresponding monads, given by \( m^T_X := A \to (mX \circ A) \).

IV. WORLDS AND INITIALISATIONS

In Sec. II we arranged the terms and values of \( \mathcal{S}_{\text{ref}} \) based on their heap layout assumptions, \( w \), and this arrangement is functorial with respect to layout extension \( w \leq w' \). We saw that heaps also divide based on layouts, but not functorially. And finally, we highlighted that the proof of the Totality Theorem II makes use of the fact that initialisation data can be promoted along world extension. We will now expose this structure semantically.
A. Worlds

The category \( \mathbb{W} \) of worlds has as objects the heap layouts of Sec. 11 i.e., partial functions \( w : \mathbb{L} \to \eta \) with finite support \( w \subseteq \mathbb{L} \). A morphism \( \rho : w \to w' \) is an injection \( \rho : w \to w' \) such that \( (\ell : c) \in w \) implies \( (\rho(\ell) : c) \in w' \). For brevity, we refer to heap layouts as worlds and to \( \mathbb{W} \)-morphisms as (world) injections.

We define several layout-manipulation operations on \( \mathbb{W} \). While we work concretely, these operations can be axiomatised by universal properties using Simpson’s independence structures \([32]\), and we will use his vocabulary as much as possible.

Let \( \# : \mathbb{N} \to \mathbb{L} \) be an enumeration of the set of locations. Given a world \( w \), define \( \{w\} \) to be the smallest index beyond all the locations in \( w \), i.e. \( \min \{n \in \mathbb{N} | \forall i \geq n, \# i \notin w \} \). Given two worlds \( w_1, w_2 \in \mathbb{W} \), we can embed their supports into the following subset of \( \mathbb{L} \):

\[
\begin{align*}
    w_1 \oplus w_2 & : = w_1 \cup \{\# (|w_1| + n) | \# n \notin w_2 \}
\end{align*}
\]

by setting \( i^\oplus_1 (\ell) := \ell \) and \( i^\oplus_2 (\# n) := \# (|w_1| + n) \). We then have that for every \( \ell \in w_1 \oplus w_2 \) there is exactly one \( i \in \{1, 2\} \) and \( \ell_i \in w_i \) such that \( \ell = i^\oplus_i \ell_i \). We define the independent coproduct \( w_1 \oplus w_2 \) whose support is given by \( w_1 \oplus w_2 \) by setting \( (w_1 \oplus w_2)(i^\oplus_1 \ell) := w_1(\ell) \). Then \( \iota^\oplus_1 : w_1 \to w_1 \oplus w_2 \) is world injections which we call the independent coprojections. Moreover, the construction \( \oplus \) extends to a functor \( \oplus : \mathbb{W} \times \mathbb{W} \to \mathbb{W} \) and each coprojection is a natural transformation. The independent coproduct is not a coproduct in \( \mathbb{W} \) for example, there is no codiagonal injection \( w \oplus w \to w \) for \( w = \{\ell : c\} \). Independent coproducts are the semantic counterparts to extending a world with fresh locations.

Given an injection \( \rho : w_1 \to w_2 \), its complement is the injection \( \rho^\perp : w_2 \ominus \rho \to w_2 \) whose domain \( w_2 \ominus \rho := w_2 \setminus \text{Im}(\rho) \) are all the locations in \( w_2 \) that \( \rho \) misses, and the action of \( \rho^\perp \) is given by that of \( w_2 \). There are canonical isomorphisms \( w_1 \ominus (w_2 \ominus \rho) \cong w_2 \) and \( (w_1 \ominus w_2) \ominus i^\ominus \cong w_{3-i} \). We use complements to define initialisation data below.

