

A Lightweight Implementation of Generics and Dynamics

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Abstract

The recent years have seen a number of proposals for extending statically typed languages by dynamics or generics. Most proposals — if not all — require significant extensions to the underlying language. In this paper we show that this need not be the case. We propose a particularly lightweight extension that supports both dynamics and generics. Furthermore, the two features are smoothly integrated: dynamic values, for instance, can be passed to generic functions. Our proposal makes do with a standard Hindley-Milner type system augmented by existential types. Building upon these ideas we have implemented a small library that is readily usable both with Hugs and with the Glasgow Haskell compiler.

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1 Introduction

A desirable feature of programming languages is *safety*. Broadly speaking, safe programming languages prevent untrapped errors at run time [25]. Safety can be achieved either by static checking, by dynamic checking, or by a combination of static and dynamic checks. Each approach has its pros and cons.

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Dynamically typed languages, like Scheme and Java, preserve type information until run time, enabling concise definitions of utility functions (such as *show*, *'=='*, *compare*) using dynamic casts, generic functions, or multimethods. However, type preservation introduces space and run-time overhead that is left for the compiler to optimize away. Also, with dynamic typing, many type errors that could be caught at compile time are not detected until run time.

Statically typed languages, like Haskell and ML, are at the other extreme. Typechecking occurs at compile time and type information is discarded after compilation, so it is impossible to write functions whose behaviour depends on run-time type information. As a result, utility and communication functions cannot be defined once and for all. Instead, programmers must provide new versions of them for each new data type. Their definitions are essentially determined by type structure, but must be written out explicitly because this regular behaviour cannot be expressed using the Hindley-Milner type system.

Previous approaches to supporting generic programming, type-dependent optimizations, and dynamic casts within statically typed languages include:

- explicit dynamic typing [21, 1], in which the language is augmented with a *Dynamic* type and a *typecase* or *cast* construct;
- polytypic programming [19, 5, 16], in which type-dependent functions written in a language extension are translated to pure polymorphic functions;
- ad-hoc polymorphism [31, 11] (i.e. Haskell's type classes), in which types are associated with classes that indicate the presence of overloaded functions like *'=='*;
- intensional polymorphism [14], in which type information is preserved throughout compilation, so that run-time type dispatch can be performed.

However, none of the above techniques is both easy to implement and powerful enough to support dynamics and generics. Explicit dynamic typing is nontrivial to implement and to prove type-safe, especially in the presence of polymorphism. Polytypic programming is typically implemented using source-to-source translation, and does not address dynamic typing. Type classes have long been present in Haskell, but are limited in expressiveness. The original type-passing implementation of intensional polymorphism changes the language's semantics and violates the parametricity theorem, precluding a simple type-erasing language implementation and complicating soundness proofs. Also, it is not clear how or whether dynamic typing and generic programming features can be safely combined; to date, each has been studied in isolation.

Recent work has addressed some of these shortcomings: Leroy and Mauny [21] and Abadi et al. [1] showed how to safely combine dynamics and polymorphism. Hinze and Peyton Jones [18] have introduced derivable type classes, which permit polytypic definitions of type classes. Crary, Weirich, and Morrisett [9] have reconciled intensional polymorphism with type erasure using explicit type representations. However, implementations of dynamics, derivable type classes, and type representations still seem to require substantial compiler modifications and soundness proofs.

In this paper, we show that this need not be the case. We present an encoding of type representations in Haskell 98 [23] augmented with existential types, and show how to use type representations to define simple polytypic functions, type *Dynamic*, and finally generic functions of the same flavour as those definable by derivable type classes. This provides a simple and safe implementation for generics and dynamics in Haskell, with no additional compiler support or proofs of type soundness required. It also sheds light on the relationship between generics and dynamics and shows that they can coexist and interact peacefully.

The rest of the paper is structured as follows. Sec. 2 explains how to define type representations in Haskell and illustrates their use in programming functions that work for a family of types. Type representations are also at the heart of dynamics in Sec. 3. Sec. 4 shows how to achieve true genericity, the ability to define functions that work for all types. Finally, Sec. 5 reviews related work and Sec. 6 concludes. For reference, the complete implementation of the Haskell library is included in App. A.

2 Programming with type representations

Let's start modest. Assume that you have a possibly infinite family of types and you want to define a function, say, equality, that works for all types of this family (we call such a function *polytypic*). For concreteness, let us consider the family of types given by the following grammar.

$$\tau ::= Int \mid 1 \mid \tau + \tau \mid \tau \times \tau$$

We assume that the unit type, the sum type and the pair type are given by the following declarations (Haskell already offers isomorphic types but we introduce new types for reasons to become clear later).

$$\begin{aligned} \mathbf{data} \ 1 &= Unit \\ \mathbf{data} \ \alpha + \beta &= Inl \ \alpha \mid Inr \ \beta \\ \mathbf{data} \ \alpha \times \beta &= \alpha \times \beta \end{aligned}$$

Now, in Haskell one would immediately fall back on the class system to implement such a type-indexed family of functions. The equality function is assigned the type¹

$$(==) :: \forall \alpha. (Eq \ \alpha) \Rightarrow \alpha \rightarrow \alpha \rightarrow Bool.$$

The so-called class context ' $(Eq \ \alpha) \Rightarrow$ ' makes explicit that the equality function does not work for all types but only for those types that are instances of the type class *Eq*.

The purpose of this section is to show that one can also do without type classes. The basic idea is to pass ' $==$ ' an additional argument that *represents* the type at which the equality function is called. As a first try we could assign ' $==$ ' the type $\forall \alpha. Rep \ \alpha \rightarrow \alpha \rightarrow \alpha \rightarrow Bool$,

¹We will always write universal quantifiers explicitly.

where *Rep* is the type of type representations. A moment's reflection, however, reveals that this won't work. The parametricity theorem [29] implies that a function of this type must necessarily ignore its second and its third argument. The trick is to use a parametric type for type representations:

$$(==) :: \forall \alpha. Rep \ \alpha \rightarrow \alpha \rightarrow \alpha \rightarrow Bool.$$

Here *Rep* τ is the type representation of τ . The one-million-dollar question is, of course, how can we define such a type? There are at least two possibilities, both of which require extensions to Haskell's **data** construct.

2.1 Alternative 1: polymorphic data signatures

We inhabit *Rep* τ by defining for each type constructor a corresponding value constructor that represents the type. For the above family of types we introduce

$$\begin{aligned} R_{Int} &:: Rep \ Int \\ R_1 &:: Rep \ 1 \\ R_+ &:: \forall \alpha. Rep \ \alpha \rightarrow (\forall \beta. Rep \ \beta \rightarrow Rep \ (\alpha + \beta)) \\ R_\times &:: \forall \alpha. Rep \ \alpha \rightarrow (\forall \beta. Rep \ \beta \rightarrow Rep \ (\alpha \times \beta)). \end{aligned}$$

For instance, the type $1 + (Int \times Int)$ is represented by the value $R_+ \ R_1 \ (R_\times \ R_{Int} \ R_{Int})$ of type *Rep* $(1 + (Int \times Int))$.

Of course, the declarations above are not valid Haskell since data constructors can only be introduced via **data** declarations. But, let's accept this for the moment.

Given these prerequisites we can easily define a polytypic equality function that works for all representable types. We simply pattern match on the 'type' argument.

$$\begin{aligned} rEqual &:: \forall \tau. Rep \ \tau \rightarrow \tau \rightarrow \tau \rightarrow Bool \\ rEqual (R_{Int}) \ t_1 \ t_2 &= t_1 == t_2 \\ rEqual (R_1) \ t_1 \ t_2 &= \mathbf{case} \ (t_1, t_2) \ \mathbf{of} \\ &\quad (Unit, Unit) \rightarrow True \\ rEqual (R_+ \ r_\alpha \ r_\beta) \ t_1 \ t_2 &= \mathbf{case} \ (t_1, t_2) \ \mathbf{of} \\ &\quad (Inl \ a_1, Inl \ a_2) \rightarrow rEqual \ r_\alpha \ a_1 \ a_2 \\ &\quad (Inr \ b_1, Inr \ b_2) \rightarrow rEqual \ r_\beta \ b_1 \ b_2 \\ &\quad _ \rightarrow False \\ rEqual (R_\times \ r_\alpha \ r_\beta) \ t_1 \ t_2 &= \mathbf{case} \ (t_1, t_2) \ \mathbf{of} \\ &\quad (\alpha \times \beta, \alpha \times \beta) \rightarrow \\ &\quad \quad rEqual \ r_\alpha \ a_1 \ a_2 \wedge rEqual \ r_\beta \ b_1 \ b_2 \end{aligned}$$

Note that each equation defines a function whose type is a true instance of the declared type: *rEqual* (R_{Int}) , for instance, has type $Int \rightarrow Int \rightarrow Bool$.

