

# Aspects of Descriptive Complexity

Anuj Dawar

Department of Computer Science and Technology, University of Cambridge

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# Descriptive Complexity

*Descriptive Complexity* is an attempt to study the complexity of problems and classify them, not on the basis of how difficult it is to *compute* solutions, but on the basis of how difficult it is to *describe* the problem.

This gives an alternative way to study complexity, independent of particular machine models.

Based on *definability in logic*.

# Graph Properties

As an example, consider the following three decision problems on *graphs*.

1. Given a graph  $G = (V, E)$  does it contain a *triangle*?
2. Given a directed graph  $G = (V, E)$  and two of its vertices  $a, b \in V$ , does  $G$  contain a *path* from  $a$  to  $b$ ?
3. Given a graph  $G = (V, E)$  is it *3-colourable*? That is,  
*is there a function  $\chi : V \rightarrow \{1, 2, 3\}$  so that whenever  $(u, v) \in E$ ,  $\chi(u) \neq \chi(v)$ .*

# Graph Properties

1. Checking if  $G$  contains a triangle can be solved in *polynomial time* and *logarithmic space*.

2. Checking if  $G$  contains a path from  $a$  to  $b$  can be done in *polynomial time*.

Can it be done in *logarithmic space*?

*Unlikely. It is NL-complete.*

3. Checking if  $G$  is 3-colourable can be done in *exponential time* and *polynomial space*.

Can it be done in *polynomial time*?

*Unlikely. It is NP-complete.*

# Logical Definability

In what kind of formal language can these decision problems be *specified* or *defined*?

The graph  $G = (V, E)$  contains a triangle.

$$\exists x, y, z \in V (x \neq y \wedge y \neq z \wedge x \neq z \wedge E(x, y) \wedge E(x, z) \wedge E(y, z))$$

The other two properties are *provably* not definable with only first-order quantification over vertices.

# First-Order Logic

Consider *first-order predicate logic*.

A collection of variables  $x, y, \dots$ , and formulas:

$$x = y \mid E(x, y) \mid \varphi \wedge \psi \mid \varphi \vee \psi \mid \neg\varphi \mid \exists x\varphi \mid \forall x\varphi$$

Any property of graphs that is expressible in *first-order logic* is in **L**.

The problem of deciding whether  $G \models \varphi$  for a first-order  $\varphi$  is in time  $O(ln^m)$  and  $O(m \log n)$  space.

where,  $l$  is the *length* of  $\varphi$  and  $n$  the *order* of  $G$  and  $m$  is the nesting depth of quantifiers in  $\varphi$ .

## Second-Order Quantifiers

*3-Colourability* and *Reachability* can be defined with quantification over sets of vertices.

$$\begin{aligned} \exists R \subseteq V \exists B \subseteq V \exists G \subseteq V \\ \forall x (Rx \vee Bx \vee Gx) \wedge \\ \forall x (\neg(Rx \wedge Bx) \wedge \neg(Bx \wedge Gx) \wedge \neg(Rx \wedge Gx)) \wedge \\ \forall x \forall y (Exy \rightarrow (\neg(Rx \wedge Ry) \wedge \\ \neg(Bx \wedge By) \wedge \\ \neg(Gx \wedge Gy))) \end{aligned}$$

$$\forall S \subseteq V (a \in S \wedge \forall x \forall y ((x \in S \wedge E(x, y)) \rightarrow y \in S) \rightarrow b \in S)$$

# Existential Second-Order Logic

Second-order logic is obtained by adding to the defining rules of first-order logic two further clauses:

*atomic formulae* –  $X(t_1, \dots, t_n)$ , where  $X$  is a *second-order variable*

*second-order quantifiers* –  $\exists X\varphi, \forall X\varphi$

*Existential Second-Order Logic* (ESO) consists of formulas of the form

$$\exists X_1 \dots \exists X_k \varphi$$

where  $\varphi$  is *first-order*



# Fagin's Theorem

## Theorem (Fagin 1974)

A class of graphs is definable by a formula of *existential second-order logic* if, and only if, it is decidable by a *nondeterministic machine* running in polynomial time.

$$\text{ESO} = \text{NP}$$

One direction is easy: Given  $G$  and  $\exists X_1 \dots \exists X_k \varphi$ .

