

EFFICIENCY

(1)

1. Try to reduce variant function as quickly as possible.
2. On a binary computer  $\div 2$  and mod 2 are efficient.

EXAMPLE

Using only + - \*2,  $\div 2$  and mod 2, not changing X, Y, write a program

that achieves  $z = X*Y \dots r$

choose invariant  $p = X*Y = x*y + z$

$$\bar{b} = y = 0$$

variant  $y$

check  $p \wedge \bar{b} \Rightarrow r$ ,  $x, y, z := X, Y, 0$  a p

try  $y := y \div 2$

check  $b \wedge p \Rightarrow y := y \div 2$  dec y

we need  $x'$  s.t.  $x*y + z = x'*(y \div 2) + z$

try  $x' = x*2$ . This works if  $y \text{ mod } 2 = 0$ .

If  $y \text{ mod } 2 \neq 0$ , we use the usual slow method

$x, y, z := X, Y, 0$  a p

do  $y \neq 0 \rightarrow$  if  $y \text{ mod } 2 = 0 \rightarrow x := x*2; y := y \div 2,$

$z := z + x; y := y - 1$

fi

od

EXAMPLE

(2)

Using only +1, -1, \*2,  $\div 2$ , mod 2, and not changing X, Y > 0 write a

program that achieves  $q = X \div Y, x = X \text{ mod } Y$

choose  $p \equiv X = q*dd + x$  &  $dd = Y*2^n > x$

$$\bar{b} \equiv dd = Y$$

$t \equiv dd$

note  $p \wedge b \Rightarrow dd := dd \div 2$  dec dd

to reestablish  $X = q*dd + x$  we also need.

$n := n - 1; q := q * 2; \text{make } x < dd,$   
 keeping  $X = q * dd + x$   
 changing  $x$  &  $q$  only.

but we already know how to do that!

It remains only to establish  $p$ .

choose  $p' = X = q * dd + x$  &  $dd = Y * 2^n$

$\bar{b}' = dd > x$        $t = 2 * x - dd$

clearly  $(q := 0; x := X; dd := Y; n := 0) \underline{a} p'$

$p' \wedge b' \implies (dd := dd * 2; n := n + 1) \underline{a} p'$

"      dec  $2 * x - dd$

SOLUTION

(3)

$\{ Y > 0 \ \& \ X \geq 0 \}$

$q, x, dd := 0, X, Y;$

$\boxed{n := 0; \{ X = q * dd + x \ \& \ dd = Y * 2^n \ \dots \ p^1 \}}$

do  $dd \leq x \rightarrow dd := dd * 2; \boxed{n := n + 1}$  od;  $\{ p^1 \ \& \ dd > x \}$

do  $dd \neq Y \rightarrow \boxed{n := n - 1; \{ q := q * 2; dd := dd \div 2; \{ p^1 \}$

do  $x \geq dd \rightarrow x := x - dd; q := q + 1$  od  $\{ p^1 \ \& \ dd > x \}$

od  $\{ p^1 \ \& \ dd > x \ \& \ dd = Y \dots \} \implies \{ X = q * Y + x \ \& \ Y > x \}$

note. all operations on  $n$  can be omitted.

EXERCISE (1) same as above, but using  $\div 10$  and  $*10$  instead of  $\div 2$  and  $*2$

(2) Using  $*$ ,  $\div 2$ , mod 2, write a program that achieves  $z = X^Y$ .

SQUARE ROOT

(4)

Write a program that assigns to  $a$  an approximation to the square root of  $n \geq 0$

postcondition :  $\underbrace{a^2}_{p} \leq n < \underbrace{(a+1)^2}_{\bar{b}}$

$p$

$\bar{b}$

note  $a := 0 \text{ a } p$

note  $b = (a + 1)^2 \leq n \Rightarrow a := a + 1 \text{ a } p$

dec  $n - a^2$

$\therefore a := 0; \text{ do } (a + 1) * (a + 1) \leq n \Rightarrow a := a + 1 \text{ od}$

now make this a bit faster.

postcondition:  $p = a^2 \leq n < (a + c)^2 \ \& \ c = 2^i$

$\bar{b} \equiv c = 1$  variant = c

$p \wedge b \Rightarrow c := c \div 2; i := i - 1 \text{ dec } c$

to restore, if  $(a + c)^2 \leq n$  we must increase a in just this case,

$a := a + c$  will do it!

$\{n \geq 0\}$

$a := 0; \boxed{i := 0; c := 1;}$

(5)

$\{a^2 \leq n \ \& \ c = 2^i\}$

...  $p^0$

do  $c^2 \leq n \rightarrow c := 2 * c; \boxed{i := i + 1}$  od;

$\{p^0 \ \& \ n < (a + c)^2\}$

... p

do  $c \neq 1 \rightarrow c := c \div 2; \boxed{i := i - 1}$

if  $(a + c)^2 \leq n \rightarrow a := a + c, \text{ skip } \underline{fi}$

$\{p\}$

od  $\{p \wedge c = 1\}$

operations on i can be omitted

$\{a^2 \leq n < (a + 1)^2\}$

check that the invariant p is preserved.

