

SATisfiability Solving: How to solve problems with SAT?

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How to encode a problem into SAT?

c famous problem (in CNF)

p cnf 6 9

1 4 0

2 5 0

3 6 0

-1 -2 0

-1 -3 0

-2 -3 0

-4 -5 0

-4 -6 0

-5 -6 0

How to encode a problem into SAT?

c pigeon hole problem

p cnf 6 9

```
1 4 0          # pigeon[1]@hole[1] ∨ pigeon[1]@hole[2]
2 5 0          # pigeon[2]@hole[1] ∨ pigeon[2]@hole[2]
3 6 0          # pigeon[3]@hole[1] ∨ pigeon[3]@hole[2]
-1 -2 0        # ¬pigeon[1]@hole[1] ∨ ¬pigeon[2]@hole[1]
-1 -3 0        # ¬pigeon[1]@hole[1] ∨ ¬pigeon[3]@hole[1]
-2 -3 0        # ¬pigeon[2]@hole[1] ∨ ¬pigeon[3]@hole[1]
-4 -5 0        # ¬pigeon[1]@hole[2] ∨ ¬pigeon[2]@hole[2]
-4 -6 0        # ¬pigeon[1]@hole[2] ∨ ¬pigeon[3]@hole[2]
-5 -6 0        # ¬pigeon[2]@hole[2] ∨ ¬pigeon[3]@hole[2]
```

Encoding to CNF

- What to encode?
 - Boolean formulas
 - Tseitin's encoding
 - Plaisted&Greenbaum's encoding
 - ...
 - Natural numbers
 - Cardinality constraints
 - Pseudo-Boolean (PB) constraints
 - ...

Encoding to CNF

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 - Natural numbers
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 - ...
- There are no CNF problems !
 - Structure is lost when encoding to CNF

[Source: Peter J. Stuckey 2013]

Why CNF?

- Any propositional formula may be converted into an **equisatisfiable** CNF formula with only linear increase in size:
 - Use Tseitin's encoding !
- CNF makes it possible to perform interesting deductions (resolution)
- SAT solvers use CNF as the standard input format

Tseitin's encoding

Convert $\varphi = (a \vee b) \rightarrow (a \vee \bar{c})$ to an equisatisfiable CNF formula

- For each subformula, introduce new variables: t_1 for φ , t_2 for $(a \vee b)$, t_3 for $(a \vee \bar{c})$, and t_4 for \bar{c}
- Stipulate equivalences and convert them to CNF:
 - $t_1 \leftrightarrow (t_2 \rightarrow t_3) \Rightarrow \varphi_1 = (\bar{t}_1 \vee \bar{t}_2 \vee t_3) \wedge (t_2 \vee t_1) \vee (\bar{t}_3 \vee t_1)$
 - $t_2 \leftrightarrow (a \vee b) \Rightarrow \varphi_2 = (\bar{t}_2 \vee a \vee b) \wedge (\bar{a} \vee t_2) \wedge (\bar{b} \vee t_2)$
 - $t_3 \leftrightarrow (a \vee \bar{c}) \Rightarrow \varphi_3 = (\bar{t}_3 \vee a) \wedge (\bar{t}_3 \vee t_4) \wedge (\bar{a} \vee \bar{t}_4 \vee t_3)$
 - $t_4 \leftrightarrow \bar{c} \Rightarrow \varphi_4 = (t_4 \vee \bar{c}) \wedge (t_4 \vee c)$
- The formula $t_1 \wedge \varphi_1 \wedge \varphi_2 \wedge \varphi_3 \wedge \varphi_4$ is equisatisfiable to φ and is in CNF

Tseitin's encoding

- Using automated tools to encode to CNF:
e.g **limboole**: <http://fmv.jku.at/limboole>

Tseitin's encoding

- Using automated tools to encode to CNF:
e.g **limboole**: <http://fmv.jku.at/limboole>
- Tseitin's encoding may add many redundant variables/clauses !
 - Using **limboole** for the pigeon hole problem ($n=3$) creates a formula with 40 variables and 98 clauses
 - After unit propagation the formula has 12 variables and 28 clauses
 - Original CNF formula only has 6 variables and 9 clauses

How to encode natural numbers?

