

Distributed Probabilistic Strategies

Glynn Winskel

Generalise domain theory. An aim in developing distributed games and strategies is to tackle anomalies like non-deterministic dataflow, and repair the divide between denotational and operational semantics.

Distributed games, with behaviour based on event structures, rather than trees. The extra generality reveals new structure and a mathematical robustness to the concept of strategy—showing strategies are (special) profunctors.

~> a language for strategies.

Extension with probability to probabilistic strategies, and quantum strategies?

Event structures

An **event structure** comprises $(E, \leq, \text{Con})$, consisting of a set of *events* E

- partially ordered by $\leq$, the **causal dependency relation**, and
- a nonempty family Con of finite subsets of E , the **consistency relation**, which satisfy

$$\{e' \mid e' \leq e\} \text{ is finite for all } e \in E,$$
$$\{e\} \in \text{Con for all } e \in E,$$
$$Y \subseteq X \in \text{Con} \Rightarrow Y \in \text{Con}, \text{ and}$$
$$X \in \text{Con} \ \& \ e \leq e' \in X \Rightarrow X \cup \{e\} \in \text{Con}.$$

Say e, e' are **concurrent** if $\{e, e'\} \in \text{Con} \ \& \ e \not\leq e' \ \& \ e' \not\leq e$.

In games the relation of **immediate dependency** $e \rightarrow e'$, meaning e and e' are distinct with $e \leq e'$ and no event in between, will play an important role.

Configurations of an event structure

The **configurations**, $\mathcal{C}^\infty(E)$, of an event structure E consist of those subsets $x \subseteq E$ which are

Consistent: $\forall X \subseteq_{\text{fin}} x. X \in \text{Con}$ and

Down-closed: $\forall e, e'. e' \leq e \in x \Rightarrow e' \in x$.

Often concentrate on the **finite configurations** $\mathcal{C}(E)$.

Structural maps of event structures

A **map** of event structures $f : E \rightarrow E'$ is a partial function on events $f : E \rightharpoonup E'$ such that for all $x \in \mathcal{C}(E)$

$fx \in \mathcal{C}(E')$ and

if $e_1, e_2 \in x$ and $f(e_1) = f(e_2)$, then $e_1 = e_2$. *(local injectivity)*

An **affine map** $f : E \rightarrow_a E'$ is defined similarly but now $f\emptyset$ maybe a nonempty configuration of E' .

It comprises a configuration $y \in \mathcal{C}(E')$ and a map of event structures $f_1 : E \rightarrow E'/y$ where E'/y denotes the event structure after y .

By definition $fx =_{\text{def}} y \cup f_1x$.

Distributed games

Games and strategies are represented by **event structures with polarity**, an event structure $(E, \leq, \text{Con})$ where events E carry a polarity $+/-$ (Player/Opponent), respected by maps.

(Simple) Parallel composition: $A \parallel B$, by juxtaposition.

Dual, $B^\perp$, of an event structure with polarity B is a copy of the event structure B with a reversal of polarities; this switches the roles of Player and Opponent.

Distributed plays and strategies

A **nondeterministic play** in a game A is represented by a total map

$$\sigma : S \rightarrow A$$

preserving polarity; S is the event structure with polarity describing the moves played.

A **strategy in** a game A is a (**special**) nondeterministic play $\sigma : S \rightarrow A$.

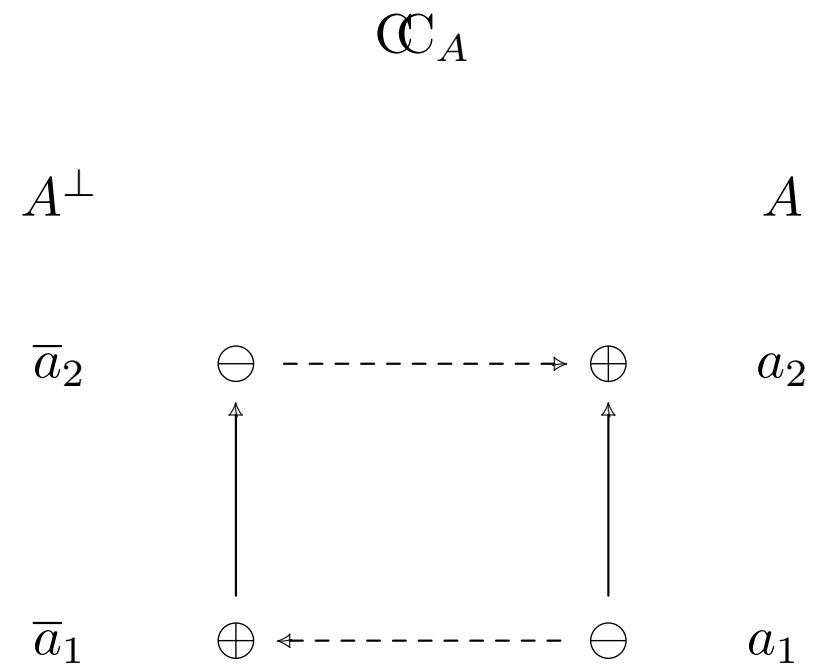
A **strategy from** A **to** B is a strategy in $A^\perp \parallel B$, so $\sigma : S \rightarrow A^\perp \parallel B$.

