

# Operational axioms for diagonalizing states

Giulio Chiribella    Carlo Maria Scandolo

Institute for Interdisciplinary Information Sciences, Tsinghua University



清华大学  
Tsinghua University



交叉信息研究院  
Institute for Interdisciplinary  
Information Sciences



量子信息中心  
Center for Quantum Information

QPL 2015, 07/16/2015

# The importance of thermodynamics

- Thermodynamics has applications in several branches of science.

# The importance of thermodynamics

- Thermodynamics has applications in several branches of science.
- It gave rise to foundational puzzles, related to **irreversibility**.

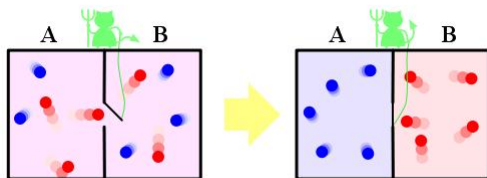


Figure: Maxwell's demon. Source:wikimedia commons

# The need for an information-theoretic foundation of thermodynamics

- In classical thermodynamics, microscopic dynamics is fundamentally **reversible**.

# The need for an information-theoretic foundation of thermodynamics

- In classical thermodynamics, microscopic dynamics is fundamentally **reversible**.
- It'd be desirable to reconcile thermodynamic irreversibility with reversibility at the fundamental level.

# The need for an information-theoretic foundation of thermodynamics

- In classical thermodynamics, microscopic dynamics is fundamentally **reversible**.
- It'd be desirable to reconcile thermodynamic irreversibility with reversibility at the fundamental level.
- Important for **nanotechnology**: systems at the nanoscale.

# The need for an information-theoretic foundation of thermodynamics

- In classical thermodynamics, microscopic dynamics is fundamentally **reversible**.
- It'd be desirable to reconcile thermodynamic irreversibility with reversibility at the fundamental level.
- Important for **nanotechnology**: systems at the nanoscale.
- Relationship between thermodynamics and information theory (Landauer, etc.)

# The need for an information-theoretic foundation of thermodynamics

- In classical thermodynamics, microscopic dynamics is fundamentally **reversible**.
- It'd be desirable to reconcile thermodynamic irreversibility with reversibility at the fundamental level.
- Important for **nanotechnology**: systems at the nanoscale.
- Relationship between thermodynamics and information theory (Landauer, etc.)

Need for information-theoretic principles!

Method

Thermodynamics in GPTs!



# The tool of majorization

Majorization has featured in several works on quantum thermodynamics.

# The tool of majorization

Majorization has featured in several works on quantum thermodynamics.

## Majorization

Let  $\mathbf{p}, \mathbf{p}' \in \mathbb{R}^n$  be two probability distributions. We say that  $\mathbf{p}$  is *majorized* by  $\mathbf{p}'$  ( $\mathbf{p} \preceq \mathbf{p}'$ ) if

$$\sum_{i=1}^k p_{[i]} \leq \sum_{i=1}^k p'_{[i]} \quad \text{for } i = 1, \dots, n-1,$$

where  $p_{[i]}$  is the  $i$ -th entry of the decreasing rearrangement of  $\mathbf{p}$ .

# The tool of majorization

Majorization has featured in several works on quantum thermodynamics.

## Majorization

Let  $\mathbf{p}, \mathbf{p}' \in \mathbb{R}^n$  be two probability distributions. We say that  $\mathbf{p}$  is *majorized* by  $\mathbf{p}'$  ( $\mathbf{p} \preceq \mathbf{p}'$ ) if

$$\sum_{i=1}^k p_{[i]} \leq \sum_{i=1}^k p'_{[i]} \quad \text{for } i = 1, \dots, n-1,$$

where  $p_{[i]}$  is the  $i$ -th entry of the decreasing rearrangement of  $\mathbf{p}$ .

It gives a preorder of quantum states based on their **eigenvalues**.

# Working plan

- We want to export the tool of majorization to GPTs.

# Working plan

- We want to export the tool of majorization to GPTs.
- From majorization we get entropies as Schur-concave functions.

# Working plan

- We want to export the tool of majorization to GPTs.
- From majorization we get entropies as Schur-concave functions.

We need to define the “eigenvalues” of states even in GPTs (cf. [Chiribella et al. '11]).