Given two injections \( \rho_i : w \to w_i \), \( i = 1, 2 \), we define their local independent coproduct by

\[
    \rho_1 \oplus w \rho_2 := w \oplus (w_1 \ominus \rho_1) \oplus (w_2 \ominus \rho_2)
\]

We have morphisms \( w_1 \overset{\rho_1^\perp}{\to} \rho_1 \ominus w \rho_2 \overset{\rho_2^\perp}{\to} w_2 \) such that:

\[
    \begin{align*}
    w & \xrightarrow{\rho_1} w_1 \\
    w_1 & \xrightarrow{\rho_2} w_2
    \end{align*}
\]

We define the functor category \( \mathbb{W} := [\mathbb{W}, \text{Set}] \) in which we will interpret the types of the \( \Sigma^{\ref} \)-calculus. We interpret the full ground types, defining \( [\ ] : \mathbb{G} \to \mathbb{W} \) by:

\[
    \begin{align*}
    [0] & := 0 \\
    [\gamma_1 + \gamma_2] & := [\gamma_1] + [\gamma_2] \\
    [\gamma_1 \times \gamma_2] & := [\gamma_1] \times [\gamma_2]
    \end{align*}
\]

We can interpret references more compactly by noting that \( [\text{ref}_\epsilon] \cong \mathbb{W}(\{\ell : c\}, -) \).

B. Initialisations

The account so far has been standard for possible-world semantics of local state. We now turn to defining the semantic counterpart to initialisation data.

Define the mixed-variance functor \( \mathbb{H} : \mathbb{W}^{\text{op}} \times \mathbb{W} \to \text{Set} \):

\[
    \mathbb{H}(w^-, w^+) := \coprod_{(\ell, c) \in w^-} [\text{ctype } c] w^+
\]

Its contravariant action is given by projection, and its covariant action is given component-wise by the actions of \( [\text{ctype } c] \). Elements of \( \mathbb{H}(w^-, w^+) \) are heaplets \([26]\) whose layout is given by \( w^- \), and whose values assume the layout \( w^+ \) (Fig. 4a). As in separation logic, heaplets are a composable abstraction facilitating local reasoning about the heap. This functor preserves the independent coproducts in the sense that \( \mathbb{H}(w_1 \oplus w_2, w) \) and \( \mathbb{H}(i^\ominus_\ell, w) \) form the product of \( \mathbb{H}(w_1, w) \) and \( \mathbb{H}(w_2, w) \), and that \( \mathbb{H}(\emptyset, w) \) is the singleton. Consequently, we have canonical isomorphisms \( \mathbb{H}^\emptyset : \mathbb{I} \to \mathbb{H}(\emptyset, w) \) and, depicted in Fig. 4b, \( \mathbb{H}^\oplus : \mathbb{H}(w_1, w) \times \mathbb{H}(w_2, w) \to \mathbb{H}(w_1 \oplus w_2, w) \). Fig. 4c depicts the contravariant action of \( \mathbb{H} \) on the canonical isomorphism \( w_2 \cong w_1 \ominus (w_2 \ominus \rho) \).

The category \( \mathbb{E} \) of initialisations has worlds as objects, and as homsets \( \mathbb{E}(w_1, w_2) := \coprod_{\rho : w_1 \to w_2} \mathbb{H}(w_2 \ominus \rho, w_2) \) whose elements we call initialisations. Explicitly, an initialisation \( \varepsilon : w_1 \to w_2 \) is a pair \( (w, \eta_\varepsilon) \) consisting of an injection
We can give an explicit end formula for the enrichment:
\[
\begin{align*}
(A \to B)w := \int_{w \to w'} ((B w') \circ \eta w) \\
\pi_{\rho' : w_2 \to w_1}(A \to B)(w_1, w_2) := \pi_{\rho' \circ \rho' \circ \alpha} \\
\pi_{\rho' \circ \rho}((\text{curry}_{A, B} f)(x)) := f_{w'}((X \rho x, a) \\
(\text{eval}_{A, B})w(\alpha, a)) := \text{id}_{\alpha} \circ \alpha
\end{align*}
\]

We can now give an explicit description of the full ground storage monad \(T : W \to W\). The action on worlds is
\[
(TX)w := \int_{w \to w'} (\int_{w' \to w''} (X \circ u)w'' \times Hw'))Hw'
\]