- Onehot encoding:
 - Each number is represented by a boolean variable: $x_0 \dots x_n$
 - At most one number: $\bigwedge_{i \neq j} \bar{x}_i \vee \bar{x}_j$

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- Unary encoding:

- Each variable x_n is true iff the number is equal to or greater than n :
e.g. $x_2 = 1$ represents that the number is equal to or greater than 2
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- Binary encoding:

- Use $\lceil \log_2 n \rceil$ auxiliary variables to represent n in binary
e.g. Consider $n = 3$:
 x_0 (number 0) corresponds to the binary representation 00
 $\bar{x}_0 \vee \bar{b}_0, \bar{x}_0 \vee \bar{b}_1$

How to encode cardinality constraints?

At-most-one constraints:

- Naive (pairwise) encoding for at-most-one constraints:

- Cardinality constraint: $x_1 + x_2 + x_3 + x_4 \leq 1$
- Clauses:

$$\left. \begin{array}{l} (x_1 \Rightarrow \neg x_2) \\ (x_1 \Rightarrow \neg x_3) \\ (x_1 \Rightarrow \neg x_4) \\ \dots \end{array} \right\} \begin{array}{l} \neg x_1 \vee \neg x_2 \\ \neg x_1 \vee \neg x_3 \\ \neg x_1 \vee \neg x_4 \\ \dots \end{array}$$

- Complexity: $\mathcal{O}(n^2)$ clauses

How to encode cardinality constraints?

At-most-k constraints:

- Naive encoding for at-most-k constraints:

- Cardinality constraint: $x_1 + x_2 + x_3 + x_4 \leq 2$
- Clauses:

$$\left. \begin{array}{l} (x_1 \wedge x_2 \Rightarrow \neg x_3) \\ (x_1 \wedge x_2 \Rightarrow \neg x_4) \\ (x_2 \wedge x_3 \Rightarrow \neg x_4) \\ \dots \end{array} \right\} \begin{array}{l} (\neg x_1 \vee \neg x_2 \vee \neg x_3) \\ (\neg x_1 \vee \neg x_2 \vee \neg x_4) \\ (\neg x_2 \vee \neg x_3 \vee \neg x_4) \\ \dots \end{array}$$

- Complexity: $\mathcal{O}(n^k)$ clauses

Encodings for cardinality constraints

| Encoding | Clauses | Variables | Type |
|------------|-----------------------------|-----------------------------|-------------|
| Pairwise | $\mathcal{O}(n^2)$ | 0 | at-most-one |
| Ladder | $\mathcal{O}(n)$ | $\mathcal{O}(n)$ | at-most-one |
| Bitwise | $\mathcal{O}(n \log_2 n)$ | $\mathcal{O}(\log_2 n)$ | at-most-one |
| Commander | $\mathcal{O}(n)$ | $\mathcal{O}(n)$ | at-most-one |
| Product | $\mathcal{O}(n)$ | $\mathcal{O}(n)$ | at-most-one |
| Sequential | $\mathcal{O}(nk)$ | $\mathcal{O}(nk)$ | at-most-k |
| Totalizer | $\mathcal{O}(nk)$ | $\mathcal{O}(n \log_2 n)$ | at-most-k |
| Sorters | $\mathcal{O}(n \log_2^2 n)$ | $\mathcal{O}(n \log_2^2 n)$ | at-most-k |

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- Example on the board

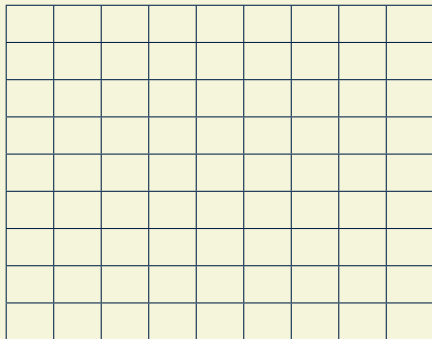
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- Many more encodings exist
- They can also be generalized to pseudo-Boolean constraints:
 - $a_1x_1 + a_2x_2 + \dots + a_nx_n \leq k$

Simplification of encodings

- Many problems are highly symmetrical
e.g Quasigroups:



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e.g Quasigroups:

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e.g Quasigroups:

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | | | | | | |
| 4 | 8 | 7 | 5 | 1 | 2 | 9 | 3 | 6 |
| | | | | | | | | |
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| | | 7 | | | | | | |
| | | 5 | | | | | | |
| | | 2 | | | | | | |
| | | 8 | | | | | | |
| | | 1 | | | | | | |
| | | 9 | | | | | | |
| | | 6 | | | | | | |
| | | 4 | | | | | | |

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e.g Quasigroups:

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| 6 | 9 | 3 | 7 | 8 | 4 | 5 | 1 | 2 |
| 4 | 8 | 7 | 5 | 1 | 2 | 9 | 3 | 6 |
| 1 | 2 | 5 | 9 | 6 | 3 | 8 | 7 | 4 |
| 9 | 3 | 2 | 6 | 5 | 1 | 4 | 8 | 7 |
| 5 | 6 | 8 | 2 | 4 | 7 | 3 | 9 | 1 |
| 7 | 4 | 1 | 3 | 9 | 8 | 6 | 2 | 5 |
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| 5 | 6 | 8 | 2 | 4 | 7 | 3 | 9 | 1 |
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| 4 | 5 | 7 | 8 | 1 | 2 | 9 | 3 | 6 |
| 1 | 9 | 5 | 2 | 6 | 3 | 8 | 7 | 4 |
| 9 | 6 | 2 | 3 | 5 | 1 | 4 | 8 | 7 |
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Simplification of encodings

- Many problems are highly symmetrical
e.g. Quasigroups:
- Breaking symmetries:
 - Change the search algorithm of the SAT solver?
 - Remodel the problem
 - Add symmetry breaking constraints
e.g. Impose lexicographical order
 - Automated tools for finding symmetries:
 - **shatter** <http://www.aloul.net/Tools/shatter/>
- Other simplifications:
 - Formula simplification by preprocessing
 - **CP3** <http://tools.computational-logic.org/content/riss3g.php>

Incremental SAT solving

- Calling a SAT solver solver multiple times
- Changing the formula at each iteration
 - Adding new clauses is easy!
 - How to remove clauses?

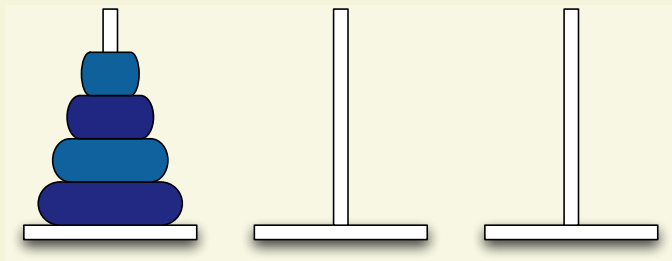
Incremental SAT solving

- Calling a SAT solver multiple times
- Changing the formula at each iteration
 - Adding new clauses is easy!
 - How to remove clauses?
- Use assumptions
- Add a fresh variable to clauses that you may want to remove:
 - $(a \vee b \vee f)$, where f is a fresh variable
 - Set f to 0 to consider the clause
 - Set f to 1 to remove the clause

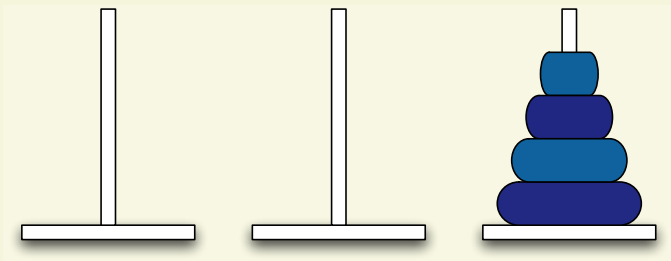
Other tips for encodings

- Tweaking solver parameters
 - Changing the set of decision variables
 - Bumping activity of more important variables
 - ...
 - Disclaimer: I would not recommend on doing this !
- Order of variable indexes
 - Close variables are usually related
- Solutions close to zero
 - SAT solvers usually branch on 0 first

Encoding a problem into SAT – Towers of Hanoi



Encoding a problem into SAT – Towers of Hanoi



- Only one disk may be moved at a time;
- No disk may be placed on the top of a smaller disk;
- Each move consists in taking the upper disk from one of the towers and sliding it onto the top of another tower.

How to encode ToH?

STRIPS planning mode:

- Variables
- Actions: preconditions \rightarrow postconditions
- Initial state
- Goal state

How to encode ToH?

[Source: Selman & Kautz 1996]

- **Variables:** $on(d, dt, i); clear(dt, i)$
- **Actions:** $move(d, dt, dt', i) = obj(d, i) \wedge from(dt, i) \wedge to(dt', i)$
 - preconditions:
 $clear(d, i), clear(dt', i), on(d, dt, i)$
 - postconditions:
 $on(d, dt', i + 1), clear(dt, i + 1), \neg on(d, dt, i), \neg clear(dt', i + 1)$
- **Initial state:**
 - $on(d_1, d_2, 1), \dots, on(d_{n-1}, d_n, 1), on(d_n, t_1, 1)$
 $clear(d_1, 1), clear(t_1, 1), clear(t_2, 1), clear(t_3, 1)$
 - All other variables initialized to false
- **Goal state:**
 - $on(d_1, d_2, 2^n - 1), \dots, on(d_{n-1}, d_n, 2^n - 1), on(d_n, t_1, 2^n - 1)$

How to encode ToH?