# Working plan

- We want to export the tool of majorization to GPTs.
- From majorization we get entropies as Schur-concave functions.

We need to define the “eigenvalues” of states even in GPTs (cf. [Chiribella et al. '11]).

Cf. also the next talk by Barnum et al.! (from a different angle)

# Contents

- 1 Framework and axioms
- 2 Diagonalization



# Section 1

## Framework and axioms

# OPTs

We use a specific variant of GPTs, known as **OPTs** (operational-probabilistic theories).

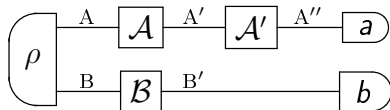
[Chiribella et al. '10, Chiribella et al. '11]

## OPTs

We use a specific variant of GPTs, known as **OPTs** (**operational-probabilistic theories**).

[Chiribella et al. '10, Chiribella et al. '11]

Circuits such as



- $A$ ,  $B$ , etc. are **systems**
- $\mathcal{A}$ ,  $\mathcal{B}$ , etc. are **transformations**: they can be composed **in sequence** (e.g.  $\mathcal{A}$  and  $\mathcal{A}'$ ) or **in parallel** (e.g.  $\mathcal{A}$  and  $\mathcal{B}$ )
- $\rho$  is a **state** (a transformation with *no* input)
- $a$  and  $b$  are **effects** (transformations with *no* output)

# Reversible transformations

## Reversible transformations

A transformation  $\mathcal{U} : A \rightarrow B$  is called **reversible** if there exists a transformation  $\mathcal{U}^{-1} : B \rightarrow A$  such that  $\mathcal{U}^{-1}\mathcal{U} = \mathcal{I}_A$ , and  $\mathcal{U}\mathcal{U}^{-1} = \mathcal{I}_B$ , where  $\mathcal{I}_S$  is the identity on system  $S$ .

$$\text{---} \overset{A}{\text{---}} \boxed{\mathcal{U}} \text{---} \overset{B}{\text{---}} \boxed{\mathcal{U}^{-1}} \text{---} \overset{A}{\text{---}} = \text{---} \overset{A}{\text{---}}$$

$$\text{---} \overset{B}{\text{---}} \boxed{\mathcal{U}^{-1}} \text{---} \overset{A}{\text{---}} \boxed{\mathcal{U}} \text{---} \overset{B}{\text{---}} = \text{---} \overset{B}{\text{---}}$$

# Probabilistic structure & purity

- Circuits with no external wires represent **probabilities**

$$(a_i | \rho_j) := \boxed{\rho_j} \xrightarrow{A} \boxed{a_i} = p_{ij} \in [0, 1].$$

# Probabilistic structure & purity

- Circuits with no external wires represent **probabilities**

$$(a_i | \rho_j) := \boxed{\rho_j} \xrightarrow{A} \boxed{a_i} = p_{ij} \in [0, 1].$$

- This induces a sum for transformations.

# Probabilistic structure & purity

- Circuits with no external wires represent **probabilities**

$$(a_i | \rho_j) := \boxed{\rho_j} \xrightarrow{A} \boxed{a_i} = p_{ij} \in [0, 1].$$

- This induces a sum for transformations.
- We define real vector spaces spanned by states and effects. We assume they are **finite-dimensional**.

# Probabilistic structure & purity

- Circuits with no external wires represent **probabilities**

$$(a_i | \rho_j) := \boxed{\rho_j} \xrightarrow{A} \boxed{a_i} = p_{ij} \in [0, 1].$$

- This induces a sum for transformations.
- We define real vector spaces spanned by states and effects. We assume they are **finite-dimensional**.
- We can define coarse-graining and purity.

## Purity

A transformation  $\mathcal{T}$  is **pure** if  $\mathcal{T} = \sum_i \mathcal{T}_i$  implies  $\mathcal{T}_i = p_i \mathcal{T}$ , where  $\{p_i\}$  is a probability distribution.



# Purity Preservation

## Purity Preservation [Chiribella & Scandolo '15a]

The sequential and parallel composition of pure transformations is a pure transformation.

# Purity Preservation

## Purity Preservation [Chiribella & Scandolo '15a]

The sequential and parallel composition of pure transformations is a pure transformation.