This definition is subtle. The first, argument of the coend is covariant in \(w' \to w'' \in w \downarrow u\), and so this coend is an ordinary colimit. We keep the coend notation for its more convenient presentation. The second subtlety is that, while the inner coend is contravariant in \(w'\), the action with respect to which we define the outer end is different, and is in fact covariant in the object \(w \to w'\) of the comma category \(w \downarrow u\). To describe it explicitly, take any morphism \(\varepsilon : \langle w_1', \rho_1' \rangle \to \langle w_2', \rho_2' \rangle\) in the comma category, i.e., an initialisation \(\varepsilon : w_1' \to w_2'\) such that \(\rho_2' \circ \rho_1' = \rho_2'\). Consider a generic element in the coend \(\int_{w' \to w''} \int_{w' \to w''} (X \circ u)w'' \times Hw''\), namely some \(q_\rho(x, \eta)\), for some \(\rho : w_1' \to w''\), \(x \in Xw''\) and \(\eta \in Hw''\). We promote the initialisation \(\varepsilon\) to an initialisation \(\rho \circ \varepsilon : w' \to \rho \circ w_1' \circ \varepsilon\), and map the generic element as follows:
\[
q_\rho(x, \eta) \mapsto q_{\rho \circ \varepsilon}(\rho \circ w_1' \circ \varepsilon)(X(u(\rho \varepsilon))(x), H(\rho \varepsilon)(\eta))
\]

This subtlety is the main conceptual reason for the decomposition of this monad we present in the next section. We do indeed use the contravariant action of the coend, implicitly below, and explicitly in the next section, to define the hiding/encapsulation operation. This subtlety also appears in the (ordinary) ground storage monad \([30]\) when defining the functorial action of \(TX\).

The end gives the functorial action in the full ground setting:
\[
\begin{align*}
\pi_{\rho_2 \circ \rho_1}(\alpha)(\eta_2) = \pi_{\rho_2 \circ \rho_1}(\alpha)(\eta_2) \\
\pi_{\rho_2 \circ \rho_1}(x, \eta) := q_{\rho_2 \circ \rho_1}(X(\rho x, \eta))
\end{align*}
\]

The monadic unit is given by \(\pi_{\rho \circ w \to w'} \circ \text{return}_w^\rho(x, \eta) := q_{\text{id}_w}(X(\rho x, \eta))\). Given any morphism \(f : X \to TY\) in \(W\) and \(\alpha \in TX\), define \(\pi_{\rho \circ w \to w'}(\alpha \gg f)(\eta) := q_{\rho \circ \rho}(y, \eta''')\) where
\[
\begin{align*}
\pi_{\rho \circ \rho}(y, \eta''') & = q_{\rho \circ \rho}(w' \to w''(x, \eta''')) \\
\pi_{\rho \circ w}(f w')(x, \eta''') & = q_{\rho \circ \rho}(w' \to w''(y, \eta'''))
\end{align*}
\]

Define the strength for any \(x \in Xw\) and \(\alpha \in TXw\):
\[
\begin{align*}
\pi_{\rho \circ w \to w'} \circ \text{str}_w^\rho(x, \alpha) \eta' & = q_{\rho \circ \rho}(X(\rho \circ \rho x, y), \eta''') \\
\pi_{\rho \circ w}(f w')(x, \eta''') & = q_{\rho \circ \rho}(w' \to w''(x, \eta'''))
\end{align*}
\]

where \((\pi_{\rho \circ \rho})\eta'= q_{\rho \circ \rho}(w' \to w''(x, \eta'''))\). Finally, from the other definitions we calculate the functorial action of \(T\) on any morphism \(f : X \to Y\); \((\pi_{\rho \circ w} \circ w f)(\eta') = q_{\rho \circ \rho}(w'(x, \eta), \eta''')\) where \((\pi_{\rho \circ \rho})\eta'= q_{\rho \circ \rho}(w' \to w''(x, \eta'''))\).
On this monad we define the state manipulation operations by setting, for every \( \rho : w \to w' \), two \( \mathbf{E} \)-morphisms:

\[
\begin{align*}
\text{get}_c : \text{[ref}_c]\to T[\text{[ctype}_c] &= \text{q}_{\text{d}_w}(\eta(\rho(\ell)), \eta)
\text{set}_c : \text{[ref}_c] \times \text{[ctype}_c] \to T\Pi
\end{align*}
\]

To define the allocation operation, first define, for every \( w_0 \) in \( \mathcal{W} \) the function \( \partial_{w_0} : \mathcal{W} \to \mathcal{W} \) that evaluates at a later world, namely \( \partial_{w_0} X := X(\oplus w_0) \). Using the isomorphism \( \varphi : (w+w_0) \cong w, \) we can then define the \( \mathbf{E} \)-morphism that constructs an initialisation from given initialisation data:

\[
\text{init}_{w_0,w} : \prod_{(\ell, c) \in w_0} \partial_{w_0}[\text{[ctype}_c] w \to \text{E}(w, w \oplus w_0)
\]

and define:

\[
\text{new}_{w_0} : \prod_{(\ell, c) \in w_0} \partial_{w_0}[\text{[ctype}_c] \to T\prod_{(\ell, c) \in w_0} \text{[ref}_c]
\]

where \( \varepsilon := \text{init}(\alpha) \).

