

Labelled Transition Systems For a Game Graph

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- 2 Example: the applicative LTS for call-by-push-value
- 3 Discussion of normal form LTSs

Labelled Transition Systems

Definition

An **alphabet** \mathcal{A} is a countable set of **actions**.

Definition

- A **LTS** over \mathcal{A} is a set S of **nodes** and a function $S \xrightarrow{\theta} \mathcal{P}(\mathcal{A} \times S)$.
Coalgebra for $S \mapsto \mathcal{P}(\mathcal{A} \times S)$
- A **LTS with divergence** over \mathcal{A} is a set S of **nodes** and a function $S \xrightarrow{\theta} \mathcal{P}((\mathcal{A} \times S) + \{\uparrow\})$.
Coalgebra for $S \mapsto \mathcal{P}((\mathcal{A} \times S) + \{\uparrow\})$

Can we adapt all this to (alternating) two-player games?

- We must distinguish between Proponent (output) actions and Opponent (input) actions. (cf. Moore and Mealy machines)
- The set of available actions must change through time (cf. typed transition systems).

Game graphs

We replace the definition of [alphabet](#) as follows.

Definition

A **game graph** \mathcal{M} consists of

- a set \mathcal{M}_{act} of *active modes* (rough idea: mode = type)
- a set $\mathcal{M}_{\text{pass}}$ of *passive modes*
- for each active mode $m \in \mathcal{M}_{\text{act}}$, a countable set $\mathcal{M}_P(m)$ of *Proponent-actions* from m
- a function $\sum_{m \in \mathcal{M}_{\text{act}}} \mathcal{M}_P(m) \xrightarrow{\text{tgt}_P} \mathcal{M}_{\text{pass}}$
- for each passive mode $m \in \mathcal{M}_{\text{pass}}$, a countable set $\mathcal{M}_O(m)$ of *Opponent-actions* from m
- a function $\sum_{m \in \mathcal{M}_{\text{pass}}} \mathcal{M}_O(m) \xrightarrow{\text{tgt}_O} \mathcal{M}_{\text{act}}$

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- a function $\sum_{m \in \mathcal{M}_{\text{act}}} \mathcal{M}_{\text{P}}(m) \xrightarrow{\text{tgt}_{\text{P}}} \mathcal{M}_{\text{pass}}$
- for each passive mode $m \in \mathcal{M}_{\text{pass}}$, a countable set $\mathcal{M}_{\text{O}}(m)$ of *Opponent-actions* from m
- a function $\sum_{m \in \mathcal{M}_{\text{pass}}} \mathcal{M}_{\text{O}}(m) \xrightarrow{\text{tgt}_{\text{O}}} \mathcal{M}_{\text{act}}$

These are not transitions systems. (cf. Hyvernat's Janus systems)

Definition

Let \mathcal{M} be a game graph. A **LTS with divergence** over \mathcal{M} consists of the following:

- for each active mode m , a set $\mathcal{S}_{\text{act}}(m)$ of *active nodes in mode m*
- for each passive mode m , a set $\mathcal{S}_{\text{pass}}(m)$ of *passive nodes in mode m*
- for each active mode m , a function

$$\mathcal{S}_{\text{act}}(m) \xrightarrow{\theta_{\text{act}}(m)} \mathcal{P}((\sum_{i \in \mathcal{M}_P(m)} \mathcal{S}_{\text{pass}} \text{tgt}_P(m, i)) + \{\uparrow\})$$

- for each passive mode m , a function

$$\mathcal{S}_{\text{pass}}(m) \xrightarrow{\theta_{\text{pass}}(m)} \prod_{i \in \mathcal{M}(m)} \mathcal{S}_{\text{act}} \text{tgt}_O(m, i).$$

- For an active node n , we write $n \xrightarrow{i} n'$ and $n \uparrow$
- For a passive node n , we write $n : i$ for the node we move to after inputting i .

Let \mathcal{M} be a game graph, and let R be an endofunctor on **Set**.