*a nondeterministic machine can guess an interpretation for  $X_1, \dots, X_k$  and then verify  $\varphi$ .*

The other direction translates a non-deterministic Turing machine  $M$  into a sentence  $\varphi_M$  which asserts, in a structure  $\mathbb{A}$  the existence of relations coding an accepting run of  $M$  on an input coding  $\mathbb{A}$ .

# A Logic for P?

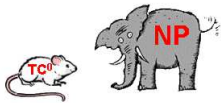
Is there a logic, intermediate between first and second-order logic that expresses exactly graph properties in P?

This is an open question, first posed by **(Chandra and Harel, 1982)** and has been the motor of significant research in descriptive complexity.

Does P admit a syntactic characterisation?

Can the class P be “built up from below” by finitely many operations?

# Zoo of Complexity Classes



Scott Aaronson and others have compiled an online *zoo* of complexity classes, which has 535 entries and counting.

**P**—languages decidable by a deterministic Turing machine running in polynomial time.

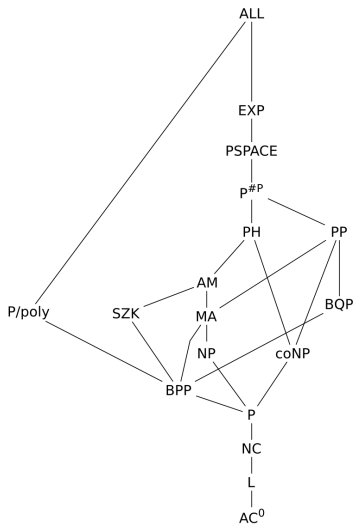
**NP**—languages decidable by a nondeterministic Turing machine running in polynomial time.

**coNP**—languages whose complements are in **NP**.

**BQP**—quantum polynomial time.

**AC<sup>0</sup>**—languages decided by a uniform family of constant-depth, polynomial-size Boolean circuits.

## Some Inclusions among Classes



Note:  $P/poly$  and  $All$  are uncountable classes that necessarily contain undecidable languages.

$AC^0$  is properly included in  $L$ .

$L$  is known to be properly included in  $PSPACE$  but none of the individual inclusions in between is known to be proper.

# Enumerating Complexity Classes

Given a complexity class  $\mathcal{C}$ , can we enumerate its members?

Fix an enumeration of *Turing machines* and write  $L_i$  for the language accepted by machine  $M_i$ .

Can we decide the set  $\{i \mid L_i \in \mathcal{C}\}$ ?

*No—Rice's theorem.*

Say that  $\mathcal{C}$  is *weakly indexed* by a set  $I \subseteq \mathbb{N}$  if:

- $i \in I \Rightarrow L_i \in \mathcal{C}$
- $L \in \mathcal{C} \Rightarrow \exists i \in I L = L_i$

Can we computably enumerate a weak index set for  $\mathcal{C}$ ?

# Syntactic Classes

Usually we want something more of a syntactic characterisation of  $\mathcal{C}$  than just a computably enumerable weak index set. We want the machines  $M_i$  to “*witness*” that  $L_i$  is in  $\mathcal{C}$ .

For instance, fix an enumeration of pairs  $(M, p)$  where  $M$  is a deterministic Turing machine and  $p$  is a polynomial.

Let  $I$  be the range of the function that takes  $(M, p)$  to the code of the Turing machine that simulates  $M$  for  $p(n)$  steps on inputs of length  $n$ .

$I$  is an *effective syntax* for  $\mathcal{P}$ .

