

# On model-checking trees generated by higher-order recursion schemes

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## Abstract

We prove that the modal mu-calculus model-checking problem for (ranked and ordered) node-labelled trees that are generated by order- $n$  recursion schemes (whether safe or not, and whether homogeneously typed or not) is  $n$ -EXPTIME complete, for every  $n \geq 0$ . It follows that the monadic second-order theories of these trees are decidable.

There are three major ingredients. The first is a certain transference principle from the tree generated by the scheme – the value tree – to an auxiliary computation tree, which is itself a tree generated by a related order-0 recursion scheme (equivalently, a regular tree). Using innocent game semantics in the sense of Hyland and Ong, we establish a strong correspondence between paths in the value tree and traversals in the computation tree. This allows us to prove that a given alternating parity tree automaton (APT) has an (accepting) run-tree over the value tree iff it has an (accepting) traversal-tree over the computation tree. The second ingredient is the simulation of an (accepting) traversal-tree by a certain set of annotated paths over the computation tree; we introduce traversal-simulating APT as a recognising device for the latter. Finally, for the complexity result, we prove that traversal-simulating APT enjoy a succinctness property: for deciding acceptance, it is enough to consider run-trees that have a reduced branching factor. The desired bound is then obtained by analysing the complexity of solving an associated (finite) acceptance parity game.

## 1. Introduction

What classes of finitely-presentable infinite-state systems have decidable monadic second-order (MSO) theories? This is a basic problem in Computer-Aided Verification that is important to practice because standard temporal logics such as LTL, CTL and CTL\* are embeddable in MSO logic. One of the best known examples of such a class are the *regular trees* as studied by Rabin in 1969. A notable advance occurred some fifteen years later, when Muller and

Shupp [13] proved that the *configuration graphs of pushdown systems* have decidable MSO theories. In the 90's, as finite-state technologies matured, researchers embraced the challenges of software verification. A highlight from this period was Caucal's result [5] that *prefix-recognizable graphs* have decidable MSO theories. In 2002 a flurry of discoveries significantly extended and unified earlier developments. In a FOSSACS'02 paper [11], Knapik, Niwiński and Urzyczyn studied the infinite hierarchy of term-trees generated by higher-order recursion schemes that are *homogeneously typed* and satisfy a syntactic constraint called *safety*. They showed that for every  $n \geq 0$ , trees generated by order- $n$  safe schemes are exactly those that are accepted by *order- $n$  pushdown automata*; further they have decidable MSO theories. Later in the year at MFCS'02 [6], Caucal introduced a tree hierarchy and a graph hierarchy that are defined by mutual recursion, using a pair of powerful transformations that preserve decidability of MSO theories. Caucal's tree hierarchy coincides with the hierarchy of trees generated by higher-order pushdown automata.

Knapik *et al.* [11] have asked if the safety assumption is really necessary for their MSO decidability result. A partial answer has recently been obtained by Aehlig, de Miranda and Ong; they showed at TLCA'05 [2] that all trees up to order 2, whether safe or not, have decidable MSO theories. Independently, Knapik, Niwiński, Urzyczyn and Walukiewicz obtained a sharper result: they proved at ICALP'05 [12] that the modal mu-calculus model-checking problem for trees generated by order-2 recursion schemes (whether safe or not) is 2-EXPTIME complete. In this paper we give a complete answer to the question:

**Theorem 1.** *The modal mu-calculus model-checking problem for trees generated by order- $n$  recursion schemes (whether safe or not, and whether homogeneously typed or not) is  $n$ -EXPTIME complete, for every  $n \geq 0$ . Thus these trees have decidable MSO theories.*

Our approach is to transfer the algorithmic analysis from the tree generated by a recursion scheme, which we call *value tree*, to an auxiliary *computation tree*, which is itself a tree generated by a related order-0 recursion scheme (equivalently, a regular tree). The computation tree recovers useful intensional information about the computational

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process behind the construction of the value tree. Using innocent game semantics [9], we then establish a strong correspondence (Theorem 3) between *paths* in the value tree and (what we call) *traversals* over the computation tree. In the language of game semantics, paths in the value tree correspond exactly to plays in the strategy-denotation of the recursion scheme; a traversal is then (a representation of) the *uncovering* of such a play. The path-traversal correspondence allows us to prove that a given alternating parity tree automaton (APT) has an accepting run-tree over the value tree if and only if it has an accepting *traversal-tree* over the computation tree (Corollary 4).

Our problem is then reduced to finding an effective way of recognising a set of infinite traversals (over a given computation tree) that satisfy the parity condition. This requires a new idea as a traversal is most unlike a path; it can jump all over the tree and may even visit certain nodes infinitely often. Our solution exploits the game-semantic connection. It is a property of traversals that their *P-views* are paths (in the computation tree). This allows us to simulate a traversal over a computation tree by (the P-views of its prefixes, which are) annotated paths of a certain kind in the same tree. The simulation is made precise in the notion of *traversal-simulating* APT. We establish the correctness of the simulation by proving that a given *property*<sup>1</sup> APT has an accepting traversal-tree over the computation tree if and only if the associated *traversal-simulating* APT has an accepting run-tree over the computation tree (Theorem 5). Note that decidability of the modal mu-calculus model-checking problem for trees generated by recursion schemes follows at once since computation trees are regular, and the APT acceptance problem for regular trees is decidable.

To prove *n-EXPTIME* completeness of the decision problem, we first establish a certain *succinctness property* (Proposition 6) for traversal-simulating APT: if a traversal-simulating APT  $\mathcal{C}$  has an accepting run-tree, then it has one with a reduced branching factor. The desired time bound is then obtained by analysing the complexity of solving an associated (finite) acceptance parity game, which is an appropriate product of the traversal-simulating APT and a finite deterministic graph that unfolds to the computation tree in question.

Using a novel finitary semantics of the lambda calculus, Aehlig [3] has shown that model-checking trees generated by recursion schemes (whether safe or not) against all properties expressible by non-deterministic tree automata with the trivial acceptance condition is decidable (i.e. acceptance simply means that the automaton has a run-tree).

This paper is an extended abstract. The reader is directed to the preprint [14] for further details, including proofs.

<sup>1</sup>*Property* APT because the APT corresponds to the property described by a given modal mu-calculus formula.

## 2. Preliminaries

*Types* are generated from the base type  $o$  using the arrow constructor  $\rightarrow$ . Every type  $A$  can be written uniquely as  $A_1 \rightarrow \cdots \rightarrow A_n \rightarrow o$  (arrows associate to the right), for some  $n \geq 0$  which is called its *arity*; we shall often write  $A$  simply as  $(A_1, \dots, A_n, o)$ . We define the *order* of a type by:  $ord(o) = o$  and  $ord(A \rightarrow B) = \max(ord(A) + 1, ord(B))$ . Let  $\Sigma$  be a *ranked alphabet* i.e. each  $\Sigma$ -symbol  $f$  has an arity  $ar(f) \geq 0$  which determines its type  $(\underbrace{o, \dots, o}_{ar(f)}, o)$ . Further we shall assume that

each symbol  $f \in \Sigma$  is assigned a finite set  $\text{Dir}(f)$  of exactly  $ar(f)$  *directions*, and we define  $\text{Dir}(\Sigma) = \bigcup_{f \in \Sigma} \text{Dir}(f)$ . Let  $D$  be a set of directions; a *D-tree* is just a prefix-closed subset of  $D^*$ , the free monoid of  $D$ . A  $\Sigma$ -*labelled tree* is a function  $t : \text{Dom}(t) \rightarrow \Sigma$  such that  $\text{Dom}(t)$  is a  $\text{Dir}(\Sigma)$ -tree, and for every node  $\alpha \in \text{Dom}(t)$ , the  $\Sigma$ -symbol  $t(\alpha)$  has arity  $k$  if and only if  $\alpha$  has exactly  $k$  children and the set of its children is  $\{\alpha i : i \in \text{Dir}(t(\alpha))\}$  i.e.  $t$  is a *ranked*<sup>2</sup> tree. Henceforth we shall assume that the ranked alphabet  $\Sigma$  contains a distinguished nullary symbol  $\perp$  which will be used exclusively to label “undefined” nodes.