[Source: Selman & Kautz 1996]

Constraints:

- Exactly one disk is moved at each time step
- There is exactly one movement at each time step
- There are no movements to exactly the same position
- For a movement to be done the preconditions must be satisfied
- After performing a movement the postconditions are implied
- No disks can be moved to the top of smaller disks
- Initial state holds at time step 0
- Goal state holds at time step $2^n - 1$
- Preserve the value of variables that were unaffected by movements

How good is this encoding?

Time limit of 10,000 seconds using **picosat**

| n | Selman |
|----|---------|
| 4 | 0.16 |
| 5 | 8.31 |
| 6 | 54.70 |
| 7 | 5252.27 |
| 8 | - |
| 9 | - |
| 10 | - |
| 11 | - |
| 12 | - |

A more compact encoding

[Source: Prestwich 2007]

- **Actions:** $move(d, dt, dt, i) = obj(d, i) \wedge from(dt, i) \wedge to(dt, i)$
 - Before:
 - Movements from disks/towers to disks/towers
 - Now:
 - Movements from towers to towers
 - Clear variable can be removed

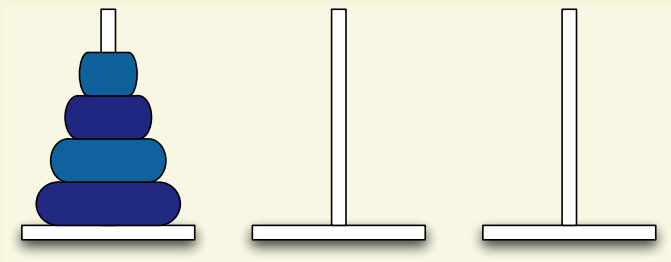
- More compact encoding:
 - Before: 5 towers requires 1,931 variables and 14,468 clauses
 - Now: 5 towers only requires 821 variables and 6,457 clauses

How good is this encoding?

| n | Selman | Prestwich |
|----|---------|-----------|
| 4 | 0.16 | 0.01 |
| 5 | 8.31 | 0.08 |
| 6 | 54.70 | 0.47 |
| 7 | 5252.27 | 3.65 |
| 8 | - | 109.7 |
| 9 | - | 7126.57 |
| 10 | - | - |
| 11 | - | - |
| 12 | - | - |

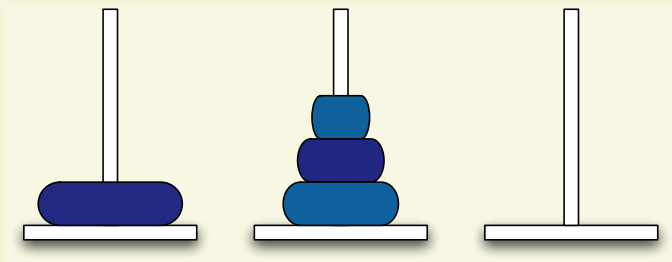
- Can we do better?
 - Look at the properties of the problem !

ToH Properties (Recursion)



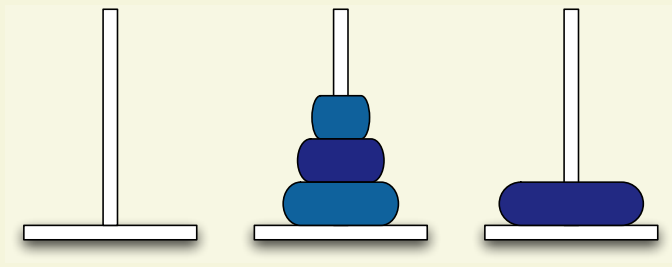
- Given a ToH of size n , one may easily find a solution taking into account the solution for a ToH of size $n - 1$

ToH Properties (Recursion)