VI. HIDING AND MASKING

We now analyse the functorial action of the inner coend in \( \mathcal{T} \)'s definition, which is given by a \( \mathcal{W} \)-strong monad \( \mathcal{P} \) on \( \mathbf{E} \).

A. The hiding monad

Consider any \( A \in \mathbf{E} \), and define for every \( w \):

\[
PAw := \int_{w \to w'} w \downarrow A
\]

Given an extension \( \rho : w \to w' \), we think of locations in \( w' \ominus \rho \) as private locations, and of locations in \( w \) as public locations.

Example 3. On the left we depict two representatives for a value in \( \text{PH}\{\ell : \text{data}\} \). The left representative has no private locations, whereas the right representative has the two private locations \( \ell_0 : \text{linked_list}, \ell_1 : \text{list_cell} \). As we can initialise the right representative from the left, the two representatives are equivalent.

\[
\begin{array}{c}
\text{init} \quad \rho_1 \quad \rho_2 \quad \rho_3 \\
\end{array}
\]

On the right we depict a representative for a value in \( \text{PH}\{\ell : \text{linked_list}\} \), whose private locations are given by \( \{\ell_0 : \text{list_cell}, \ell_1 : \text{data}\} \).

The contravariant action of the coend gives, for every injection \( \rho : w_1 \to w_2 \), a function \( \text{hide}_\rho : PAw_2 \to PAw_1 \) defined by \( \text{q}_{\text{op}}(w_2 \to w)(a) \Rightarrow \text{q}_{\text{op}}(w)(a) \) (Fig. 5b). The unit is given by \( \text{return}_w = \text{q}_{\text{d}_w} : A \to PA \) (Fig. 5b). For every \( g : A \to PB \), define \( \Rightarrow g : PA \to PB \) by (Fig. 5c):

\[
(\text{q}_{\text{op}}(w_2 \to w)(a) \Rightarrow g) := \text{hide}_\rho(g_{\text{op}}(a))
\]

For every initialisation \( \varepsilon : w_1 \to w_2 \), we derive the functorial action \( PA\varepsilon : PAw_1 \to PAw_2 \) (Fig. 5d):

\[
PA\varepsilon(q_{\text{op}}(w_1 \to w)(a)) = q_{\text{op}}(PA\varepsilon(a))
\]

Finally, for every \( X \in \mathcal{W} \) and \( A \in \mathbf{E} \), define the strength:

\[
\text{str}(x, q_{\text{op}}(w_1 \to w)(a)) := q_{\text{op}}(\langle X \rho x, a \rangle)
\]

Proposition 4. The data \( \mathcal{P} = (P, \text{return}, \Rightarrow, \text{str}) \) define a strong monad over the \( \mathcal{W} \)-actegory \( \mathbf{E} \).

As a consequence of Proposition 3 we obtain a monad over \( \mathcal{W} \), and further calculation using the explicit description of \( \Rightarrow \) shows this monad is the monad \( \mathcal{T} \) for full ground storage from the previous section.

B. Hiding algebras

To shed some light onto \( \mathcal{P} \), we characterise its algebras. We define a hiding algebra \( A = \langle A, \text{hide}_\mathcal{P} \rangle \) to consist of a functor \( A \in \mathbf{E} \), and for every morphism \( \rho : w_1 \to w \in \mathcal{W} \), a function \( \text{hide}_\mathcal{P} : A w_2 \to A w_1 \), such that: \( \text{hide}_\mathcal{P} = \text{id}_{A w} \) for any \( w \in \mathcal{W} \); \( \text{hide}_\mathcal{P} \circ \text{hide}_\mathcal{P} = \text{hide}_\mathcal{P} \circ \text{hide}_\mathcal{P} \), for every two composarrows \( \rho_1, \rho_2 \in \mathcal{W} \); and whenever we have two initialisations \( \varepsilon_1 : w_1 \to w_2, \varepsilon_2 : w_3 \to w_4 \) in \( \mathcal{E} \) and two injections \( \rho_1 : w_1 \to w_3, \rho_2 : w_2 \to w_4 \) in \( \mathcal{W} \) such that:

\[
\begin{align*}
\text{u}_2 \circ \rho_1 &= \text{u}_2 \circ \rho_1, \text{e}_1 = \text{e}_1, \text{e}_2 = \text{e}_2
\end{align*}
\]

and whenever we have two initialisations \( \varepsilon_1 : w_1 \to w_2, \varepsilon_2 : w_3 \to w_4 \) in \( \mathcal{E} \) and two injections \( \rho_1 : w_1 \to w_3, \rho_2 : w_2 \to w_4 \) in \( \mathcal{W} \) such that:

\[
\begin{align*}
\text{u}_2 \circ \rho_1 &= \text{u}_2 \circ \rho_1, \text{e}_1 = \text{e}_1, \text{e}_2 = \text{e}_2
\end{align*}
\]

Let \( \text{HidAlg} \) be the category of hiding algebras and their homomorphisms, and \( \mathbf{E}^\mathcal{P} \) the category of \( \mathcal{P} \)-algebras and their homomorphisms. We have an evident forgetful functor \( \mathcal{U} \) from each of those categories into \( \mathbf{E} \).

Theorem 5. Mapping an Eilenberg-Moore algebra \( \langle A, \alpha \rangle \) to the hiding algebra \( \langle A, \text{hide}_\mathcal{P} : A w_2 \to A w_1 \rangle \) is the object part of an isomorphism \( \Phi : \mathbf{E}^\mathcal{P} \cong \text{HidAlg} \) satisfying \( \mathcal{U} \circ \Phi = \mathcal{U} \).

C. Effect masking

To evaluate the monad \( \mathcal{T} \), we show it can mask hidden effects. First, we define a semantic criterion for not leaking any locations. We say that a functor \( X \in \mathcal{W} \) is constant when, for every \( \rho : w \to w' \), the function \( X \rho \) is a bijection. We say
that a world \( w \) is constant if, for every \((\ell : c) \in w\), the functor \([\text{type } c] \) is constant. When \( w \) is constant, so is every sub-world \( \tilde{w} \to w \), and the covariant action of the partially applied functor \( H(w, -) \) is a natural isomorphism. Given \( \rho : w \to w' \), we can then project any heap in \( Hw' \) to a heap in \( Hw \).

**Lemma 6.** If \( w \) is constant, then the monadic unit is invertible \( \text{return}_w^P : Hw \xrightarrow{\sim} PHw \). In particular, \( PH0 \cong 1 \).

We use this result when we prove the Effect Masking Theorem 8 as well as when working with concrete examples.

**Lemma 7.** For every constant functor \( X \in W \) and every \( A \in E \), the tensorial strength \( \text{str}_X^P \) is an isomorphism.

While technical, this last result is useful, as it plays the role of the mono requirement \([23]\) in \( \lambda_{ref}^{\Sigma} \)'s adequacy proof.

We can now prove that morphisms that do not leak locations are denotationally equivalent to pure values:

**Theorem 8** (effect masking). For every pair of constant functors \( \Gamma, X \in W \), every morphism \( f : \Gamma \to TX \) factors uniquely through the monadic unit:

\[
\begin{array}{ccc}
\Gamma & \xrightarrow{f} & TX \\
\xrightarrow{\text{runST}} & & \xrightarrow{\text{return}} \\
X & \xrightarrow{\Gamma} & TX
\end{array}
\]

The proof of this theorem is conceptually high-level using our decomposition of \( T \) as \( \Pi \to P(- \odot \Pi) \):

**Proof sketch:**

As \( \Gamma \) is constant, it suffices to prove the theorem for \( \Gamma = 1 \). Calculate as in Fig. 7 chasing a generic morphism upwards.

We named the factored morphism \( \text{runST} f \) as we can use it to interpret a monadic metalanguage \([23]\) containing a construct similar to Haskell’s \( \text{runST} \) \([17]\).