*Note.* We write  $[m]$  as a shorthand for  $\{1, \dots, m\}$ . Henceforth we fix a ranked alphabet  $\Sigma$  for the rest of the paper, and set  $\text{Dir}(f) = [ar(f)]$  for each  $f \in \Sigma$ ; hence we have  $\text{Dir}(\Sigma) = [ar(\Sigma)]$ , writing  $ar(\Sigma)$  to mean  $\max\{ar(f) : f \in \Sigma\}$ .

For each type  $A$ , we assume an infinite collection  $\text{Var}^A$  of variables of type  $A$ , and write  $\text{Var}$  to be the union of  $\text{Var}^A$  as  $A$  ranges over types. A (deterministic) *recursion scheme* is a tuple  $G = \langle \Sigma, \mathcal{N}, \mathcal{R}, S \rangle$  where  $\Sigma$  is a ranked alphabet of *terminals*;  $\mathcal{N}$  is a set of typed *non-terminals*;  $S \in \mathcal{N}$  is a distinguished *start symbol* of type  $o$ ;  $\mathcal{R}$  is a finite set of rewrite rules – one for each non-terminal  $F : (A_1, \dots, A_n, o)$  – of the form

$$F \xi_1 \cdots \xi_n \rightarrow e$$

where each  $\xi_i$  is in  $\text{Var}^{A_i}$ , and  $e$  is an *applicative term*<sup>3</sup> of type  $o$  constructed from elements of  $\Sigma \cup \mathcal{N} \cup \{\xi_1, \dots, \xi_n\}$ . The *order* of a recursion scheme is the highest order of its non-terminals.

We use recursion schemes as generators of  $\Sigma$ -labelled trees. The *value tree* of (or the tree *generated* by) a recursion scheme  $G$  is a possibly infinite applicative term, but viewed as a  $\Sigma$ -labelled tree, *constructed from the terminals*

<sup>2</sup>In the sequel, we shall have occasions to consider unordered trees whose nodes are labelled by symbols of an *unranked* alphabet  $\Gamma$ . To avoid confusion, we shall call these trees  $\Gamma$ -*labelled unranked trees*.

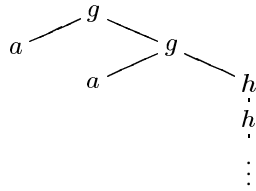
<sup>3</sup>*Applicative terms* are terms constructed from the generators using the application rule: if  $d : A \rightarrow B$  and  $e : A$  then  $(de) : B$ . Standardly we identify finite  $\Sigma$ -labelled trees with applicative terms of type  $o$  generated from  $\Sigma$ -symbols endowed with 1st-order types *as given by their arities*.

in  $\Sigma$ , that is obtained by unfolding the rewrite rules of  $G$  *ad infinitum*, replacing formal by actual parameters each time, starting from the start symbol  $S$ .

**Example 2.1 (Running).** [The simple recursion scheme defined here will be used to illustrate various concepts throughout the paper.] Let  $G$  be the order-2 (unsafe) recursion scheme with rewrite rules:

$$\begin{aligned} S &\rightarrow H a \\ H z^o &\rightarrow F (g z) \\ F \varphi^{(o,o)} &\rightarrow \varphi(\varphi(F h)) \end{aligned}$$

where the arities of the terminals  $g, h, a$  are 2, 1, 0 respectively. The value tree  $\llbracket G \rrbracket$  is the  $\Sigma$ -labelled tree defined by the infinite term  $g a (g a (h (h (h \dots))))$ :



The only infinite *path* in the tree is the node-sequence  $\epsilon \cdot 2 \cdot 22 \cdot 221 \cdot 2211 \dots$  (with the corresponding *trace*  $g g h h h \dots \in \Sigma^\omega$ ).

This paper is concerned with the decision problem: *Given a modal mu-calculus formula  $\varphi$  and an order- $n$  recursion scheme  $G$ , does  $\llbracket G \rrbracket$  satisfy  $\varphi$  (at  $\epsilon$ )?* The problem is equivalent [7] to deciding whether a given alternating parity tree automaton  $\mathcal{B}$  has an accepting run-tree over  $\llbracket G \rrbracket$ . To fix notation, an *alternating parity tree automaton* (or APT for short) over  $\Sigma$ -labelled trees is a tuple  $\langle \Sigma, Q, \delta, q_0, \Omega \rangle$  where  $\Sigma$  is the input ranked alphabet,  $Q$  is a finite state-set,  $q_0 \in Q$  is the initial state,  $\delta : Q \times \Sigma \rightarrow \mathbb{B}^+(\text{Dir}(\Sigma) \times Q)$  is the transition function whereby for each  $f \in \Sigma$  and  $q \in Q$ , we have  $\delta(q, f) \in \mathbb{B}^+(\text{Dir}(f) \times Q)$  where  $\mathbb{B}^+(X)$  is the set of positive boolean formulas over elements of  $X$ , and  $\Omega : Q \rightarrow \mathbb{N}$  is the priority function.

### 3. Computation trees and traversals

The *long transform*,  $\overline{G}$ , of a recursion scheme  $G$  is an order-0 recursion scheme. Its rules are obtained from those of  $G$  by applying the following four-stage transformation in turn. For each  $G$ -rule:

1. *Expand the RHS to its  $\eta$ -long form:* We hereditarily  $\eta$ -expand every subterm – even if it is of ground type so that  $e : o$  expands to  $\lambda.e$  – provided it is the *operand* of an occurrence of the application operator.
2. *Insert long-apply symbols  $@_A$ :* Replace each *ground-type* subterm  $D e_1 \dots e_n$  by  $@_A D e_1 \dots e_n$  where  $A = ((A_1, \dots, A_n, o), A_1, \dots, A_n, o)$ .