[Conway, Joyal]

NB: A strategy in a game A is a strategy for Player;

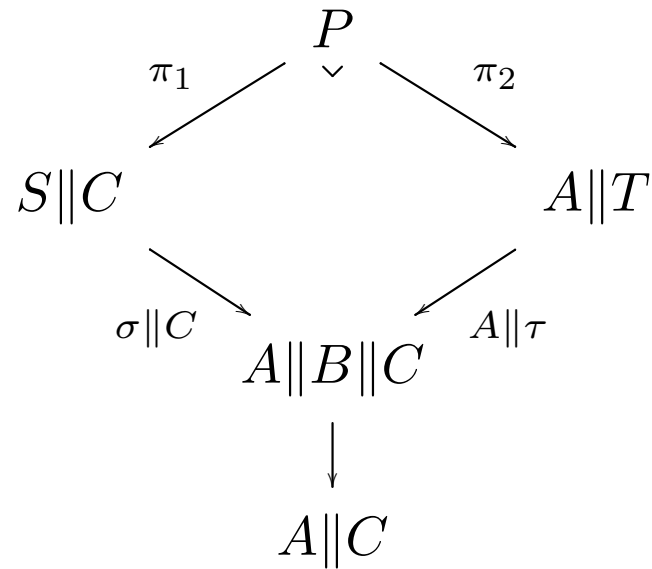
a strategy for Opponent - a counter-strategy - is a strategy in $A^\perp$.

Example of a strategy: copy-cat strategy from A to A



Composition of strategies $\sigma : S \rightarrow A^\perp \parallel B$, $\tau : T \rightarrow B^\perp \parallel C$

Via pullback. Ignoring polarities, the composite partial map



has the partial-total map factorization: $P \longrightarrow T \odot S \xrightarrow{\tau \odot \sigma} A \parallel C$.

For copy-cat to be identity w.r.t. composition

a strategy in a game A has to be $\sigma : S \rightarrow A$, a total map of event structures with polarity, such that

(i) whenever $\sigma x \subseteq^- y$ in $\mathcal{C}(A)$ there is a unique $x' \in \mathcal{C}(S)$ so that

$x \subseteq x' \ \& \ \sigma x' = y$, i.e.

$$\begin{array}{ccc} x & \multimap \subseteq \multimap & x' \\ \sigma \downarrow & & \downarrow \sigma \\ \sigma x & \subseteq^- & y, \end{array} \quad \text{and}$$

(ii) whenever $y \subseteq^+ \sigma x$ in $\mathcal{C}(A)$ there is a (necessarily unique) $x' \in \mathcal{C}(S)$ so that

$x' \subseteq x \ \& \ \sigma x' = y$, i.e.

$$\begin{array}{ccc} x' & \multimap \subseteq \multimap & x \\ \sigma \downarrow & & \downarrow \sigma \\ y & \subseteq^+ & \sigma x. \end{array}$$

The only immediate causal dependencies a strategy can introduce: $\ominus \rightarrow \oplus$

An alternative characterization of strategies

Defining a partial order — *the Scott order* — on configurations of A

$$y \sqsubseteq_A x \text{ iff } y \supseteq^- \cdot \subseteq^+ \cdot \supseteq^- \cdots \supseteq^- \cdot \subseteq^+ x$$

we obtain a factorization system $((\mathcal{C}(A), \sqsubseteq_A), \supseteq^-, \subseteq^+)$, *i.e.* $\exists! z. \quad y \supseteq^- z \cdot \subseteq^+ x$.

Proposition $z \in \mathcal{C}(\mathbb{C}_A)$ iff $z_2 \sqsubseteq_A z_1$.