As usual in functor categories, two different functors may have the same global elements. Thus, even if \( TX \) has the same global elements as \( X \), for any constant \( X \), the two functors might differ, for example, for \( X = 1 \) and the signature from Example 1. The fact that \( T1 \neq 1 \) for this signature will be an immediate consequence of \( \lambda_{ref}^{\Sigma} \)'s adequacy (see Example 4 below). However, computations that do not assume anything about the heap nor leak references are pure:

**Proposition 9.** For every constant \( X \in W \), we have \( \text{return}_X^T : X \emptyset \xrightarrow{\sim} TX \emptyset \).

To see why it holds, note that the initiality of \( \emptyset \) in \( W \) means we can bijectively turn an arbitrary element of \( TX \emptyset \) into a global element. We then bijectively apply effect masking to get a global element of \( X \), equivalently an element of \( X \emptyset \), and further calculation shows the monadic unit induces it.

**VII. SEMANTICS FOR FULL GROUND STORAGE**

We now return to the \( \lambda_{ref}^{\Sigma} \)-calculus.

**A. Semantics**

Fig. 8 presents the interpretation of \( \lambda_{ref}^{\Sigma} \)'s types as functors in \( W \). It extends the interpretation of full ground types by interpreting function types using the exponentials in \( W \) and the full ground storage monad \( T \).
\[ [\ell]^\gamma (\rho, e) := \rho(\ell) \quad [x]^\gamma (\rho, e) := e(x) \]

\[ [\text{inj}_1^{\tau_1 + \tau_2} v]^\gamma (\rho, e) := \iota_1([v]^\gamma (\rho, e)) \quad [()]^\gamma (\rho, e) := \star \]

\[ [(v_1, v_2)]^\gamma (\rho, e) := ([v_1]^\gamma (\rho, e), [v_2]^\gamma (\rho, e)) \]

\[ \lambda x : \tau. t]^\gamma (\rho, e) := \text{curry}([t](\rho, e)) \]

Fig. 9: Value semantics

\[ [\text{match } t \text{ with } \{ \tau \} ] = [] \]

\[ \text{match } t \text{ with } \{ \text{inj}_1 x_1 \mapsto s_1 | \text{inj}_2 x_2 \mapsto s_2 \} (\rho, e) := [t](\rho, e) \Rightarrow \lambda x.a. \quad [s_1, s_2](\rho, e[x_1 \mapsto a]) \]

\[ [\text{match } t \text{ with } (x_1, x_2) \mapsto s](\rho, e) := \text{str}((\rho, e), [t](\rho, e)) \Rightarrow [s] \]

\[ [t \ s](\rho, e) := \text{dstr}([t](\rho, e), [s](\rho, e)) \Rightarrow \text{eval} \]

\[ \text{letref } (x_1 : \text{ref}_{c_1}) := v_1, \quad \text{letref } (x_n : \text{ref}_{c_n}) := v_n \]

\[ \text{in } t \]

Fig. 10: Term semantics

The definition makes use of the symmetry, dual strength, and the double strength morphisms:

\[ \text{swap} := \langle \pi_2, \pi_1 \rangle : X \times Y \rightarrow Y \times X \]

\[ \text{str}^\prime := T \text{ swap } \circ \text{ str } \circ \text{ swap } : (TX) \times Y \rightarrow T(X \times Y) \]

\[ \text{dstr} := \tau \circ \text{ str } \circ \text{ str}^\prime : (TX) \times (TY) \rightarrow T(X \times Y) \]

The double strength is given explicitly by

\[ (\pi_{\rho_1}, \text{dstr}^\prime(\alpha, \beta))(\eta_1) = q_{\rho_2 \circ \rho_3}(X_{\rho_3 x, y}, \eta_3) \]

where \((\pi_{\rho_1}, \alpha)\eta_1 = q_{\rho_2}(x, \eta_2)\) and \((\pi_{\rho_2 \rho_3}, \beta)\eta_2 = q_{\rho_3}(y, \eta_3)\).

