Lecture 2: Knowledge Graph Embedding Models

Relational Learning

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Advanced Topics in Machine Learning, University of Oxford

17.01.2022

- A glimpse at embedding models
 - Translational models: TransE and RotatE
 - Bilinear models: RESCAL, DistMult, and ComplEx
 - Box embedding models
- Overview of the embedding models
- Temporal knowledge graph completion
- Outlook and discussions
- Summary



2011	2012	2013	2014	2015

2016	2017	2018	2019	2020	2021



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Translational Models













- **Decoder**: Scores a fact r(h, t) depending how similar $\mathbf{h} + \mathbf{r}$ and \mathbf{t} are, i.e., $\mathbf{h} + \mathbf{r} \approx \mathbf{t}$.

• **Encoder**: Represents entities $h, t \in E$ and relations $r \in R$, through *d*-dimensional vectors $\mathbf{h}, \mathbf{t}, \mathbf{r} \in \mathbb{R}^d$.



- **Decoder**: Scores a fact r(h, t) depending how similar $\mathbf{h} + \mathbf{r}$ and \mathbf{t} are, i.e., $\mathbf{h} + \mathbf{r} \approx \mathbf{t}$.
- Optimized to minimize (resp., maximize) the dissimilarity of true facts (resp., negative facts).

TransE: Optimization, Loss, Training

Decoder: Consider a distance measure d, e.g., L_1 or L_2 norm, where $d(\mathbf{h} + \mathbf{r}, \mathbf{t}) = ||\mathbf{h} + \mathbf{r} - \mathbf{t}||$ represents how dissimilar $\mathbf{h} + \mathbf{r}$, and \mathbf{t} are. Hence, $-||\mathbf{h} + \mathbf{r} - \mathbf{t}||$ defines a similarity measure.

Loss: TransE defines the loss function:

$$\mathscr{L} = \sum_{r(h,t)\in G} \sum_{r(h',t')\in N^{r(h,t)}} |$$

where γ is a margin hyper-parameter, $N^{r(h,t)}$ is a set of negative samples for r(h,t), and $[x]_+$ denotes the positive part of x.

Favors higher values of similarity for true facts than for negative ones: implementation of the intended criterion.

Optimization: By stochastic gradient descent, where all embeddings are initialized randomly; at each iteration, the parameters are updated by taking a gradient step with constant learning rate. The algorithm is stopped based on its performance on a validation set.

 $[d(\mathbf{h}+\mathbf{r},\mathbf{t})-d(\mathbf{h}'+\mathbf{r},\mathbf{t}')+\gamma]_+ ,$

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To realize these facts jointly, we need r = 0, as shown in (iii), but then $\{r(a, a), r(b, b)\}$ are true facts, although these could be false.

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(ii) r(b,a)





(iii) r(a,b) & r(b,a)


How Expressive is TransE?

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The relation r can be made symmetric only by additionally forcing r to be reflexive, hence leading to loss of generality.

TransE is not fully expressive: it cannot encode the set of true facts $\{r(a,b), r(b,a)\}$ and the set of false facts $\{r(a,a), r(b,b)\}$.

Consider a relation such as cousinOf with entities alice, bob to see a problematic example.

(i) r(a, b)

(ii) r(b,a)

(iii) r(a, b) & r(b, a)







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TransE can also capture, e.g., anti-symmetry and inversion. It can capture intersection only in a loose sense by tweaking the margins.

TransE cannot capture symmetry: a relation can be symmetric only by forcing it to be reflexive. What about the hierarchy pattern: $\forall x, y \ r(x, y) \Rightarrow s(x, y)$?



Only by setting $\mathbf{r} \approx \mathbf{s}$, and, this would imply relation equivalence: TransE cannot capture hierarchy either. The lack of ability to capture the hierarchy pattern is also a serious limitation, as it is also prevalent in datasets (e.g., the relation capitalOf implies the relation cityIn).