A polytypic function can also be defined in terms of other polytypic functions. The function *rElem*, for instance, implements polytypic list membership.

$$\begin{aligned} rElem &:: \forall \tau. Rep \ \tau \rightarrow \tau \rightarrow [\tau] \rightarrow Bool \\ rElem \ r_\tau \ t \ x &= \mathbf{or} \ [rEqual \ r_\tau \ t \ a \mid a \leftarrow x] \end{aligned}$$

REMARK 1. *The function rElem hints at one essential difference to Haskell's class system. Consider the class-based variant of rElem:*

$$elem :: \forall \alpha. (Eq \ \alpha) \Rightarrow \alpha \rightarrow [\alpha] \rightarrow Bool$$

The class context records precisely on which overloaded function elem depends. For instance, if elem additionally called show at type

α , then *Show* α would be added to the class context. By contrast, the signature of the type-passing implementation would not change if it called a type-passing *rShow*. \square

We have already noted that in Haskell data constructors can only be introduced by **data** declarations. Unfortunately, we cannot define *Rep* α via a **data** declaration since none of the data constructors has result type *Rep* α . We require a slight extension that allows us to instantiate the declared type to some specific instance.

```
data Rep  $\tau$  =      RInt           with  $\tau = \text{Int}$ 
                |      R1           with  $\tau = 1$ 
                |       $\forall \alpha \beta. R_+ (Rep \alpha) (Rep \beta)$  with  $\tau = \alpha + \beta$ 
                |       $\forall \alpha \beta. R_\times (Rep \alpha) (Rep \beta)$  with  $\tau = \alpha \times \beta$ 
```

The idea is to assign R_{Int} the type *Rep* τ with the additional constraint that $\tau = \text{Int}$, recorded by the **with** clause. As an aside, note that a universal quantifier in front of a constructor name acts as an *existential quantifier*. So $R_+ r_\alpha r_\beta$ has type *Rep* τ for *some* types α and β with $\tau = \alpha + \beta$. Existential types are supported both by Hugs and by the Glasgow Haskell compiler.

REMARK 2. *Equational type constraints have been studied in the context of module systems for ML [20, 13] and typed closure conversion in the presence of intensional polymorphism [22]. In those contexts, the problem is that important relationships between types are hidden by modular abstraction or existential quantification; it is solved using translucent sum types, singleton kinds, or restricted type equations in order to make enough type sharing information evident in the module or closure type.*

Unfortunately, this prior work is not directly applicable, since it addresses type sharing between elements of a module or existential package, not type equations that depend on data type cases. For example, singleton kinds would not help because each case of *Rep* would require τ to have a different singleton kind. Perhaps this could be addressed using kind polymorphism, but this complicates the type system even further. \square

Fortunately, we don't have to wait for yet another extension to Haskell's already quite impressive type system since **with** clauses can be simulated in Haskell 98 using embedding-projection pairs or *equivalence types*.

2.2 Alternative 2: equivalence types

In a type system corresponding to a consistent logical system, we could (motivated by the Curry-Howard isomorphism) think of **with** $\alpha = \beta$ clauses as propositions asserting that α and β are equivalent in some sense. This notion of equivalence could range from strict type equality to logical equivalence (that is, equivalence of type inhabitation). Propositional equivalence is typically defined as “if and only if”: $A \equiv B$ means $(A \Rightarrow B) \wedge (B \Rightarrow A)$. Recall that conjunctions correspond to product types and implications to function types. Thus, we could define an *equivalence type* $\tau \leftrightarrow \tau'$ corresponding to the proposition that the types τ and τ' are equivalent as $(\tau \rightarrow \tau') \times (\tau' \rightarrow \tau)$. The function components can be thought of as casts from τ to τ' and back. In Haskell we declare

```
data  $\alpha \leftrightarrow \beta$  = EP{from ::  $\alpha \rightarrow \beta$ , to ::  $\beta \rightarrow \alpha$ }.
```

An element *ep* of type $\tau \leftrightarrow \tau'$ is a “proof” that the two types are equivalent.²

²Haskell is not strongly normalizing and does not correspond to a consistent logic, so our appeal to the Curry-Howard isomor-

Turning to the definition of *Rep* we replace each type constraint **with** $\tau = \tau'$ by the corresponding equivalence type $\tau \leftrightarrow \tau'$.

```
data Rep  $\tau$  =      RInt           ( $\tau \leftrightarrow \text{Int}$ )
                |      R1           ( $\tau \leftrightarrow 1$ )
                |       $\forall \alpha \beta. R_+ (Rep \alpha) (Rep \beta)$  ( $\tau \leftrightarrow (\alpha + \beta)$ )
                |       $\forall \alpha \beta. R_\times (Rep \alpha) (Rep \beta)$  ( $\tau \leftrightarrow (\alpha \times \beta)$ )
```

Additionally, we introduce so-called *smart constructors* corresponding to the R_τ constructors of the previous section that incorporate the reflexive equivalence value *self* as a proof of equivalence.

```
self      ::  $\forall \alpha. \alpha \leftrightarrow \alpha$ 
self      = EP{from = id, to = id}

rInt     :: Rep Int
rInt     = RInt self

r1      :: Rep 1
r1      = R1 self

r+      ::  $\forall \alpha. Rep \alpha \rightarrow (\forall \beta. Rep \beta \rightarrow Rep (\alpha + \beta))$ 
r+ r $\alpha$  r $\beta$  = R+ r $\alpha$  r $\beta$  self

r $\times$      ::  $\forall \alpha. Rep \alpha \rightarrow (\forall \beta. Rep \beta \rightarrow Rep (\alpha \times \beta))$ 
r $\times$  r $\alpha$  r $\beta$  = R $\times$  r $\alpha$  r $\beta$  self
```

It remains to adapt the polytypic equality function to the new definition of *Rep*. The changes are straightforward: whenever we analyze a value *e* of type τ equivalent to τ' , we replace *e* by *from ep e* where *ep* :: $\tau \leftrightarrow \tau'$ is the corresponding proof of equivalence.

```
rEqual      ::  $\forall \tau. Rep \tau \rightarrow \tau \rightarrow \tau \rightarrow Bool$ 
rEqual (RInt ep) t1 t2 = from ep t1 == from ep t2
rEqual (R1 ep) t1 t2  = case (from ep t1, from ep t2) of
                          (Unit, Unit)  $\rightarrow$  True

rEqual (R+ r $\alpha$  r $\beta$  ep) t1 t2
= case (from ep t1, from ep t2) of
  (Inl a1, Inl a2)  $\rightarrow$  rEqual r $\alpha$  a1 a2
  (Inr b1, Inr b2)  $\rightarrow$  rEqual r $\beta$  b1 b2
  _  $\rightarrow$  False

rEqual (R $\times$  r $\alpha$  r $\beta$  ep) t1 t2
= case (from ep t1, from ep t2) of
  (a1 : $\times$  b1, a2 : $\times$  b2)  $\rightarrow$ 
    rEqual r $\alpha$  a1 a2  $\wedge$  rEqual r $\beta$  b1 b2
```

It is important to note that *rEqual* is polymorphically recursive: the recursive calls are at the existentially quantified types α and β . This means that the type signature is mandatory, otherwise the code would not typecheck.

The equality function takes values of the ‘generic’ type τ apart. When we *construct* a value of type $\tau = \tau'$, then we must wrap *to ep* around the constructed value. The polytypic function *rMinBound*, which constructs the least value of a type, serves as an example.

```
rMinBound      ::  $\forall \tau. Rep \tau \rightarrow \tau$ 
rMinBound (RInt ep) = to ep (minBound)
rMinBound (R1 ep)   = to ep (Unit)
rMinBound (R+ r $\alpha$  r $\beta$  ep) = to ep (Inl (rMinBound r $\alpha$ ))
rMinBound (R $\times$  r $\alpha$  r $\beta$  ep) = to ep (rMinBound r $\alpha$ 
                                     : $\times$ : rMinBound r $\beta$ )
```

phism and use of the terms “proposition” and “proof” for equivalence types and values is not formally justified. In Haskell, an equivalence pair only guarantees that α can be cast to β and vice versa, with nontermination a possibility for either case. This turns out to be enough for our purposes, since it still lets us encode representations safely. In practice, all casts witnessing equivalences are expected to terminate; usually, they are the identity.

