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## Modeling nucleic acids

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Nucleic acids are an important class of biological macromolecules that carry out a variety of cellular roles. For many functions, naturally occurring DNA and RNA molecules need to fold into precise three-dimensional structures. Due to their self-assembling characteristics, nucleic acids have also been widely studied in the field of nanotechnology, and a diverse range of intricate three-dimensional nanostructures have been designed and synthesized. Different physical terms such as base-pairing and stacking interactions, tertiary contacts, electrostatic interactions and entropy all affect nucleic acid folding and structure. Here we review general computational approaches developed to model nucleic acid systems. We focus on four key areas of nucleic acid modeling: molecular representation, potential energy function, degrees of freedom and sampling algorithm. Appropriate choices in each of these key areas in nucleic acid modeling can effectively combine to aid interpretation of experimental data and facilitate prediction of nucleic acid structure.

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### Introduction

Understanding nucleic acid structure provides essential insight into functional roles fundamental to molecular biology. Unfortunately, experimentally determining RNA and DNA structures at high resolution is tedious, expensive and not always tractable, particularly for large complex systems, which can show significant molecular flexibility.

A complementary approach is to study nucleic acid structure *in silico*. With recent discoveries of RNA's various gene regulation roles and the potential applications of nucleic acid nanostructures to biocomputing [1] and nanotechnology [2,3], there has been extensive research

into developing computational tools for modeling and manipulating nucleic acid structure (Table 1; also recent reviews [4,5\*\*] and references therein).

Since computational modeling can be used to address a wide range of problems that vary in complexity and resolution (time/size/precision), an appropriate choice of algorithm or modeling platform is required. We discuss four main aspects of nucleic acid modeling here: firstly, molecular representation; secondly, potential energy function; thirdly, degrees of freedom; and fourthly, sampling algorithm. We focus on general modeling techniques and strategies that are applicable to an array of modeling purposes such as generating an ensemble of plausible molecular models, identifying a native-like molecular structure, studying folding kinetics, probing the effects of base mutations, refining molecular models and modeling with limited experimental data.

### Molecular representation

Modeling nucleic acids with an all-atom representation and consequent precision is computationally expensive (Figure 1), particularly for large systems. Analogous to proteins, coarse-graining nucleic acids is a common approach to handle larger molecular systems [6]. Each nucleic acid base can be represented by a subset of atoms or pseudo-atoms (e.g. [7–9] for DNA and [10\*,11–13,14\*] for RNA; see Figure 1). Alternatively, each base can be represented as a plane [15] and modeled by rigid body parameters (Figure 1). These different coarse-grained representations have been successfully implemented in RNA structure prediction [10\*,11,12].

For very large nucleic acid systems with many nucleotides, modeling can still be intractable even with such extensive coarse-graining. Because base-paired helices of DNA and RNA are rigid compared to single strands of bases, another coarse-graining strategy is to depart from atomic-level detail and instead represent each nucleic acid helix as a cylinder (Figure 1). Clearly this coarse-graining is only applicable for exploring global conformations rather than for extracting fine molecular information.

What molecular representation is most suitable? For practical considerations, it makes most sense to use the minimum possible representation that is still able to capture the phenomenon of interest with reasonable computational cost (Figure 1). While all-atom molecular modeling is usually favored due to the high level of structural detail, the cost could become astronomical

## 2 Nucleic acids

Table 1

Summary of molecular modeling software					
Modeling software	Purpose	Representation	Potential energy function	Degrees of freedom	Sampling algorithm
Amber; Nucleic Acid Builder [50]	Dynamics; Sampling	All-atom	Physics-based force-fields	All-atom	Molecular dynamics
BARNACLE [28]	Structure prediction	All-atom	Dihedral angle Bayesian network	Dihedral angles	Markov chain model
FARNA/FARFAR [25,26**]	Structure prediction	All-atom	Knowledge-based	Fragments	Monte Carlo
iFoldRNA [10*,17]	Structure prediction	Coarse-grained (all-atom reconstruction available)	Knowledge-based	All coarse-grained atoms	Discrete molecular dynamics
MacroMolecule Builder (formerly RNABuilder) [11]	Optimization	All-atom	Knowledge-based and experimental constraints	User defined	Relaxation with changing degrees of freedom and constraints (user-defined); simulated annealing
MC-Sym [38**]	Structure prediction	All-atom	Chain connectivity	Fragments	Cyclic building
MOSAICS [37]	Sampling; Optimization	Any	Any	Any/User defined; embedded degrees of freedom allowed	Monte-Carlo; Monte Carlo Minimization
NAMD [51]	Dynamics	All-atom	Physics-based force-fields	All-atom	Molecular dynamics
NAST [12]	Sampling	Coarse-grained	Knowledge-based and experimental constraints	All coarse-grained atoms	Molecular dynamics
Vfold [13]	Structure prediction	All-atom	Physics and knowledge-based	Fragments	Piece-wise assembly
YUP [52]	Sampling; Optimization	Any	Knowledge-based or user-defined	User defined	Monte Carlo; molecular dynamics; gradient-based minimization