3. *Curry the rewrite rule.* I.e. transform the rule  $F \varphi_1 \dots \varphi_n \rightarrow e$  to  $F \rightarrow \lambda \varphi_1 \dots \varphi_n.e$ .
4. *Rename bound variables afresh.*

$\overline{G}$  is an order-0 recursion scheme with respect to an enlarged ranked alphabet  $\Lambda_G$ , which is  $\Sigma$  augmented by certain variables and lambdas (of the form  $\lambda \bar{\xi}$  which is a shorthand for  $\lambda \xi_1 \dots \xi_n$  where  $n \geq 0$ ) but regarded as terminals. The alphabet  $\Lambda_G$  is a finite subset of the set

$$\underbrace{\Sigma \cup \text{Var} \cup \{ @_A : A \in \text{ATypes} \}}_{\text{Non-lambdas}} \cup \underbrace{\{ \lambda \bar{\xi} : \bar{\xi} \subseteq \text{Var} \}}_{\text{Lambdas}}$$

where  $\text{ATypes}$  is the set of types of the shape  $((A_1, \dots, A_n, o), A_1, \dots, A_n, o)$  with  $n \geq 1$ . Symbols in  $\Lambda_G$  are ranked as follows. A symbol  $\varphi : (A_1, \dots, A_n, o)$  from  $\text{Var}$  has arity  $n$ . The *long-apply*  $@_A$  where  $A = ((A_1, \dots, A_n, o), A_1, \dots, A_n, o)$  has arity  $n + 1$ . Lambdas  $\lambda \bar{\xi}$  have arity 1. Further, for  $f \in \Lambda_G$ , we define

$$\text{Dir}(f) = \begin{cases} [\text{ar}(@_A) - 1] \cup \{0\} & \text{if } f = @_A \\ [\text{ar}(f)] & \text{otherwise} \end{cases}$$

For technical convenience, the leftmost child of an  $@$ -node is its 0-child, but for all other nodes, the leftmost child is the 1-child. The *non-terminals* of  $\overline{G}$  are exactly those of  $G$ , except that they are all of type  $o$ . We can now define the *computation tree*<sup>4</sup>  $\lambda(G)$  to be  $\llbracket \overline{G} \rrbracket$ . Thus  $\lambda(G)$  is the  $\Lambda_G$ -labelled (ranked and ordered) tree that is obtained by unfolding the  $\overline{G}$ -rules *ad infinitum* (note that no “ $\beta$ -redex” is contracted in the process).

**Example 3.1.** Let  $G$  be as defined in Example 2.1. We present its long transform  $\overline{G}$  as follows and the computation tree  $\lambda(G)$  in Figure 1.

$$\overline{G} : \begin{cases} S &\rightarrow \lambda.@ H (\lambda.a) \\ H &\rightarrow \lambda z.@ F (\lambda y.g (\lambda z.) (\lambda y)) \\ F &\rightarrow \lambda \varphi.\varphi (\lambda.\varphi (\lambda.@ F (\lambda x.h (\lambda.x)))) \end{cases}$$

In Figure 1, for ease of reference, we give nodes of  $\lambda(G)$  numeric names (in square-brackets).

We define a family of binary relations  $\vdash_i$ , where  $i \in \text{Dir}(\Lambda_G)$ , between nodes of a computation tree  $\lambda(G)$ , called *enabling*, as follows:

- Every lambda-labelled node  $\beta$ , that is the  $i$ -child of its parent node  $\alpha$ , is  *$i$ -enabled* by  $\alpha$ .
- A variable node  $\beta$  (labelled  $\xi_i$ , say) is  *$i$ -enabled* by its *binder*, which is defined to be the largest prefix of  $\beta$  that is labelled by a lambda  $\lambda \bar{\xi}$ , for some list  $\bar{\xi} = \xi_1 \dots \xi_n$  in which  $\xi_i$  occurs as the  $i$ -element.

<sup>4</sup>In recent work on deciding higher-order matching [15], Colin Stirling has introduced *property checking game* over a kind of trees determined by lambda terms. His trees are exactly the same as our computation trees.

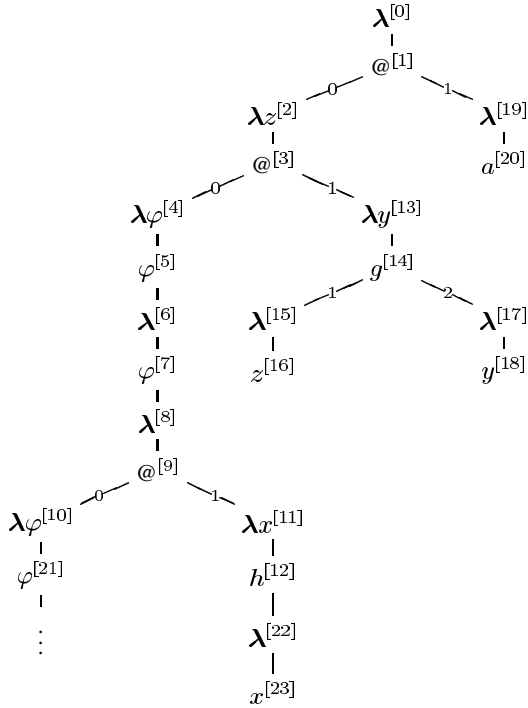


Figure 1. An order-2 computation tree.

We say that  $\beta$  is *enabled* by  $\alpha$  just if  $\beta$  is  $i$ -enabled by  $\alpha$ , for some (necessarily unique)  $i$ . A node of  $\lambda(G)$  is *initial* if it is not enabled by any node. The initial nodes of a computation tree are the root node and all nodes labelled by a long-apply or  $\Sigma$ -symbol. A *justified sequence* over  $\lambda(G)$  is a possibly infinite, lambda / non-lambda alternating sequence of nodes that satisfies the *pointer condition*: Each non-initial node that occurs in it has a pointer to some earlier occurrence of the node that enables it.

*Notation*  $\dots n_0 \overset{j}{\curvearrowright} \dots n \dots$  means that  $n$  points to  $n_0$  and  $n$  is  $j$ -enabled by  $n_0$ . We say that  $n$  is  $j$ -justified by  $n_0$  in the justified sequence.

The notion of *view* (of a justified sequence) and the condition of *Visibility* were first introduced in game semantics [9]. Intuitively the *P-view* of a justified sequence is a certain subsequence consisting of moves which player P considers relevant for determining his next move in the play. In the setting here, the lambda nodes are the O-moves, and the non-lambda moves are the P-moves.

The *P-view*,  $\lceil t \rceil$ , of a justified sequence  $t$  is a subsequence defined by recursion as follows: we let  $n$  range over

non-lambda nodes

$$\begin{aligned} \lceil \lambda \rceil &= \lambda \\ \lceil t n \dots \lambda \bar{\xi} \rceil &= \lceil t \rceil n \overset{i}{\curvearrowright} \lambda \bar{\xi} \\ \lceil t \lambda \bar{\xi} n \rceil &= \lceil t \lambda \bar{\xi} \rceil n \end{aligned}$$

In the second clause above, suppose the non-lambda node  $n$  points to some node-occurrence  $l$  (say) in  $t$ ; if  $l$  appears in  $\lceil t \rceil$ , then  $n$  in  $\lceil t \rceil n \overset{i}{\curvearrowright} \lambda \bar{\xi}$  is defined to point to  $l$ ; otherwise  $n$  has no pointer; similarly for the third clause. We say that a justified sequence  $t$  satisfies *P-visibility* just in case every non-initial non-lambda node that occurs in the sequence points to some (necessarily lambda) node that appears in the P-view at that point.

**Definition 3.2.** *Traversals* over a computation tree  $\lambda(G)$  are justified sequences defined by induction over the following rules. In the following, we refer to nodes of  $\lambda(G)$  by their labels, and we let  $n$  range over non-lambda nodes.

**(Root)** The singleton sequence, comprising the root node of  $\lambda(G)$ , is a traversal.

**(App)** If  $t @$  is a traversal, so is  $t @ \overset{0}{\curvearrowright} \lambda \bar{\xi}$ .

**(Sig)** If  $t f$  is a traversal, so is  $t f \overset{i}{\curvearrowright} \lambda$  for each  $1 \leq i \leq ar(f)$  with  $f \in \Sigma$

**(Var)** If  $t n \lambda \bar{\xi} \dots \xi$  is a traversal, so is

$$t n \overset{i}{\curvearrowright} \lambda \bar{\xi} \dots \xi \overset{i}{\curvearrowright} \lambda \bar{\eta}$$

**(Lam)** If  $t \lambda \bar{\xi}$  is a traversal and  $\lceil t \lambda \bar{\xi} n \rceil$  is a path in  $\lambda(G)$ , then  $t \lambda \bar{\xi} n$  is a traversal.