Theorem Strategies $\sigma : S \rightarrow A$ correspond to discrete fibrations

$$\sigma'' : (\mathcal{C}(S), \sqsubseteq_S) \rightarrow (\mathcal{C}(A), \sqsubseteq_A), \quad \text{i.e.} \quad \exists! x'. \quad \begin{array}{ccc} x' & \cdots \sqsubseteq_S \cdots & x \\ \sigma'' \downarrow & & \downarrow \sigma'' \\ y & \sqsubseteq_A & \sigma''(x), \end{array}$$

which preserve $\supseteq^-$, $\subseteq^+$ and $\emptyset$.

$\leadsto$ A lax functor from strategies to profunctors ...

A bicategory of games

Objects are event structures with polarity—the games, $A, B, \dots$;

arrows $\sigma : A \rightarrowtail B$ are strategies $\sigma : S \rightarrow A^\perp \parallel B$;

2-cells are (the obvious) maps of strategies.

The vertical composition of 2-cells is the usual composition of maps. Horizontal composition is given by the composition of strategies $\odot$ (which extends to a functor on 2-cells via the universality of pullback).

Duality: $\sigma : A \rightarrowtail B$ corresponds to $\sigma^\perp : B^\perp \rightarrowtail A^\perp$, as $A^\perp \parallel B \cong (B^\perp)^\perp \parallel A^\perp$. The bicategory of strategies is rich in structure, in particular, it is compact-closed (so has a trace, a feedback operation extending that of nondeterministic dataflow)—though with the addition of the extra features of winning conditions or pay-off, compact closure will weaken to $$ -autonomy.*

From strategies to probabilistic strategies

$$\begin{array}{c} S \\ \downarrow \sigma \\ A \end{array}$$

Aim

- (1) To endow S with probability, while
- (2) taking account of the fact that in a strategy Player can't be aware of the probabilities assigned by Opponent.

Probabilistic event structures

A **probabilistic event structure** comprises an event structure $E = (E, \leq, \text{Con})$ together with a *(normalized) continuous valuation*, i.e. a function v from the Scott open subsets of configurations $\mathcal{C}^\infty(E)$ to $[0, 1]$ which is

$$\textbf{(normalized)} \quad v(\mathcal{C}^\infty(E)) = 1 \qquad \textbf{(strict)} \quad v(\emptyset) = 0$$

$$\textbf{(monotone)} \quad U \subseteq V \Rightarrow v(U) \leq v(V)$$

$$\textbf{(modular)} \quad v(U \cup V) + v(U \cap V) = v(U) + v(V)$$

$$\textbf{(continuous)} \quad v(\bigcup_{i \in I} U_i) = \sup_{i \in I} v(U_i) \quad \text{for directed unions } \bigcup_{i \in I} U_i.$$

Intuition: $v(U)$ is the probability of the result being in U .

A cts valuation extends to a probability measure on Borel sets of configurations.

A workable characterization. A **probabilistic event structure** comprises an event structure E with a *configuration-valuation* $v : \mathcal{C}(E) \rightarrow [0, 1]$ which satisfies

(normalized) $v(\emptyset) = 1$ and

(non –ve drop) $d_v^{(n)}[y; x_1, \dots, x_n] \geq 0$, for all $n \in \omega$, and $y \subseteq x_1, \dots, x_n$ in $\mathcal{C}(E)$.

For $y \subseteq x_1, \dots, x_n$ in $\mathcal{C}(E)$,

$$d_v^{(n)}[y; x_1, \dots, x_n] =_{\text{def}} v(y) - \sum_I (-1)^{|I|+1} v\left(\bigcup_{i \in I} x_i\right),$$

—the index I ranges over $\emptyset \neq I \subseteq \{1, \dots, n\}$ s.t. $\{x_i \mid i \in I\}$ is compatible.
(Sufficient to check the ‘drop condition’ for $y \subsetneq x_1, \dots, x_n$)

Theorem. Continuous valuations restrict to configuration-valuations.

A configuration-valuation extends to a unique continuous valuation on open sets, and that to a unique probabilistic measure on Borel subsets of configurations.

(The result holds in greater generality, for Scott domains)

Probabilistic event structure with polarities

Let E be an event structure in which (not necessarily all) events carry $+/-$. Write $x \subseteq^p y$ if $x \subseteq y$ and no event in $y \setminus x$ has polarity $-$.