Other Limitations of TransE

- 1-to-n, n-to-1, n-to-n, relations refer to the cardinality of the relation in terms of the head and tail entities.
- TransE does not efficiently learn the representations for n-to-n relations in a KG:
 - locatedIn(Oxford, Oxfordshire)
 - locatedIn(Oxford, UK)
- We need Oxfordshire \approx UK to realize these, since the elements locatedIn, Oxford are shared in the scoring.
- Similarly for 1-to-n relations, i.e., Bob \approx Chris in:
 - motherOf(Anne, Bob)
 - motherOf(Anne, Chris)
- Other translational models are proposed to reduce the effect of this problem; see, e.g., TransH and TransR.

RotatE

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Loss: For every fact r(h, t), RotatE minimizes the following loss function:

$$\mathscr{L} = -\log \sigma(\gamma - d(\mathbf{h} \odot \mathbf{r}, \mathbf{t})) - \sum_{r(h', t') \in N^{r(h, t)}} \frac{1}{k} \log \sigma(d(\mathbf{h}' \odot \mathbf{r}, \mathbf{t}') - \gamma),$$

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where γ is a fixed margin, σ is the sigmoid function, and $N^{r(h,t)}$ is a set of k negative samples for r(h,t).





(a) TransE models r as translation in real line.

Figure (Sun et al): Comparing 1-dimensional embeddings of the models TransE and RotatE. Rotations in each individual dimension enable RotatE to capture symmetry.

RotatE can emulate TransE as a special case, see Theorem 4 of (Sun et al).

(b) RotatE models r as rotation in complex plane.

(c) RotatE: an example of modeling symmetric relations **r** with $r_i = -1$

h

 \mathbf{r}

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RotatE sets \mathbf{r} and \mathbf{s} symmetric to capture the initial two facts, though the relations need not be symmetric.

RotatE cannot fit the sets facts:

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RotatE cannot capture the hierarchy pattern: $\forall x, y \ r(x, y) \Rightarrow s(x, y).$

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Bilinear Models

A KG G can be represented by defining, for every relation $r \in R$, an adjacency matrix $\mathbf{M}_r \in \mathbb{R}^{|E| \times |E|}$:

$$\mathbf{M}_{r[i,j]} = \begin{cases} 1 & \mathbf{i} \\ 0 & \mathbf{o} \end{cases}$$

- if $r(e_i, e_j) \in G$,
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Bilinear models use a bilinear product, to represent the relationships, hence the name "bilinear".

- if $r(e_i, e_j) \in G$,
- otherwise.

- Bilinear models use tensor/matrix representation for relations and fall under tensor factorization methods.

$\mathbf{h}^{\mathsf{T}} \begin{bmatrix} 0.5 & 1 & 0.2 \\ 1 & 0.2 & 0 & \mathbf{t} \\ 0.3 & 0.5 & 0.8 \end{bmatrix}_{\mathsf{r}}^{\mathsf{r}}$

RESCAL



RESCAL is the first bilinear model and has inspired a line of research. **Encoder:** Entities $h, t \in E$ through vectors $\mathbf{h}, \mathbf{t} \in \mathbb{R}^d$, and relations $r \in R$, as a matrix $\mathbf{M}_r \in \mathbb{R}^d \times \mathbb{R}^d$. **Decoder:** Scores a fact r(h, t) as $\mathbf{h}^{\top} \mathbf{M}_r \mathbf{t}$, which captures all interactions between the components of \mathbf{h} and \mathbf{t} and defines a similarity measure.

Loss: Exact formulation can vary, depending on regularization terms etc.

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RESCAL



Expressiveness: RESCAL is fully expressive, as it is possible to fit arbitrary set of true and false facts using the power of full rank matrix. This requires $O(d^2)$ parameters per relation, and is impractical for large-scale KGs.

Problem: Using a full rank matrix is prone to overfitting, and this has motivated a line of research, where several restrictions are imposed on the representation.