Definition

Let \mathcal{M} be a game graph. A **LTS** over \mathcal{M} wrt R consists of the following:

- for each active mode m , a set $\mathcal{S}_{\text{act}}(m)$ of *active nodes in mode m*
- for each passive mode m , a set $\mathcal{S}_{\text{pass}}(m)$ of *passive nodes in mode m*
- for each active mode m , a function

$$\mathcal{S}_{\text{act}}(m) \xrightarrow{\theta_{\text{act}}(m)} R \sum_{i \in \mathcal{M}_P(m)} \mathcal{S}_{\text{pass}} \text{tgt}_P(m, i)$$

- for each passive mode m , a function

$$\mathcal{S}_{\text{pass}}(m) \xrightarrow{\theta_{\text{pass}}(m)} \prod_{i \in \mathcal{M}(m)} \mathcal{S}_{\text{act}} \text{tgt}_O(m, i).$$

LTS as a coalgebra

Let \mathcal{M} be a game graph, and let R be an endofunctor on **Set**.

Definition

The endofunctor $R_{\mathcal{M}}$ on $\mathbf{Set}^{\mathcal{M}_{\text{act}}} \times \mathbf{Set}^{\mathcal{M}_{\text{pass}}}$ is given by

$$\langle \mathcal{S}_{\text{act}}, \mathcal{S}_{\text{pass}} \rangle \mapsto \langle \lambda m \in \mathcal{M}_{\text{act}}. R \sum_{i \in \mathcal{M}_P(m)} \mathcal{S}_{\text{pass}} \text{tgt}_P(m, i), \\ \lambda m \in \mathcal{M}_{\text{pass}}. \prod_{i \in \mathcal{M}_O(m)} \mathcal{S}_{\text{act}} \text{tgt}_O(m, i) \rangle$$

Definition

A **LTS** over \mathcal{M} wrt R is a coalgebra for $R_{\mathcal{M}}$.

Bisimulation

Let \mathcal{R} be a **mode-indexed** binary relation between \mathcal{S} and \mathcal{S}' , LTSs over a game graph \mathcal{M} .

It is a **convex bisimulation** when the following conditions hold.

Proponent actions are matched

For active nodes $n \mathcal{R} n'$ in mode m

- if $n \xrightarrow{i} p$ then there exists p' s.t. $n' \xrightarrow{i} p'$ and $p \mathcal{R} p'$
- if $n' \xrightarrow{i} p'$ then there exists p s.t. $n \xrightarrow{i} p$ and $p \mathcal{R} p'$
- $n \uparrow$ iff $n' \uparrow$

Opponent actions are matched

For passive nodes $n \mathcal{R} n'$ in mode m ,

- $n : i \mathcal{R} n' : i$ for each $i \in \mathcal{M}_O(m)$

The largest convex bisimulation is **convex bisimilarity**.

Call-By-Push-Value Syntax

Types (can also include type recursion)

value types $A ::= \underline{UB} \mid \sum_{i \in I} A_i \mid 1 \mid A \times A$
computation types $\underline{B} ::= \underline{FA} \mid \prod_{i \in I} \underline{B}_i \mid A \rightarrow \underline{B}$

Terms (including recursion and countable nondeterminism)

Judgements $\Gamma \vdash^v V : A \quad \Gamma \vdash^c M : \underline{B}$

values $V ::= x \mid \text{thunk } M \mid \langle \hat{i}, V \rangle \mid \langle V, V \rangle$
computations $M ::= \text{let } V \text{ be } x. M \mid \text{return } V \mid M \text{ to } x. M$
 $\mid \lambda x. M \mid MV \mid \lambda \{i. M_i\}_{i \in I} \mid M \hat{i} \mid \text{force } V$
 $\mid \text{pm } V \text{ as } \{\langle i, x \rangle. M_i\}_{i \in I} \mid \text{pm } V \text{ as } \langle x, y \rangle. M$
 $\mid \text{rec } x. M \mid \text{choose } n \in \mathbb{N}. M_n$

Applicative Bisimulation (Abramsky 1990)

A **type-indexed relation** \mathcal{R} on closed terms is a convex **applicative bisimulation** when the following hold.

If $M \mathcal{R} M' : FA$

- $M \Downarrow$ return V implies there exists V' s.t. $M' \Downarrow V'$ and $V \mathcal{R} V' : A$
- $M' \Downarrow$ return V' implies there exists V s.t. $M \Downarrow V$ and $V \mathcal{R} V' : A$
- $M \Uparrow$ iff $M' \Uparrow$

Requirements at other types

- If $\langle \hat{i}, V \rangle \mathcal{R} \langle \hat{i}', V' \rangle : \sum_{i \in I} A_i$ then $\hat{i} = \hat{i}'$ and $V \mathcal{R} V' : A_{\hat{i}}$.
- If $\langle V, W \rangle \mathcal{R} \langle V', W' \rangle : A \times B$ then $V \mathcal{R} V' : A$ and $W \mathcal{R} W' : B$.
- If $V \mathcal{R} V' : U\underline{B}$ then $\text{force } V \mathcal{R} \text{force } V' : \underline{B}$.
- If $M \mathcal{R} M' : A \rightarrow \underline{B}$ then $MV \mathcal{R} M'V : \underline{B}$ for each $\vdash^v V : \underline{B}$.
- If $M \mathcal{R} M' : \prod_{i \in I} \underline{B}_i$ then $M\hat{i} \mathcal{R} M'\hat{i}$ for each $\hat{i} \in I$.