The polytypic function $rMemo$, which memoizes a given function, is an intriguing example of a function that both analyzes and synthesizes values of the generic type.

$$\begin{aligned}
rMemo &:: \forall \tau \nu. Rep \tau \rightarrow (\tau \rightarrow \nu) \rightarrow (\tau \rightarrow \nu) \\
rMemo (R_{Int} ep) f &= \lambda t \rightarrow f t \quad \text{-- no memoization} \\
rMemo (R_1 ep) f &= \lambda t \rightarrow \text{case from ep t of} \\
&\quad \text{Unit} \rightarrow fUnit \\
\text{where } fUnit &= f (to ep (Unit)) \\
rMemo (R_+ r_\alpha r_\beta ep) f &= \lambda t \rightarrow \text{case from ep t of} \\
&\quad Inl a \rightarrow fInl a \\
&\quad Inr b \rightarrow fInr b \\
\text{where } fInl &= rMemo r_\alpha (\lambda a \rightarrow f (to ep (Inl a))) \\
&\quad fInr &= rMemo r_\beta (\lambda b \rightarrow f (to ep (Inr b))) \\
rMemo (R_\times r_\alpha r_\beta ep) f &= \lambda t \rightarrow \text{case from ep t of} \\
&\quad a : \times: b \rightarrow fPair a b \\
\text{where } fPair &= rMemo r_\alpha (\lambda a \rightarrow \\
&\quad rMemo r_\beta (\lambda b \rightarrow \\
&\quad f (to ep (a : \times: b))))
\end{aligned}$$

To see how $rMemo$ works note that the helper definitions $fUnit$, $fInl$, $fInr$, and $fPair$ do not depend on the actual argument of f . Thus, once f is given, they can be readily computed. Memoization relies critically on the fact that they are computed only on demand and then at most once. This is guaranteed if the implementation is *fully lazy*. The interested reader is referred to Hinze [15] for background information.³

New types

So far equivalence types $\tau \leftrightarrow \tau'$ have actually consisted of proofs of type equality, since they have been instantiated only with identity functions. But we could also interpret an element of $\tau \leftrightarrow \tau'$ as a proof that the two types are *isomorphic* rather than equal. Coincidentally, Haskell offers a linguistic construct for introducing a new type that is isomorphic to an existing type. Consider as an example the type of triples.

$$\text{newtype } Tri \alpha \beta \gamma = ToTri \{ from_{Tri} :: \alpha \times (\beta \times \gamma) \}$$

The declaration defines $Tri \alpha \beta \gamma$ to be isomorphic to $\alpha \times (\beta \times \gamma)$ with the functions $ToTri$ and $from_{Tri}$ witnessing the isomorphism. Since $Tri \alpha \beta \gamma$ is isomorphic to an existing type, we can represent it as follows.

$$\begin{aligned}
rTri &:: \forall \alpha. Rep \alpha \rightarrow (\forall \beta. Rep \beta \rightarrow (\forall \gamma. Rep \gamma \rightarrow \\
&\quad Rep (Tri \alpha \beta \gamma))) \\
rTri r_\alpha r_\beta r_\gamma &= R_\times r_\alpha (r_\times r_\beta r_\gamma) (EP from_{Tri} ToTri)
\end{aligned}$$

Now, if we pass a triple to a polytypic function, then the isomorphisms $from_{Tri}$ and $ToTri$ are automatically called at the appropriate places. Furthermore, since $Tri \alpha \beta \gamma$ is *implemented* by the type on the right-hand side, both casts amount to the identity function at run time. This also means that a new type and its implementation type are treated alike, which may or may not be what you want. Indeed, equivalence types need not contain actual isomorphism pairs;

³In [15] memoization is defined as the composition of a function that constructs a memo table and a function that queries the table. If we fuse the two functions thereby eliminating the memo data structure, we obtain the $rMemo$ function above. Thanks are due to Koen Claessen for bringing this to our attention. Despite appearance, the memo data structures did not vanish into thin air. Rather, they are now built into the closures. For instance, the memo table for a disjoint union is a pair of memo tables. The closure for $rMemo (R_+ r_\alpha r_\beta ep) f$ consequently contains a pair of memoized functions, namely $fInl$ and $fInr$.

for example we can assert the equivalence of Int and $[Float]$ with $\lambda x \rightarrow []$ and $\lambda l \rightarrow 0$. Ensuring that equivalence cast pairs are the identity or form an isomorphism is not expressible in Haskell, so these are external proof obligations.

Genuine type equality

We have seen in the previous section that the equivalence type $\tau \leftrightarrow \tau'$ does not guarantee that τ and τ' are actually equal (and we have used this to good effect). An intriguing question is whether we can devise an equivalence type that only admits equal types. Perhaps surprisingly, this is indeed possible and even more surprisingly, the underlying idea goes back to Leibniz—see Wadler [30] for a related application of Leibniz’s idea. According to Leibniz, two terms are equal if one may be substituted for the other. Adapting this principle to types, we define,

$$\text{newtype } \alpha \leftrightarrow \beta = EP \{ unEP :: \forall \phi. \phi \alpha \rightarrow \phi \beta \}.$$

Note that the universally quantified type variable ϕ ranges over type constructors of kind $\star \rightarrow \star$. Thus, an element of $\alpha \leftrightarrow \beta$ is a function that converts an element of type $\phi \alpha$ into an element of $\phi \beta$ for *any* type constructor ϕ . We introduce a constant $self$ expressing the reflexivity rule:

$$\begin{aligned}
self &:: \forall \alpha. \alpha \leftrightarrow \alpha \\
self &= EP id
\end{aligned}$$

In a strongly normalizing type system like F_ω , the only inhabitant of $\alpha \leftrightarrow \alpha$ is the identity $\Lambda f. \lambda x. x$. If α and β are not equal, then the type $\alpha \leftrightarrow \beta$ is empty. In Haskell, there are additional inhabitants arising from nontermination. However, attempting to use such elements to cast between α and β will result in divergence.

To provide the same functionality as before we have to define functions that given a proof of type equality cast one type into the other. The $from$ function is easy: we substitute the identity type for ϕ .

$$\begin{aligned}
\text{newtype } Id a &= Id \{ unId :: \alpha \} \\
from &:: \forall \alpha \beta. (\alpha \leftrightarrow \beta) \rightarrow (\alpha \rightarrow \beta) \\
from ep &= unId \cdot unEP ep \cdot Id
\end{aligned}$$

The to function is more subtle: we first substitute the type constructor $\psi x = x \rightarrow \alpha$ for ϕ . This gives us a function of type $(\alpha \rightarrow \alpha) \rightarrow (\beta \rightarrow \alpha)$, which we then apply to the identity function.

$$\begin{aligned}
\text{newtype } \alpha \leftarrow \beta &= Inv \{ unInv :: \beta \rightarrow \alpha \} \\
to &:: \forall \alpha \beta. (\alpha \leftrightarrow \beta) \rightarrow (\beta \rightarrow \alpha) \\
to ep &= unInv (unEP ep (Inv id))
\end{aligned}$$

Interestingly, both definitions of $\alpha \leftrightarrow \beta$ have precursors in the literature: they underly, for instance, Weirich’s implementation of type-safe cast [32]. Though the above definition of $\alpha \leftrightarrow \beta$ is attractive, we will stick to the first variant as we rely on the broader interpretation of type equivalence as types being isomorphic.

A type class for type representations

When we call a polytypic function, we have to supply a type representation as an argument. Of course, if we are working in Haskell, we can use the class system for this purpose.

We define type class *Representable* as follows:

```

class Representable τ where
  rep :: Rep τ
instance Representable Int where
  rep = rInt
instance Representable 1 where
  rep = r1
instance (Representable α, Representable β) =>
  Representable (α + β) where
  rep = r+ rep rep
instance (Representable α, Representable β) =>
  Representable (α × β) where
  rep = r× rep rep

```

Building upon the type class we can define a variant of polytypic equality that automatically constructs the type representation.

```

cEqual      :: ∀τ. (Representable τ) => τ → τ → Bool
cEqual t1 t2 = rEqual rep t1 t2

```

It is important to note, however, that this use of the class system is just a convenience, not a necessity for programming with representations.

3 Dynamics

3.1 The type Dynamic

A dynamic value is a pair consisting of the value itself and a representation of its type. As noted by [14, 9], we can readily define *Dynamic* using the type of type representations *Rep* as

```

data Dynamic = ∀α. Dyn (Rep α) α
dynamic      :: ∀α. (Representable α) => α → Dynamic
dynamic a    = Dyn rep a

```

The existential quantifier (which is written as a universal quantifier) effectively hides the type of the dynamic value. It goes without saying that dynamic values thus defined are first-class citizens: we can, for instance, construct a list of dynamic values.

```

[dynamic (4711 :: Int), dynamic 'F', dynamic (λn → n + 1 :: Int)]
:: [Dynamic]

```

Now, what can we do with a value *Dyn r_α a* of type *Dynamic*? Not that much so far. If we have a polytypic function *f* of type $\forall\tau. \text{Rep } \tau \rightarrow \tau \rightarrow v$ where τ does not appear in *v*, then we can call *f r_α a*. The polytypic function analyzes the type representation *r_α* and takes the appropriate action (the existentially quantified type variable cannot escape, since τ does not appear in *v*). However, we cannot take the equality of two dynamic values or cast a dynamic value into a static value of a given type. For both applications we have to check two type representations for equality, which is what we tackle next.