# Syntactic Classes

**NP** can similarly be indexed by pairs  $(M, p)$  where  $M$  is a *nondeterministic* Turing machine and  $p$  is a polynomial.

What about  $\text{NP} \cap \text{coNP}$ ?

An index set is obtained by taking

$$(M, M', p) \quad \text{such that} \quad L(M) = L(M')$$

$\uparrow$   
*undecidable condition*

So we say **P** and **NP** are *syntactic* classes, while  $\text{NP} \cap \text{coNP}$  is a *semantic* class.

# Graph Problems

Consider decision problems where the input is a graph: *Connectedness*, *3-Colouring*, *Hamiltonicity*.

We can encode graphs as strings over  $\{0, 1\}$  by, for instance, enumerating the *adjacency matrix*.

There are up to  $n!$  distinct encodings of a given  $n$  vertex graph  $G$ .

For  $x, y \in \{0, 1\}^*$  write  $x \sim y$  to indicate that they are encodings of the same graph.

And, for a language  $L$ , we say it is  $\sim$ -invariant if

$$x \in L \text{ and } x \sim y \quad \Rightarrow \quad y \in L.$$



# Invariant Complexity Classes

Let  $\text{inv-NP}$  and  $\text{inv-P}$  be the classes of all languages that are in  $\text{NP}$  and  $\text{P}$  respectively and are  $\sim$ -invariant.

$\text{inv-NP}$  is indexed by the set of pairs  $(M, p)$  where  $M$  is a nondeterministic Turing machine,  $p$  is a polynomial and the language accepted by  $M$  when clocked by  $p$  is  $\sim$ -invariant.

The invariance condition is undecidable.

*Fagin's theorem* tells us that, nonetheless,  $\text{inv-NP}$  has an *effective syntax*. It is indexed by machines obtained from existential second-order sentences.

Whether  $\text{inv-P}$  has an effective syntax is *the* open question.

# BQP

BQP is the complexity class of problems solvable by *quantum* polynomial time algorithms.

Formally, a language  $L$  is in BQP if there is a *quantum* Turing machine  $M$ , running in polynomial time such that

- if  $x \in L$  then  $M$  accepts  $x$  with probability  $> \frac{2}{3}$ ; and
- if  $x \notin L$  then  $M$  accepts  $x$  with probability  $< \frac{1}{3}$ .

Say that a machine  $M$  is *well-formed* for BQP if, for every string  $x$ , it is the case that the probability of  $M$  accepting is either  $< \frac{1}{3}$  or  $> \frac{2}{3}$ .

# Index set for BQP

We can obtain an index set for BQP by enumerating all pairs

$$(M, p)$$

where  $M$  is a quantum Turing machine and  $p$  is a polynomial such that,  $M$  clocked by  $p$  is *well-formed* for BQP.

The well-formedness condition is *undecidable*.

BQP is a *semantic class*, at least by definition.

# inv-BQP

Is there an *effective syntax* for inv-BQP?

There are *two* undecidable conditions in the definition of the class: *well-formedness* and  *$\sim$ -invariance*.

The second condition might not be an obstacle if there is a polynomial-time quantum algorithm that can produce a  $\sim$ -canonical representation of a graph *with high probability*.

The first *is* a serious obstacle, and getting a logic for BQP would require a radically different characterization that was *extensionally* the same as BQP.

# Fixed-Point Logic with Counting

FPC—*Fixed-Point with Counting* is an extension of first-order logic with a *recursion operator* and a mechanism for *counting*.

FPC was first proposed by Immerman as a possible logic for P.

It was shown by (Cai, Fürer, Immerman 1992) that there are graph properties in P not in FPC.

FPC captures a *large* and *natural* fragment of P and is worthy of study in its own right.