Some conditions are Proponent flavoured

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Requirements at other types

- If $\langle \hat{i}, V \rangle \mathcal{R} \langle \hat{i}', V' \rangle : \sum_{i \in I} A_i$ then $\hat{i} = \hat{i}'$ and $V = V'$.
- If $\langle V, W \rangle \mathcal{R} \langle V', W' \rangle : A \times B$ then $V \mathcal{R} V' : A$ and $W \mathcal{R} W' : B$.
- If $V \mathcal{R} V' : \underline{UB}$ then force $V \mathcal{R}$ force $V' : \underline{B}$.
- If $M \mathcal{R} M' : A \rightarrow \underline{B}$ then $MV \mathcal{R} M'V : \underline{B}$ for each $\vdash^v V : \underline{B}$.
- If $M \mathcal{R} M' : \prod_{i \in I} \underline{B}_i$ then $M\hat{i} \mathcal{R} M'\hat{i}$ for each $\hat{i} \in I$.

Some conditions are Opponent flavoured

If $M \mathcal{R} M' : FA$

- $M \Downarrow$ return V implies there exists V' s.t. $M' \Downarrow V'$ and $V \mathcal{R} V' : A$
- $M' \Downarrow$ return V' implies there exists V s.t. $M \Downarrow V$ and $V \mathcal{R} V' : A$
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Requirements at other types

- If $\langle \hat{i}, V \rangle \mathcal{R} \langle \hat{i}', V' \rangle : \sum_{i \in I} A_i$ then $\hat{i} = \hat{i}'$ and $V = V'$.
- If $\langle V, W \rangle \mathcal{R} \langle V', W' \rangle : A \times B$ then $V \mathcal{R} V' : A$ and $W \mathcal{R} W' : B$.
- If $V \mathcal{R} V' : \underline{UB}$ then force $V \mathcal{R}$ force $V' : \underline{B}$.
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- If $M \mathcal{R} M' : \prod_{i \in I} \underline{B}_i$ then $M\hat{i} \mathcal{R} M'\hat{i}$ for each $\hat{i} \in I$.

Ultimate Patterns: for the Proponent actions

Intuition (Abramsky-McCusker)

Every value type A is isomorphic to one of the form $\sum_{i \in I} U \underline{B}_i$

We want to decompose a **closed** value into

- an **ultimate pattern**—the tags
- and the **filling** a value sequence—the rest, consisting of **thunks**.

Example:

$$\langle i_0, \langle \langle \text{thunk } M, \text{thunk } M' \rangle, \text{thunk } M'' \rangle, \langle i_1, \text{thunk } M''' \rangle \rangle$$

We decompose this into

the ultimate pattern $\langle i_0, \langle \langle -\underline{UB}, -\underline{UB}' \rangle, -\underline{UB}'' \rangle, \langle i_1, -\underline{UB}''' \rangle \rangle$

and the filling **thunk** M , **thunk** M' , **thunk** M'' , **thunk** M'''

The Ultimate Patterns

We write $\text{ulpatt}(A)$ for the set of ultimate patterns of type A .

These sets are defined by mutual induction.

- $\neg U \underline{B} \in \text{ulpatt}(UA)$.
- If $p \in \text{ulpatt}(A)$ and $p' \in \text{ulpatt}(A')$ then $\langle p, p' \rangle \in \text{ulpatt}(A \times A')$.
- If $\hat{i} \in I$ and $p \in \text{ulpatt}(A_{\hat{i}})$ then $\langle \hat{i}, p \rangle \in \text{ulpatt}(\sum_{i \in I} A_i)$.

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- If $\hat{i} \in I$ and $p \in \text{ulpatt}(A_{\hat{i}})$ then $\langle \hat{i}, p \rangle \in \text{ulpatt}(\sum_{i \in I} A_i)$.

We write $H(p)$ for the sequence of types of the holes of p . They are all U types.