Now, a **configuration-valuation** is a function $v : \mathcal{C}(E) \rightarrow [0, 1]$ for which

$$v(\emptyset) = 1, \quad x \subseteq^- y \Rightarrow v(x) = v(y) \text{ , for all } x, y \in \mathcal{C}(E),$$

and the “drop condition”

$$d_v^{(n)}[y; x_1, \dots, x_n] \geq 0$$

for all $n \in \omega$ and $y \subseteq^p x_1, \dots, x_n$ in $\mathcal{C}(E)$.

A **probabilistic event structure with polarity** comprises E an event structure with polarity together with a configuration-valuation $v_E : \mathcal{C}(E) \rightarrow [0, 1]$.

Probabilistic strategies

Assume games are *race-free*, *i.e.* there is no immediate conflict between events of opposite polarity. *E.g.* $\text{ban} \ominus \rightsquigarrow \oplus$.

A **probabilistic strategy** in A comprises S, v_S , a probabilistic event structure with polarity, and a strategy $\sigma : S \rightarrow A$.

A race-free game A has a **probabilistic copy-cat** by taking $v_{\mathbb{C}_A}$ constantly 1 —this is a configuration-valuation as $\mathbb{C}_A$ is deterministic for race-free A .

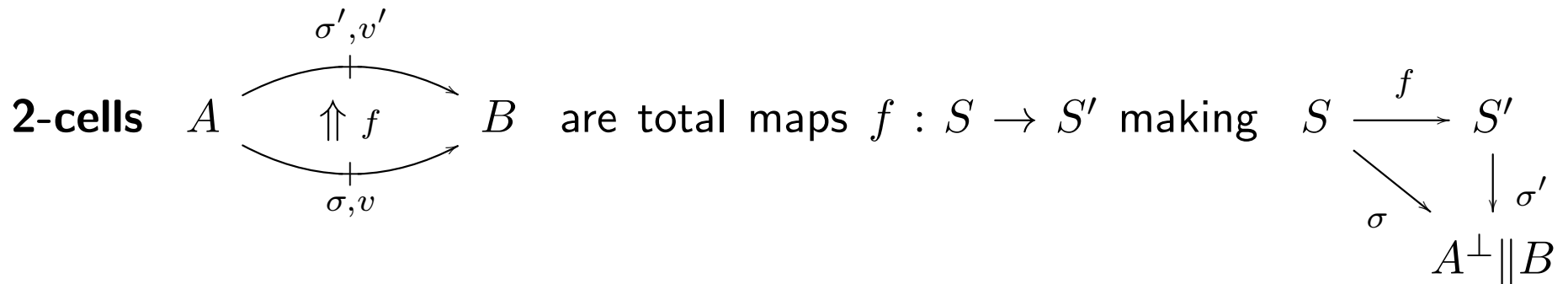
For the **composition** $\tau \odot \sigma$ endow the pb P with configuration-valuation $v(x) = v_S(\pi_1 x) \times v_T(\pi_2 x)$. This forms a configuration-valuation because assuming $\pi_1 y \text{---} \subset^+ \pi_1 x_i$ when $1 \leq i \leq m$ and $\pi_2 y \text{---} \subset^+ \pi_2 x_i$ when $m+1 \leq i \leq n$,

$$d_v^{(n)}[y; x_1, \dots, x_n] = d_v^{(m)}[\pi_1 y; \pi_1 x_1, \dots, \pi_1 x_m] \times d_v^{(n-m)}[\pi_2 y; \pi_2 x_{m+1}, \dots, \pi_2 x_n].$$

$\leadsto$ **A bicategory of games and probabilistic strategies**

Objects are race-free games $A, B, C, \dots$;

arrows $\sigma : A \multimap B$ are probabilistic strategies $\sigma : S \rightarrow A^\perp \parallel B$ with configuration valuation $v : \mathcal{C}(S) \rightarrow [0, 1]$;



commute which *reflect +-compatibility*, i.e.

$$x \subseteq^+ x_1 \ \& \ x \subseteq^+ x_2 \ \& \ fx_1 \uparrow fx_2 \Rightarrow x_1 \uparrow x_2,$$

and where $v(x) = v'(fx)$ for all $x \in \mathcal{C}(S)$. Includes rigid embeddings and $\sqsubseteq$.

(Currently I'm working on more general two-cells.)