- The product of two pure states is pure.

# Purity Preservation

## Purity Preservation [Chiribella & Scandolo '15a]

The sequential and parallel composition of pure transformations is a pure transformation.

- The product of two pure states is pure.
- Without Purity Preservation, we may have a “non-local” loss of information when composing transformations.

# Causality

Causality [Chiribella et al. '10, Chiribella et al. '11]

The outcome probabilities of present experiments are not affected by the choice of future measurements.

# Causality

## Causality [Chiribella et al. '10, Chiribella et al. '11]

The outcome probabilities of present experiments are not affected by the choice of future measurements.

- Equivalently, for every system  $A$  there is a *unique* deterministic effect  $\text{Tr}_A$ .

# Causality

## Causality [Chiribella et al. '10, Chiribella et al. '11]

The outcome probabilities of present experiments are not affected by the choice of future measurements.

- Equivalently, for every system  $A$  there is a *unique* deterministic effect  $\text{Tr}_A$ .
- We can use  $\text{Tr}$  to define the **marginals** of bipartite states:

$$\rho_A := \text{Tr}_B \rho_{AB} = \text{Diagram}$$

Important in thermodynamics: we need to restrict ourselves to subsystems!

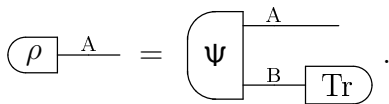
# Purification

[Chiribella et al. '10, Chiribella et al. '11]

# Purification

[Chiribella et al. '10, Chiribella et al. '11]

- 1 Every state  $\rho_A$  can be **purified**: there exists a **pure** state  $\Psi_{AB}$  such that





# Purification

[Chiribella et al. '10, Chiribella et al. '11]

- 1 Every state  $\rho_A$  can be **purified**: there exists a **pure** state  $\Psi_{AB}$  such that

$$\rho_A \text{---} A = \left( \Psi \begin{array}{l} \text{---} A \\ \text{---} B \end{array} \right) \text{---} B \text{---} \text{Tr}$$

- 2 Different purifications of the same state differ by a reversible transformation on the **purifying system**:

$$\left( \Psi \begin{array}{l} \text{---} A \\ \text{---} B \end{array} \right) \text{---} B \text{---} \text{Tr} = \left( \Psi' \begin{array}{l} \text{---} A \\ \text{---} B \end{array} \right) \text{---} B \text{---} \text{Tr} \Rightarrow$$
$$\Rightarrow \left( \Psi \begin{array}{l} \text{---} A \\ \text{---} B \end{array} \right) = \left( \Psi' \begin{array}{l} \text{---} A \\ \text{---} B \end{array} \right) \text{---} B \text{---} \mathcal{U} \text{---} B$$

# Why Purification?

- It reconciles partial information and irreversibility with a picture where everything is **pure** and **reversible**.

# Why Purification?

- It reconciles partial information and irreversibility with a picture where everything is **pure** and **reversible**.
- **Dilation** and **extension** theorems can be reconstructed from it [Chiribella et al. '10].

# Why Purification?

- It reconciles partial information and irreversibility with a picture where everything is **pure** and **reversible**.
- **Dilation** and **extension** theorems can be reconstructed from it [Chiribella et al. '10].
- It provides a **formal justification** of the thermodynamic procedure of enlarging a system to deal with an **isolated** system.

# Why Purification?

- It reconciles partial information and irreversibility with a picture where everything is **pure** and **reversible**.
- **Dilation** and **extension** theorems can be reconstructed from it [Chiribella et al. '10].
- It provides a **formal justification** of the thermodynamic procedure of enlarging a system to deal with an **isolated** system.

Purification is a good starting point for a theory of thermodynamics.

# Pure Sharpness

## Pure Sharpness

For every system, there exists at least one pure effect  $a$  that occurs with probability 1 on some state  $\rho$ .

# Pure Sharpness

## Pure Sharpness

For every system, there exists at least one pure effect  $a$  that occurs with probability 1 on some state  $\rho$ .

- We can think of  $a$  as part of a yes/no test to check an elementary property of the system.

# Pure Sharpness

## Pure Sharpness

For every system, there exists at least one pure effect  $a$  that occurs with probability 1 on some state  $\rho$ .