Ultimate Pattern Matching Theorem

Theorem for Closed Values

Any closed value $\vdash V : A$ is $p(\vec{W})$ for unique $p \in \text{ulpatt}(A)$ and filling $\vdash \vec{V} : A$.

Theorem for Open Values

Let Γ be a context in which each identifier has a U type.

Any value $\Gamma \vdash^v V : A$ is $p(\vec{W})$ for unique $p \in \text{ulpatt}(A)$ and filling $\Gamma \vdash \vec{V} : A$.

Operand List—for Opponent Actions

In the applicative rules, a closed computation gets applied to a list of closed operands until it becomes a computation of F type.

We write $\text{OpList}(\underline{B})$ for the set of operand lists from \underline{B} .

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More generally, $\text{OpList}(\Gamma \mid \underline{B})$ when the operands are in context Γ .

- $\text{nil}_{FA} \in \text{OpList}(\Gamma \mid FA)$.
- If $\Gamma \vdash^v V : A$ and $o \in \text{OpList}(\Gamma \mid \underline{B})$ then $V :: o \in \text{OpList}(\Gamma \mid A \rightarrow \underline{B})$.
- If $\hat{i} \in I$ and $o \in \text{OpList}(\Gamma \mid \underline{B}_{\hat{i}})$ then $\hat{i} :: o \in \text{OpList}(\Gamma \mid \prod_{i \in I} \underline{B}_i)$.

We write $E(o)$ for the end-type of o , which is an F type.

If $\Gamma \vdash^c M : \underline{B}$ and $o \in \text{OpList}(\Gamma \mid \underline{B})$ then $\Gamma \vdash^c Mo : E(I)$.

The Applicative Game Graph

We want Proponent actions to be ultimate patterns, and Opponent actions to be operand lists.

Definition of the game graph

- An active mode is an F type.
- A passive mode is a finite sequence of U types.
- A Proponent action from the active mode FA is $p \in \text{ulpatt}(A)$. Its target is $H(p)$.
- An Opponent action from the passive mode UB_0, \dots, UB_{m-1} is a pair (j, o) where $j < m$ and $o \in \text{OpList}(| B_j)$. Its target is $E(o)$.

Definition of the transition system

- An active node in mode FA is a closed computation.
- A passive node in mode UB_0, \dots, UB_{m-1} is a sequence of closed values.
- For an active node $\vdash^c M : FA$
 - if $M \Downarrow$ return V , then $V = p(\vec{W})$ and $M \xrightarrow{p} \vec{W}$ in the LTS
 - if $M \Uparrow$, then $M \Uparrow$ in the LTS.
- For a passive node $\vdash^v \vec{V} : \vec{UB}_j$

$$(\vec{V}) : (j, o) \stackrel{\text{def}}{=} (\text{force } V_j) o$$

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- For a passive node $\vdash^v \vec{V} : \overrightarrow{UB_j}$

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LTS bisimilarity coincides with applicative bisimilarity.

Proponent actions vs Opponent actions

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The set of Proponent actions from FA is $\text{ulpatt}(A)$. This is a countable set.

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So we do not have a game graph.

But the target mode of an Opponent action depends only on the tags that appear in it.

Definition

A **game graph with parameters** \mathcal{M} consists of

- a game graph
- for each active mode m and Opponent action $i \in \mathcal{M}_O(m)$ a set $\mathcal{M}_O^{\text{param}}(m, i)$ of *parameters* for i .

The set of parameters doesn't need to be countable.

The target mode of a Opponent action doesn't depend on the parameters.

Definition

Let \mathcal{M} be a game graph with parameters. A *LTS with divergence* over \mathcal{M} consists of the following:

- for each active mode m , a set $\mathcal{S}_{\text{act}}(m)$ of *active nodes in mode m*
- for each passive mode m , a set $\mathcal{S}_{\text{pass}}(m)$ of *passive nodes in mode m*
- for each active mode m , a function

$$\mathcal{S}_{\text{act}}(m) \xrightarrow{\theta_{\text{act}}(m)} \mathcal{P}((\sum_{i \in \mathcal{M}_P(m)} \mathcal{S}_{\text{pass}} \text{tgt}_P(m, i)) + \{\uparrow\})$$

- for each passive mode m , a function

$$\mathcal{S}_{\text{pass}}(m) \xrightarrow{\theta_{\text{pass}}(m)} \prod_{i \in \mathcal{M}(m)} (\mathcal{M}_O^{\text{param}}(m, i) \rightarrow \mathcal{S}_{\text{act}} \text{tgt}_O(m, i)) .$$

- For an active node n , we write $n \xRightarrow{i} n'$ and $n \uparrow$
- For a passive node n , we write $n : i(a)$ for the node we move to after inputting action i and parameter a .