$p \wedge c \neq 1 \Rightarrow \underline{if} (a + c \div 2)^2 \leq n \rightarrow (a + c \div 2)^2 \leq n < (a + c \div 2 + c \div 2),$

$a \leq n < (a + c \div 2)$

fi

Exercise. Rewrite the square root program using  $*4$ ,  $*2$ ,  $\div 4$ ,  $\div 2$  (6)  
 instead of squaring. we have to get rid of  $c^2 \leq n$  and  $(a+c)^2 \leq n$

$\therefore$  we introduce  $q = c^2$ ,  $p = a*c$ ,  $r = n - a^2$  I

$$\text{thus } c^2 \leq n \equiv q \leq n$$

$$(a+c)^2 \leq n \equiv 2*p + q \leq r$$

To preserve the invariant I, we must accompany

$$c := 2*c \text{ by } q := 4*q; p := p*2$$

$$c := c \div 2 \text{ by } q := q \div 4; p := p \div 2$$

$$a := a + c \text{ by } p := p + q; r := r - (2*p + q)$$

$$a := 0 \text{ by } p := 0; r := n$$

$$c := 1 \text{ by } q := 1$$

also  $q = 1 \equiv p = a$

$$c = 1 \equiv q = 1$$

$a := 0; p := 0; r := n;$

$c := 1; q := 1;$

$\underline{\text{do}} \ q \leq n \rightarrow \{c := 2*c; q := 4*q; p := 2*p\} \underline{\text{od}} ;$

$\underline{\text{do}} \ q \neq 1 \rightarrow \{c := c \div 2; q := q \div 4; p := p \div 2;\}$

$\quad \underline{\text{if}} \ 2*p + q \leq r \rightarrow \{a := a + c; p := p + q;$

$\quad \quad r := r - (2*p + q),$

$\quad \quad \text{skip} \dots$

$\quad \quad \underline{\text{fi}}$

$\underline{\text{od}} \ \{p = a\}$

$a := p$

all the operations of the original program can be omitted!

They are operations on "abstract" or "ghost" variables, which have been more efficiently represented by the "concrete" variables p,q,r.

We take lower subscript bound always zero.\*

If  $T$  is a type, as is  $T^*$

(the set of finite sequences whose items are in  $T$ ).

Axioms:

(1)  $T^*$  empty is a  $T^*$  (abbreviated to  $\langle \rangle$ )

(2) If  $a$  is a  $T^*$  and  $x$  is a  $T$  then

$a.^{\wedge}x$  is a  $T^*$  (a extended by x)

(3) These are all the elements of  $T^*$

(i.e.  $T^*$  is least set closed wr to (1) and (2))

(4)  $a.^{\wedge}x = b.^{\wedge}y \iff a = b \ \& \ x = y.$

$\langle \rangle.^{\wedge}x.^{\wedge}y.^{\wedge}\dots.^{\wedge}z$  is abbreviated to  $\langle x, y, \dots, z \rangle$

dot associates to left, i.e.  $a.^{\wedge}x.^{\wedge}y = (a.^{\wedge}x).^{\wedge}y$

\*This is simpler than Dijkstra's treatment

"shift" is not relevant, and "lob" is redundant.

In the following,

(9)

$a, b : T^*;$

$x, y : T;$

$i, j : \text{NN};$  (natural number)

LENGTH :  $\#\langle \rangle = 0$   $\#(a.^{\wedge}x) = \#a + 1$

HIGH:  $(a.^{\wedge}x). \text{high} = x$   $\langle \rangle. \text{high}$  is not defined.

SUBSCRIPT:  $(a.^{\wedge}x) (\# a) = x$   $\langle \rangle(i)$  is not defined.

$i < \# a \implies (a.^{\wedge}x) (i) = a(i)$

ALTER:  $(a.^{\wedge}x). \text{alt}(\# a, y) = a.^{\wedge}y$   $\langle \rangle. \text{alt}(i, y)$  is not defined.

$i < \# a \implies (a.^{\wedge}x). \text{alt}(i, y) = a. \text{alt}(i, y).^{\wedge}x$

SWAP:  $a. \text{swap}(i, j) = a. \text{alt}(i, a(j)). \text{alt}(j, a(i))$

PREFIX:  $x^{\langle \rangle} \cdot \langle \rangle = \langle \rangle \cdot x$   
 $x^{\langle \rangle} \cdot (a^{\langle \rangle} y) = (x^{\langle \rangle} \cdot a)^{\langle \rangle} y$

REMAINDER:  $(a^{\langle \rangle} x) \cdot \text{hirem} = a$        $\langle \rangle \cdot \text{hirem}$  is not defined  
 $(x^{\langle \rangle} \cdot a) \cdot \text{lorem} = a$        $\langle \rangle \cdot \text{lorem}$  is not defined

GATENATE:  $a^{\langle \rangle} \langle \rangle = a$  (10)

$$a^{\langle \rangle} (b^{\langle \rangle} x) = (a^{\langle \rangle} b)^{\langle \rangle} x$$