$\mathbf{h}^{\mathsf{T}} \begin{bmatrix} 0.5 & 0 & 0 \\ 0 & 0.2 & 0 \\ 0 & 0 & 0.8 \end{bmatrix}_{r}^{\mathsf{t}}$

DistMult



DistMult is a bilinear model that restricts RESCAL to a diagonal matrix.

Encoder: DistMult does not allow an arbitrary matrix $\mathbf{M}_r \in \mathbb{R}^d \times \mathbb{R}^d$ for a relation $r \in R$ and restricts this to be the diagonal matrix \mathbf{D}_r .

Decoder: DistMult scores a fact r(h, t) similar to RESCAL, with the restriction to the diagonal matrix: $\mathbf{h}^{\mathsf{T}} \mathbf{D}_r \mathbf{t}$.
$\mathbf{h}^{\mathsf{T}} \begin{bmatrix} 0.5 & 0 & 0 \\ 0 & 0.2 & 0 \\ 0 & 0 & 0.8 \end{bmatrix}_{r}^{\mathsf{t}}$

DistMult



Expressiveness: DistMult is not fully expressive since $\mathbf{h}^{\mathsf{T}}\mathbf{D}_{r}\mathbf{t} = \mathbf{t}^{\mathsf{T}}\mathbf{D}_{r}\mathbf{h}$.

DistMult cannot differentiate between head entity and tail entity: all relations are modeled as symmetric regardless, i.e., even anti-symmetric relations.

Scalability: While very inexpressive, DistMult is scalable, i.e., linear in d.

DistMult $\mathbf{h}^{\mathsf{T}} \begin{bmatrix} 0.5 & 0 & 0 \\ 0 & 0.2 & 0 \\ 0 & 0 & 0.8 \end{bmatrix}_{r}^{\mathsf{t}}$





ComplEx is another bilinear model which extends DistMult to the complex domain.

Encoder: Entities $h, t \in E$ through d-dimensional values a diagonal matrix $\mathbf{D}_r \in \mathbb{C}^d \times \mathbb{C}^d$ in this space.

Decoder: Scores a fact r(h, t) as $\text{Re}(\mathbf{h}^{\top}\mathbf{D}_{r}\mathbf{\bar{t}})$, where real part of a complex vector.

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Expressiveness: By the use of complex conjugates, ComplEx introduces asymmetry and thus can also model asymmetric relations. ComplEx is fully expressive for KGs.

ComplEx is an interesting trade-off, as it generalizes DistMult to a fully expressive model, while still using diagonal matrices, which are less prone to overfitting.



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Both ComplEx and DistMult can capture the hierarchy pattern: For DistMult, set $s = \lambda r$, for a scalar $\lambda > 1$: Then $\mathbf{h}^{\mathsf{T}}\mathbf{D}_{\mathbf{r}}\mathbf{t} < \mathbf{h}^{\mathsf{T}}\mathbf{D}_{\mathbf{s}}\mathbf{t}$, and and hence $\forall x, y \ r(x, y) \Rightarrow s(x, y)$. The argument for ComplEx is analogous.



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Models such as RESCAL and TuckER are same as ComplEx in terms of inference patterns.



Box Embedding Models

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Neither of these approaches facilitate means for using box embeddings for KG completion.

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to a head box and a tail box, respectively.

- BoxE applies to knowledge bases with higher-arity facts, but we focus on KGs, for ease of presentation.
- **Encoder:** Each entity $h, t \in E$ in terms of two d-dimensional vectors $\mathbf{h}, \mathbf{b}_{\mathbf{h}} \in \mathbb{R}^d$ and $\mathbf{t}, \mathbf{b}_{\mathbf{t}} \in \mathbb{R}^d$; each (binary) relation $r \in R$, in terms of two d-dimensional hyper-rectangles, or boxes, $\mathbf{r}^{\mathbf{h}}, \mathbf{r}^{\mathbf{t}} \in \mathbb{R}^{d}$, corresponding

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Idea: The embedding **h** (resp., t) defines the base position of an entity h (resp., t), and the embedding $\mathbf{b}_{\mathbf{h}}$ (resp., \mathbf{b}_{t}) defines its translational bump, which translates other entities from their base positions to their final embeddings by "bumping" them.