The function *unify* takes two type representations and possibly returns a proof of their equivalence.

```

unify :: ∀τ1 τ2. Rep τ1 → Rep τ2 → Maybe (τ1 ↔ τ2)

```

The run-time unification essentially combines proofs of equivalence. Assume, for instance, that *unify* is called with *R_{Int} ep₁* :: *Rep* τ₁ and *R_{Int} ep₂* :: *Rep* τ₂. The proofs *ep₁* :: τ₁ ↔ *Int* and *ep₂* :: τ₂ ↔ *Int* can be combined into a proof of τ₁ ↔ τ₂ by applying the laws of symmetry and transitivity. These laws correspond to the

functions *inv* and ‘◊’, respectively.

```

inv      :: ∀α β. (α ↔ β) → (β ↔ α)
inv f    = EP {from = to f, to = from f}
(◊)     :: ∀α β γ. (β ↔ γ) → (α ↔ β) → (α ↔ γ)
f ◊ g    = EP {from = from f · from g, to = to g · to f}

```

The unification procedure is slightly more complicated for parametric types. Assume, for instance, that *unify* is passed the representations *R₊ r_{α₁} r_{β₁} ep₁* :: *Rep* τ₁ and *R₊ r_{α₂} r_{β₂} ep₂* :: *Rep* τ₂. The arguments provide proofs *ep₁* :: τ₁ ↔ (α₁ + β₁) and *ep₂* :: τ₂ ↔ (α₂ + β₂). Through recursive invocations of *unify* we possibly obtain proofs of α₁ ↔ α₂ and β₁ ↔ β₂. Using the law of congruence we can then construct a proof of (α₁ + β₁) ↔ (α₂ + β₂). Finally, the subproofs are combined into a proof of τ₁ ↔ τ₂ by applying symmetry and transitivity. The law of congruence corresponds to the function ‘(⊕)’ defined below.

```

(+)      :: ∀α β. (α → β) → (∀γ δ. (γ → δ) →
              ((α + γ) → (β + δ)))
(f + g) (Inl a) = Inl (f a)
(f + g) (Inr b) = Inr (g b)
(⊕)      :: ∀α β. (α ↔ β) → (∀γ δ. (γ ↔ δ) →
              ((α + γ) ↔ (β + δ)))
f ⊕ g    = EP {from = from f + from g, to = to f + to g}

```

The function ‘(⊗)’ representing the pair congruence law is defined similarly.

REMARK 3. *As an aside for the more theoretically minded reader, types and equivalence types form the objects and arrows of a category. The identity arrow is self and ‘◊’ implements the composition of arrows. Furthermore, the sum type is a bifunctor in this category; its action on arrows is given by ‘⊕’. In fact, since each arrow ep has an inverse inv ep, every parametric data type including the arrow type can be turned into a covariant functor. □*

Given these prerequisites *unify* can be defined as follows.

```

unify' :: ∀τ1 τ2. Rep τ1 → Rep τ2 → [τ1 ↔ τ2]
unify' (RInt ep1) (RInt ep2)
  = [inv ep2 ◊ ep1]
unify' (R1 ep1) (R1 ep2)
  = [inv ep2 ◊ ep1]
unify' (R+ rα1 rβ1 ep1) (R+ rα2 rβ2 ep2)
  = [inv ep2 ◊ (epα ⊕ epβ) ◊ ep1 |
      epα ← unify' rα1 rα2, epβ ← unify' rβ1 rβ2]
unify' (R× rα1 rβ1 ep1) (R× rα2 rβ2 ep2)
  = [inv ep2 ◊ (epα ⊗ epβ) ◊ ep1 |
      epα ← unify' rα1 rα2, epβ ← unify' rβ1 rβ2]
unify' _ _ = []
unify      :: ∀τ1 τ2. Rep τ1 → Rep τ2 → Maybe (τ1 ↔ τ2)
unify r1 r2 = case unify' r1 r2 of
  x : _ → Just x
  [] → Nothing

```

Using run-time unification we can easily cast a dynamic value into a static value of a given representable type.

```

rCast      :: ∀τ. Rep τ → Dynamic → τ
rCast rτ (Dyn rα a) = case unify rτ rα of
  Just ep → to ep a
  Nothing → error "cast: type mismatch"
cast       :: (Representable τ) => Dynamic → τ
cast d     = rCast rep d

```

Another useful function is dynamic function application, the application of a dynamic function to a dynamic value. We introduce a new case R_{\rightarrow} , similar to R_+ and R_{\times} to Rep .

```

apply      :: Dynamic → Dynamic → Dynamic
apply (Dyn (R→ rα rβ ep) f) (Dyn rα' x)
  = case unify rα rα' of
    Just ep' → Dyn rβ ((from ep f) (to ep' x))
    Nothing → error "apply: type mismatch"
apply _ _  = error "apply: not a function"

```

EXAMPLE 1. Using dynamic values we can, for instance, implement a heterogeneous symbol table—a finite map from strings to values of any type.

```

type Table    = [(String, Dynamic)]
rLookup      :: ∀τ. Rep τ → String → Table → Maybe τ
rLookup rτ s t = fmap (rCast rτ) (lookup s t)

```

For alternative solutions the reader is referred to Weirich [32]. \square

EXAMPLE 2. Dynamic values also provide a simple way of implementing C's `printf` function in a type-safe manner; see also [27]. The `printf` function allows the programmer to show an arbitrary number of arguments of different types. Both the number of arguments and their types are specified by a so-called format string which is passed as a first argument to `printf`. Since the format string may be unknown at compile time (because it may be read from an external source), `printf` cannot be statically type-checked.

```

printf      :: String → [Dynamic] → ShowS
printf " " _ = showString " "
printf ('%' : 'd' : cs) (d : ds) = shows (cast d :: Int)
                                     · printf cs ds
printf ('%' : 's' : cs) (d : ds) = showString (cast d :: String)
                                     · printf cs ds
printf ('%' : '%' : cs) ds = showChar '%' · printf cs ds
printf ('%' : 'c' : cs) [] = error "printf: missing "
                              "argument"
printf (c : cs) ds = showChar c · printf cs ds

```

Note that the code assumes that the `String` type is representable. \square

3.2 Closing the circle

We add `Dynamic` to the family of representable types, so that we can also pass a dynamic value to a polytypic function

```

data Rep τ = ...
           | RDynamic (τ ↔ Dynamic)

```

Note that `Rep τ` and `Dynamic` are now defined by mutual recursion.

Of course, we have to extend the polytypic function definitions to take the new case into account. Here is how we take equality of two dynamic values.

```

rEqual (RDynamic ep) d1 d2
  = case (from ep d1, from ep d2) of
    (Dyn rα1 a1, Dyn rα2 a2) →
      case unify rα1 rα2 of
        Just ep' → rEqual rα1 a1 (to ep' a2)
        Nothing → False

```

We first determine whether the types of the dynamic values are equal. If this is the case, `rEqual` is called recursively to check whether the values are equal, as well.

4 Generics

4.1 Generic representation types

What have we achieved so far? Using type representations we can program a function that works uniformly for all *representable* types. Let's become more ambitious now. We aim at broadening the scope of the polytypic functions so that they work for *all* types including types that the programmer is yet to define. We won't achieve this goal in its full glory—this requires an external tool or some support from the compiler—but we will get pretty close. The programmer only has to do a bit of extra work for each newly introduced type.

The principal idea is to make every type representable. Consider as a first simple example the data type of Booleans.

```

data Bool = False | True

```

Now, the type `Bool` is isomorphic to the sum type $1 + 1$, which is already representable.⁴ Here are functions that convert to and fro.

```

fromBool      :: Bool → 1 + 1
fromBool False = Inl Unit
fromBool True  = Inr Unit
toBool        :: 1 + 1 → Bool
toBool (Inl Unit) = False
toBool (Inr Unit) = True

```

Using these isomorphisms we can represent the type `Bool` as follows.

```

rBool :: Rep Bool
rBool = R+ r1 r1 (EP fromBool toBool)

```

The type $1 + 1$ is the so-called *generic representation type* of `Bool`, see [18].

Since Haskell's `data` construct introduces a sum of products and both sums and products are representable, it looks as if we are done. But not quite, data types may be recursively defined and they may be parametric (possibly abstracting over higher-order kinded type constructors). We will address each point in turn.

Haskell's list data type serves as a nice example for a data type that is both recursive and parametric.

```

data [α] = [] | α : [α]

```

Its generic representation type is $1 + (\alpha \times [\alpha])$ with the isomorphisms given by

```

from[]      :: ∀α. [α] → 1 + (α × [α])
from[] []    = Inl Unit
from[] (a : as) = Inr (a × as)
to[]        :: ∀α. 1 + (α × [α]) → [α]
to[] (Inl Unit) = []
to[] (Inr (a × as)) = a : as

```

Thus, the following representation of `[α]` suggests itself.

```

r[]      :: ∀α. Rep α → Rep [α]
r[] rα = R+ r1 (r× rα (r[] rα)) (EP from[] to[])

```

Two points are worth noting. First, the type representation of the list type constructor is a *function* taking a representation of

⁴Strictly speaking, the types `Bool` and $1 + 1$ are not isomorphic in Haskell since `1` contains an additional bottom element. We simply ignore this complication here.

τ to a representation of $[\tau]$. Second, the representation of $[\tau]$ is given by an *infinite* term as type recursion is mapped onto value recursion. Since Haskell is a lazy language, this is not a problem as far as the polytypic functions are concerned: the call `rEqual (r[] rInt) [0..8] [0..9]`, for instance, happily evaluates to *False*. However, the presence of infinite terms renders the unification of representations impossible: quite annoyingly the call `cast (dynamic [0..9 :: Int]) :: [Int]` does not terminate.