- We can think of  $a$  as part of a yes/no test to check an elementary property of the system.
- Pure Sharpness ensures that every system has an elementary property.



# Consequences of Pure Sharpness (+ Purification)

- 1 **Duality pure states-pure effects**: for every *pure* state  $\alpha$  there is a **unique** *pure* effect  $\alpha^\dagger$  such that  $(\alpha^\dagger|\alpha) = 1$ .

# Consequences of Pure Sharpness (+ Purification)

- 1 **Duality pure states-pure effects**: for every *pure* state  $\alpha$  there is a **unique** *pure* effect  $\alpha^\dagger$  such that  $(\alpha^\dagger|\alpha) = 1$ .
- 2 Existence of **perfectly distinguishable** (pure) states.

## Perfectly distinguishable states

The states  $\{\rho_i\}_{i \in X}$  are said *perfectly distinguishable* if there exists a measurement  $\{a_j\}_{j \in X}$  such that  $(a_j|\rho_i) = \delta_{ij}$ .

## Section 2

# Diagonalization

# Diagonalizing states

## Diagonalization

A diagonalization of a state  $\rho$  is a convex decomposition of  $\rho$  into **perfectly distinguishable pure** states.

$$\rho = \sum_i p_i \alpha_i$$

The  $p_i$ 's are called **eigenvalues** of the diagonalization.

# Diagonalizing states

## Diagonalization

A diagonalization of a state  $\rho$  is a convex decomposition of  $\rho$  into **perfectly distinguishable pure** states.

$$\rho = \sum_i p_i \alpha_i$$

The  $p_i$ 's are called **eigenvalues** of the diagonalization.

- Define

$$p_* := \max_{\alpha \text{ pure}} \{p \in (0, 1] : \rho = p\alpha + (1 - p)\sigma\}.$$

# Diagonalizing states

## Diagonalization

A diagonalization of a state  $\rho$  is a convex decomposition of  $\rho$  into **perfectly distinguishable pure** states.

$$\rho = \sum_i p_i \alpha_i$$

The  $p_i$ 's are called **eigenvalues** of the diagonalization.

- Define

$$p_* := \max_{\alpha \text{ pure}} \{p \in (0, 1] : \rho = p\alpha + (1-p)\sigma\}.$$

- We have  $(\alpha^\dagger|\rho) = p_*$ , whence  $(\alpha^\dagger|\sigma) = 0$ , and  $(\alpha^\dagger|\psi) = 0$  for any pure state  $\psi$  contained in  $\sigma$ .

# The diagonalization algorithm

Consider a state  $\rho$ .

# The diagonalization algorithm

Consider a state  $\rho$ .

- 1 Determine  $p_* =: q_1$  and find  $\alpha =: \alpha_1$  *pure*, such that 
$$\rho = q_1 \alpha_1 + (1 - q_1) \sigma_1.$$



# The diagonalization algorithm

Consider a state  $\rho$ .

- 1 Determine  $p_* =: q_1$  and find  $\alpha =: \alpha_1$  *pure*, such that  $\rho = q_1\alpha_1 + (1 - q_1)\sigma_1$ .
- 2 Repeat the same procedure for  $\sigma_1$ : find the maximum probability  $q_2$  such that  $\sigma_1 = q_2\alpha_2 + (1 - q_2)\sigma_2$ , with  $\alpha_2$  *pure*.

# The diagonalization algorithm

Consider a state  $\rho$ .

- 1 Determine  $p_* =: q_1$  and find  $\alpha =: \alpha_1$  *pure*, such that  $\rho = q_1 \alpha_1 + (1 - q_1) \sigma_1$ .
- 2 Repeat the same procedure for  $\sigma_1$ : find the maximum probability  $q_2$  such that  $\sigma_1 = q_2 \alpha_2 + (1 - q_2) \sigma_2$ , with  $\alpha_2$  *pure*.
- 3 Iterate the procedure.

# The diagonalization algorithm

Consider a state  $\rho$ .

- 1 Determine  $p_* =: q_1$  and find  $\alpha =: \alpha_1$  *pure*, such that  $\rho = q_1\alpha_1 + (1 - q_1)\sigma_1$ .
- 2 Repeat the same procedure for  $\sigma_1$ : find the maximum probability  $q_2$  such that  $\sigma_1 = q_2\alpha_2 + (1 - q_2)\sigma_2$ , with  $\alpha_2$  *pure*.
- 3 Iterate the procedure.