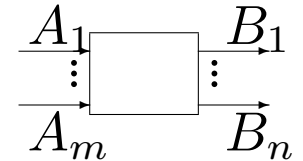
Constructions on probabilistic strategies

Types: Race-free games $A, B, C, \dots$ with operations $A^\perp, A \parallel B$, sums $\sum_{i \in I} A_i$, recursively-defined types, $\dots$

A term

$$x_1 : A_1, \dots, x_m : A_m \vdash t \dashv y_1 : B_1, \dots, y_n : B_n ,$$

denotes a strategy $A_1 \parallel \dots \parallel A_m \multimap B_1 \parallel \dots \parallel B_n$.



Idea: t denotes a strategy $S \rightarrow \vec{A}^\perp \parallel \vec{B}$.

The term t describes witnesses, finite configurations of S , to a relation between finite configurations $\vec{x}$ of $\vec{A}$ and $\vec{y}$ of $\vec{B}$. Cf. profunctors.

Duality and Composition

Duality of input and output:

$$\frac{\Gamma, x : A \vdash t \dashv \Delta}{\Gamma \vdash t \dashv x : A^\perp, \Delta}$$

because t denotes a strategy in $(\Gamma^\perp \parallel A^\perp) \parallel \Delta \cong \Gamma^\perp \parallel (A^\perp \parallel \Delta)$.

Composition of strategies:

$$\frac{\Gamma \vdash t \dashv \Delta \quad \Delta \vdash u \dashv H}{\Gamma \vdash \exists \Delta. [t \parallel u] \dashv H}$$

When Δ is empty, this yields simple parallel composition $t \parallel u$.

Hom-set terms

Copy-cat on A , $x : A \vdash y \sqsubseteq_A x \dashv y : A$ or $\vdash y \sqsubseteq_A x \dashv x : A^\perp, y : A$.

$$\oplus \leftarrow \ominus$$

$$\ominus \rightarrow \oplus$$

Generally, when $f : A \rightarrow_a C$ and $g : B \rightarrow_a C$ are affine maps s.t. $g\emptyset \sqsubseteq_C f\emptyset$

$$x : A \vdash gy \sqsubseteq_C fx \dashv y : B$$

denotes a deterministic strategy—with configuration valuation constantly 1—provided f *reflects $-$ -compatibility* and g *reflects $+$ -compatibility*.

Lifting maps and shifting strategies

An affine map $f : A \rightarrow B$ of games which reflects $--$ -compatibility lifts to a deterministic strategy $f_! : A \rightarrowtail B$:

$$x : A \vdash y \sqsubseteq_B fx \dashv y : B.$$

An affine map $f : A \rightarrow B$ of games which reflects $+-$ -compatibility lifts to a deterministic strategy $f^* : B \rightarrowtail A$:

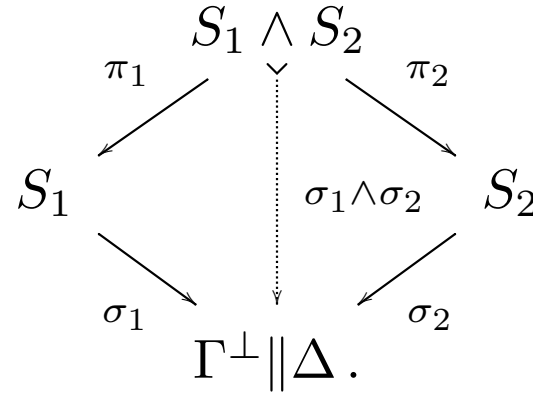
$$y : B \vdash fx \sqsubseteq_B y \dashv x : A.$$

$f^* \odot t$ denotes the **pullback** of a strategy t in B across the map $f : A \rightarrow B$. It can introduce extra events and dependencies in the strategy. *It subsumes prefixing.*

Pullback of strategies

$$\frac{\Gamma \vdash t_1 \dashv \Delta \quad \Gamma \vdash t_2 \dashv \Delta}{\Gamma \vdash t_1 \wedge t_2 \dashv \Delta}$$

In the case where t_1 and t_2 denote the respective probabilistic strategies v_1 , $\sigma_1 : S_1 \rightarrow \Gamma^\perp \parallel \Delta$ and v_2 , $\sigma_2 : S_2 \rightarrow \Gamma^\perp \parallel \Delta$ the strategy $t_1 \wedge t_2$ denotes the pullback



with configuration valuation $x \mapsto v_1(\pi_1 x) \times v_2(\pi_2 x)$ for $x \in \mathcal{C}(S_1 \wedge S_2)$.

