### Optimal Approximation of Queries Using Tractable Propositional Languages

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### Motivation for approximation in databases

- Approximate query evaluation in probabilistic databases
  - $\rightarrow$  Exact query evaluation is #P-hard already for simple queries.
- Approximate explanations of query answers in provenance databases
  - $\rightarrow$  Full explanations may have large size.
- Sampling-based approximation for query evaluation in relational databases
  - $\rightarrow$  For aggregation queries in very large databases.

### Given function f and space of problem instances C. Assume complexity of f on C is *too* high.

How to approximate f on C?

Find function f' from nicer complexity class such that for all  $\Phi \in \mathcal{C}$ 

$$(1-\epsilon) \cdot f(\Phi) \leq f'(\Phi) \leq (1+\epsilon) \cdot f(\Phi)$$

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#### Approach 2: Modify $\Phi$ .

Find  $\Phi_{\text{Lower}}, \Phi_{\text{Upper}}$  from nicer problem class  $\mathcal{C}^{\text{easy}} \subset \mathcal{C}$  such that

$$f(\Phi_{Lower}) \leq f(\Phi) \leq f(\Phi_{Upper})$$

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#### In this talk ...

 $\mathcal{C}$ : Unate Boolean propositional formulas in DNF

f : Probability computation or model counting

#### $\mathcal{C}^{easy}$ : Read-once formulas

- Probability computation for arbitrary formulas is #P-hard
- Probability computation for read-once formulas is in PTIME

#### Annotated databases

Tuples are annotated with event ("lineage") expressions
Here: Annotation with elements of the *PosBool* semiring

R		
A	E	
1	<i>x</i> <sub>1</sub>	
2	<i>x</i> <sub>2</sub>	



т		
В	E	
1	<i>y</i> 1	
2	<i>y</i> <sub>2</sub>	
2	<i>y</i> <sub>2</sub>	

 Queries map annotated databases to annotated databases. In particular, for every query, one can construct an expression Φ that is tightly connected to the query answer. (TJ Green et al., Provenance Semirings, PODS 2007)

$Q(A, B) \leftarrow R(A), S(A, B), T(B)$		
A	В	E
1	1	x <sub>1</sub> y <sub>1</sub>
1	2	x <sub>1</sub> y <sub>2</sub>
2	2	x <sub>2</sub> y <sub>2</sub>

$$\begin{array}{c} Q \leftarrow R(A), S(A, B), T(B) \\ \hline \\ E \end{array}$$

0	$x_1y_1 \lor x_1y_2 \lor x_2y_2$

#### Sandwich-bounds for event formulas







 $Q \leftarrow R(A), S(A, B), T(B)$  $\Phi = x_1 y_1 \lor x_1 y_2 \lor x_2 y_2$ 

- Find formulas  $\Phi_L$ ,  $\Phi_U$  such that  $\Phi_L \models \Phi \models \Phi_U$
- If Φ<sub>L</sub>, Φ<sub>U</sub> have "nicer" properties than Φ, then they provide convenient lower and upper bounds for Φ
- For example, bound formulas in which every variable symbol occurs only once:  $\Phi_L = x_1(y_1 \lor y_2), \Phi_U = (x_1 \lor x_2)(y_1 \lor y_2)$

Application to provenance databases







 $Q \leftarrow R(A), S(A, B), T(B)$  $\Phi = x_1 y_1 \lor x_1 y_2 \lor x_2 y_2$ 

 $x_1(y_1 \vee y_2) \models x_1y_1 \vee x_1y_2 \vee x_2y_2 \models (x_1 \vee x_2)(y_1 \vee y_2)$ 

- Lower bounds represent correct, yet not necessarily complete explanations
- Upper bounds represent complete, yet not necessarily correct explanations
- Idea: Choose bound formulas that admit small representation

#### Application to probabilistic databases





Т		
В	E	
1	<i>y</i> <sub>1</sub>	
2	<i>y</i> 2	

 $Q \leftarrow R(A), S(A, B), T(B)$ 

Possible world semantics (database instances D, interpretations I):

$$P(Q) \stackrel{\text{def}}{=} \sum_{D:Q(D) \text{ is true}} P(D) = \sum_{I:I \models \Phi} P(I) \stackrel{\text{def}}{=} P(\Phi)$$