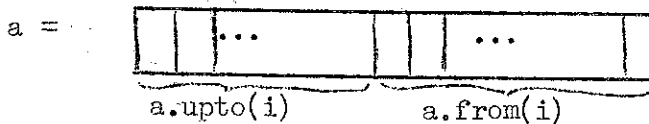
INITIAL SEG:  $a \cdot \text{upto}(0) = \langle \rangle$

$$a \cdot \text{upto}(i + 1) = a \cdot \text{upto}(i)^{\langle \rangle} a(i)$$

FINAL SEG:  $a \cdot \text{from}(0) = a$

$$a \cdot \text{from}(i + 1) = a \cdot \text{from}(i) \cdot \text{lorem}$$

$0 \qquad \qquad \qquad i \qquad \qquad \qquad \#a - 1$



THEOREMS:  $(a^{\langle \rangle} b)^{\langle \rangle} c = a^{\langle \rangle} (b^{\langle \rangle} c)$        $a \cdot \text{upto}(\#a) = a$   
 $i < \#a \Rightarrow (a \cdot \text{upto}(i))^{\langle \rangle} (a \cdot \text{from}(i)) = a$

ABBREVIATIONS:  $a:(i) := e$       for  $a := a \cdot \text{alt}(i, e)$

For any xxx,  $a: \text{xxx}(\dots)$       for  $a := a \cdot \text{xxx}(\dots)$

$x, a: \text{hipop}$       for  $x := a \cdot \text{high}; a: \text{hirem}$

$x, a: \text{lopop}$       for  $x := a(0); a: \text{lorem}$

SORTED

(11)

Given a type  $T$  and a function  $\text{key}: T \rightarrow \text{NN}$

we define  $\text{key\_sorted}: T^* \rightarrow \{ \underline{T}, \underline{F} \}$

$a \cdot \text{keysorted} = \bigvee_{i, j: \text{NN}} (i < j < \#a \Rightarrow a(i) \cdot \text{key} \leq a(j) \cdot \text{key})$

$= \underline{\text{if}} \#a \leq 1 \rightarrow \underline{T}$

    ,  $a(0) \cdot \text{key} \leq a(1) \cdot \text{key} \rightarrow a \cdot \text{lorem} \cdot \text{keysorted}$

    ,  $\underline{F}$

$\underline{\text{fi}}$

$= \#a \leq 1$

$$\forall \exists k: \mathbb{N} \quad a. \text{partitioned at } (k)$$

$$\quad \& a. \text{upto}(k). \text{keysorted}$$

$$\quad \& a. \text{from}(k). \text{keysorted}$$

where  $a. \text{partitioned at } (k) = 0 \leq k < \#a$

$$\& \forall i, j: \mathbb{N} \quad i < k \leq j < \#a \Rightarrow a(i). \text{key} \leq a(j). \text{key}$$

If  $T = \mathbb{N}$  and  $\forall i \quad i. \text{key} = i$ ,

we write  $a. \text{sorted}$  for  $a. \text{keysorted}$

### SEARCHING

(12)

Precondition  $f(0) \leq x < f. \text{high}$  ... precond

find  $m$  s.t  $f(m) \leq x < f(m+1)$  ... result

introduce  $n: \mathbb{N}$ ; define

$$p = f(m) \leq x < f(n) \quad \& \quad m < n$$

$$\bar{b} = n \leq m + 1$$

$$t = n - m$$

check that  $p \& \bar{b} \Rightarrow \text{result}$

$$\text{precond} \Rightarrow (m := 0; n := \#f - 1) \text{ wp } p$$

we must find  $C$  s.t.  $p \& \bar{b} \Rightarrow C \text{ wp } p \& C \text{ dec } t$

obviously, we must change  $n$  or  $m$ .  $\therefore$  try (for arb.  $d$ ).

$$p \& \bar{b} \Rightarrow (m := d \text{ wp } p) \& (m := d \text{ dec } t)$$

i.e.  $p \& \bar{b} \Rightarrow f(d) \leq x \quad \& \quad m < d < n$

similarly  $p \& \bar{b} \Rightarrow (n := d \text{ wp } p) \& (n := d \text{ dec } t)$

reduces to  $p \& \bar{b} \Rightarrow x < f(d) \quad \& \quad m < d < n$

$\therefore C = \text{setd}; \text{if } f(d) \leq x \rightarrow m := d$

$$\quad \bar{\text{if}} \quad x < f(d) \rightarrow n := d$$

fi

where  $p \ \& \ b \Rightarrow \text{setd } \underline{wp} \ m < d < n$  (13)

and  $\text{setd}$  changes only  $d$ , and  $b$  is  $n > m + 1$

ALTERNATIVES:

$\text{setd} = d := m + 1$

$d := n - 1$

$d := (m + n) \div 2$

the third alternative is most efficient.

given  $f$  is sorted &  $\exists m \ f(m) = x$  & precondition

prove that our algorithm achieves the result  $f(m) = x$ .

what happens when several values of  $m$  satisfy  $f(m) = x$  ?