for large systems, making coarse-graining an absolute necessity. Perhaps an appropriate compromise would be to coarse-grain selected regions, and model important interacting sites at all-atom representation, however such a choice would require development of an interaction potential to traverse different molecular representations. Alternatively, one could also retain a high level of detail in the molecular representation, but decrease the number of degrees of freedom (DOFs) that are used in the sampling process (see ‘Degrees of freedom’ section). A less straightforward process is to first model with a coarse-grained representation, then restore all-atom resolution based on known or idealized nucleotide geometry [13,16,17].

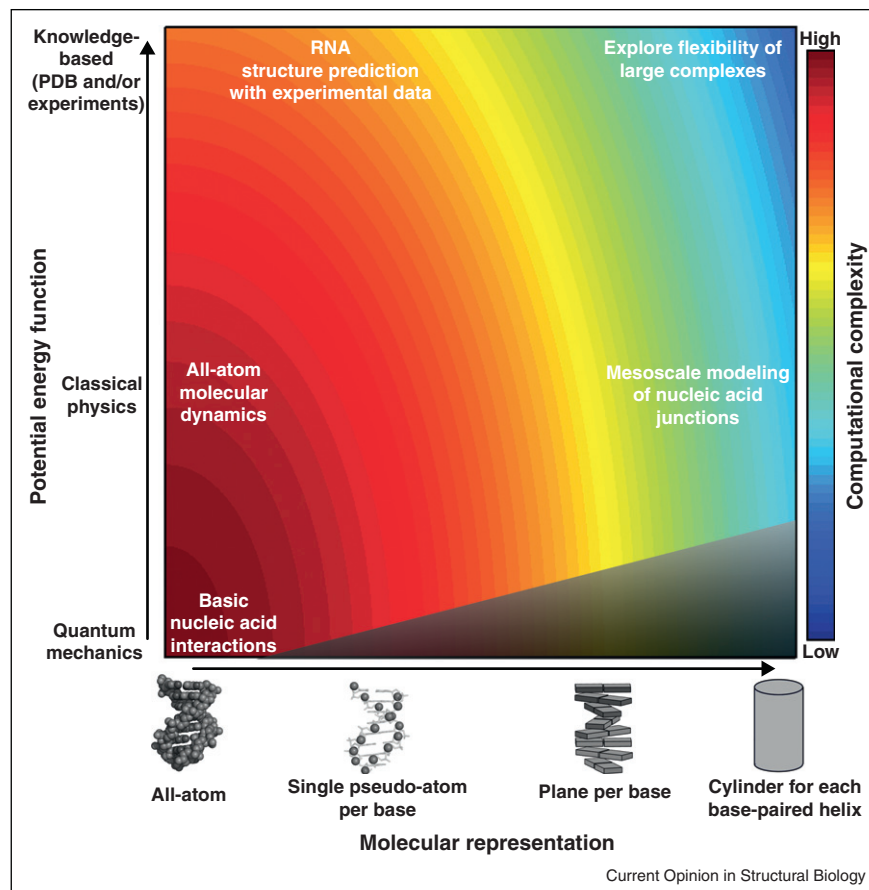
It is also important to note that here we have only discussed different representations of the nucleic acid structure, not those of its surrounding solvent or counterions, which can be expressed implicitly and/or explicitly. Nucleic acids carry high negative charges and hence solvent and ions must be correctly treated in order to accurately model RNA and DNA. Discussion of nucleic acid solvation and electrostatics is beyond the scope of this review and the reader is referred to other relevant literature (e.g. [18,19]).

### Potential energy function

In molecular modeling, a potential energy function is required to distinguish physical and biologically relevant conformations. These potentials come in varying degrees of precision and complexity (Figure 1), ranging from a primitive function considering only steric restraints to one that depends on quantum mechanical calculations. The choice of potential is critical to the efficacy of molecular modeling; the accuracy of modeling depends on the correctness of the potential whereas sampling efficiency varies with the nature of the potential guiding the simulation (discussed in ‘Sampling algorithm’ section).

Traditional physics-based potentials such as AMBER [20] and CHARMM [21] approximate atomic-level interactions as bonded (bond, bend-angle stretching, torsional rotations) and pairwise non-bonded terms, each described by analytical mathematical formulas. Parameters for these mathematical expressions were optimized using experimental observations on small molecules or else by fitting to quantum chemical calculations. A significant advantage of such physics-based potentials is their applicability to molecular dynamics simulations (see [22,23] and references therein).