Thus the way that a traversal can grow is deterministic (and determined by  $\lambda(G)$ ), except when the last node in the justified sequence is a  $\Sigma$ -symbol  $f$  of arity  $k > 1$ , in which case, the traversal can grow in one of  $k$  possible directions in the next step.

**Lemma 2.** *Traversals are well-defined justified sequences that satisfy P-visibility (and O-visibility). Further, the P-view of a traversal is a path in the computation tree.*

**Example 3.3.** The following are maximal traversals (pointers omitted) over the computation tree shown in Figure 1:

$$\begin{aligned} &0 \cdot 1 \cdot 2 \cdot 3 \cdot 4 \cdot 5 \cdot 13 \cdot 14 \cdot 15 \cdot 16 \cdot 19 \cdot 20 \\ &0 \cdot 1 \cdot 2 \cdot 3 \cdot 4 \cdot 5 \cdot 13 \cdot 14 \cdot 17 \cdot 18 \cdot 6 \cdot 7 \cdot 13 \cdot 14 \cdot 15 \cdot 16 \cdot 19 \cdot 20 \end{aligned}$$

The preceding traversals have the same P-view, namely,  $0 \cdot 1 \cdot 19 \cdot 20$ . The P-view of  $0 \dots 16$  (i.e. the prefix of the 2nd traversal above that ends in 16) is  $0 \cdot 1 \cdot 2 \cdot 3 \cdot 13 \cdot 14 \cdot 15 \cdot 16$ .

We state an important result that underpins our approach.

**Theorem 3.** *Let  $G$  be a recursion scheme. There is a one-one correspondence,  $p \mapsto t_p$ , between maximal paths  $p$  in the value tree  $\llbracket G \rrbracket$  and maximal traversals  $t_p$  over the computation tree  $\lambda(G)$ . Further for every maximal path  $p$  in  $\llbracket G \rrbracket$ , we have  $t_p \upharpoonright \Sigma^- = p \upharpoonright \Sigma^-$ , where  $s \upharpoonright \Sigma^-$  denotes the subsequence of  $s$  consisting of only  $\Sigma^-$ -symbols with  $\Sigma^- = \Sigma \setminus \{\perp\}$ .*

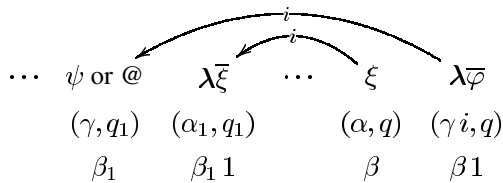
Using the language of game semantics, we are claiming (in the Theorem) that the traversal  $t_p$  is (a representation of) the *uncovering* of the path  $p$  viewed as a play. The proof is by innocent game semantics [9].

**Example 3.4.** To illustrate Theorem 3, consider the computation tree in Figure 1. The two (maximal) traversals over  $\lambda(G)$  given in Example 3.3 correspond respectively to the (maximal) paths  $g \cdot a$  and  $g \cdot g \cdot a$  in  $\llbracket G \rrbracket$ . The traversal  $0 \cdot 1 \cdot 2 \cdot 3 \cdot 4 \cdot 5 \cdot 13 \cdot 14 \cdot 17 \cdot 18 \cdot 6 \cdot 7 \cdot 13 \cdot 14 \cdot 17 \cdot 18 \cdot 8 \cdot 9 \cdot 10 \cdot 21 \cdot 11 \cdot 12$  corresponds to the path  $g \cdot g \cdot h$ .

Relative to a *property*  $\text{APT } \mathcal{B} = \langle \Sigma, Q, \delta, q_0, \Omega \rangle$  over  $\Sigma$ -labelled trees, an (accepting) traversal-tree of  $\mathcal{B}$  over  $\lambda(G)$  plays the same rôle as an (accepting) run-tree of  $\mathcal{B}$  over  $\llbracket G \rrbracket$ . A path in a traversal-tree is a traversal in which each node is annotated by an element of  $Q$ . Formally, we have:

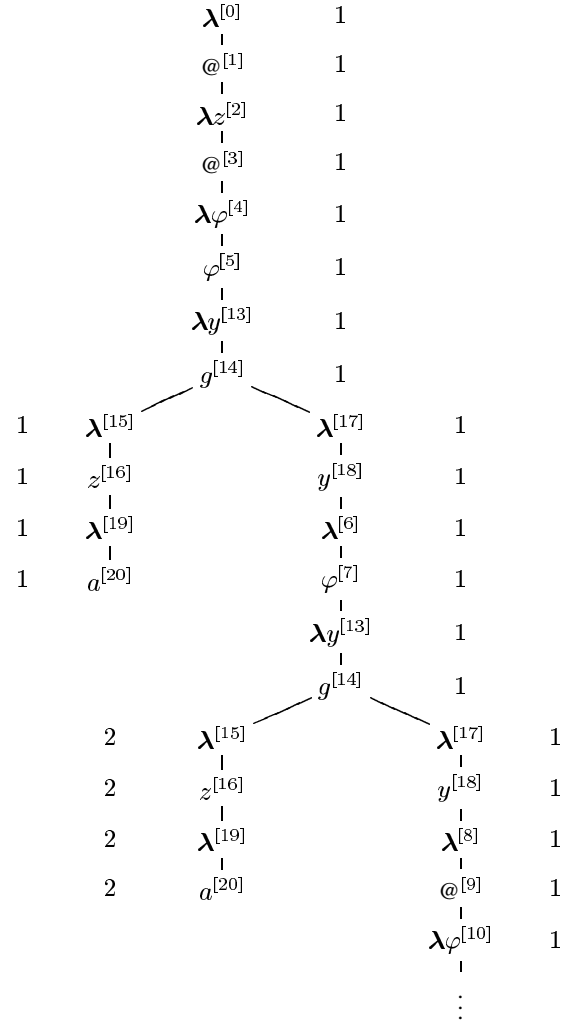
**Definition 3.5.** A *traversal-tree* of a property  $\text{APT } \mathcal{B}$  over a  $\Lambda_G$ -labelled tree  $\lambda(G)$  is a  $(\text{Dom}(\lambda(G)) \times Q)$ -labelled unranked tree  $t : \text{Dom}(t) \rightarrow \text{Dom}(\lambda(G)) \times Q$ , satisfying  $t(\varepsilon) = (\varepsilon, q_0)$ , and for every  $\beta \in \text{Dom}(t)$  with  $t(\beta) = (\alpha, q)$ :

- If  $\lambda(G)(\alpha)$  is an @, then  $t(\beta 1) = (\alpha 0, q)$ .
- If  $\lambda(G)(\alpha)$  is a  $\Sigma$ -symbol  $f$ , then there is some  $S \subseteq [\text{ar}(f)] \times Q$  such that  $S$  satisfies  $\delta(q, f)$  – and we pick the smallest such  $S$ ; and for each  $(i, q') \in S$ , there is some  $1 \leq j \leq \text{ar}(\Sigma) \times |Q|$ , such that  $t(\beta j) = (\alpha i, q')$ .
- If  $\lambda(G)(\alpha)$  is a variable, and  $\alpha$  is  $i$ -justified by  $\alpha_1$  with  $t(\beta_1 1) = (\alpha_1, q_1)$  for some  $\beta_1$  and  $q_1$ , then  $t(\beta 1) = (\gamma i, q)$  where  $t(\beta_1) = (\gamma, q_1)$ .