#### MERGING

(14)

$z.\text{merge}(\langle \rangle, \langle \rangle) \equiv z = \langle \rangle$

$z.\text{merge}(x, y) \equiv z.\text{hiext}(1).\text{merge}(x.\text{hiext}(1), y) \dots (1)$

$z.\text{merge}(x, y) \equiv z.\text{merge}(y, x)$

Following Dijkstra, we define  $a.\text{dom} = \#a$ ,  $a.\text{hiext}(x) = a.^{\wedge}x$

Write a program (not changing  $X, Y$ ) with preconditions  $X.\text{sorted}$  and

$Y.\text{sorted}$  and postcondition  $Z.\text{sorted} \ \& \ Z.\text{merge}(X, Y)$

introduce variables  $m, n$  and split postcondition

$p = m \leq X.\text{dom} \ \& \ n \leq Y.\text{dom} \ \& \ Z.\text{sorted} \ \&$

$Z.\text{merge}(X.\text{upto}(m), Y.\text{upto}(n))$

$\bar{b} = m = X.\text{dom} \ \& \ n = Y.\text{dom}.$

variant expression :  $X.\text{dom} + Y.\text{dom} - m - n.$

consider:

$(b \ \& \ p \Rightarrow m := m + 1 \ \underline{wp} \ p) \equiv b \ \& \ p \Rightarrow m + 1 \leq X.\text{dom}$

$\ \& \ Z.\text{merge}(X.\text{upto}(m).\text{hiext}(X(m)), Y)$

$\underbrace{\hspace{10em}}_{\text{upto}(m+1)}$

the last clause indicates that  $Z$  must be extended by  $X(m)$  see(1)



Try again

(15)

$b \ \& \ p \Rightarrow (Z : \text{hiext } (X(m)); m := m + 1 \ \underline{\text{wp}} \ p)$

i.e.  $b \ \& \ p \Rightarrow Z. \text{hiext } (X(m)).\text{sorted} \ \& \ m + 1 \leq X.\text{dom}$

i.e.  $b \ \& \ p \Rightarrow (Z.\text{dom} = 0 \ \vee \ Z.\text{high} \leq X(m)) \ \& \ m + 1 \leq X.\text{dom}$

introduce abbreviation  $Z.\text{ok}(1) = Z.\text{dom} = 0 \ \vee \ Z.\text{high} \leq 1$  and construct guarded commands:

$m < X.\text{dom} \ \& \ Z.\text{ok}(X(m)) \ \rightarrow Z : \text{hiext } (X(m)); m := m + 1$

$n < Y.\text{dom} \ \& \ Z.\text{ok}(Y(n)) \ \rightarrow Z : \text{hiext } (Y(n)); n := n + 1$

(second one is constructed by symmetry from the first)

BUT what do we do when the guards are false?

ALSO we haven't used the fact that X & Y are sorted.

SOLUTION strengthen invariant to

(16)

$\text{new } p = p \ \& \ (m < X.\text{dom} \Rightarrow Z.\text{ok } (X(m)))$

$\ \& \ (n < Y.\text{dom} \Rightarrow Z.\text{ok } (Y(n)))$

$b \ \& \ \text{new } p \Rightarrow Z : \text{hiext } (X(m)); m := m + 1 \ \underline{\text{wp}} \ \text{new } p$

$= b \ \& \ \text{new } p \Rightarrow Z.\text{ok } (X(m)) \ \& \ m + 1 \leq X.\text{dom} \ \text{(from } b \ \& \ p, \text{ as before)}$  (1)

$\ \& \ (m + 1 < X.\text{dom} \Rightarrow Z.\text{hiext } (X(m)).\text{ok } (X(m+1)))$  (2)

$\ \& \ (n < Y.\text{dom} \Rightarrow Z.\text{hiext } (X(m)).\text{ok } (Y(n)))$  (3)

line (1) (copied from previous argument) reduces to  $m < X.\text{dom}$

line (2) follows from  $Z.\text{ok}(X(m)) \ \& \ X.\text{sorted}$

line (3) reduces to  $n < Y.\text{dom} \Rightarrow X(m) \leq Y(n)$

$\underline{\text{do}} \ m < X.\text{dom} \ \& \ (n < Y.\text{dom} \Rightarrow X(m) \leq Y(n)) \ \rightarrow Z.\text{hiext}(X(m)); m := m + 1$

$\ \underline{\text{or}} \ n < Y.\text{dom} \ \& \ (m < X.\text{dom} \Rightarrow Y(n) \leq X(m)) \ \rightarrow Z.\text{hiext}(Y(n)); n := n + 1$

$\underline{\text{od}}$

This time, the negation of the conditions is.

$m \geq X.\text{dom} \ \& \ n \geq Y.\text{dom}$ , which, with  $p$ , implies our result.

Initialisation:  $X.\text{sorted} \ \& \ Y.\text{sorted} \ \Rightarrow$

$m := 0; n := 0; Z := T^*.\text{empty} \ \underline{\text{wp}} \ \text{new } p$

$0 \leq X.\text{dom} \ \& \ 0 \leq Y.\text{dom} \ \& \ T^*.\text{empty}.\text{sorted} \ \& \ T^*.\text{empty}.\text{merge}(X.\text{upto}(0), Y.\text{upto}(0)) \ \& \ \dots$

If  $T$  is a data type, then so is  $T^{**}$

(the set of all finite subsets of  $T$ ).