Figure 1



This schematic diagram classifies nucleic acid modeling according to molecular representation and potential energy function; both affect computational complexity. Very detailed potentials are not applicable to molecular representations that are too coarse (dark shaded triangle). Computational complexity also depends on the number and type of degrees of freedom of the particular system that in turn depend on the potential energy function and molecular representation. Large systems with more atoms are generally more complex than small systems.

Coarse-grained systems are usually modeled with knowledge-based potentials that are typically derived from structural information gathered from high-resolution experimental structures [10<sup>•</sup>,11,12,24], from molecular models produced by all-atom simulations with physics-based potentials [9], or both [14<sup>•</sup>]. A major advantage of knowledge-based potentials is the ability to tune potentials to the particular molecular representation just by adjusting the information that is extracted from known structures. For instance, one could use different nucleotide representations to generate potentials based on known physical interactions such as nucleic acid base-pairing and stacking (compare refs. [10<sup>•</sup>,11,12,25,26<sup>••</sup>,27]), or from interatomic distances [24] or torsional angles [28], or a combination of the above [29]. Recently, a coarse-grained potential was developed for RNA using parameterized bonded and non-bonded terms like those in traditional physics-based potentials [14<sup>•</sup>]. Another advantage of knowledge-based potentials is that their mathematical forms can be easily adjusted. In some

cases, these potentials are discontinuous; it was shown that RNA molecules can be efficiently modeled using a discretized knowledge-based potential [10<sup>•</sup>]. Additionally, because knowledge-based potentials implicitly capture solvent effects, they are less computationally intensive to use, in that water molecules and ions need not be explicitly included.

While physics-based potentials have been applied widely, knowledge-based potentials have mostly been used to select native-like structures; in some cases their accuracies supersede those using physics-based potentials [26<sup>••</sup>]. Despite successes in distinguishing native-like conformations, because knowledge-based parameters are usually derived from static experimental structures, it is unclear how accurate they would be for studying nucleic acid dynamics and folding pathways, particularly in different ionic solution conditions than those used to solve the experimental structures.

#### 4 Nucleic acids

Another class of potentials can be derived from constraints that come in the form of secondary structure information or low-resolution experimental data. Such limited experimental data appears to provide sufficient constraints so that RNA structure determination can be more tractable, particularly for larger systems [11,12,30–33].

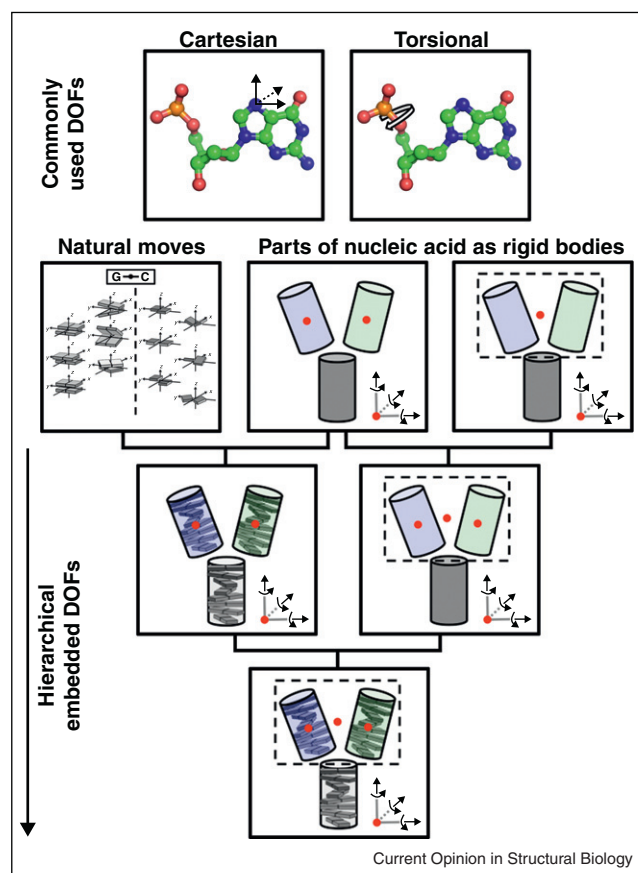
#### Degrees of freedom (DOFs)

Another approach to coarse-graining large systems is to use alternate sets of DOFs while retaining an all-atom representation. By modeling using dihedral or torsional DOFs instead of all-atom Cartesian DOFs, dimensionality (number of DOFs) is substantially reduced. This technique is motivated by the limited flexibility of bond lengths and bond angles: any conformational rearrangement in Cartesian space can be accurately expressed in torsional space because bond lengths and bond angles are approximately fixed at their equilibrium values.