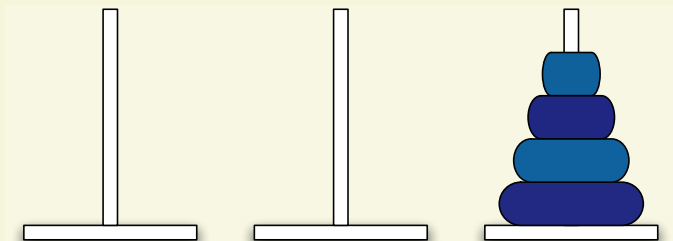
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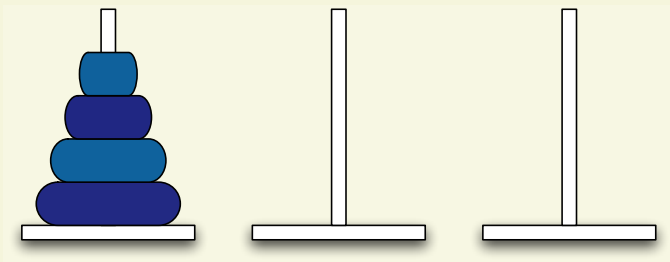
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- The order of the disks to be moved after moving the largest disk is exactly the same as before

ToH Properties (Recursion)



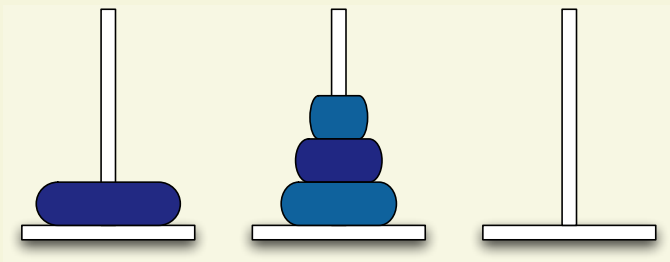
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ToH Properties (Symmetry)



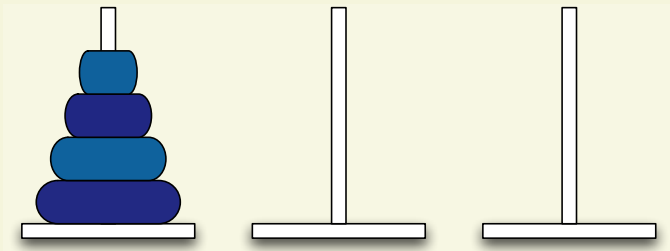
- ToH can be solved in $2^n - 1$ steps
- Considering the relationship between the movement of the disks after/before moving the largest disk we only need to determine the first $2^{n-1} - 1$ steps

ToH Properties (Symmetry)



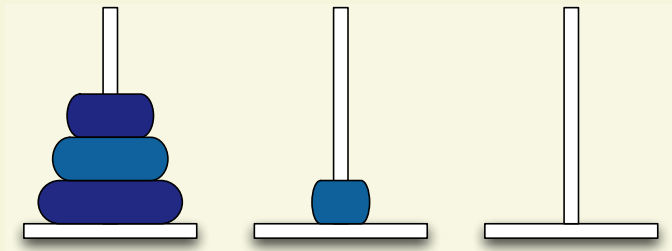
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ToH Properties (Parity)



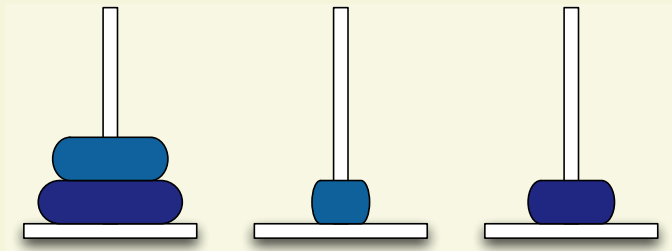
- When moving disks, no two odd/even disks can be moved next to each other

ToH Properties (Parity)