Ultimate patterns for operand list

Intuition

Every computation type \underline{B} is isomorphic to one of the form $\prod_{i \in I} (UA_i \rightarrow FB_i)$.

An operand list is a sequence of values and tags. So just like a single value, it can be ultimately pattern matched.

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We write $\text{olup}(\underline{B})$ for the set of **operand list ultimate patterns**.

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These sets are defined by mutual induction.

- $\text{nil}_{FA} \in \text{olup}(FA)$.
- If $p \in \text{ul patt}(A)$ and $q \in \text{olup}(\underline{B})$ then $p :: q \in \text{olup}(A \rightarrow \underline{B})$.
- If $\hat{i} \in I$ and $q \in \text{olup}(\underline{B}_{\hat{i}})$ then $\hat{i} :: q \in \text{olup}(\prod_{i \in I} \underline{B}_i)$.

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We write $H(q)$ for the sequence of types—all U types—of the holes of q .
We write $E(q)$ for the end-type of q , which is an F type.

The Ultimate Pattern Matching Theorem For Operand Lists

Theorem for Closed Operand Lists

Any closed operand list $o \in \text{OpList}(\underline{B})$ is $q(\vec{W})$ for unique $q \in \text{olup}(\underline{B})$ and filling $\vdash^v \vec{W} : H(q)$.

Theorem for Open Operand Lists

Let Γ be a context in which each identifier has a U type.

Any closed operand list $o \in \text{OpList}(\Gamma \mid \underline{B})$ is $q(\vec{W})$ for unique $q \in \text{olup}(\underline{B})$ and filling $\Gamma \vdash^v \vec{W} : H(q)$.

Definition of the game graph with parameters

- An active mode is an F type.
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- A Proponent action from the active mode FA is $p \in \text{ulpatt}(A)$. Its target is $H(p)$.
- An Opponent action from the passive mode UB_0, \dots, UB_{m-1} is a pair (j, q) where $j < m$ and $q \in \text{olup}(B_j)$. Its target is $E(q)$.
- A parameter for (j, q) is a value sequence $\vdash^v \vec{V} : H(q)$.

The Applicative LTS, Take Two

Definition of the transition system

- An active node in mode FA is a closed computation.
- A passive node in mode UB_0, \dots, UB_{m-1} is a sequence of closed values.
- For an active node $\vdash^c M : FA$
 - if $M \Downarrow \text{return } V$, then $V = p(\vec{W})$ and $M \xrightarrow{p} \vec{W}$ in the LTS
 - if $M \Uparrow$, then $M \uparrow$ in the LTS.
- For a passive node $\vdash^v \vec{V} : UB_j$

$$(\vec{V}) : (j, q)(\vec{W}) \stackrel{\text{def}}{=} (\text{force } V_j) q(\vec{W})$$

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In the applicative LTS, when the Opponent plays an operand list ultimate pattern, he supplies a filling of closed values.

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A mode contains two contexts Γ_P and Γ_O , representing the free identifiers possessed by each player.

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Such transition systems are closely related to game semantics using pointers (Laird 2007, Jagadeesan, Pitcher and Riely 2007, Lassen and Levy 2007).

Renamings—recent work with Sam Staton

A renaming $\Gamma_P \xrightarrow{\theta_P} \Gamma'_P$ and a renaming $\Gamma'_O \xrightarrow{\theta_O} \Gamma_O$ induce a map from the nodes in mode $\Gamma_P; \Gamma_O$ to the nodes in mode $\Gamma'_P; \Gamma'_O$.

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It is an easy result that bisimilarity is preserved by renaming.

Renamings—recent work with Sam Staton

A renaming $\Gamma_P \xrightarrow{\theta_P} \Gamma'_P$ and a renaming $\Gamma'_O \xrightarrow{\theta_O} \Gamma_O$ induce a map from the nodes in mode $\Gamma_P; \Gamma_O$ to the nodes in mode $\Gamma'_P; \Gamma'_O$.

It is an easy result that bisimilarity is preserved by renaming.

We make this automatic by adapting the notion of game graph and LTS to incorporate morphisms between modes.