*Many powerful polynomial-time algorithms can be expressed in FPC and at the same time, we can prove unconditional lower bounds on it.*

# Counting Quantifiers

$C^k$  is the logic obtained from *first-order logic* by allowing:

- *counting quantifiers*:  $\exists^i x \varphi$ ; and
- only the variables  $x_1, \dots, x_k$ .

Every formula of  $C^k$  is equivalent to a formula of first-order logic, albeit one with more variables.

We write  $A \equiv^k B$  to denote that no sentence of  $C^k$  distinguishes  $A$  from  $B$ .

This *family of equivalence relations* (also known as  $k$ -dimensional Weisfeiler-Leman equivalences) has many different natural formulations in *combinatorics, algebra, logic* and *linear optimization* among others.

## FPC and $C^k$

For every sentence  $\varphi$  of FPC, there is a  $k$  such that if  $\mathbb{A} \equiv^k \mathbb{B}$ , then

$$\mathbb{A} \models \varphi \quad \text{if, and only if,} \quad \mathbb{B} \models \varphi.$$

*Essentially*, FPC can be understood as those problems decided by polynomial-time algorithms that are invariant under  $\equiv^k$  for some  $k$ .

*3SAT*, *XOR-Sat*, *Hamiltonicity*, *3-Colourability* are not  $\equiv^k$ -invariant for any constant  $k$ .

The same is true of solving systems of equations over *any* finite field.

# Counting Width

For any class of structures  $\mathcal{C}$ , we define its *counting width*  $\nu_{\mathcal{C}} : \mathbb{N} \rightarrow \mathbb{N}$  so that

$\nu_{\mathcal{C}}(n)$  is the least  $k$  such that  $\mathcal{C}$  restricted to structures with at most  $n$  elements is closed under  $\equiv^k$ .

Every class in **FPC** has counting width bounded by a *constant*.

*3SAT*, *XOR-Sat*, *Hamiltonicity*, *3-Colourability* all have counting width  $\Omega(n)$ .



# Constraint Satisfaction Problems

Fix  $\mathbb{A}$  and  $\mathbb{B}$ , two relational structures in the same *relational vocabulary*  $\tau$ .  
A *homomorphism* from  $\mathbb{A}$  to  $\mathbb{B}$  is a map  $h : \mathbb{A} \rightarrow \mathbb{B}$  so that for any tuple  $\mathbf{a}$  and any relation  $R$ ,

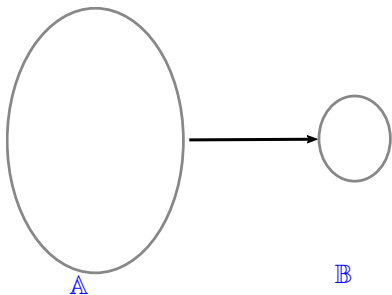
$$R^{\mathbb{A}}(\mathbf{a}) \Rightarrow R^{\mathbb{B}}(h(\mathbf{a})).$$

For a structure  $\mathbb{B}$ :  $\text{CSP}(\mathbb{B}) = \{\mathbb{A} \mid \mathbb{A} \rightarrow \mathbb{B}\}$

*3SAT*, *XOR-Sat*, *3-Colourability* all can be naturally formulated as CSP.

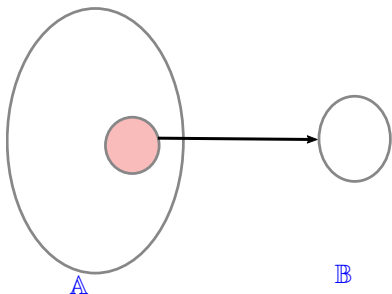
# Local Consistency Methods

Deciding, given  $\mathbb{A}$  and  $\mathbb{B}$ , whether  $\mathbb{A} \rightarrow \mathbb{B}$  is NP-complete.  
In some cases, we can test instead for *local consistency*.