There are at least two solutions to this problem. We could represent type recursion explicitly by introducing a fixed point operator—this is the approach taken in PolyP [19]. However, this is technically rather awkward and never completely general as type recursion may span over several types (mutual recursion) and as it may involve type constructors rather than types (so-called *nested data types*, see [6]). Thus, we would need an infinite family of fixed point operators. Furthermore, checking equality of higher-order kinded type constructors is undecidable. Haskell avoids the latter problem by using *name equivalence* rather than *structural equivalence*, which motivates the second solution.

We continue to represent recursive types by infinite terms but additionally label the representation by its Haskell name. The following data type is sufficient for capturing closed Haskell type terms (including higher-order kinded types).

```
data Term = App String [Term]
           deriving (Eq)
```

For instance, `[Int]` is represented by `App "[]" [App "Int" []]`. We augment `Rep τ` by one additional constructor.

```
data Rep  $\tau$  =
  | RInt      ( $\tau \leftrightarrow$  Int)
  | RDynamic  ( $\tau \leftrightarrow$  Dynamic)
  | R1        ( $\tau \leftrightarrow$  1)
  |  $\forall \alpha \beta . R_+ (Rep \alpha) (Rep \beta)$  ( $\tau \leftrightarrow (\alpha + \beta)$ )
  |  $\forall \alpha \beta . R_\times (Rep \alpha) (Rep \beta)$  ( $\tau \leftrightarrow (\alpha \times \beta)$ )
  |  $\forall \alpha . R_{Type} Term (Rep \alpha)$  ( $\tau \leftrightarrow \alpha$ )
```

On the face of it, we now have two types for type representations: `Rep τ` captures the structural information and `Term` captures the naming information. The function `term $_{\star}$` extracts the latter information from the former.

```
term $_{\star}$       ::  $\forall \tau . Rep \tau \rightarrow Term$ 
term $_{\star}$  (RInt ep)    = App "Int" []
term $_{\star}$  (RDynamic ep) = App "Dynamic" []
term $_{\star}$  (R1 ep)      = App "1" []
term $_{\star}$  (R $_{+}$  r $_{\alpha}$  r $_{\beta}$  ep) = App "(+)" [term $_{\star}$  r $_{\alpha}$ , term $_{\star}$  r $_{\beta}$ ]
term $_{\star}$  (R $_{\times}$  r $_{\alpha}$  r $_{\beta}$  ep) = App "(*)" [term $_{\star}$  r $_{\alpha}$ , term $_{\star}$  r $_{\beta}$ ]
term $_{\star}$  (R $_{Type}$  t r $_{\alpha}$  ep) = t
```

We change the definition of `r[]` to incorporate the list type name.

```
r[]      ::  $\forall \alpha . Rep \alpha \rightarrow Rep [\alpha]$ 
r[] r $_{\alpha}$  = R $_{Type}$  (App "[]" [term $_{\star}$  r $_{\alpha}$ ])
           ( $_{+}$  r $_{1}$  ( $_{\times}$  r $_{\alpha}$  (r[] r $_{\alpha}$ ))) (EP from[] to[])
```

It remains to extend the definition of `unify'`. To unify two labelled representations `R $_{Type}$ t $_{1}$ r $_{\alpha_1}$ ep $_{1}$` and `R $_{Type}$ t $_{2}$ r $_{\alpha_2}$ ep $_{2}$` , we simply test `t $_{1}$` and `t $_{2}$` for equality.

```
unify' (R $_{Type}$  t $_{1}$  r $_{\alpha_1}$  ep $_{1}$ ) (R $_{Type}$  t $_{2}$  r $_{\alpha_2}$  ep $_{2}$ )
  = [inv ep $_{2}$   $\diamond$  head (unify' r $_{\alpha_1}$  r $_{\alpha_2}$ )  $\diamond$  ep $_{1}$  | t $_{1}$  == t $_{2}$ ]
```

If `t $_{1}$` and `t $_{2}$` are equal, we know that `r $_{\alpha_1}$` and `r $_{\alpha_2}$` must be unifiable. The call `head (unify' r $_{\alpha_1}$ r $_{\alpha_2}$)` immediately returns the proof. Note

that the following definition of `unify'` does not work, as it is too eager.

```
unify' (R $_{Type}$  t $_{1}$  r $_{\alpha_1}$  ep $_{1}$ ) (R $_{Type}$  t $_{2}$  r $_{\alpha_2}$  ep $_{2}$ ) -- WRONG
  = [inv ep $_{2}$   $\diamond$  ep $_{\alpha}$   $\diamond$  ep $_{1}$  | t $_{1}$  == t $_{2}$ , ep $_{\alpha}$   $\leftarrow$  unify' r $_{\alpha_1}$  r $_{\alpha_2}$ ]
```

Turning to the treatment of higher-order kinded data types let us first take a look at one popular example. The following definition introduces so-called *generalized rose trees*.

```
data Tree  $\phi$   $\alpha$  = Node  $\alpha$  ( $\phi$  (Tree  $\phi$   $\alpha$ ))
```

Since the first argument of `Tree` ranges over type constructors of kind $\star \rightarrow \star$, `Tree` has kind $(\star \rightarrow \star) \rightarrow \star \rightarrow \star$. We have already seen that a type constructor ϕ of kind $\star \rightarrow \star$ is represented by a function of type $\forall \alpha . Rep \alpha \rightarrow Rep (\phi \alpha)$. Since `Tree` abstracts over type constructors of this kind it has type

```
rTree ::  $\forall \phi . (\forall \alpha . Rep \alpha \rightarrow Rep (\phi \alpha)) \rightarrow$ 
         ( $\forall \alpha . Rep \alpha \rightarrow Rep (Tree \phi \alpha)$ ).
```

Note that `rTree` possesses a so-called *rank-2* type. In general, a type constructor τ of kind κ is represented by an element of `Rep $_{\kappa}$ τ` with

```
Rep $_{\star}$   $\tau$       = Rep  $\tau$ 
Rep $_{\kappa_1 \rightarrow \kappa_2}$   $\tau$  =  $\forall \alpha . Rep_{\kappa_1} \alpha \rightarrow Rep_{\kappa_2} (\tau \alpha)$ .
```

As the latest version of the Glasgow Haskell compiler (GHC 5.03) supports rank-*n* types, we can, in fact, represent types of arbitrary kinds.

So far so good. One small problem remains though. To define the representation of `Tree ϕ α` , we have to construct type terms from the representations of ϕ and α . Since the representation of ϕ of type `Rep $_{\star \rightarrow \star}$ ϕ` is a *function* this sounds like a hard nut to crack. Fortunately, Haskell's type language is a multi-sorted term algebra as Haskell does not offer general type abstraction. Each type term is of the form `App s [t $_{1}$, ..., t $_{n}$]`; type application is purely syntactic: applying `App s [t $_{1}$, ..., t $_{n}$]` to `t` yields `App s [t $_{1}$, ..., t $_{n}$, t]`. Consequently, from the result of an application we can reconstruct both the original function and its argument.

```
term $_{\star \rightarrow \star}$  ::  $\forall \phi . (\forall \alpha . Rep \alpha \rightarrow Rep (\phi \alpha)) \rightarrow Term$ 
term $_{\star \rightarrow \star}$  r $_{\phi}$  = case term $_{\star}$  (r $_{\phi}$  r $_{1}$ ) of App t ts  $\rightarrow$  App t (init ts)
```

Given these prerequisites we define

```
rTree r $_{\phi}$  r $_{\alpha}$       = R $_{Type}$  (App "Tree"
                               [term $_{\star \rightarrow \star}$  r $_{\phi}$ , term $_{\star}$  r $_{\alpha}$ ])
                               (r $_{\times}$  r $_{\alpha}$  (r $_{\phi}$  (rTree r $_{\phi}$  r $_{\alpha}$ )))
                               (EP fromTree toTree)

fromTree      ::  $\forall \phi \alpha . Tree \phi \alpha \rightarrow \alpha \times (\phi (Tree \phi \alpha))$ 
fromTree (Node a ts) = (a : $\times$ : ts)

toTree      ::  $\forall \phi \alpha . \alpha \times (\phi (Tree \phi \alpha)) \rightarrow Tree \phi \alpha$ 
toTree (a : $\times$ : ts) = Node a ts
```

4.2 Constructor names

To be able to write polytypic functions that show or read elements of some data type, we add one more constructor to the `Rep` data type.

```
data Rep  $\tau$  = ...
           | RCon String (Rep  $\tau$ )
```

The `RCon` constructor is intended to record the string representation of a data constructor. Of course, in practice one would replace

String by a more elaborate data type that contains further information such as fixity, see [18]. The updated definition of r_{Bool} illustrates the use of R_{Con} .

```

rBool  :: Rep Bool
rBool  = RType (App "Bool" [])
          (r+ (RCon "False" r1) (RCon "True" r1))
          (EP fromBool toBool)

```

Here is a simplified version of Haskell's *show* function, which converts an element of any data type to its string representation. To understand the definition keep in mind that the sum type is used to represent the cases of a **data** declaration while the product type is used to represent the arguments of a single constructor. The unit type 1 signals that a constructor has no arguments.

```

rShows  :: ∀τ. Rep τ → τ → ShowS
rShows (RInt ep) t  = shows (from ep t)
rShows (RDynamic ep) t = case from ep t of
    Dyn rα x →
        showChar ' ('
        · showString "dynamic "
        · rShows rα x
        · showChar ')'
rShows (R1 ep) t    = showString ""
rShows (R+ rα rβ ep) t = case from ep t of
    Inl a → rShows rα a
    Inr b → rShows rβ b
rShows (R× rα rβ ep) t = case from ep t of
    (a :×: b) →
        rShows rα a
        · showString " "
        · rShows rβ b
rShows (RType e rα ep) t = rShows rα (from ep t)
rShows (RCon s (R1 ep)) t = showString s
                                -- nullary constructor
rShows (RCon s rα) t = showChar ' (' · showString s
                        · showChar ' ' · rShows rα t
                        · showChar ')'

```

Since type representations are ordinary values, we can separate special cases simply by pattern matching. The second but last equation of *rShows*, for instance, handles nullary constructors while the last equation takes care of the remaining cases.