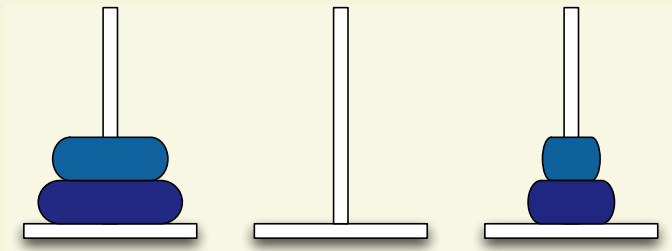
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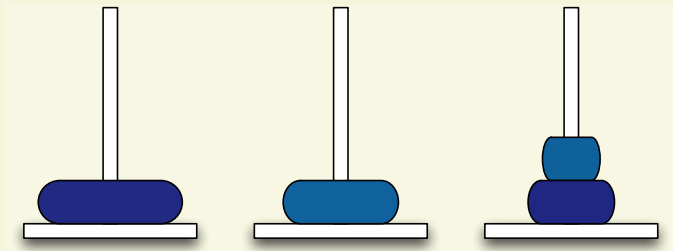
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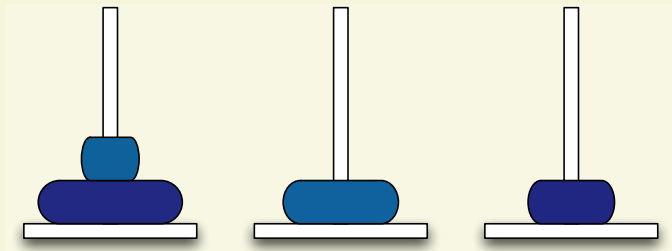
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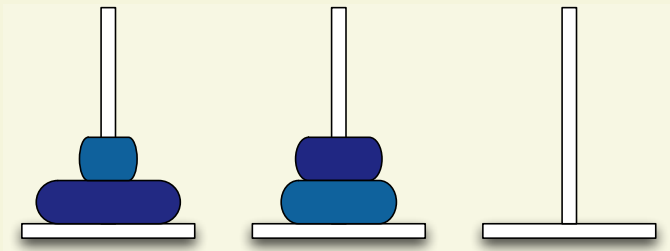
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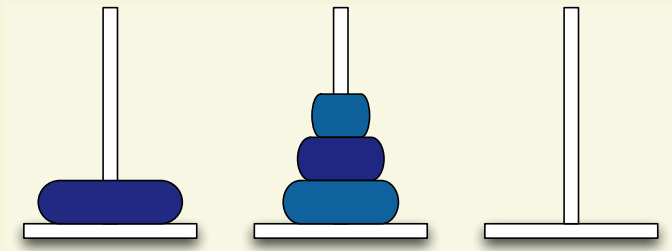
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ToH Properties (Parity)



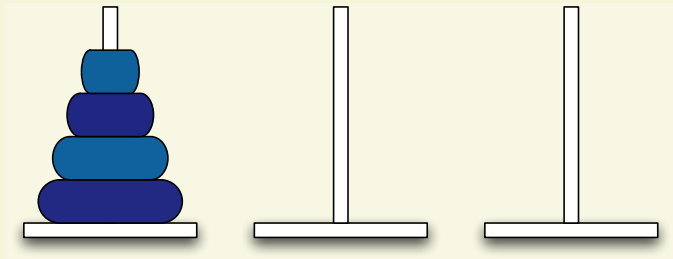
- When moving disks, no two odd/even disks can be moved next to each other

ToH Properties (Parity)



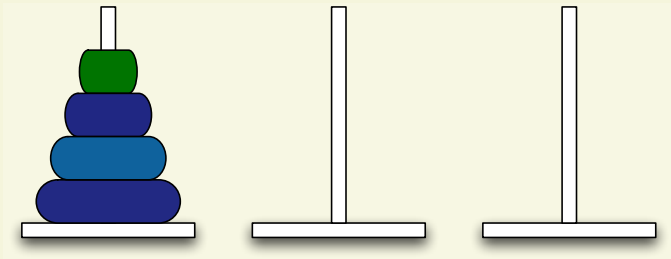
- When moving disks, no two odd/even disks can be moved next to each other

ToH Properties (Cycle)



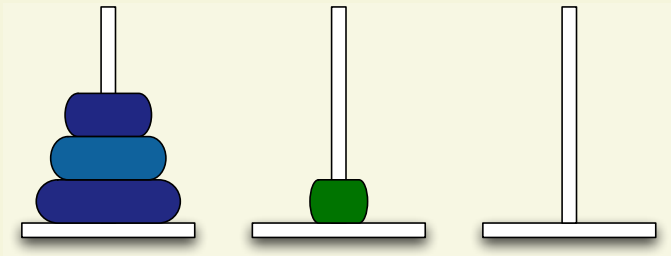
- All disks cycle in a given order between the towers:
 - If n is even the odd disks will cycle clockwise ($T_1 \rightarrow T_2 \rightarrow T_3 \rightarrow T_1$) while the even disks will cycle counterclockwise ($T_1 \rightarrow T_3 \rightarrow T_2 \rightarrow T_1$)
 - If n is odd the odd disks will cycle counterclockwise while the even disks will cycle clockwise

ToH Properties (Cycle)