Note that  $\gamma i$  is a lambda node that is  $i$ -justified by  $\gamma$  which is labelled by either an @-symbol or a variable.

- If  $\lambda(G)(\alpha)$  is a lambda, then  $t(\beta 1) = (\alpha 1, q)$ .



**Figure 2.** A traversal-tree of an APT over  $\lambda(G)$ .

A traversal-tree  $t$  is *accepting* if all infinite traces  $(\alpha_0, q_0) (\alpha_1, q_{i_1}) (\alpha_2, q_{i_2}) \dots$  through it satisfy the parity condition, namely,  $\limsup \langle \Omega(q_{i_j}) : j \geq 0 \rangle$  is even.

It follows from the definition that (the element-wise first-projection of) every trace of a traversal-tree is a traversal over the computation tree.

**Example 3.6.** Take  $G$  as defined in Example 2.1. Consider an  $\text{APT } \mathcal{B}$  over  $\Sigma$ -labelled trees with state-set  $Q = \{1, 2\}$  where 1 is the initial state, and states 1 and 2 have priorities 1 and 2 respectively. The transition map  $\delta : Q \times \Sigma \rightarrow \text{B}^+([\text{ar}(\Sigma)] \times Q)$  is defined as follows:

$$\delta : \begin{cases} (1, g) & \mapsto ((1, 1) \wedge (2, 1)) \vee ((1, 2) \wedge (2, 1)) \\ (1, a) & \mapsto \text{true} \\ (2, a) & \mapsto \text{true} \end{cases}$$

In Figure 2, we present a traversal-tree of  $\mathcal{B}$  over  $\lambda(G)$ .

We state a straightforward consequence of Theorem 3:

**Corollary 4.** *There is a one-one correspondence between*

- (i) *accepting run-trees of  $\mathcal{B}$  over  $\llbracket G \rrbracket$*
- (ii) *accepting traversal-trees of  $\mathcal{B}$  over  $\lambda(G)$ .*

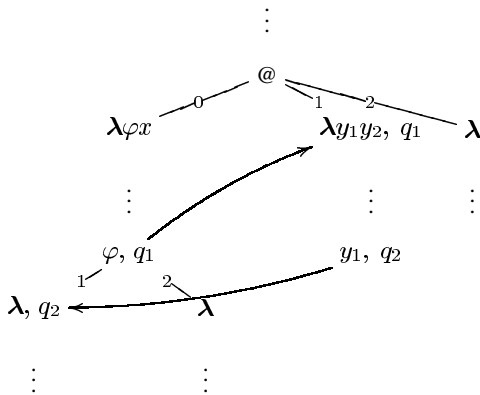
Our task is therefore reduced to that of effectively recognising accepting traversal-trees.

#### 4. The traversal-simulating APT

##### An informal explanation

We want to find a device that can recognise accepting traversal-trees of a property APT  $\mathcal{B}$  over a computation tree. This is far from trivial since a traversal can jump all over the tree and may even visit some nodes infinitely often. Our idea is to exploit Lemma 2: The P-view of a traversal is a path. Thus a maximal traversal can be simulated by the set of P-views of all its finite prefixes. The challenge is then to define an alternating parity automaton (which we will call *traversal-simulating* in order to distinguish it from the *property* APT) that recognises precisely the set of paths of the computation tree that simulate an *accepting* traversal-tree of  $\mathcal{B}$ .

Fix a property APT  $\mathcal{B} = \langle \Sigma, Q, \delta, q_0, \Omega \rangle$  with  $p$  priorities. Suppose a traversal jumps from a node labelled  $\varphi$  with simulating state  $q_1 \in Q$  to a subtree (denoting the actual parameter of that formal parameter  $\varphi$ ) rooted at a node labelled  $\lambda y_1 y_2$ ; suppose it subsequently exits the subtree through  $y_1$  with simulating state  $q_2$ , and rejoins the original subtree through the first  $\lambda$ -child of the  $\varphi$ -labelled node, as follows:



We simulate the traversal by *paths* in the computation tree, making appropriate *guesses*, which will need to be verified subsequently:

- When reading the node  $\varphi$  with simulating state  $q_1$ , the automaton, having *guessed* that the jump to  $\lambda y_1 y_2$  will eventually return to the 1-child of the node  $\varphi$  with simulating state  $q_2$ , descends in direction 1.
- In order to verify the guess, an automaton is *spawn* to read the root of the subtree that denotes the actual parameter of  $\varphi$  (i.e. the node labelled by  $\lambda y_1 y_2$ ).

At a node  $\alpha$  that is labelled by  $@$ , in addition to the main simulating automaton that descends in the direction of the leftmost child labelled by  $\lambda \xi_1 \dots \xi_n$  (say), we *guess*, for each variable  $\xi_i : A_i$  in the list of formal parameters  $\xi_1 \dots \xi_n$ , a number of quadruples of the shape  $(\xi_i, q, m, c)$ , which we call *profiles* for  $\xi_i$ , where

- $q \in Q$  is the state that is simulated when a  $\xi_i$ -labelled node (a descendent of  $\alpha$ ) is encountered by the descending automaton, simulating the traversal
- $m \in [p]$  is the maximal priority that will have been seen at that point, since reading the node labelled by  $\lambda \xi_1 \dots \xi_n$
- The *interface*  $c$ , which is a subset of  $\bigcup_{i=1}^n \mathbf{VP}_G^{\mathcal{B}}(A_i)$ , where  $\mathbf{VP}_G^{\mathcal{B}}(A)$  is the set of profiles of variables of type  $A$  occurring in  $\lambda(G)$  with respect to the property APT  $\mathcal{B}$ , captures the manner in which the traversal, which now jumps to a neighbouring subtree denoting the actual parameter of  $\xi_i$ , will eventually return to the children of the  $\xi_i$ -labelled node (i.e. with what simulating state, and through which child of  $\xi_i$ ).

##### Formal definition

Henceforth we fix a recursion scheme  $G$  and its associated computation tree  $\lambda(G)$ , and fix a *property* APT

$$\mathcal{B} = \langle Q, \Sigma, \delta : Q \times \Sigma \longrightarrow B^+([\text{ar}(\Sigma)] \times Q), q_0, \Omega \rangle$$

with  $p$  priorities, over  $\Sigma$ -labelled trees. Let  $\text{Var}_G^A$  be the (finite) set of variables of type  $A$  that occur as labels in  $\lambda(G)$ .

**Definition 4.1.** (i) The set  $\mathbf{VP}_G^{\mathcal{B}}(A)$  of *profiles* for variables of type  $A$  in  $\lambda(G)$  relative to  $\mathcal{B}$  are defined as follows:

$$\mathbf{VP}_G^{\mathcal{B}}(A_1, \dots, A_n, o) = \text{Var}_G^A \times Q \times [p] \times \mathcal{P} \left( \bigcup_{i=1}^n \mathbf{VP}_G^{\mathcal{B}}(A_i) \right)$$

If  $n = 0$ , we have  $\mathbf{VP}_G^{\mathcal{B}}(o) = \text{Var}_G^o \times Q \times [p] \times \mathcal{P}(\emptyset)$ . For every variable  $\xi : A$  that occurs as a label in  $\lambda(G)$ , we write  $\mathbf{VP}_G^{\mathcal{B}}(\xi : A)$  for the set of profiles for  $\xi$ . Take any  $(\xi, q, m, c) \in \mathbf{VP}_G^{\mathcal{B}}(\xi : A)$ ; we shall refer to  $m$  as the *priority* and  $c$  the *interface* of the profile respectively.