5 Related work

The polymorphic Horn clause language of Hanus [12] generalizes the untyped Horn clause resolution semantics of Prolog to typed and polymorphically typed terms. Our initial definition of *Rep* in Section 2.1 is legal in this language, which appears to be strictly more powerful than Haskell. Interestingly, the semantics requires the presence of types at run time. Optimizations are possible for so-called *type preserving* functions where the type variables of the argument types also occur in the result type (note that the *Rep* constructors are type-preserving).

Jansson and Jeuring [19] developed PolyP, a variant of Haskell that includes a polytypic function construct permitting definitions by primitive recursion on the structure of regular data types, but did not support higher-order kinded type arguments. Hinze [17] proposed an approach based on indexing values by types and types by kinds. This made it possible to write definitions of functions like *map* that work for arbitrary polymorphic data structures. This

approach has been implemented in Generic Haskell [7], a successor to PolyP. Hinze and Peyton Jones [18] introduced derivable type classes, which can define type-indexed values within classes but are limited to kind $*$. Clean's generics system [3] generalizes derivable type classes to allow generic type classes defined at arbitrary kinds rather than just $*$.

Abadi et al. [2] first considered rigorously the problem of adding a *Dynamic* type and type pattern matching *typecase* to a monomorphic ML-like language. Leroy and Mauny [21] studied the interaction of *Dynamic* with implicit polymorphism and implemented a restricted form of polymorphic type pattern matching with both \forall and \exists quantifiers. Abadi et al. [1] considered dynamics with explicit and implicit polymorphism, and showed how to generalize *typecase* to arbitrary polymorphic patterns. We believe our *Dynamic* also can support making values of closed polymorphic types dynamic, although we have yet to experiment with unifying and pattern-matching polymorphic type representations.

GHC's *Dynamic* library contains *TypeRep* and *Dynamic* types and a *Typeable* class that are weaker, 'untyped' versions of our *Dynamic*, *Rep*, and *Representable*. Type representations are abstract, and it is impossible to, for example, unpack component *TypeReps* from a product *TypeRep*. On the other hand, our typed versions constitute a safe implementation of these constructs.

Clean also includes support in development for a richer type *Dynamic* [26] that includes *typecase* with pattern matching on (possibly polymorphic) types, in the style of Leroy and Mauny, and also supports type-dependent functions. Clean's dynamics employ a type class $TC\ \alpha$ that says that it is possible to make α dynamic, so, unlike earlier approaches, values with partially abstract types containing free type variables can be cast to *Dynamic* as long as all the type variables are of class TC . Our *Dynamic* supports exactly this behaviour, whence Clean's TC is analogous to our *Representable* class.

Shields, Sheard, and Peyton Jones [27] present an alternative implementation of dynamics based on staged type inference. In staged computation [10, 28], compilation of parts of a program may be delayed, so functions may be specialized to arguments available at compile time. Staged type inference delays type inference and type checking until run time as well. This makes it possible to avoid many of the difficulties of explicit polymorphic type pattern matching encountered in previous approaches, since unification occurs at run time when concrete type information is available. Our approach also employs run-time unification, if only for monomorphic types, but our *unify* is a user-level program rather than compiler-generated code. It would be interesting to see whether our approach generalizes to polymorphic unification. Staged computation may also be useful in optimizing representation-passing by specializing generic functions to particular representations. We have also experimented with using GHC's rewrite rules [24] to automatically rewrite representation-based functions when type information is known at compile time. We found that functions can be fully specialized to non-recursive types, but not to recursive types like $[]$ because recursive types are represented by recursively defined representations. As a result, rewrite rules in their present form are of limited use for optimizing representation-based programs.

Weirich [32] showed how to implement type-safe cast and a form of *Dynamic* in Haskell using type classes. The two Haskell implementations of *cast* employ mutually recursive type classes $CastTo\ \alpha$ and $CastFrom\ \beta$; the first implementation of these classes interprets cast as coercion ($\alpha \rightarrow \beta$), whereas the second interprets cast as un-

restricted substitution ($\phi \alpha \rightarrow \phi \beta$). These interpretations of cast correspond exactly to our interpretations of type equivalence.

Implicit, compiler-generated type information has been studied in many languages and proposals for implementing statically-typed dynamics and implementing intensional polymorphism. Explicit type representations are not new either: they were introduced by Crary, Weirich, and Morrisett [9]; the authors also observed that representations could be used to implement an explicit *Dynamic* type. Crary and Weirich [8] and Weirich [33] have also considered encodings of type representations in the more powerful type systems *LX*, which includes function, sum, product, and recursive kinds, and *LU⁻*, which includes impredicative kind polymorphism.

Baars and Swierstra [4] have independently discovered the type representation encoding presented in Section 2. However, instead of starting with representation-passing generic functions and attempting to implement representations, they start with dynamic types and postulate a type family *TypeRep* α that contains enough information for dynamic typechecking, and then derive an implementation for it. Baars and Swierstra address dynamic typing issues beyond those considered here, such as dynamic typing and compilation of expressions. In contrast, we have considered both generics and dynamics, and interrelated them. We have also shown how to represent a more general class of types, including polymorphic and recursive types.

6 Conclusions

Previous approaches to implementing generic programming and dynamic typing in high-level statically typed languages have involved substantial language modifications and substantial proofs of type safety for the modified language. Dynamics and generics have been studied separately, leaving unresolved the question of whether dynamic values can be used with generic functions and vice versa. We have shown that generic programming and dynamic typing features can be derived simultaneously and compatibly from type representations. Moreover, type representations can be encoded in Haskell using existentials and equivalence types, so they can be implemented and given a semantics by translation. As far as we know this is the first approach to make this connection between statically typed generics and dynamics explicit.

Unlike prior approaches to implementing type representations, we encode representations as ordinary data types and *typecases* as ordinary cases. As a result, all of the existing pattern matching constructs and optimizations carry over to representation patterns with no effort. Mutually recursive functions and multiple type arguments also pose no problems. Representation-passing functions can be compiled separately from their calling contexts; in contrast, other approaches to statically typed generic programming cannot compile generic function uses and definitions separately. Dynamic types and run-time type checking can be defined in terms of representations, but since type representations are explicitly typed program data, this implementation of *Dynamic* is more flexible than implementations in which type information is compiler-generated and abstract.

There are several directions for improving our approach. For example, it is not possible to override the behaviour of a polytypic function at some specific instance (without changing the definition of *Rep*). In contrast, overriding is easy using type classes. Extensible data types might alleviate this problem. Our encoding also incurs unnecessary run-time type dispatch overhead when types are available at compile time. Furthermore, our encoding translates arbitrary tuples and data types to a universal data type consisting of binary products, sums, and constructors, which may incur additional over-

head. These drawbacks could be addressed through specialization, run-time code generation, or deforestation optimizations. Our encoding requires a fair amount of programmer effort, and we plan to address this by implementing extensions such as **with** clauses in Haskell via translation. Finally, while it is possible to define polytypic functions such as *map* that analyze type constructors of kind other than \star (using a different *Rep* type), constructing functions that work for all types of all kinds seems out of reach within Haskell's type system. These are all important directions for future work.