Unfortunately, the benefits of modeling with torsional DOFs are less obvious when modeling large and complex molecular assemblies. The root of the problem is the lever arm effect caused by rotating a dihedral angle about a single bond: a small torsional rotation about a bond can lead to substantial conformational change distant from it, rather like how a small rotation about one's elbow results in a large movement of the hand. The solution to this lever arm problem is to use torsional DOFs that only affect local conformation. However, doing this will break the continuity of the molecular chain, which needs to be repaired with a chain-closure algorithm [34]. The computational complexity of standard chain-closure algorithms makes them very expensive, encouraging the development of closure algorithms that are of reasonable computational cost. This need has been met with a linear complexity stochastic chain-closure algorithm [35\*\*] that opens up new avenues of application: arbitrary sets of DOFs can be used, since the chain-closure algorithm can effectively restore multiple chain breakages.

Recently we applied our chain-closure algorithm to complex RNA systems, exploiting the hierarchy present in RNA structure to define different embedded sets of DOFs [36\*\*]. The DOFs used varied in size and complexity: at the lowest level nucleotide planes move as rigid bodies with an all-atom representation; some higher level DOFs involve moving helices (base-paired regions of nucleic acids) independently or as sets of two or more helices (see Figure 2). Since these DOFs are embedded, none of the helices are perfectly rigid so that we explore a continuous phase space at the nucleotide level. In each proposed move of a Markov chain Monte Carlo step, the RNA is first regarded as a 'liquid' of individual nucleotides that are free to move based on the user-defined DOFs independent of chain connectivity. Such a complex move may lead to multiple chain breakages within

Figure 2



Different ways of manipulating nucleic acid degrees of freedom (DOFs) in modeling. Use of Cartesian DOFs is the default in most modeling procedures, but generally results in large sampling dimensionality (number of DOFs) for systems of biomedical or nano-engineering significance. Modeling with torsional DOFs significantly reduces sampling dimensionality, however, due to the lever-arm effect (see main text), such an approach can cause a low acceptance ratio in Monte Carlo simulations. Recently, hierarchical embedded DOFs [36\*\*] together with stochastic chain closure [35\*\*] were introduced; both facilitate efficient sampling of nucleic acid structure. Different levels of embedded rigid body motions (centers of motions are illustrated by red dots) ensures that no segment of the nucleic acid is completely rigid, to give a sampling distribution that is similar to sampling with just natural moves but with substantially improved sampling efficiency [36\*\*].

the RNA that we fix with chain-closure. Using embedded DOFs (implemented in MOSAICS [37]; discussed in [36\*\*]) allows more effective and accurate exploration of the conformational space of an RNA four-way junction than with other state-of-the-art RNA sampling methods.

#### Sampling algorithm

Finally, one needs to choose an appropriate dynamical/sampling/optimization algorithm to guide exploration of conformational space. The choice is primarily dependent on the question at hand. If one is interested in kinetics or folding dynamics, molecular dynamics should be used; it has been successfully employed to study folding processes

of nucleic acids (e.g. [22] and references therein). Alternatively, Monte Carlo is a general sampling algorithm that can be used to generate conformational ensembles with continuous or discontinuous potentials alike. Such ensembles can then be used to extract distributions of physical observables or to provide diverse models in structure prediction [25,38<sup>••</sup>]. If instead the objective is to locate local or global minima of the scoring energy surface (e.g. in structure refinement), optimization algorithms such as conjugate gradient minimization or simulated annealing can be used.

A major issue in molecular modeling is that sampled conformations often remain close to the initial starting model. This is because almost all potential energy functions give rise to energy barriers between low energy conformational basins; these barriers hinder transitions between different low energy states. Sometimes such barriers are unnaturally high due to the potentials and DOFs used. Hence conformational transitions occur with low probability in simulations, impeding effective sampling of conformational space [39].

In order to overcome these limitations, several technological innovations have been proposed to speed up conformational exploration using the aforementioned sampling algorithms. Perhaps the most popular approach is to implement multi-canonical sampling algorithms that manipulate either the temperature [40,41] or the potential [42,43]. Other solutions to overcome barriers in extra dimensions include data augmentation [44] and coupling to extended systems [45,46]. More complex solutions reformulate the basic partition function (that describes the system in thermodynamic equilibrium) in terms of new variables [47] or change the underlying potential surface [48,49].

## Conclusions

We have reviewed some key aspects and recent developments in the field of nucleic acid modeling. Despite improved computational resources and increased importance of modeling in nucleic acid nanotechnology and biomedicine, it remains crucial to interpret modeling results with utmost care: the accuracy of any particular molecular modeling technique is only as good as the potential energy function used. To avoid overinterpretation of modeling data, one needs to be aware of the limitations of the selected modeling protocol. Nonetheless, in many instances, carefully selected combinations of the appropriate molecular representation, potential energy function, DOFs and sampling algorithm yield sufficiently accurate modeling results that can facilitate interpretation of experimental data and/or to guide future experiments.

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