The value semantics is standard, with locations interpreted by the location environment. The term semantics is standard. The interpretation of the empty match construct is given by the empty morphism \([t] : 0 \rightarrow T[\tau] \), as having a morphism \([t] : \mathbb{W}(w, \tau) \times [\Gamma] \rightarrow 0 \) necessitates \(\mathbb{W}(w, \tau) \times [\Gamma] \) is isomorphic to 0. The interpretations of the three storage operations use the corresponding three operations for the monad \(T \) from Sec. V. There are two steps in defining the semantics of allocation. First, we interpret the initialisation data in the world extended with \(w_0\), which gives us the appropriate input to the new morphism from Sec. V. The morphism now then returns the newly allocated locations, which we bind to the remainder of the computation.

The semantics satisfies the usual substitution lemma. It is also uniform with respect to the heap layout in the typing judgement. To phrase it, note that every layout extension \(w \leq w'\) denotes the world injection given by inclusion.

**Lemma 10.** For every layout extension \(w \leq w'\) we have:

\[ [\Gamma \vdash \mathbb{W} : \tau]_{w'}(\text{id}_{w'}, -) = [\Gamma \vdash \mathbb{W} : \tau]_{w'}(w \leq w', -) \]

\[ [\Gamma \vdash t : \tau]_{w'}(\text{id}_{w'}, -) = [\Gamma \vdash t : \tau]_{w'}(w \leq w', -) \]

This lemma is the semantic counterpart to the monotonicity of the type system.

### B. Soundness and adequacy

To phrase our denotational soundness result, we first extend the semantics to heaps. For brevity’s sake, we define the following notation for closed program phrases \([\mathbb{W} v]_{w}^{\gamma} := [v]_{w}(\text{id}_{w}, \star), \) and \([\mathbb{W} t]_{w}^{\gamma} := [t]_{w}(\text{id}_{w}, \star). \) Next, for every typed heap \(\eta \in \mathbb{H}w\) define:

\[ [\eta] := ([\mathbb{W} \eta(\ell) : \text{ctype } c_{\ell}]^{\gamma}_{w}(\ell : c) \in w) \in \mathbb{H}w \]

The semantic heap operations are compatible with the syntactic heap operations, in the sense that for every syntactic heap \(\eta \in \mathbb{H}w_1\), location \((\ell : c) \in w_1\), and value \(\vdash w, v : \text{ctype } c\) we have:

\[ [\eta]\ell := [\mathbb{W} \eta(\ell) : \text{ctype } c_{\ell}]^{\gamma}_{w_1}(w_1 \leq w_2, -) \]

For allocation, we need to be more careful. Consider any extension \(w \leq w_1\), heap \(\eta_1 \in \mathbb{H}w_1\), and fresh locations \#_{w_1}(\ell_1, \ldots, \ell_n). \) Then let \(w' := w \oplus \{\ell_1 : c_1, \ldots, \ell_n : c_n\}\) and \(w' \_1 := w \oplus \{\ell_1 : c_1, \ldots, \ell_n : c_n\}\). Then consider any initialisation data \([\mathbb{W} v_{1} : \text{ctype } c_{\ell_1}]^{\gamma}_{w_1}\) and let \(\varepsilon := \text{init}([\mathbb{W} v_{1}]^{\gamma}_{w_1})\). We then have that \([\eta_1]_{w_1 \leq w_2} = [\mathbb{W} \eta(\ell_1 \rightarrow v_{1})^{\prime}_{w_1\_1}](\eta_1)\).

The operational and denotational semantics agree:
Further work abounds. We would like to use the Effect Masking Theorem to account for Haskell’s runST construct by tying the denotational semantics derived from said theorem with a more operational account. We would also like to use our semantics to investigate the combination of polymorphism and reference cells, as the issues motivating ML’s value restriction surface with full ground storage.

We would also like to find monads for general storage, and not just full ground references. As it is possible to tie Landin’s knot with general references and implement full recursion, we expect to need to solve some recursive equation to obtain the category of worlds. It might be possible to do so with a traditional recursive domain equation, or using step-indexing methods.

We would like to find an algebraic presentation for our monad in the style of Plotkin and Power, and investigate its completeness. Doing so would allow us to account for effect-dependent program transformations. We would also like to give a simpler description of the monad’s action at (full) ground types. Our decomposition of the full ground references monad differs from existing decompositions for ground storage. Repeating this decomposition in the ordinary ground case would lead to new insights into existing and new models.