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The final embedding of a tail entity t relative to a fact r(h, t) is given by: $\mathbf{t}^{\mathbf{r}(\mathbf{h}, \mathbf{t})} = \mathbf{t} + \mathbf{b}_{\mathbf{h}}$.

BoxE: Scoring and Spatial Properties

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center of a box **B**. BoxE scores a fact r(h, t) as the sum of the L-x norms of such function:

As in other translational models, we can negate the term to frame it as a similarity measure.

- **Decoder:** Consider a distance measure dist(e, B) which defines how close an entity embedding e is to the
 - $\left\| \operatorname{dist}(\mathbf{h}^{\mathbf{r}(\mathbf{h},\mathbf{t})},\mathbf{r}^{\mathbf{h}}) \right\|_{r} + \left\| \operatorname{dist}(\mathbf{t}^{\mathbf{r}(\mathbf{h},\mathbf{t})},\mathbf{r}^{\mathbf{t}}) \right\|_{r}$

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size and their relative position in relation to entities are part of scoring.

relative to a different fact, since the bump vector depends on the other entity occurring in the fact.

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- Box sizes are dynamic and their position matters: Every relation may be represented with boxes of different
- The final entity representation is dynamic: Every entity can have a potentially different final embedding












How Expressive is BoxE?



A fact citizenOf(Hitchcock, UK) holds when the final embedding of the entity Hitchcock appears in the box citizenOf^(h) and the final embedding of the entity UK appears in the box citizenOf^(t).

Expressiveness: BoxE is fully expressive. Any fact r(h, t) can be made false in the model, by defining a bump vector for, e.g., the head entity h such that the tail entity t is pushed outside of the tail box of r in a single dimension. This operation can be done for all false facts without "harming" true facts, using $E \times R$ dimensions.





Anti-symmetry



Anti-symmetry

Symmetry



Anti-symmetry



Other inference patterns, e.g., inverse, mutual exclusion, intersection can be captured by configuring boxes.



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capture composition as an inference pattern.

This approach does not work for the composition pattern: $\forall x, y, z \ r(x, y) \land s(y, z) \Rightarrow t(x, z)!$ BoxE cannot

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Question: Can a model capture multiple instances of the same inference pattern jointly?

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but jointly capturing these incorrectly forces relation equivalence between r_2 and r_4 .

- $\forall x, y, z \; r_1(x, y) \land r_4(y, z) \Rightarrow r_3(x, z) \text{ and } \forall x, y, z \; r_1(x, y) \land r_2(y, z) \Rightarrow r_3(x, z),$

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Example: Bilinear models can separately capture the hierarchy rules:

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- Jointly capturing these imposes either $\forall x, y \ r_1(x, y) \Rightarrow r_2(x, y)$ or $\forall x, y \ r_2(x, y) \Rightarrow r_1(x, y)$ (Gutiérrez-Basulto et al.).

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patterns also in this general sense, and can capture, e.g., relational hierarchies.

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- A simple relational hierarchy cannot be captured by any of these systems. BoxE can capture these inference

Question: Can a model capture different inference patterns jointly?

- Even generalized inference patterns are limited: r_1, r_2 composes to r_3 , and r_1, r_3 are symmetric (Abboud et al., 2020).
- Rule languages: a simple rule language is a union of inference rules: symmetry, anti-symmetry, hierarchy, etc...

Question: Can a model capture different inference patterns jointly? **Example**: RotatE can separately capture each of the rules: $\forall x, y, z \ cousins(x, y) \land hasChild(y, z) \rightarrow relatives(x, z),$ $\forall x, y \ cousins(x, y) \rightarrow cousins(y, x),$ $\forall x, y \ relatives(x, y) \rightarrow relatives(y, x),$

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To better assess the inductive capacity of a model, show the rule language it can capture.