(ii) An *active profile* is a pair  $\theta^b$  where  $\theta$  is a profile and  $b \in \{\text{t}, \text{f}\}$ . The boolean value  $b$  is the answer to the question:

“Is the highest priority seen thus far (since the creation of the active profile) equal to  $m$ ?” An **environment** is a set of active profiles for variables that occur as labels in  $\lambda(G)$ .

*Notations.* Take an active profile  $(\xi, q, m, c)^b$ . For any priority  $l \leq p$ , we define an *update* function of  $b$ :

$$(\xi, q, m, c)^b \uparrow l = \begin{cases} (\xi, q, m, c)^{b \vee [l=m]} & \text{if } l \leq m \\ \text{undefined} & \text{otherwise} \end{cases}$$

where  $[l=m]$  denotes the Boolean value of the equality test “ $l = m$ ”. For any profile  $\theta$ , we define  $\theta \uparrow m$  (by abuse of notation) to be  $\theta^f \uparrow l$ . Let  $\rho$  be an environment. We define  $\rho \uparrow l$  by point-wise extension i.e. we say that  $\rho \uparrow l$  is defined just if  $\theta^b \uparrow l$  is defined for all active profiles  $\theta^b \in \rho$ , and is equal to  $\{\theta^b \uparrow l : \theta^b \in \rho\}$ .

**Definition 4.2.** The auxiliary **traversal-simulating alternating parity automaton** (w.r.t.  $\mathcal{B}$ ) over  $\Lambda_G$ -labelled trees is given by  $\mathcal{C} = \langle \Lambda_G, Q_C, \delta_C, q_0 \emptyset, \Omega_C \rangle$  where  $Q_C$  consists of pairs  $q\rho$  and triples  $q\rho\theta$  such that  $q \in Q$  is the  $\mathcal{B}$ -state being simulated – called the *simulating state*,  $\rho$  is an environment, and  $\theta$  is a variable profile; the pair  $q_0 \emptyset$  is the initial state. The priority of a  $\mathcal{C}$ -state, or  **$\mathcal{C}$ -priority**, is defined by cases:

$$\Omega_C : \begin{cases} q\rho & \mapsto \Omega(q) \\ q\rho\theta & \mapsto m, \text{ where } m \text{ is the priority of } \theta. \end{cases}$$

Given a  $\mathcal{C}$ -state  $d = q\rho$  or  $q\rho\theta$ , we say that its  **$\mathcal{B}$ -priority** is  $\Omega(q)$ .

### Definition of the transition function $\delta_C$

The automaton starts by reading the root node  $\varepsilon$  of  $\lambda(G)$  with the initial state  $q_0 \emptyset$ . Rather than giving the positive Boolean formula  $\delta_C(d, l)$  for each  $d \in Q_C$  and  $l \in \Lambda_G$ , we describe the action of the automaton with state  $d = q\rho$  or  $q\rho\theta$  reading a node  $\alpha$  of the computation tree, by a case analysis of  $l = \lambda(G)(\alpha)$ .

### Cases of the label $l$ :

*Case 1:*  $l$  is a  $\Sigma$ -symbol  $f$  of arity  $r \geq 0$ , and  $d = q\rho$ .

If  $\delta(q, f) \in \mathcal{B}^+([ar(f)] \times Q)$  is not satisfiable, the automaton aborts; otherwise, guess a satisfying set, say

$$S = \{(i_1, q_{j_1}), \dots, (i_k, q_{j_k})\}$$

where  $k \geq 0$  (with  $k = 0$  iff  $S = \emptyset$ ), and guess environments  $\rho_1, \dots, \rho_k$ , such that

$$\bigcup_{i=1}^k \rho_i = \rho. \quad (1)$$

Spawn  $k$  automata with states

$$q_{j_1} \rho_1 \uparrow \Omega(q_{j_1}), \quad \dots, \quad q_{j_k} \rho_k \uparrow \Omega(q_{j_k})$$

in directions  $i_1, \dots, i_k$  respectively provided  $\rho_i \uparrow \Omega(q_{j_i})$  is defined for all  $i$ , otherwise the automaton aborts.

*Note.* In case the arity  $r = 0$ , since  $\delta(q, f) \in \mathcal{B}^+([0] \times Q)$  and  $[0] = \emptyset$ , we have  $\delta(q, f)$  is either **true** or **false**. If the former, note that **true** is satisfied by the every set in  $\mathcal{P}([0] \times Q)$ , namely  $\emptyset$ ; it follows that equation (1) can only be satisfied provided  $\rho = \emptyset$ .

*Case 2:*  $l$  is a variable  $\varphi : (A_1, \dots, A_n, o)$  where  $n \geq 0$ , and  $d = q\rho\theta$ .

We check that  $\theta$  has the shape  $(\varphi, q, m, c)$  for some interface  $c$  and  $m \leq p$  such that  $(\varphi, q, m, c)^t \in \rho$ ; otherwise the automaton aborts. Suppose

$$c = \underbrace{\{(\xi_{i_j}, q_{l_j}, m_j, c_j) \mid 1 \leq j \leq r\}}_{\theta_j}$$

for some  $r \geq 0$  (with  $c = \emptyset$  iff  $r = 0$ ). (In case  $\varphi$  is order 2 or higher, we may assume that  $\xi_j : A_j$  so that we have  $1 \leq i_j \leq n$ .)

Guess  $\rho'$  to be one of  $\rho$  or  $\rho \setminus \{(\varphi, q, m, c)^t\}$ . For each  $1 \leq j \leq r$ , guess distinct environments  $\rho_{j1}, \dots, \rho_{jr_j}$  with  $r_j \geq 1$ , such that

$$\bigcup_{j=1}^r \bigcup_{k=1}^{r_j} \rho_{jk} = \rho'. \quad (2)$$

For each  $1 \leq j \leq r$  and each  $1 \leq k \leq r_j$ , spawn an automaton with  $\mathcal{C}$ -state

$$q_{l_j} (\rho_{jk} \uparrow m_j) \cup (c_j \uparrow \Omega(q_{l_j})) \quad \theta_j$$

in direction  $i_j$ , provided  $(\rho_{jk} \uparrow m_j) \cup (c_j \uparrow \Omega(q_{l_j}))$  is defined for all  $j$  and  $k$ , otherwise the automaton aborts.

*Note.* If  $\varphi$  is order 0, the interface  $c$  in  $\theta$  is necessarily empty (i.e.  $r = 0$ ). Thus, for equation (2) to hold, we must have  $\rho' = \emptyset$ ; it follows that we must have  $\rho = \{(\varphi, q, m, \emptyset)\}$ .

*Case 3:*  $l$  is @ of type  $((A_1, \dots, A_n, o), A_1, \dots, A_n, o)$  where  $n \geq 1$ , and  $d = q\rho$ .