Probability computation for general propositional formulas is #P-hard

Model bounds imply probability bounds:

$$\Phi_L \models \Phi \models \Phi_U \quad \Rightarrow \quad P(\Phi_L) \le P(\Phi) \le P(\Phi_U)$$

 Idea: Choose bound formulas from a language that admits efficient probability computation

- 1. Which languages of propositional formulas are useful?
- 2. How to define optimality of bounds?
- 3. How to compute optimal bounds efficiently?

- 1. Which languages of propositional formulas are useful?
  - Read-once formulas or their DNF restrictions have size linear in the number of variables (and hence the size of the database) and admit linear time probability computation.
  - The event of every tractable conjunctive query without self-joins is equivalent to a read-once formula that can be computed in polynomial time.
  - More expressive languages? It is NP-hard to decide whether a formula has an equivalent read-2 formula. For read-3 formulas, probability computation is #P-hard.
- 2. How to define optimality of bounds?
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  - ▶ Let  $\mathcal{L}'$  and  $\mathcal{L}$  be two languages of propositional formulas and  $\Phi \in \mathcal{L}$ . Formula  $\Phi_L \in \mathcal{L}'$  is a *lower bound for*  $\Phi$  *with respect to*  $\mathcal{L}'$ , if

$$\Phi_L \models \Phi$$
 (i.e.  $\mathcal{M}(\Phi_L) \subseteq \mathcal{M}(\Phi)$ ).

If in addition there is no formula  $\Phi_L' \in \mathcal{L}'$  such that

$$\mathcal{M}(\Phi_L) \subset \mathcal{M}(\Phi'_L) \subseteq \mathcal{M}(\Phi)$$

then  $\Phi_L$  is a greatest lower bound for  $\Phi$  with respect to  $\mathcal{L}'$ . Least upper bounds are defined analogously.

3. How to compute optimal bounds efficiently?

- 1. Which languages of propositional formulas are useful?
  - Read-once formulas
- 2. How to define optimality of bounds?
  - Greatest lower bounds and least upper bounds w.r.t. a language
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  - Semantic definition is not very useful
  - Seek equivalent syntactic definitions of optimal bounds
  - Find algorithms to compute those bounds

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- 3. How to compute optimal bounds efficiently?
  - Seek equivalent syntactic characterisation of optimal bounds

- iDNF = class of read-once DNF formulas
- Consider monotone/unate input formulas, since non-trivial approximation of general formulas is NP-hard
- Starting point: Generic characterisation of lower bounds: Φ<sub>L</sub> is a lower bound of Φ if and only if Φ<sub>L</sub> is obtainable by removing clauses from Φ or adding literals to its clauses.
- Example:  $\Phi = x_1y_1 \lor x_1y_2 \lor x_2y_2$ Lower bounds:  $x_1y_1, x_1y_1 \lor x_2y_2, x_1y_1y_2, ...$

- Syntactic characterisation of optimal lower iDNF bounds:
  - 1. (Lower bound)  $\Phi_L$  contains a subset of the clauses of  $\Phi$
  - 2. (Maximality) No further clause from  $\Phi$  can be added to  $\Phi_L$

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- Example:  $\Phi = x_1y_1 \lor x_1y_2 \lor x_2y_2$ Lower bounds:  $x_1y_1, x_1y_1 \lor x_2y_2, x_1y_1y_2, \ldots$ Optimal iDNF lower bounds:  $x_1y_2, x_1y_1 \lor x_2y_2$ Non-iDNF lower bounds:  $x_1y_1 \lor x_1y_2, \ldots$ Non-optimal iDNF lower bounds:  $x_1y_1, x_2y_2, \ldots$
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- Theorem: The semantic and syntactic characterisations of optimal iDNF lower bounds are equivalent.
- How many optimal lower bounds exist for a given formula? Exponentially many!