- (1)  $T^{**}.empty$  is a  $T^{**}$  (abbreviated to  $\{\}$ )
- (2) if  $t$  is a  $T^{**}$  and  $x$  is a  $T$ ,  $t.ext(x)$  is a  $T^{**}$
- (3) all elements of  $T^{**}$  are formed as described above.

$$\neg x \in T^{**}.empty, \quad x \in t.ext(x)$$

$$x \neq y \Rightarrow (x \in t.ext(y) \equiv x \in t)$$

$$\left. \begin{array}{l} \text{for } x, y \in T \\ s, t \in T^{**} \end{array} \right\}$$

$$(4) \quad \forall x (x \in t \equiv x \in s) \Leftrightarrow t = s. \quad "$$

$$s \subseteq t \Leftrightarrow \forall x (x \in s \Rightarrow x \in t) \quad "$$

$$s \cup T^{**}.empty = s, \quad s \cup t.ext(x) = (s \cup t).ext(x) \quad "$$

$$x \in (s \cap t) \equiv x \in s \ \& \ x \in t \quad "$$

$$x \in (s - t) \equiv x \in s \ \& \ \neg x \in t \quad "$$

$$T^{**}.empty.size = 0, \quad x \notin s \Rightarrow s.ext(x).size = s.size + 1$$

## ABBREVIATIONS

(18)

$$\{x_1, x_2, \dots, x_n\} = T^{**}.empty.ext(x_1).ext(x_2) \dots ext(x_n)$$

$$x \in t \ \underline{w} \ r(x) = t \neq T^{**}.empty$$

$$\ \& \ \forall x (x \in t \Rightarrow r(x)) \quad (x \text{ not in } t)$$

$$x \in \{e\} \equiv x := e \quad (e \text{ defined}).$$

## FINITE MAPPINGS

(19)

If  $D$  and  $R$  are data types, then so is  $D \xrightarrow{\text{Fin}} R$

$\text{Fin}$  will be omitted inconsistently! ( $D$  into  $R$ )

- (1)  $(D \rightarrow R).empty$  is a  $D \rightarrow R$
- (2) If  $a$  is a  $(D \rightarrow R)$ ,  $d$  is  $D$ ,  $r$  is an  $R$ , (extend  
then  $a.ext(d, r)$  is a  $(D \rightarrow R)$  or alter)

(3) All elements of  $(D \rightarrow R)$  can be formed as described above.

$$(D \rightarrow R).empty.dom = D^{**}.empty \quad \text{dom: } (D \xrightarrow{\text{Fin}} R) \rightarrow D^{**}$$

$$a.ext(d,r).dom = a.dom.ext(d)$$

$$a.ext(d,r).val(d) = r \quad (\text{not defined for } (D \rightarrow R).empty)$$

$$d' \neq d \Rightarrow a.ext(d,r).val(d') = a.val(d').$$

(4)  $a.dom = b.dom \ \& \ \forall d (d \in a.dom \Rightarrow a.val(d) = b.val(d))$

$$\Rightarrow a = b.$$

$$(D \rightarrow R).empty.del(d) = (D \rightarrow R).empty$$

$$d \neq d' \Rightarrow a.ext(d,r).del(d') = a.del(d').ext(d,r)$$

$$\neg d \in a.dom \Rightarrow a.ext(d,r).del(d) = a.$$

#### PRODUCTS AND SUMS

(20)

If  $T_1, T_2, \dots, T_n$  are types, then so are

$$\text{PROD} = T_1 \times T_2 \times \dots \times T_n \quad (\text{cartesian product})$$

$$\text{UNION} = T_1 + T_2 + \dots + T_n \quad (\text{discriminated union})$$

(1)  $x_1 \in T_1, \dots, x_n \in T_n \Rightarrow (x_1, x_2, \dots, x_n) \in \text{PROD}$ . (constructor)

(2) all elements of PROD can be formed as in (1)

(3)  $(x_1, \dots, x_n) = (y_1, \dots, y_n) \Rightarrow x_1 = y_1 \ \& \ \dots \ \& \ x_n = y_n$

Define  $(x_1, \dots, x_n).i = x_i$  for numeral  $i$  (selector)

(1)  $(x_1 \in T_1 \Rightarrow \text{UNION}.1(x_1) \in \text{UNION}) \ \& \ \dots \ \& \ (x_n \in T_n \Rightarrow \text{UNION}.n(x_n) \in \text{UNION})$

(2) all elements of UNION can be formed as in (1)

(3)  $\text{UNION}.i(x) = \text{UNION}.j(y) \Rightarrow i = j \ \& \ x = y \in T_i$

$\text{transaction} = \text{key} \times (\text{amendment} + \text{deletion} + \text{insertion})$  (21)  
 $\text{Fin}$   
 given.  $\text{master} : \text{key} \rightarrow \text{record}$   $\text{y. is insertion} =_{df} \text{y.2.sort} = 3$   
 $\text{tr} : \text{transaction}^*$  etc  
 $\text{report} : \text{error message}^*$   $\text{y.key} = \bar{\text{y.1}}$   
 $\text{error} : \text{transaction} \rightarrow \text{error message}$   
 $\text{upd} : (\text{record} \times \text{transaction}) \rightarrow \text{record}$   
 $\text{content} : \text{transaction} \rightarrow \text{record.}$