At the end,  $\rho = \sum_{i=1}^n p_i\alpha_i$ , where

# The diagonalization algorithm

Consider a state  $\rho$ .

- 1 Determine  $p_* := q_1$  and find  $\alpha :=: \alpha_1$  *pure*, such that  $\rho = q_1\alpha_1 + (1 - q_1)\sigma_1$ .
- 2 Repeat the same procedure for  $\sigma_1$ : find the maximum probability  $q_2$  such that  $\sigma_1 = q_2\alpha_2 + (1 - q_2)\sigma_2$ , with  $\alpha_2$  *pure*.
- 3 Iterate the procedure.

At the end,  $\rho = \sum_{i=1}^n p_i\alpha_i$ , where

- $p_1 := q_1$ , and  $p_i := q_i \prod_{j < i} (1 - q_j)$  for  $i > 1$ .

# The diagonalization algorithm

Consider a state  $\rho$ .

- 1 Determine  $p_* := q_1$  and find  $\alpha :=: \alpha_1$  *pure*, such that  $\rho = q_1\alpha_1 + (1 - q_1)\sigma_1$ .
- 2 Repeat the same procedure for  $\sigma_1$ : find the maximum probability  $q_2$  such that  $\sigma_1 = q_2\alpha_2 + (1 - q_2)\sigma_2$ , with  $\alpha_2$  *pure*.
- 3 Iterate the procedure.

At the end,  $\rho = \sum_{i=1}^n p_i \alpha_i$ , where

- $p_1 := q_1$ , and  $p_i := q_i \prod_{j < i} (1 - q_j)$  for  $i > 1$ .
- $(\alpha_i^\dagger | \alpha_j) = 0$  for  $j > i$

# From effects to transformations

We want to prove the  $\alpha_i$ 's are perfectly distinguishable.

# From effects to transformations

We want to prove the  $\alpha_i$ 's are perfectly distinguishable.

Preliminary result (from Purification) [Chiribella et al. '11]

We can associate a test  $\{\mathcal{A}_i\}_{i \in X}$  made of transformations with a measurement  $\{a_i\}_{i \in X}$  made of effects, where the  $\mathcal{A}_i$ 's occur with the same probability as the  $a_i$ 's.

Moreover, if  $(a|\rho) = 1$ , then the associated transformation  $\mathcal{A}$  doesn't disturb  $\rho$ .

# From effects to transformations

We want to prove the  $\alpha_i$ 's are perfectly distinguishable.

Preliminary result (from Purification) [Chiribella et al. '11]

We can associate a test  $\{\mathcal{A}_i\}_{i \in X}$  made of transformations with a measurement  $\{a_i\}_{i \in X}$  made of effects, where the  $\mathcal{A}_i$ 's occur with the same probability as the  $a_i$ 's.

Moreover, if  $(a|\rho) = 1$ , then the associated transformation  $\mathcal{A}$  doesn't disturb  $\rho$ .

Effects destroy a system, but we can iterate the perfectly distinguishing test by using transformations!



# Proving the $\alpha_i$ 's are perfectly distinguishable

Consider the pure states  $\{\alpha_i\}_{i=1}^n$ , with  $(\alpha_i^\dagger | \alpha_j) = 0$  for  $j > i$ .

# Proving the $\alpha_j$ 's are perfectly distinguishable

Consider the pure states  $\{\alpha_i\}_{i=1}^n$ , with  $(\alpha_i^\dagger | \alpha_j) = 0$  for  $j > i$ .

- 1 Consider the measurement  $\{\alpha_1^\dagger, \text{Tr} - \alpha_1^\dagger\}$ . Apply the associated test  $\{\mathcal{A}_1, \mathcal{A}_1^\perp\}$ . If  $\mathcal{A}_1$  occurs, the state is  $\alpha_1$ . If not, the state is one of the others.

# Proving the $\alpha_i$ 's are perfectly distinguishable

Consider the pure states  $\{\alpha_i\}_{i=1}^n$ , with  $(\alpha_i^\dagger | \alpha_j) = 0$  for  $j > i$ .