 $\Phi = (x_1y_1 \vee x_1y_2) \vee \cdots \vee (x_ny_{2n-1} \vee x_ny_{2n})$ 

has 3n variables, 2n clauses and  $2^n$  iDNF greatest lower bounds.

- Polynomial enumeration of all optimal lower bounds is thus not possible. Next best thing: Polynomial delay
- Optimal lower bounds correspond to maximal independent sets in the clause dependency graph of the input formula
- There exist algorithms for polynomial-delay enumeration of maximal independet sets (e.g. Johnson&Yannakakis, 1988)

#### How good or bad can the optimal lower bound be?

- The bounds are optimal with respect to model inclusion and the iDNF class of formulas.
- However, they are also *incomparable* w.r.t. their models
- But they *can* be compared w.r.t. probabilities.
- Is there a way to efficiently find an iDNF lower bound that is good in terms of its probability?

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Let  $\Phi$  be a *k*-partite unate DNF formula. There exists a polynomial time algorithm that constructs an iDNF greatest lower bound  $\Phi_L$  for  $\Phi$  such that  $P(\Phi_L^{\text{opt}}) \leq k \cdot P(\Phi_L)$ , where  $\Phi_L^{\text{opt}}$  is the iDNF greatest lower bound for  $\Phi$  with the highest probability amongst all of  $\Phi$ 's iDNF greatest lower bounds.

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- But they *can* be compared w.r.t. probabilities.
- Is there a way to efficiently find an iDNF lower bound that is good in terms of its probability?

Idea: Sort clauses be descending probability and greedily pick in this order to construct an iDNF lower bound.

- Starting point: Generic characterisation of upper bounds: Φ<sub>U</sub> is an upper bound of Φ if and only if Φ<sub>U</sub> is obtainable by adding clauses to Φ or removing literals from its clauses.
- Idea for syntactic and algorithmic treatment: Start with the most general upper bound  $x_1 \lor \cdots \lor x_n$  and refine it until it gets optimal.

Example: How to find upper bounds for  $x_1y_1 \vee x_1y_2 \vee x_2y_2$ ?



Example: How to find upper bounds for  $x_1y_1 \lor x_1y_2 \lor x_2y_2$ ?



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Example: How to find upper bounds for  $x_1y_1 \lor x_1y_2 \lor x_2y_2$ ?

No non-necessary clauses. No clause can be extended by  $x_2$ .





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Ingredients to syntactic definition of optimal upper bounds:

- Every clause in  $\Phi$  implies a clause in  $\Phi_U$
- Every clause in Φ<sub>U</sub> must be implied by one clause in Φ exclusively
- No unnecessary clauses in  $\Phi_U$
- No clause in Φ<sub>U</sub> can be extended by a variable from Φ while preserving the above conditions



- Theorem: The semantic and syntactic characterisations of optimal iDNF upper bounds are equivalent.
- How many optimal upper bounds exist for a given formula? Exponentially many!

$$\Phi = (x_1y_1 \vee x_1y_2) \vee \cdots \vee (x_ny_{2n-1} \vee x_ny_{2n})$$

has 3n variables, 2n clauses and  $3^n$  iDNF greatest upper bounds.

- Polynomial enumeration of all optimal upper bounds is thus not possible. Next best thing: Polynomial delay
- We present two algorithms in the paper:
  - 1. Enumeration of all optimal iDNF upper bounds.
  - 2. Enumeration with polynomial delay of all optimal iDNF upper bounds that preserve the variables of the input formula.

# Optimal bounds with respect to arbitrary read-once formulas

- So far: iDNF bounds
- Next best: Read-once bounds (that is, without the restriction to DNF formulas)
- We succeeded at finding optimal read-once k-partite bounds for k-partite formulas
- Those bounds are also optimal w.r.t. general read-once formulas.
- Conjunctive queries without self-joins have k-partite formulas as lineage

## Optimal bounds with respect to arbitrary read-once formulas

Query Q:-R(A), S(A, B), T(B) with event formula

 $\Phi = x_1 y_1 z_1 \lor x_1 y_2 z_2 \lor x_2 y_3 z_1 \lor x_2 y_4 z_2 \quad \text{is no read-once formula}$ 

Find k-partite upper bounds by adding clauses to Φ such that it factorises. There may be several choices for this expansion:

 $\Phi_{U,1} = (x_1 \lor x_2)[z_1(y_1 \lor y_3) \lor z_2(y_2 \lor y_4)]$  $\Phi_{U,2} = [x_1(y_1 \lor y_2) \lor x_2(y_3 \lor y_4)](z_1 \lor z_2)$ 



. . .