# Local Consistency Methods

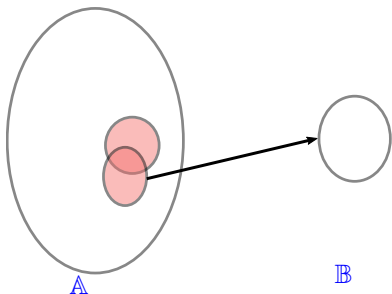
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For a  $k$ -element subset.

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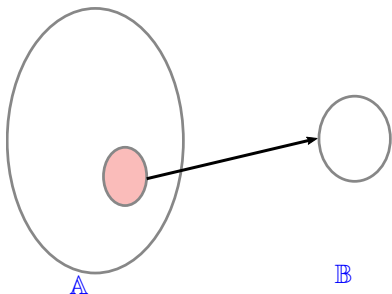
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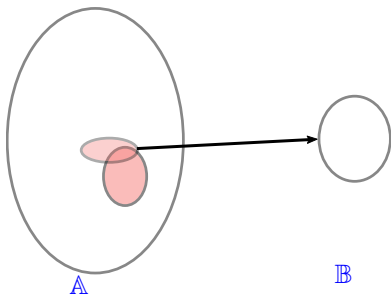
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The *extension property*.

# The Pebbling Co-Monad

In (Abramsky, D., Wang, 17) we give a construction of a *graded co-monad*  $\mathbb{T}_k$ .

This gives rise to a *co-Kleisli category* in which the morphisms are exactly the  $k$ -local consistency tests.

$$\mathbb{T}_k A \longrightarrow B \quad \text{if, and only if,} \quad A \xrightarrow{k} B.$$

Isomorphism in this category is exactly  $\equiv^k$ .

That is,  $A \equiv^k B$  if, and only if,  $\mathbb{T}_k A \cong \mathbb{T}_k B$ .

## Lower Bounds from Counting Width

A *CSP* has counting width either  $O(1)$  or  $\Omega(n)$ .

The former if, and only if, it is definable in *Datalog*.

(Atserias, Bulatov, D. '09); (Barto-Kozik '14)

For a CSP of *unbounded counting width*, the corresponding *maximization* problem is intractable.

(Thapper, Živný '16); (D., Wang '15)

*3SAT*, *XOR-Sat*, *Vertex Cover* cannot be *approximated* by any class of bounded counting width.

(Atserias, D. '18)

An  $\Omega(n)$  lower bound on the counting width of a class implies *exponential* lower bounds on the size of *symmetric circuits* and *symmetric linear programs* deciding it.

(Anderson, D. 2017)

(Atserias, D., Ochremiak '19)



# Symmetric Linear Programs

Fix  $X = \{x_{ij} \mid i, j \in [n]\}$  for a fixed  $n$ .

Consider a class  $\mathcal{C}$  of graphs.

We identify a graph on  $n$  vertices with a function  $G : X \rightarrow \{0, 1\}$ .

We say that a polytope  $Q \subseteq \mathbb{R}^X \times \mathbb{R}^Y$  *recognizes*  $\mathcal{C}$  if its projection on  $\mathbb{R}^X$  includes  $\mathcal{C}|_n$  and excludes its complement.

Say  $Q \subseteq \mathbb{R}^X \times \mathbb{R}^Y$  is *symmetric* if for every  $\pi \in S_V$ , there is a  $\sigma \in S_Y$  such that

$$Q^{(\pi, \sigma)} = Q$$

Here, we extend the action of  $\pi$  to  $V \times V$ , and hence to  $\mathbb{R}^X$ .

# Symmetric Linear Programs

## Theorem (Atserias, D., Ochremiak '19)

If a family of symmetric polytopes of size  $s = O(2^{n^{1-\epsilon}})$ ,  $\epsilon > 0$  recognizes  $\mathcal{C}$ , then  $\mathcal{C}$  has *counting width* at most  $\frac{\log s}{\log n}$ .

In particular, classes of counting width  $\Omega(n)$  are not recognized by any *subexponential* size symmetric linear programs.