A random - access file updating program is:

```

report := empty; y: transaction;
do tr. dom ≠ 0 → y, tr: lpop;
    if y.key ∈ master.dom & y. is insertion
        → master: ext (y.key, y.content)
    , y.key ∈ master.dom & y. is deletion
        → master: del (y.key)
    , y.key ∈ master.dom & y. is amendment
        → master: ext(y.key, upd (master(y.key), y))
    , report: hext (error (y))
    fi
od

```

SEQUENTIAL FILE UPDATING (22)

The master is represented by  $\text{oldmaster} : (\text{key} \times \text{record})^*$   
 s.t.  $\text{oldmaster. keysorted} \ \& \ \text{oldmaster.consistent}$   
 $\& \ \forall k: \text{key} \ (k \in \text{master.dom} \iff \exists i \ \text{oldmaster}(i) = (k, \text{master}(k)))$  }  $\text{oldmaster.ok}$

where  $\text{x.keysorted} =_{df} \forall i, j \ (i \leq j \in \text{x.dom} \implies \text{x}(i).key \leq \text{x}(j).key)$   
 $\text{x.consistent} =_{df} \forall i, j \ (\text{x}(i).key = \text{x}(j).key \implies i = j)$

given some data as previous slide, and newmaster: ( X )\* and precondition  
oldmaster.ok & tr. keysorted

rewrite previous program to establish<sup>0</sup> result, additional result

newmaster.ok (i.e. wrto new value of master)

use only these operations on newmaster & oldmaster:

z, oldmaster: lpop, newmaster := empty, newmaster: hiext

### MAXIMAL STRONG COMPONENTS

(23)

(due to Derek Moore after EMD)

A finite relation between nodes (a digraph) can be represented by a mapping:

SUCCESSORS: NODE  $\rightarrow$  NODE\*\*

The reflexive transitive closure of this is

DESC: NODE  $\rightarrow$  NODE\*\*, defined by

$$\text{DESC}(n) = \text{df } \bigcup_{i=0}^{\infty} D_i$$

$$\text{where } D_0 = \{n\}$$

$$D_{i+1} = \bigcup_{n \in D_i} \text{SUCCESSORS}(n)$$

$$\text{If } \text{SUCC}(s) = \text{df } \bigcup_{n \in s} \text{SUCCESSORS}(n)$$

$$\begin{aligned} \text{then } \text{DESC}(n) &= \bigcap \{S \mid n \in S \text{ \& } \text{SUCC}(s) \subseteq S\} \\ &= \text{l.f.p of } S = \{n\} \cup \text{SUCC}(S) \end{aligned}$$

$$\text{ANC}(n) = \{m \mid n \in \text{DESC}(m)\}$$

The maximal strong component containing n is

$$\text{STR}(n) = \text{DESC}(n) \cap \text{ANC}(n)$$

$$m \leq n =_{df} m \in \text{DESC}(n) \quad (24)$$

$\leq$  is a preorder (reflexive & transitive)

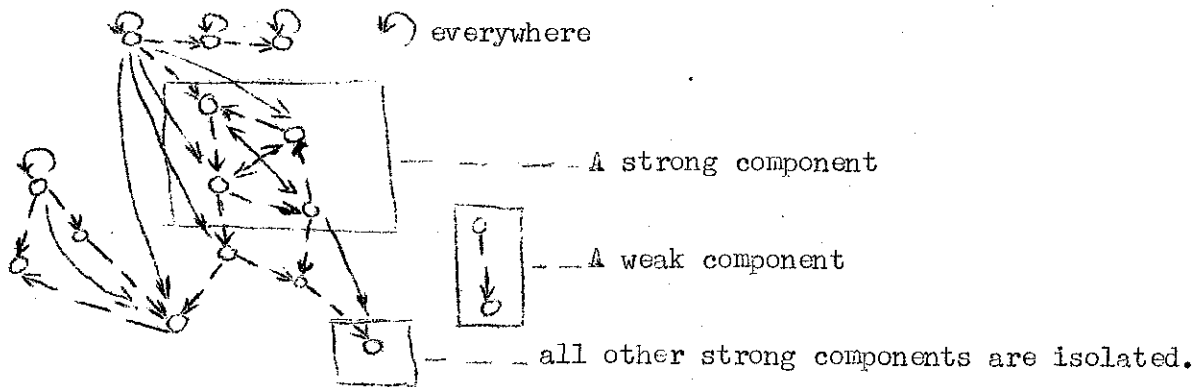
$$m \equiv n =_{df} m \leq n \ \& \ n \leq m$$

$\equiv$  is an equivalence relation.

The equivalence classes are the max strong components of the graph defined by SUCCESSORS.

$$M.\text{strong} = \forall n \ n \in M \Rightarrow M = \text{STR}(n)$$

$$M.\text{strong} \ \& \ N.\text{strong} \ \& \ M \neq N \Rightarrow M \cap N = \text{empty}$$



--> SUCCESSORS.