Probabilistic sum of strategies

In the **probabilistic sum of strategies**, in the same game, the strategies are glued together on their initial Opponent moves (to maintain receptivity) and only commit to a component with the occurrence of a Player move. For I countable and a sub-probability distribution $p_i, i \in I$,

$$\frac{\Gamma \vdash t_i \dashv \Delta \quad i \in I}{\Gamma \vdash \sum_{i \in I} p_i t_i \dashv \Delta}.$$

We use $\perp$ for the **empty probabilistic sum**, when the rule above specialises to

$$\Gamma \vdash \perp \dashv \Delta,$$

which denotes the minimum strategy in the game $\Gamma^\perp \parallel \Delta$ —it comprises the initial segment of the game $\Gamma^\perp \parallel \Delta$ consisting of all the initial Opponent events of A .

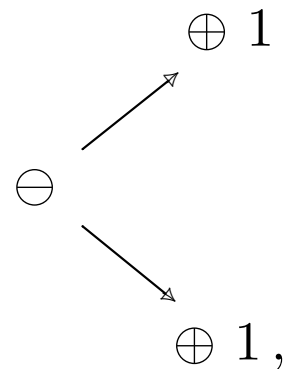
Duplication

We duplicate arguments through a probabilistic strategy $\delta_A : A \multimap A \parallel A$.

In the absence of probability δ_A forms a comonoid with counit $\perp : A \multimap \emptyset$.

The general defn is involved, but *e.g.*,

if $A = \oplus$, δ_A is



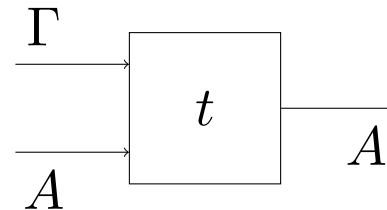
if $A = \ominus$, δ_A is

$$\begin{array}{c}
 1/2 \oplus \longleftarrow \ominus \\
 \vdots \\
 1/2 \oplus \longleftarrow \ominus.
 \end{array}$$

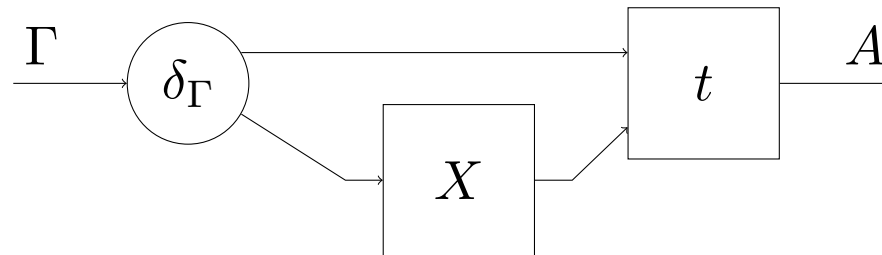
$\leadsto$ **duplication terms** such as $x : A \vdash \delta(x, y_1, y_2) \dashv y_1 : A, y_2 : A$.

Recursion

Given $x : A, \Gamma \vdash t \dashv y : A$,



the term $\Gamma \vdash \mu x:A. t \dashv y : A$ denotes the $\trianglelefteq$ -least fixed point amongst strategies $X : \Gamma \rightarrowtail A$ of $F(X) = t \odot (\text{id}_\Gamma \parallel X) \odot \delta_\Gamma$:



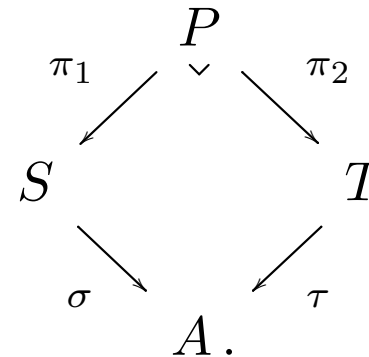
As δ_Γ is not a comonoid - it is not associative - not all the “usual” laws of recursion will hold.

Quantum strategies—sketch

A **quantum game** comprises a *quantum event structure* A, Q with initial state ρ , where each event of A has a polarity Player/Opponent.

A **quantum strategy** is a probabilistic strategy $v_S, \sigma : S \rightarrow A$.

The **play of a strategy** $v_S, \sigma : S \rightarrow A$ **against a counter-strategy** $v_T, \tau : T \rightarrow A^\perp$ results in a probabilistic quantum experiment P, v_P with $f : P \rightarrow A$ where P the pullback of σ, τ and $f =_{\text{def}} \sigma\pi_1 = \tau\pi_2$ in



Quantum strategies inherit types and operations from probabilistic strategies, though need extra type constructions to introduce new entanglement.

Welcome to 60 Prakash!

Stay young at heart!