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Overview of Embedding Models

Embedding Models: Representation and Scoring

Model	odel Entity representation		
TransE	$\mathbf{h}, \mathbf{t} \in \mathbb{R}^d$		
RotatE	$\mathbf{h}, \mathbf{t} \in \mathbb{C}^d$		
RESCAL	h , t $\in \mathbb{R}^d$	Ι	
DistMult	$\mathbf{h}, \mathbf{t} \in \mathbb{R}^d$]	
ComplEx	$\mathbf{h}, \mathbf{t} \in \mathbb{C}^d$]	
BoxE	$\mathbf{h}, \mathbf{t}, \mathbf{b}_{\mathbf{h}}, \mathbf{b}_{\mathbf{t}} \in \mathbb{R}^{d}$	Hyper-	

ion representationScoring function $\mathbf{r} \in \mathbb{R}^d$ $-\|\mathbf{h} + \mathbf{r} - \mathbf{t}\|$ $\mathbf{r} \in \mathbb{C}^d$ $-\|\mathbf{h} \odot \mathbf{r} - \mathbf{t}\|$ $\mathbf{M}_r \in \mathbb{R}^d \times \mathbb{R}^d$ $\mathbf{h}^T \mathbf{M}_r \mathbf{t}$ $\mathbf{D}_r \in \mathbb{R}^d \times \mathbb{R}^d$ $\mathbf{h}^T \mathbf{D}_r \mathbf{t}$ $\mathbf{D}_r \in \mathbb{C}^d \times \mathbb{C}^d$ $\mathrm{Re}(\mathbf{h}^T \mathbf{D}_r \mathbf{\bar{t}})$ -rect's $\mathbf{r}^{\mathbf{h}}, \mathbf{r}^{\mathbf{t}} \in \mathbb{R}^d$



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Scoring function ion representation $\mathbf{r} \in \mathbb{R}^d$ $-\|h + r - t\|$ $-\|\mathbf{h}\odot\mathbf{r}-\mathbf{t}\|$ $\mathbf{r} \in \mathbb{C}^d$ $\mathbf{M}_r \in \mathbb{R}^d \times \mathbb{R}^d$ $\mathbf{h}^{\mathsf{T}}\mathbf{M}_{r}\mathbf{t}$ $\mathbf{D}_r \in \mathbb{R}^d \times \mathbb{R}^d$ $\mathbf{h}^{\mathsf{T}}\mathbf{D}_{r}\mathbf{t}$ $\mathbf{D}_r \in \mathbb{C}^d \times \mathbb{C}^d$ $\operatorname{Re}(\mathbf{h}^{\mathsf{T}}\mathbf{D}_{r}\mathbf{\bar{t}})$ -rect's $\mathbf{r^h}, \mathbf{r^t} \in \mathbb{R}^d$ $-\left(\left\|\operatorname{dist}(\mathbf{h}^{\mathbf{r}(\mathbf{h},\mathbf{t})},\mathbf{r}^{\mathbf{h}})\right\|_{r}+\left\|\operatorname{dist}(\mathbf{t}^{\mathbf{r}(\mathbf{h},\mathbf{t})},\mathbf{r}^{\mathbf{t}})\right\|_{r}\right)$

Summary of the models covered in the lecture: Entity representations $h, t \in E$ and relation representations $r \in R$ are given, along with the scoring function for an arbitrary fact r(h, t). Please refer to the original works for the details.



Embedding Models: Expressiveness and Inferences

Inference pattern	TransE	RotatE	BoxE	DistMult	ComplEx
Symmetry	N/N	Y/Y	Y/Y	Y/Y	Y/Y
Anti-symmetry	Y/Y	Y/Y	Y/Y	N/N	Y/Y
Inversion	Y/N	Y/Y	Y/Y	N/N	Y/Y
Composition	Y/N	Y/N	N/N	N/N	N/N
Hierarchy	N/N	N/N	Y/Y	Y/N	Y/N
Intersection	Y/N	Y/N	Y/Y	N/N	N/N
Mutual exclusion	Y/Y	Y/Y	Y/Y	Y/N	Y/N



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A summary of the inference patterns / generalized inference patterns that can be captured by selected models.