-> DESC (examples)

Let MAXSCS: (NODE\*\*) \*\* (25)

Write a program with postcondition  $\text{MAXSCS} = \{ M \mid M.\text{strong} \}$

$\cup \text{MAXSCS} = \text{ALLNODES}$   $\bar{b}$

$\& \forall M. M \in \text{MAXSCS} \Rightarrow M.\text{strong}$   $p$

$\text{MAXSCS} := (\text{NODE**}) **. \text{empty}$

do  $\text{MAXSCS} \neq \text{ALLNODES} \rightarrow$  "extend MAXSCS" od

where  $p \parallel b \Rightarrow$  "extend MAXSCS" wp  $p$

& " dec  $t$

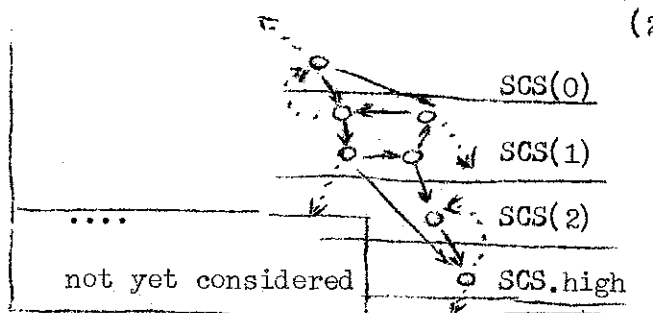
where  $t = \text{ALLNODES.size} - \text{MAXSCS.size}$ .

"extend MAXSCS"

(26)

Introduce a new variable

SCS: (NODE\*\*) \*\*



define  $p^1 \forall i \ i < \text{SCS.dom} \Rightarrow \forall n \in \text{SCS}(i) \Rightarrow \text{SCS}(i) \subseteq \text{STR}(n)$

$p^2 \forall i \ 0 < i < \text{SCS.dom} \Rightarrow (\text{SCS}(i) \cap \text{SUCC}(\text{SCS}(i-1))).\text{size} \neq 0$

$p^3 \forall i \ i < \text{SCS.dom} \ \& \ M \in \text{MAXSCS} \Rightarrow (M \cap \text{SCS}(i)).\text{size} = 0$

$p = p^1 \ \& \ p^2 \ \& \ p^3$

$\text{REM} =_{df} \text{SUCC}(\text{SCS.high}) - \bigcup \text{MAXSCS} - \text{SCS.high}$

$\bar{b} = \text{REM.size} = 0$

check  $p \ \& \ \bar{b} \Rightarrow \text{SCS.high.strong} \ \& \ \underbrace{\text{SCS.high} \in \text{MAXSCS}}_{\text{from } p^3}$   
 $\ \& \ \text{SCS.dom} > 0$

(Dijkstra them 2A)

Proof. let  $n \in \text{SCS.high}$  (exists by  $p^2$ ) let  $m \in \text{STR}(n)$

(by  $\exists$ )  $n \in \text{STR}(m)$ . Hence  $m \in \widetilde{\text{UMAXSCS}}$  (otherwise  $n$  would be too, contradicting  $p^3$ ). Now let  $m$  be a closest descendent of  $n$ , i.e.  $m \in \text{SUCCESSORS}(n) \subseteq \text{SUCC}(\text{SCS.high})$  (since  $n \in \text{SCS.high}$ )

Thus  $m \in \text{SUCC}(\text{SCS.high}) - \text{UMAXSCS} \subseteq \text{SCS.high}$  (since  $\text{REM} = \emptyset$ )

By induction, this is true of all  $m \in \text{STR}(n)$

hence  $\forall n \in \text{SCS.high}, \text{STR}(n) \subseteq \text{SCS.high}$ . equality follows from  $p^1$

Precondition  $\text{ALLNODES} - \text{UMAXSCS} \neq \emptyset$

(27)

Initialisation =  $N: \text{NODE};$

$N: \in \text{ALLNODES} - \text{UMAXSCS};$

$\text{SCS} := (N.\text{unitset}).\text{unitseq}$

check  $N \in \text{ALLNODES} - \text{UMAXSCS} \Rightarrow$

(p<sup>1</sup>)  $\forall n \ n \in N.\text{unitset} \Rightarrow N.\text{unitset} \subseteq \text{STR}(n)$  (27)

(p<sup>2</sup>) vacuous because  $\text{SCS}.\text{dom} = 1$

(p<sup>3</sup>)  $\forall M \ M \in \text{MAXSCS} \Rightarrow (M \cap N.\text{unitset}).\text{size} = 0$

Extend  $\text{MAXSCS} = \text{Initialisation}; \text{Loop}$

where  $\text{Loop} = \underline{\text{do}} \ \text{REM} \neq \emptyset \rightarrow \begin{cases} \text{extend SCS} \\ \text{decrease REM } \underline{\text{od}}; \end{cases}$

$\text{MAXSCS}: \text{ext}(\text{SCS}.\text{high});$

$\text{SCS}: \text{hirem}$

More efficient:  $\text{Initialisation}; \underline{\text{do}} \ \text{SCS}.\text{dom} \neq 0 \text{ --- Loop } \underline{\text{od}}$

check that  $\text{SCS}.\text{hirem}$  satisfies p.