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A Listing

A.1 Generic representation types

$$\begin{aligned}
 \mathbf{data} \ 1 &= Unit \\
 \mathbf{data} \ \alpha + \beta &= Inl \ \alpha \mid Inr \ \beta \\
 \mathbf{data} \ \alpha \times \beta &= \alpha \times \beta
 \end{aligned}$$

Standard mapping functions on the above types (and on the function type).

$$\begin{aligned}
 (+) &:: \forall \alpha \beta. (\alpha \rightarrow \beta) \rightarrow (\forall \gamma \delta. (\gamma \rightarrow \delta) \rightarrow ((\alpha + \gamma) \rightarrow (\beta + \delta))) \\
 (f + g) \ (Inl \ a) &= Inl \ (f \ a) \\
 (f + g) \ (Inr \ b) &= Inr \ (g \ b) \\
 (\times) &:: \forall \alpha \beta. (\alpha \rightarrow \beta) \rightarrow (\forall \gamma \delta. (\gamma \rightarrow \delta) \rightarrow ((\alpha \times \gamma) \rightarrow (\beta \times \delta))) \\
 (f \times g) \ (a \times b) &= f \ a \times g \ b \\
 (\rightarrow) &:: \forall \alpha \beta. (\alpha \rightarrow \beta) \rightarrow (\forall \gamma \delta. (\gamma \rightarrow \delta) \rightarrow ((\beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \delta))) \\
 (f \rightarrow g) \ h &= g \cdot h \cdot f
 \end{aligned}$$

A.2 Type equivalence

$$\mathbf{data} \ \alpha \leftrightarrow \beta = EP\{from :: \alpha \rightarrow \beta, to :: \beta \rightarrow \alpha\}$$

Reflexivity, symmetry and transitivity.

$$\begin{aligned}
 self &:: \forall \alpha. \alpha \leftrightarrow \alpha \\
 self &= EP\{from = id, to = id\} \\
 inv &:: \forall \alpha \beta. (\alpha \leftrightarrow \beta) \rightarrow (\beta \leftrightarrow \alpha) \\
 inv \ f &= EP\{from = to \ f, to = from \ f\} \\
 \mathbf{infix} \ 9 \ \diamond & \\
 (\diamond) &:: \forall \alpha \beta \gamma. (\beta \leftrightarrow \gamma) \rightarrow (\alpha \leftrightarrow \beta) \rightarrow (\alpha \leftrightarrow \gamma) \\
 f \diamond g &= EP\{from = from \ f \cdot from \ g, to = to \ g \cdot to \ f\}
 \end{aligned}$$

Mapping functions for generic representation types (and for the function type) implementing the laws of congruence.

$$\begin{aligned}
 (\oplus) &:: \forall \alpha \beta. (\alpha \leftrightarrow \beta) \rightarrow (\forall \gamma \delta. (\gamma \leftrightarrow \delta) \rightarrow ((\alpha + \gamma) \leftrightarrow (\beta + \delta))) \\
 f \oplus g &= EP\{from = from \ f + from \ g, to = to \ f + to \ g\} \\
 (\otimes) &:: \forall \alpha \beta. (\alpha \leftrightarrow \beta) \rightarrow (\forall \gamma \delta. (\gamma \leftrightarrow \delta) \rightarrow ((\alpha \times \gamma) \leftrightarrow (\beta \times \delta))) \\
 f \otimes g &= EP\{from = from \ f \times from \ g, to = to \ f \times to \ g\} \\
 (\ominus) &:: \forall \alpha \beta. (\alpha \leftrightarrow \beta) \rightarrow (\forall \gamma \delta. (\gamma \leftrightarrow \delta) \rightarrow ((\alpha \rightarrow \gamma) \leftrightarrow (\beta \rightarrow \delta))) \\
 f \ominus g &= EP\{from = to \ f \rightarrow from \ g, to = from \ f \rightarrow to \ g\}
 \end{aligned}$$

A.3 Type representations

$$\begin{aligned}
 \mathbf{data} \ Rep \ \tau &= & R_{Int} & (\tau \leftrightarrow Int) \\
 &| & R_{Char} & (\tau \leftrightarrow Char) \\
 &| & R_{Dynamic} & (\tau \leftrightarrow Dynamic) \\
 &| & \forall \alpha \beta. R_{\rightarrow} (Rep \ \alpha) (Rep \ \beta) & (\tau \leftrightarrow (\alpha \rightarrow \beta)) \\
 &| & R_1 & (\tau \leftrightarrow 1) \\
 &| & \forall \alpha \beta. R_+ (Rep \ \alpha) (Rep \ \beta) & (\tau \leftrightarrow (\alpha + \beta)) \\
 &| & \forall \alpha \beta. R_\times (Rep \ \alpha) (Rep \ \beta) & (\tau \leftrightarrow (\alpha \times \beta)) \\
 &| & \forall \alpha. R_{Type \ Term} (Rep \ \alpha) & (\tau \leftrightarrow \alpha) \\
 &| & R_{Con \ String} (Rep \ \tau) &
 \end{aligned}$$

Smart constructors.

```

rInt      :: Rep Int
rInt      = RInt self
rChar    :: Rep Char
rChar    = RChar self
rDynamic :: Rep Dynamic
rDynamic = RDynamic self
r→      ::  $\forall \alpha. \text{Rep } \alpha \rightarrow (\forall \beta. \text{Rep } \beta \rightarrow \text{Rep } (\alpha \rightarrow \beta))$ 
r→ rα rβ = R→ rα rβ self
r1      :: Rep 1
r1      = R1 self
r+      ::  $\forall \alpha. \text{Rep } \alpha \rightarrow (\forall \beta. \text{Rep } \beta \rightarrow \text{Rep } (\alpha + \beta))$ 
r+ rα rβ = R+ rα rβ self
r×      ::  $\forall \alpha. \text{Rep } \alpha \rightarrow (\forall \beta. \text{Rep } \beta \rightarrow \text{Rep } (\alpha \times \beta))$ 
r× rα rβ = R× rα rβ self

```

A class for representable types.

```

class Representable  $\tau$  where
  rep :: Rep  $\tau$ 
instance Representable Int where
  rep = rInt
instance Representable Char where
  rep = rChar
instance Representable Dynamic where
  rep = rDynamic
instance (Representable a, Representable b)  $\Rightarrow$  Representable (a → b) where
  rep = r→ rep rep
instance (Representable α, Representable β)  $\Rightarrow$  Representable (α + β) where
  rep = r+ rep rep
instance (Representable α, Representable β)  $\Rightarrow$  Representable (α × β) where
  rep = r× rep rep

```

A.4 Dynamics

```

data Dynamic =  $\forall \alpha. \text{Dyn (Rep } \alpha) \alpha$ 
dynamic      ::  $\forall \alpha. (\text{Representable } \alpha) \Rightarrow \alpha \rightarrow \text{Dynamic}$ 
dynamic x    = Dyn rep x

```

Run-time unification of types.

$$\begin{aligned}
\text{unify}' &:: \forall \tau_1 \tau_2. \text{Rep } \tau_1 \rightarrow \text{Rep } \tau_2 \rightarrow [\tau_1 \leftrightarrow \tau_2] \\
\text{unify}' (R_{\text{Int}} ep_1) (R_{\text{Int}} ep_2) &= [\text{inv } ep_2 \diamond ep_1] \\
\text{unify}' (R_{\text{Char}} ep_1) (R_{\text{Char}} ep_2) &= [\text{inv } ep_2 \diamond ep_1] \\
\text{unify}' (R_{\text{Dynamic}} ep_1) (R_{\text{Dynamic}} ep_2) &= [\text{inv } ep_2 \diamond ep_1] \\
\text{unify}' (R_{\rightarrow} r_{\alpha_1} r_{\beta_1} ep_1) (R_{\rightarrow} r_{\alpha_2} r_{\beta_2} ep_2) &= [\text{inv } ep_2 \diamond (ep_{\alpha} \ominus ep_{\beta}) \diamond ep_1 \mid ep_{\alpha} \leftarrow \text{unify}' r_{\alpha_1} r_{\alpha_2}, ep_{\beta} \leftarrow \text{unify}' r_{\beta_1} r_{\beta_2}] \\
\text{unify}' (R_1 ep_1) (R_1 ep_2) &= [\text{inv } ep_2 \diamond ep_1] \\
\text{unify}' (R_{+} r_{\alpha_1} r_{\beta_1} ep_1) (R_{+} r_{\alpha_2} r_{\beta_2} ep_2) &= [\text{inv } ep_2 \diamond (ep_{\alpha} \oplus ep_{\beta}) \diamond ep_1 \mid ep_{\alpha} \leftarrow \text{unify}' r_{\alpha_1} r_{\alpha_2}, ep_{\beta} \leftarrow \text{unify}' r_{\beta_1} r_{\beta_2}] \\
\text{unify}' (R_{\times} r_{\alpha_1} r_{\beta_1} ep_1) (R_{\times} r_{\alpha_2} r_{\beta_2} ep_2) &= [\text{inv } ep_2 \diamond (ep_{\alpha} \otimes ep_{\beta}) \diamond ep_1 \mid ep_{\alpha} \leftarrow \text{unify}' r_{\alpha_1} r_{\alpha_2}, ep_{\beta} \leftarrow \text{unify}' r_{\beta_1} r_{\beta_2}] \\
\text{unify}' (R_{\text{Con}} s_1 r_{\alpha_1}) (R_{\text{Con}} s_2 r_{\alpha_2}) &= \text{unify}' r_{\alpha_1} r_{\alpha_2} \\
\text{unify}' (R_{\text{Type}} t_1 r_{\alpha_1} ep_1) (R_{\text{Type}} t_2 r_{\alpha_2} ep_2) &= [\text{inv } ep_2 \diamond \text{head} (\text{unify}' r_{\alpha_1} r_{\alpha_2}) \diamond ep_1 \mid t_1 == t_2] \\
\text{unify}' _ _ &= [] \\
\text{unify} &:: \forall \tau_1 \tau_2. \text{Rep } \tau_1 \rightarrow \text{Rep } \tau_2 \rightarrow \text{Maybe } (\tau_1 \leftrightarrow \tau_2) \\
\text{unify } r1 r2 &= \text{case } \text{unify}' r1 r2 \text{ of} \\
&\quad x : _ \rightarrow \text{Just } x \\
&\quad [] \rightarrow \text{Nothing}
\end{aligned}$$