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References


Theorem 11 (soundness). The operational and denotational semantics agree: for every closed, well-typed term \( t : \tau \), extensions \( w \leq w' \leq w'' \), value \( \tau_w, v : \tau \) and heaps \( \eta' \in H_{w'} \) and \( \eta'' \in H_{w''} \), if \( \langle t, \eta' \rangle \Downarrow \langle v, \eta'' \rangle \) then

\[
\langle t, \eta' \rangle = \langle t \rangle_{H_{w}} \quad \langle \pi_{w\leq w''}[\ell], \eta' \rangle = q_{w\leq w''}[\langle \ell \rangle_{H_{w}}, \langle \eta' \rangle] \]

The proof is by induction on typing judgements, using the explicit description of \( T \) given in Sec. [7].

Given two terms \( \Gamma \vdash t, s : \tau \), recall the set \( C[\Gamma, t, s : \tau] \) of contexts plugged with \( t \) and \( s \) from Subsec. II-C.

Theorem 12 (compositionality). For every pair of plugged contexts \( \Gamma' \vdash w \tau, s_1, s_2 : \tau' \in C[\Gamma' \vdash \tau_1, t_1, t_2 : \tau], \) if \( [t_1] = [t_2] \) then \( [s_1] = [s_2] \).

The proof is by induction on contexts, using the fact that the semantics is given compositionally in terms of sub-terms.

Theorem 13 (adequacy). For all terms \( \Gamma \vdash t_1, t_2 : \tau \), if \( [t_1] = [t_2] \) then \( \Gamma \vdash t_1 \approx_{ctx} t_2 : \tau \).

The proof is standard using the Compositionality and Soundness theorems. In the final step, where the mono requirement is usually used, use Lemma 7 to project out the shared return value of the contexts.

Example 4. As promised, we show \( T \equiv 1 \) in the signature from Example I. Consider the two program phrases:

\[
\frac{\{t_0, \ell_1 : \text{data}\}}{\ell_0 x = t_0 \in \ell_0} \ell_0 \equiv ! \ell_0; \ell_1 \equiv x : 1
\]

We can distinguish the two phrases by dereferencing \( t_0 \). Had \( T \equiv 1 \), they would have equal denotations, and so the result follows from the Adequacy Theorem.

C. Program equivalences

There are fourteen program equivalences (ordinary) ground reference cells are expected to satisfy. Staton has shown they are Hilbert-Post complete. While we do not check their Hilbert-Post completeness here, we validate them for full ground references. As some equations require locations to be distinct, we use the heap layout assumption to avoid aliasing:

\[
\begin{align*}
\nu_1 : \text{ctype} c_1, \nu_2 : \text{ctype} c_2 & \vdash \{ \ell_1 : c_1, \ell_2 : c_2 \} \\
\ell_1 & \equiv : \nu_1; \\
\ell_2 & \equiv : \nu_2; \\
\end{align*}
\]

VIII. Conclusions and Further Work

We gave a monad for full ground references. An important ingredient was to view the collection of heaps as functorial on initialisations. Using standard developments in enrichment, we decomposed it into a monad for hiding transformed with state capabilities to better account for subtleties in the monad’s definition. We gave evidence that the monad is appropriate for modelling reference cells: we showed it yields adequate semantics for the calculus of full ground references, and also validates the equations expected from a local state monad, as well as the effect masking property.

Further work abounds. We would like to use the Effect Masking Theorem to account for Haskell’s runST construct by tying the denotational semantics derived from said theorem with a more operational account. We would also like to use our semantics to investigate the combination of polymorphism and reference cells, as the issues motivating ML’s value restriction surface with full ground storage.

We would also like to find monads for general storage, and not just full ground references. As it is possible to tie Landin’s knot with general references and implement full recursion, we expect to need to solve some recursive equation to obtain the category of worlds. It might be possible to do so with a traditional recursive domain equation, or using step-indexing methods.

We would like to find an algebraic presentation for our monad in the style of Plotkin and Power, and investigate its completeness. Doing so would allow us to account for effect-dependent program transformations. We would also like to give a simpler description of the monad’s action at (full) ground types. Our decomposition of the full ground references monad differs from existing decompositions for ground storage. Repeating this decomposition in the ordinary ground case would lead to new insights into existing and new models.

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