- 1 Consider the measurement  $\{\alpha_1^\dagger, \text{Tr} - \alpha_1^\dagger\}$ . Apply the associated test  $\{\mathcal{A}_1, \mathcal{A}_1^\perp\}$ . If  $\mathcal{A}_1$  occurs, the state is  $\alpha_1$ . If not, the state is one of the others.
- 2 Consider  $\rho_1 = \frac{1}{n-1} \sum_{i=2}^n \alpha_i$ . Since  $(\text{Tr} - \alpha_1^\dagger | \rho_1) = 1$ ,  $\mathcal{A}_1^\perp$  does not disturb the states  $\{\alpha_i\}_{i=2}^n$ . Now repeat the procedure with the measurement  $\{\alpha_2^\dagger, \text{Tr} - \alpha_2^\dagger\}$  and the remaining states.

# Proving the $\alpha_i$ 's are perfectly distinguishable

Consider the pure states  $\{\alpha_i\}_{i=1}^n$ , with  $(\alpha_i^\dagger | \alpha_j) = 0$  for  $j > i$ .

- 1 Consider the measurement  $\{\alpha_1^\dagger, \text{Tr} - \alpha_1^\dagger\}$ . Apply the associated test  $\{\mathcal{A}_1, \mathcal{A}_1^\perp\}$ . If  $\mathcal{A}_1$  occurs, the state is  $\alpha_1$ . If not, the state is one of the others.
- 2 Consider  $\rho_1 = \frac{1}{n-1} \sum_{i=2}^n \alpha_i$ . Since  $(\text{Tr} - \alpha_1^\dagger | \rho_1) = 1$ ,  $\mathcal{A}_1^\perp$  does not disturb the states  $\{\alpha_i\}_{i=2}^n$ . Now repeat the procedure with the measurement  $\{\alpha_2^\dagger, \text{Tr} - \alpha_2^\dagger\}$  and the remaining states.

In the end, we're able to identify the state with certainty! The  $\alpha_i$ 's are **perfectly distinguishable**!

# Conclusions and further developments

- With Purity Preservation, Causality, Purification and Pure Sharpness we've managed to diagonalize states.

# Conclusions and further developments

- With Purity Preservation, Causality, Purification and Pure Sharpness we've managed to diagonalize states.
- All diagonalizations of a given state have the same eigenvalues (forthcoming paper with G. Chiribella).

# Conclusions and further developments







- With Purity Preservation, Causality, Purification and Pure Sharpness we've managed to diagonalize states.
- All diagonalizations of a given state have the same eigenvalues ([forthcoming paper with G. Chiribella](#)).
- We can define majorization and Schur-concave functions ([entropies!](#)) [Scandolo '14]

# Conclusions and further developments

- With Purity Preservation, Causality, Purification and Pure Sharpness we've managed to diagonalize states.
- All diagonalizations of a given state have the same eigenvalues ([forthcoming paper with G. Chiribella](#)).
- We can define majorization and Schur-concave functions ([entropies!](#)) [Scandolo '14]
- Adding the requirement that **reversible transformations act transitively on maximal sets of perfectly distinguishable pure states** (cf. [Barnum et al. '14]), the preorder of states given by [majorization](#) is equivalent to the one given by [random reversible transformations](#) in the GPT-version of the [resource theory of purity](#). [Chiribella & Scandolo '15b]



# References

-  G Chiribella, G M D'Ariano, P Perinotti, *Phys. Rev. A* **81** (6), 062348 (2010).
-  G Chiribella, G M D'Ariano, P Perinotti, *Phys. Rev. A* **84** (1), 012311 (2011).
-  G Chiribella, CMS, *EPJ Web of Conferences* **95**, 03003 (2015).
-  CMS, *Entanglement and thermodynamics in general probabilistic theories*, Master's thesis, University of Padua, Italy, July 2014. [http://tesi.cab.unipd.it/46015/1/Scandolo\\_carlo\\_maria.pdf](http://tesi.cab.unipd.it/46015/1/Scandolo_carlo_maria.pdf)
-  H Barnum, M P Müller, C Ududec, *New Journal of Physics* **16** (12), 123029 (2014).
-  G Chiribella, CMS, arXiv:1504.07045 [quant-ph] (2015).