Guess a set of profiles  $c \subseteq \bigcup_{i=1}^n \mathbf{VP}_G^{\mathcal{B}}(\xi_i : A_i)$  and spawn an automaton with state  $q \uparrow \Omega(q)$  in direction 0, with

$$c = \underbrace{\{(\xi_{i_j}, q_{l_j}, m_j, c_j) : 1 \leq j \leq r\}}_{\theta_j}$$

(say) where  $r \geq 0$  (with  $r = 0$  iff  $c = \emptyset$ ). Note that  $1 \leq i_j \leq n$ . For each  $1 \leq j \leq r$ , guess distinct environments  $\rho_{j1}, \dots, \rho_{jr_j}$  with  $r_k \geq 1$  such that

$$\bigcup_{j=1}^r \bigcup_{k=1}^{r_j} \rho_{jk} = \rho. \quad (3)$$

For each  $1 \leq j \leq r$  and  $1 \leq k \leq r_j$ , spawn an automaton with  $\mathcal{C}$ -state

$$q_l \quad (\rho_{jk} \uparrow m_j) \cup (c_j \uparrow \Omega(q_l)) \quad \theta_j$$

in direction  $i_j$ , provided  $(\rho_{jk} \uparrow m_j) \cup (c_j \uparrow \Omega(q_l))$  is defined for all  $j$  and  $k$ , otherwise the automaton aborts.

*Case 4:  $l$  is a lambda, with state  $d = q\rho$  or  $q\rho\theta$ .*

Spawn an automaton in direction 1 with  $\mathcal{C}$ -state  $e$  where  $e = q\rho\tau$  for some  $\tau^b \in \rho$  if the guess is that the label of the child node is a variable, otherwise  $e = q\rho$ .

**Example 4.3.** Take the computation tree  $\lambda(G)$  and the property APT  $\mathcal{B}$  as defined in Example 3.6. In Table 1 we give an initial part of an (accepting) run-tree of the corresponding traversal-simulating APT  $\mathcal{C}$ . We shall see in the sequel that the run-tree is a simulation (in the sense of Theorem 5) of the traversal-tree in Figure 2.

## 5. Correctness of the simulation

*For the rest of the paper, we shall fix a recursion scheme  $G$  and an associated computation tree  $\lambda(G)$ . We shall also fix a property APT  $\mathcal{B} = \langle \Sigma, Q, \delta, q_0, \Omega \rangle$  over  $\Sigma$ -labelled trees, and write  $\mathcal{C}$  as the associated traversal-simulating APT over  $\Lambda_G$ -labelled trees. Our notion of simulation is correct, in the following sense:*

**Theorem 5.** *The following are equivalent:*

- (i) *There is an accepting traversal-tree of  $\mathcal{B}$  over  $\lambda(G)$ .*
- (ii) *There is an accepting run-tree of  $\mathcal{C}$  over  $\lambda(G)$ .*

Since  $\lambda(G)$  is a regular tree, an immediate corollary of the Theorem is that the modal mu-calculus model-checking problem for trees generated by arbitrary recursion schemes is decidable. In this Section we briefly sketch a proof of the Theorem.

### From traversal-trees of $\mathcal{B}$ to run-trees of $\mathcal{C}$

Suppose there is an accepting traversal-tree  $\mathbf{t}$  of the property APT  $\mathcal{B}$  over  $\lambda(G)$ . Recall that  $\mathbf{t}$  is a  $(\text{Dom}(\lambda(G)) \times Q)$ -labelled unranked tree. We first perform a succession of annotation operations on  $\mathbf{t}$ , transforming it eventually to a  $(\text{Dom}(\lambda(G)) \times Q_{\mathcal{C}})$ -labelled unranked tree  $\hat{\mathbf{t}}$ , which has the same underlying tree as  $\mathbf{t}$  i.e.  $\text{Dom}(\hat{\mathbf{t}}) = \text{Dom}(\mathbf{t})$ . We then show that the set of P-views of traces of  $\hat{\mathbf{t}}$  gives an accepting run-tree of the traversal-simulating APT  $\mathcal{C}$ .

Run-trees of a traversal-simulating APT can have a rather large (though necessarily bounded) branching factor. Fortunately we can prove a kind of *succinctness result*: We show that if a traversal-simulating APT has an accepting run-tree, then it has a “narrow” accepting run-tree in the sense that it has a reduced branching factor.

**Definition 5.1.** A *narrow run-tree* of a traversal-simulating APT  $\mathcal{C}$  is a run-tree satisfying the rules of Definition 4.2 except that in (2) of Case 2, for each  $1 \leq j \leq r$ , we guess exactly one environment  $\rho_j = \rho_{j1}$  (so that  $r_j = 1$ ) such that  $\bigcup_{j=1}^r \rho_j = \rho$ ; similarly in (3) of Case 3. (Note that a narrow run-tree of  $\mathcal{C}$  is *a fortiori* a run-tree of  $\mathcal{C}$  in the sense of Definition 4.2.)

**Proposition 6.** *If the traversal-simulating APT  $\mathcal{C}$  has an accepting run-tree then it has one that is narrow. The branching factor of a narrow run-tree is bounded above by the number of distinct variable profiles.*

### From run-trees of $\mathcal{C}$ to traversal-trees of $\mathcal{B}$

Take an accepting run-tree  $\mathbf{r}$  of  $\mathcal{C}$  over  $\lambda(G)$ . We first construct an annotated traversal-tree  $\mathbf{t}$ , which is a  $(\text{Dom}(\lambda(G)) \times Q_{\mathcal{C}})$ -labelled unranked tree. Let  $\mathbf{t}^-$  be the  $(\text{Dom}(\lambda(G)) \times Q)$ -labelled unranked tree that is obtained from  $\mathbf{t}$  by replacing the  $\mathcal{C}$ -state that annotates each node by the  $\mathcal{B}$ -state that is simulated. It is straightforward to show that  $\mathbf{t}^-$  is a traversal-tree of  $\mathcal{B}$  over  $\lambda(G)$ ; the tricky part is to prove that  $\mathbf{t}^-$  is accepting, which follows from:

**Proposition 7.** *Every infinite path  $w$  in the traversal-tree  $\mathbf{t}^-$  determines an infinite path  $p_w$  in the accepting run-tree  $\mathbf{r}$  such that the highest  $\mathcal{B}$ -priority that occurs infinitely often in the former coincides with the highest  $\mathcal{C}$ -priority that occurs infinitely often in the latter.*

To prove the Proposition, we first need to construct  $p_w$  from a given  $w$ . Note that an infinite path  $w$  in  $\mathbf{t}$  is just an infinite ( $\mathcal{C}$ -state annotated) traversal in  $\lambda(G)$ . We define a binary relation  $\preceq$  over prefixes of a traversal  $w$ , called *view order*, as follows. Let  $u, v \leq w$ . We say that  $u \preceq v$  just in case  $u$  is a prefix of  $v$ , and  $\mathbf{I}(u)$  – the last node of  $u$  – and hence every node in the P-view of  $u$ , appear in the P-view of  $v$ . (Note that the last clause implies, but is not implied by,  $\lceil u \rceil \leq \lceil v \rceil$ .)