 $\Phi_{L,2} = (x_1)[y_1z_1 \lor y_2z_2)]$  $\Phi_{L,2} = (x_2)[y_3z_1 \lor y_4z_2)]$ 

### Characterising read-once formulas

A unate formula  $\Phi$  is a read-once formula if and only if  $\Phi$  is *normal* and  $G(\Phi)$  is  $P_4$ -free. (Gurvich, 1991)

Examples:

- xy + yz + xz is no read-once formula because its graph is not normal
- $x_1y_1 \lor x_1y_2 \lor x_2y_1$  is no read-once formula because its graph contains a  $P_4$ .
- $x_1y_1 \lor x_1y_2 \lor x_2y_1 \lor x_2y_2$  is a read-once formula because its graph is normal and  $P_4$ -free

### Characterising k-partite read-once formulas

**Lemma.** In order to find optimal read-once bounds for a unate k-partite formula  $\Phi$ , it is sufficient to remove clauses from  $\Phi$  or add clauses to  $\Phi$ .

(Note: This strategy will not find all optimal read-once bounds.)

#### Characterising k-partite read-once formulas

**Lemma.** Let  $\mathcal{B}$  be the set of projection graphs of a unate *k*-partite formula. The set of connected components of the bipartite graphs in  $\mathcal{B}$  are complete and pairwise aligned if and only if the formula represented by  $\mathcal{B}$  is a read-once formula.

Example:  $\Phi_1 = x_1 y_1 z_1 \lor x_1 y_2 z_2 \lor x_2 y_3 z_1 \lor x_2 y_4 z_2 \lor x_3 y_5 z_3 \lor x_3 y_6 z_4$ 

# Optimal bounds with respect to arbitrary read-once formulas

- We give an algorithm to enumerate some optimal read-once upper bounds with polynomial delay. The problem of enumerating all optimal read-once upper bounds with polynomial delay is still open.
- We give an algorithm to compute all optimal read-once lower bounds. The problem of enumeration with polynomial delay is open.
- Excursion: "iDNF" is a *hereditary* property, but "read-once" is not. Does this observation help to determine the complexity of finding read-once lower bounds?

#### Approximation by queries

- Idea: Rewrite a given (hard) query Q into bound queries Q<sub>L</sub> and Q<sub>U</sub> such that their event formulas are read-once bounds for the event of Q
- Catch 1: Expressing the query for upper bounds requires a query language that is able to express transitive closure
- Catch 2: Removing edges to get lower bounds requires non-deterministic choice, or a linear order on tuples
- There are different upper and lower bounds for a given formula. These choices correspond to different rewritings of Q.

### Approximation with arbitrary precision

- Model-based bounds do not provide precision guarantees
- But they can be obtained quickly
- Idea: Given a formula Φ, construct partial decision diagram ("decomposition tree") for Φ. Compute rough bounds for residual formulas and propagate them through the diagram to obtain overall probability bound.
- Can yield multiplicative and additive approximation guarantees
- See Olteanu, Huang, Koch, ICDE 2010.

#### Conclusion

- Framework for model-based characterisation of optimal bounds for propositional formulas
- Applications: Probabilistic databases, provenance databases
- Syntactic characterisations that are equivalent to model-based definitions yet much easier to turn into algorithms

#### Open questions

- The read-once results are so far only for k-partite formulas which is great for conjunctive queries without self-joins. What happens beyond k-partite approximations?
- Bounds for non-DNF input formulas?
- Complexity of obtaining read-once optimal lower bounds?
- Connection to recent work on *readability* of query answers? (Olteanu, Zavodny, ICDT 2012)

### End.

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