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Hierarchy	N/N	N/N	Y/Y	Y/N	Y/N
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A summary of the inference patterns / generalized inference patterns that can be captured by selected models. Another bilinear model TuckER, coincides with ComplEX in terms of the listed inference patterns.



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General approach: Neural models either use a neural network as a scoring function (e.g., ConvE), or use existing embedding models for scoring, but learn the embeddings with a neural network (e.g., r-GCN).

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We will revisit knowledge graph completion in the context of graph neural networks.

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Temporal Knowledge Graph Completion







 t_2





 t_2

 t_1







 t_2





Temporal knowledge: Knowledge changes over time and we can capture this with timestamps T.

Temporal facts: A temporal fact is of the form r(h the timestamp where the fact holds.

Temporal KGs: A temporal KG is a finite set of temporal facts, or equivalently a sequence of KGs.



Temporal facts: A temporal fact is of the form $r(h, t \mid \tau)$, where $r \in R$, and $h, t \in E$, and $\tau \in T$, indicating




 t_2

 t_1





that are missing from G. There are two regimes:



- **Temporal KG completion**: Given a temporal KG G, temporal KG completion is to predict (temporal) facts
- **Interpolation**: Observations over timestamps $\tau_1 \dots \tau_n$ and predictions/completion over timestamps $\tau_1 \dots \tau_n$. **Extrapolation**: Observations over timestamps $\tau_1 \dots \tau_n$ and predictions/completions over unseen timestamps. Extrapolation is very hard, but already interpolation is hard: facts must be predicted in the right timestamps.

Dataset	E	 R	 T	Training	Validation	Test	Timespan	Granularity
ICEWS14	7,128	230	365	72,826	8,963	8,941	1 year	Daily
ICEWS05-15	10,488	251	4017	386,962	46,092	46,275	11 years	Daily
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Datasets: ICEWS14 and ICEWS5-15 (Garcia-Duran et al, 2018): subsets of the Integrated Crisis Early Warning System (ICEWS) dataset, which stores temporal socio-political facts starting from the year 1995. GDELT: a subset of Global Database of Events, Language, and Tone temporal KG (Leetaru and Schrodt 2013).

A Brief Look at TTransE



Encoder: TTransE (Leblay and Chekol, 2018) extended into the space $\tau \in \mathbb{R}^d$.

Decoder: Score a temporal fact $r(h, t \mid \tau)$ based on how similar $\mathbf{h} + \mathbf{r} + \tau$ and \mathbf{t} are: $-\|\mathbf{h} + \mathbf{r} + \tau - \mathbf{t}\|$

Remark: Any translational model can be extended in this simple way and more sophisticated proposals exist.



Encoder: TTransE (Leblay and Chekol, 2018) extends TransE by additionally encoding each timestamp $\tau \in \mathbf{T}$

Outlook and Discussions

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• **Rule injection**: KGs usually have an accompanying schema, or an ontology, encoding the general domain knowledge in the form of first-order rules. Ideally, all predictions in the KG completion task should comply with such knowledge. Is it possible to inject such knowledge into the embedding models and to what extent?

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• Other tasks: Tasks beyond KG completion, e.g., entity classification, query answering with embedding models.

- KG completion with shallow embedding models:
 - Translational models, e.g., TransE, RotatE.
 - Bilinear models, e.g., RESCAL, DistMULT, ComplEx.
 - Box embeddings, e.g., BoxE.
- Many other embedding models build on similar, or analogous ideas.
- Temporal KG completion
- We evaluated the respective models in terms of:
 - Model expressiveness
 - Model inductive capacity and inference patterns

Summary

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