Type-safe cast and dynamic function application.

$$\begin{aligned}
r\text{Cast} &:: \forall \tau. \text{Rep } \tau \rightarrow \text{Dynamic} \rightarrow \tau \\
r\text{Cast } r_{\tau} (\text{Dyn } r_{\alpha} a) &= \text{case } \text{unify } r_{\tau} r_{\alpha} \text{ of} \\
&\quad \text{Just } ep \rightarrow \text{to } ep a \\
&\quad \text{Nothing} \rightarrow \text{error "cast: type mismatch"} \\
\text{cast} &:: (\text{Representable } \tau) \Rightarrow \text{Dynamic} \rightarrow \tau \\
\text{cast } d &= r\text{Cast } \text{rep } d \\
\text{apply} &:: \text{Dynamic} \rightarrow \text{Dynamic} \rightarrow \text{Dynamic} \\
\text{apply } (\text{Dyn } (R_{\rightarrow} r_{\alpha} r_{\beta} ep) f) (\text{Dyn } r_{\alpha'} x) &= \text{case } \text{unify } r_{\alpha} r_{\alpha'} \text{ of} \\
&\quad \text{Just } ep' \rightarrow \text{Dyn } r_{\beta} ((\text{from } ep f) (\text{to } ep' x)) \\
&\quad \text{Nothing} \rightarrow \text{error "apply: type mismatch"} \\
\text{apply } _ _ &= \text{error "apply: not a function"}
\end{aligned}$$

A.5 Type terms

$$\begin{aligned}
\text{data } \text{Term} &= \text{App String [Term]} \\
&\quad \text{deriving (Show, Eq)} \\
\text{term}_{\star} &:: \forall \tau. \text{Rep } \tau \rightarrow \text{Term} \\
\text{term}_{\star} (R_{\text{Int}} ep) &= \text{App "Int" []} \\
\text{term}_{\star} (R_{\text{Char}} ep) &= \text{App "Char" []} \\
\text{term}_{\star} (R_{\text{Dynamic}} ep) &= \text{App "Dynamic" []} \\
\text{term}_{\star} (R_{\rightarrow} r_{\alpha} r_{\beta} ep) &= \text{App "->" [term}_{\star} r_{\alpha}, \text{term}_{\star} r_{\beta}] \\
\text{term}_{\star} (R_1 ep) &= \text{App "1" []} \\
\text{term}_{\star} (R_{+} r_{\alpha} r_{\beta} ep) &= \text{App "+" [term}_{\star} r_{\alpha}, \text{term}_{\star} r_{\beta}] \\
\text{term}_{\star} (R_{\times} r_{\alpha} r_{\beta} ep) &= \text{App "*" [term}_{\star} r_{\alpha}, \text{term}_{\star} r_{\beta}] \\
\text{term}_{\star} (R_{\text{Type}} t r_{\alpha} ep) &= t \\
\text{term}_{\star \rightarrow \star} &:: \forall \phi. (\forall \alpha. \text{Rep } \alpha \rightarrow \text{Rep } (\phi \alpha)) \rightarrow \text{Term} \\
\text{term}_{\star \rightarrow \star} r_{\phi} &= \text{case } \text{term}_{\star} (r_{\phi} r_1) \text{ of App } t \text{ ts} \rightarrow \text{App } t (\text{init } ts)
\end{aligned}$$

A.6 Generics

Examples of type representations.

```

rBool      :: Rep Bool
rBool      = RType (App "Bool" [])
              (r+ (RCon "False" r1) (RCon "True" r1))
              (EP fromBool toBool)

fromBool   :: Bool → 1 + 1
fromBool False = Inl Unit
fromBool True  = Inr Unit

toBool    :: 1 + 1 → Bool
toBool (Inl Unit) = False
toBool (Inr Unit) = True

r[]      :: ∀α. Rep α → Rep [α]
r[] rα   = RType (App "[]" [term* rα])
              (r+ (RCon "[]" r1) (RCon "(:)" (r× rα (r[] rα))))
              (EP from[] to[])

from[]    :: ∀α. [α] → 1 + (α × [α])
from[] []      = Inl Unit
from[] (a : as) = Inr (a :×: as)

to[]     :: ∀α. 1 + (α × [α]) → [α]
to[] (Inl Unit) = []
to[] (Inr (a :×: as)) = a : as

instance Representable Bool where
  rep = rBool
instance (Representable α) ⇒ Representable [α] where
  rep = r[] rep

```

Generic functions: generic equality.

```

rEqual      :: ∀τ. Rep τ → τ → τ → Bool
rEqual (RInl ep) t1 t2 = from ep t1 == from ep t2
rEqual (RChar ep) t1 t2 = from ep t1 == from ep t2
rEqual (RDynamic ep) d1 d2 = case (from ep d1, from ep d2) of
  (Dyn rα1 v1, Dyn rα2 v2)
  → case unify rα1 rα2 of
    Just ep' → rEqual rα1 v1 (to ep' v2)
    Nothing → False
rEqual (R→ rα rβ ep) t1 t2 = error "rEqual: equality of functions"
rEqual (R1 ep) t1 t2 = case (from ep t1, from ep t2) of
  (Unit, Unit) → True
rEqual (R+ rα rβ ep) t1 t2 = case (from ep t1, from ep t2) of
  (Inl a1, Inl a2) → rEqual rα a1 a2
  (Inr b1, Inr b2) → rEqual rβ b1 b2
  _ → False
rEqual (R× rα rβ ep) t1 t2 = case (from ep t1, from ep t2) of
  (a1 :×: b1, a2 :×: b2) →
    rEqual rα a1 a2 ∧ rEqual rβ b1 b2
rEqual (RType e rα ep) t1 t2 = rEqual rα (from ep t1) (from ep t2)
rEqual (RCon s rα) t1 t2 = rEqual rα t1 t2

```

Generic minimum.

```

rMinBound   :: ∀τ. Rep τ → τ
rMinBound (RInl ep) = to ep (minBound)
rMinBound (RChar ep) = to ep (minBound)
rMinBound (RDynamic ep) = error "rMinBound: dynamic"
rMinBound (R→ rα rβ ep) = to ep (λa → rMinBound rβ)
rMinBound (R1 ep) = to ep (Unit)
rMinBound (R+ rα rβ ep) = to ep (Inl (rMinBound rα))
rMinBound (R× rα rβ ep) = to ep (rMinBound rα :×: rMinBound rβ)
rMinBound (RType t rα ep) = to ep (rMinBound rα)
rMinBound (RCon s rα) = rMinBound rα

```

Generic unparsing.

```

rShows :: ∀τ. Rep τ → τ → ShowS
rShows (RInt ep) t = shows (from ep t)
rShows (RChar ep) t = shows (from ep t)
rShows (RDynamic ep) t = case from ep t of
    Dyn rα x → showChar ' (' · showString "dynamic "
                · rShows rα x · showChar ' ) '

rShows (R→ rα rβ ep) t = showString "<function>"
rShows (R1 ep) t = showString ""
rShows (R+ rα rβ ep) t = case from ep t of
    Inl a → rShows rα a
    Inr b → rShows rβ b
rShows (R× rα rβ ep) t = case from ep t of
    (a ∷: b) → rShows rα a · showString " " · rShows rβ b
rShows (RType e rα ep) t = rShows rα (from ep t)
rShows (RCon s (R1 ep)) t = showString s
rShows (RCon s rα) t = showChar ' (' · showString s · showChar ' '
    · rShows rα t · showChar ' ) '

```

Generic memoization.

```

rMemo :: ∀τ v. Rep τ → (τ → v) → (τ → v)
rMemo (RInt ep) f = λt → f t -- no memoization
rMemo (RChar ep) f = λt → f t -- no memoization
rMemo (RDynamic ep) f = λt → f t -- no memoization
rMemo (R→ rα rβ ep) f = λt → f t -- no memoization
rMemo (R1 ep) f = λt → case from ep t of
    Unit → fUnit

    where fUnit = f (to ep (Unit))
rMemo (R+ rα rβ ep) f = λt → case from ep t of
    Inl a → fInl a
    Inr b → fInr b

    where fInl = rMemo rα (λa → f (to ep (Inl a)))
          fInr = rMemo rβ (λb → f (to ep (Inr b)))
rMemo (R× rα rβ ep) f = λt → case from ep t of
    a ∷: b → fPair a b

    where fPair = rMemo rα (λa → rMemo rβ (λb → f (to ep (a ∷: b))))
rMemo (RType e rα ep) f = λt → rMemo rα (λa → f (to ep a)) (from ep t)
rMemo (RCon s rα) f = rMemo rα f

```

Note that we do *not* memoize primitive types such as *Ints* or *Chars* (this would require building a look-up table).