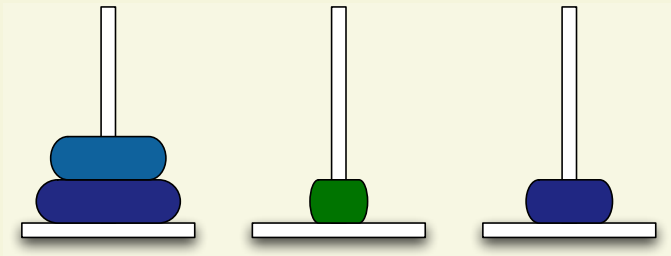
- All disks cycle in a given order between the towers:
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ToH Properties (Cycle)



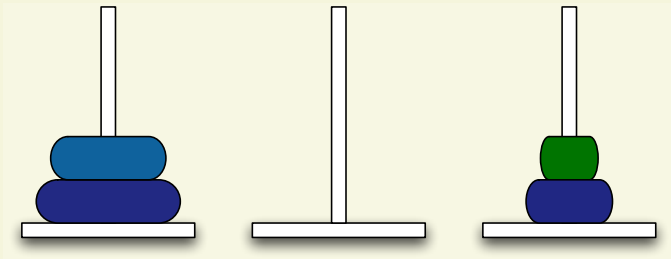
- All disks cycle in a given order between the towers:
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ToH Properties (Cycle)



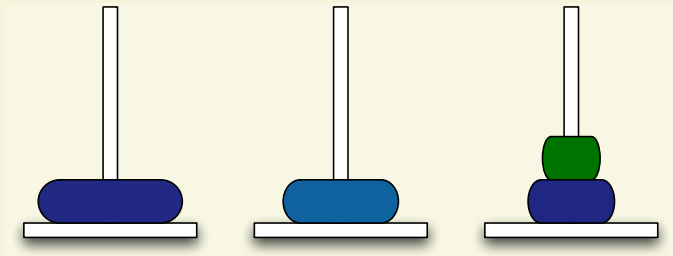
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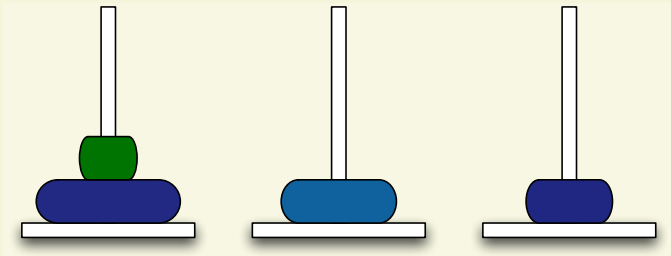
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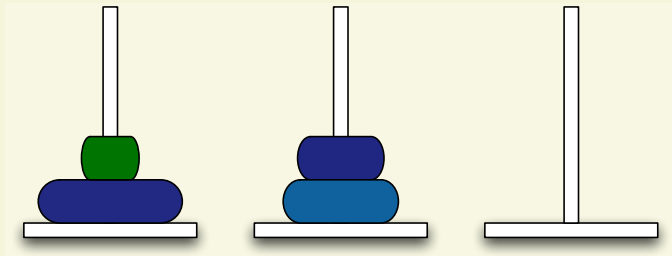
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ToH Properties (Cycle)



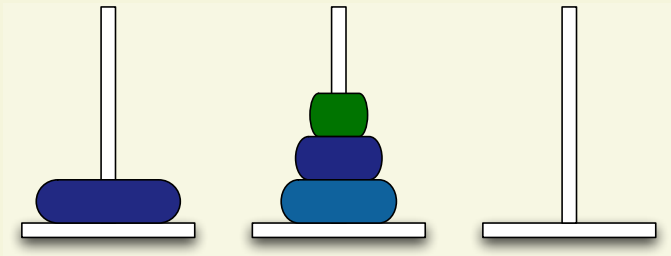
- All disks cycle in a given order between the towers:
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Experimental Results

| Size | Selman | Prestwich | Disk Parity | Disk Cycle |
|------|---------|-----------|-------------|------------|
| 4 | 0,16 | 0.01 | 0 | 0 |
| 5 | 8.31 | 0.08 | 0.01 | 0.02 |
| 6 | 54.70 | 0.47 | 0.03 | 0.05 |
| 7 | 5252.27 | 3.65 | 0.70 | 0.20 |
| 8 | - | 109.7 | 5.19 | 5.18 |
| 9 | - | 7126.57 | 79.11 | 7.65 |
| 10 | - | - | 1997.19 | 973.95 |
| 11 | - | - | - | 1206.37 |
| 12 | - | - | - | - |

- Disk Parity and Disk Cycle encodings use the symmetry property

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| Size | Selman | Prestwich | Disk Parity | Disk Cycle |
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| 10 | - | - | 1997.19 | 973.95 |
| 11 | - | - | - | 1206.37 |
| 12 | - | - | - | - |

- Disk Parity and Disk Cycle encodings use the symmetry property
- Can we still do better?