It remains to write the body of the lpp

(28)

$\underline{\text{do}} \ \text{REM} \neq \emptyset \rightarrow \begin{cases} \text{extend SCS} \\ \text{decrease REM } \underline{\text{od}} \end{cases}$

preserving the invariant p. and (variant  $\text{REM}.\text{size}$ )

Select arbitrary  $n \in \text{REM}$ . Define  $\text{USCS} = \bigcup_{i < \text{SCS}.\text{dom}} \text{SCS}(i)$

Lemma 1 if  $n \tilde{\in} \text{USCS}$  then  $\text{SCS}.\text{hiext}(n.\text{unitset})$  satisfies p

Proof p<sup>1</sup>.  $\{n\} \subseteq \text{STR}(n)$  always, so new element of SCS satisfies p<sup>1</sup>

p<sup>2</sup>. from  $n \in \text{REM}$ ,  $n \in \text{SUCC}(\text{SCS}.\text{high})$

so  $\{n\} \cap \text{SUCC}(\text{SCS}.\text{high}) \neq \emptyset$

p<sup>3</sup>. from  $n \in \text{REM}$ ,  $n \tilde{\in} \text{UMAXSCS}$

hence  $\{n\} \cap \text{UMAXSCS} = \emptyset$

extend SCS

decrease  $\text{REM} = n: \in \text{REM};$

$\lceil n \tilde{\in} \text{USCS} \rightarrow \text{SCS}:\text{hiext}(n.\text{unitset})$

$\lfloor n \in \text{USCS} \rightarrow \text{see next slide.}$

$\rfloor$



Given  $n \in \text{REM} \cap \text{USCS}$ , write code to preserve  $p$  (and reduce  $\text{REM}^*$ ) (29)

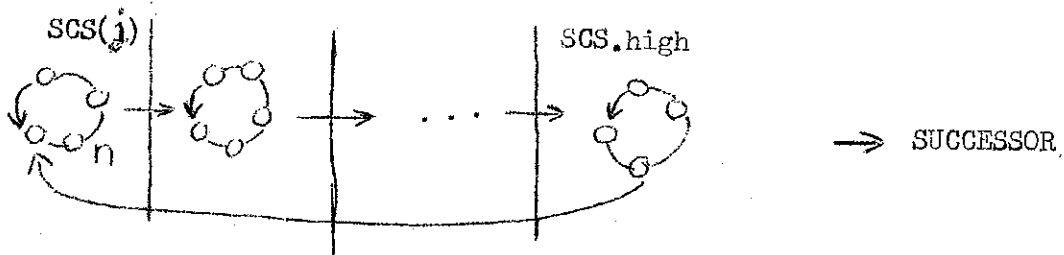
(Can't be done, so we will reduce  $\text{SCS.dom}$ )

Let  $n \in \text{SCS}(j)$  for some  $j$ . then by  $n \in \text{REM}$ ,  $\text{SCS}(j) \neq \text{SCS.high}$

Therefore there is a cycle (because  $n \notin \text{SUCC}(\text{SCS.high})$ )

$$\text{SCS}(j) \longrightarrow \text{SCS}(j+1) \longrightarrow \dots \longrightarrow \text{SCS.high} \longrightarrow \text{SCS}(j)$$

where neighbouring items satisfy  $p^2$



consequently any pair of elements from this picture is connected by a cyclic path i.e. by  $\text{ANC} \cap \text{DESC}$ , hence the union  $\bigcup_{k=j}^{\text{SCS.dom}-1} \text{SCS}(k)$  is

contained in  $\text{STR}(m)$  for all  $m \in \mathcal{U}$ . (property  $p^1$ ). Dijkstra thm. 1A

So try do  $n \in \text{SCS.high} \longrightarrow \text{SCS}(\text{SCS.dom}-2) : \cup \text{SCS.high}; \text{SCS.hirem}$  od

MAXSCS: (NODE\*\*) \*\* = empty; UMAXSCS: NODE\*\* = empty (30)

do UMAXSCS  $\neq$  ALLNODES  $\longrightarrow$  N: NODE;

N:  $\in$  ALLNODES — UMAXSCS;

SCS: (NODE\*\*) \* = N.unitset.unitseq; USCS: NODE\*\* = SCS(0);

do SCS.dom  $\neq$  0  $\longrightarrow$  REM := SUCC(SCS.high) — UMAXSCS — SCS.high;

do REM.size  $\neq$  0  $\longrightarrow$  N: REM;

if N  $\notin$  USCS  $\longrightarrow$  SCS: hiext (N.unitset); USCS: ext(N);

if N  $\in$  USCS  $\longrightarrow$  do N  $\in$  SCS.high  $\longrightarrow$

SCS(SCS.dom-2) :  $\cup$  SCS.high; SCS: hirem

od

fi; REM := SUCC(SCS.high) — UMAXSCS — SCS.high

od; MAXSCS: ext (SCS.high); UMAXSCS:  $\cup$  SCS.high; SCS: hirem

od

od