A new encoding for ToH

- The Disk Sequence encoding:
 - The recursive property determines the disks to be moved at each step
 - Taking into consideration this we can keep only the variables *on* and drop all the others
 - **Recursion+Symmetry+Parity:**
 - Problem can be solved with just unit propagation !

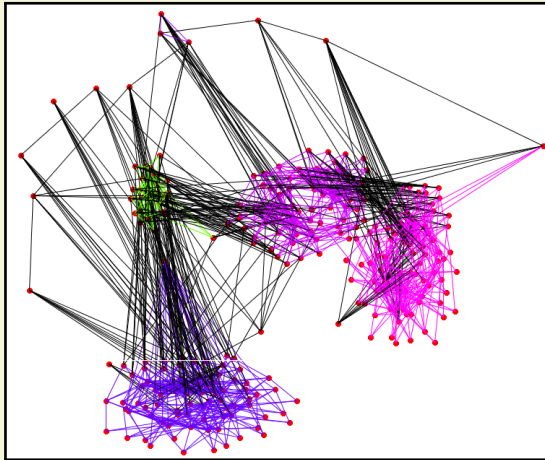
Experimental Results

| Size | Selman | Prestwich | Disk Parity | Disk Cycle | Disk Sequence |
|------|---------|-----------|-------------|------------|---------------|
| 4 | 0.16 | 0.01 | 0 | 0 | 0 |
| 5 | 8.31 | 0.08 | 0.01 | 0.02 | 0 |
| 6 | 54.70 | 0.47 | 0.03 | 0.05 | 0 |
| 7 | 5252.27 | 3.65 | 0.70 | 0.20 | 0.01 |
| 8 | - | 109.7 | 5.19 | 5.18 | 0.03 |
| 9 | - | 7126.57 | 79.11 | 7.65 | 0.09 |
| 10 | - | - | 1997.19 | 973.95 | 0.23 |
| 11 | - | - | - | 1206.37 | 0.56 |
| 12 | - | - | - | - | 1.32 |

How is the structure of these formulas?

Selman encoding ($n = 3$)

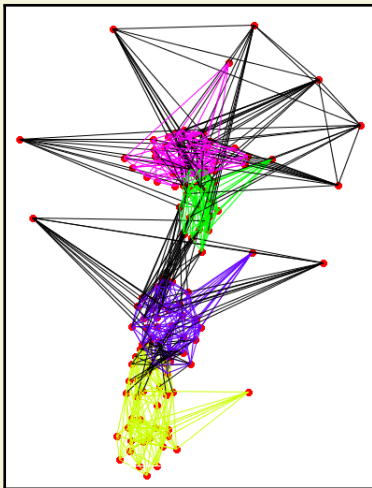
SATGraf— <https://ece.uwaterloo.ca/~vganesh/EvoGraph/Download.html>



How is the structure of these formulas?

Prestwich encoding ($n = 3$)

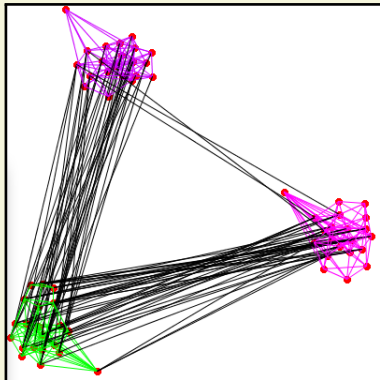
SATGraf— <https://ece.uwaterloo.ca/~vganesh/EvoGraph/Download.html>



How is the structure of these formulas?

Disk Sequence encoding ($n = 3$)

SATGraf— <https://ece.uwaterloo.ca/~vganesh/EvoGraph/Download.html>



Conclusions

- Encoding is an art !
 - Hard to evaluate which encoding is the best
 - Small encoding not necessarily means better one
- Each problem is unique !
 - Use your domain knowledge
 - Encode the properties of the problem
 - Break symmetries
- Automated tools ?
 - Can make your life easier
 - Not as good as handmade encodings