An infinite strictly-increasing (w.r.t. prefix ordering) sequence of prefixes of  $w$ , namely  $u_1 < u_2 < u_3 < \dots$ , is called a *spinal decomposition* of  $w$  just if

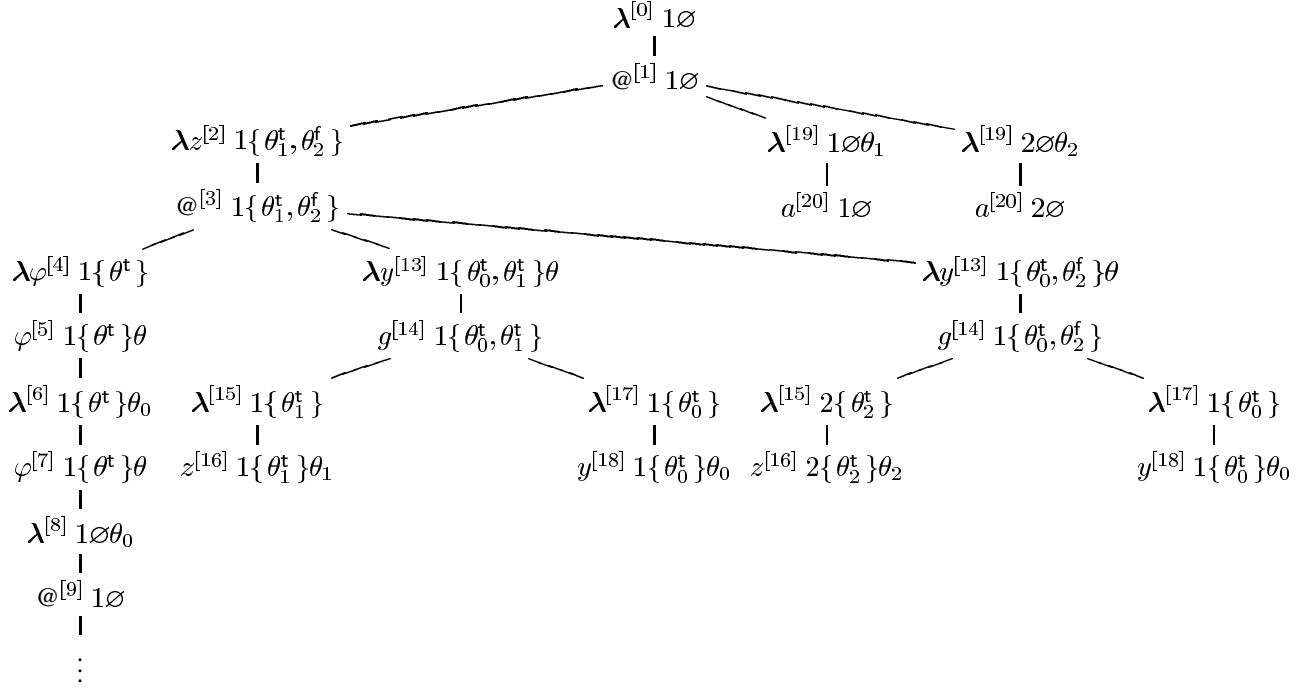
- (i)  $u_1 \preceq u_2 \preceq u_3 \preceq \dots$ , and
- (ii)  $\lceil u_1 \rceil < \lceil u_2 \rceil < \lceil u_3 \rceil < \dots$  ( $\lceil \dots \rceil$  means length)

We set  $p_w$  in the above Proposition to be the infinite path in  $\lambda(G)$  defined by the infinite strictly-increasing sequence  $\lceil u_1 \rceil < \lceil u_2 \rceil < \lceil u_3 \rceil < \dots$ , which we call the (associated) *spine* of the spinal decomposition. (Note that neither (i) nor (ii) above is a consequence of the other.)

**Lemma 8.** (i) *The highest  $\mathcal{B}$ -priority that occurs infinitely often in  $w$  coincides with the highest  $\mathcal{C}$ -priority that occurs infinitely often in  $p_w$ .*

(ii) *Every infinite traversal  $w$  has a spinal decomposition.*





Shorthand notation:  $\theta = (\varphi, 1, 1, \{\theta_0\})$   $\theta_0 = (y, 1, 1, \emptyset)$   $\theta_1 = (z, 1, 1, \emptyset)$   $\theta_2 = (z, 2, 2, \emptyset)$ .

**Table 1. A run-tree of the traversal-simulating APT associated with the property APT in Example 3.6.**

## 6. Complexity analysis

We briefly sketch a proof that the modal mu-calculus model-checking problem for trees generated by order- $n$  recursion scheme is  $n$ -EXPTIME complete. The  $n$ -EXPTIME hardness of the problem follows from Cachat's result [4] that the (sub)problem of model-checking trees generated by *safe* order- $n$  recursion schemes is  $n$ -EXPTIME hard. We prove  $n$ -EXPTIME decidability by analysing the complexity of solving an associated acceptance parity game  $\mathbf{G}(Gr(G), \mathcal{C})$ , which is an appropriate product of the traversal-simulating APT  $\mathcal{C} = \langle \Lambda_G, Q_{\mathcal{C}}, \delta_{\mathcal{C}}, q_0, \Omega_{\mathcal{C}} \rangle$  and a (finite)  $\Lambda_G$ -labelled deterministic directed graph

$$Gr(G) = \langle V, \rightarrow \subseteq V \times V, \lambda_G : V \rightarrow \Lambda_G, v_0 \in V \rangle$$

which unfolds to the  $\Lambda_G$ -labelled computation tree  $\lambda(G)$ . The graph  $Gr(G)$  has root  $v_0$ , and  $\lambda_G$  is the vertex-labelling function; it is *ranked* in the sense that the edge-set  $\rightarrow = \bigcup_{i \in \text{Dir}(\Lambda_G)} \rightarrow_i$ , where each  $\rightarrow_i \subseteq V \times V$  is a partial function such that  $\rightarrow_i(v)$  is well-defined for each  $v \in V$  and  $i \in \text{Dir}(\Lambda_G(v))$ .

For each  $v \in V$  and  $P \subseteq \text{Dir}(\lambda_G(v)) \times Q_{\mathcal{C}}$ , we write  $[P]_v = \{(u, q) : (i, q) \in P \wedge \rightarrow_i(v) = u\}$ .

**Definition 6.1.** The underlying digraph of the *acceptance parity game*  $\mathbf{G}(Gr(G), \mathcal{C})$  has two kinds of vertices. *A-Vertices* (A for Abelard) are sets of the form  $[P]_v$ , with  $v \in V$  and  $P \subseteq \text{Dir}(\lambda_G(v)) \times Q_{\mathcal{C}}$ ; and *E-Vertices* (E for Eloise) are pairs of the form  $(v, q)$  with  $v \in V$  and  $q \in Q_{\mathcal{C}}$ . The *source vertex* is the E-vertex  $(v_0, q_0)$ . The edges are defined as follows.

- For each A-vertex  $[P]_v$ , and for each  $(u, q) \in [P]_v$ , there is an edge from  $[P]_v$  to  $(u, q)$ .
- For each E-vertex  $(v, q)$ , and for each  $P \subseteq \text{Dir}(\lambda_G(v)) \times Q_{\mathcal{C}}$  such that  $P$  satisfies  $\delta_{\mathcal{C}}(q, \lambda_G(v))$ , there is an edge from  $(v, q)$  to  $[P]_v$ .

The priority map  $\Omega_{\mathbf{G}}$  is defined by cases as follows:

$$\Omega_{\mathbf{G}} = \begin{cases} (v, q) & \mapsto \Omega_{\mathcal{C}}(q) \\ [P]_v & \mapsto \min\{\Omega_{\mathcal{C}}(q) : (u, q) \in [P]_v\}. \end{cases}$$

A *play* is a (possibly infinite) path in  $\mathbf{G}(Gr(G), \mathcal{C})$  of the form  $(v_0, q_0) \cdot [P_0]_{v_0} \cdot (v_1, q_1) \cdot [P_1]_{v_1} \cdots$ . (For ease of reading, we use  $\cdot$  as item separator in the sequence.)

Eloise resolves the E-vertices, and Abelard the A-vertices. If the play is finite and the last vertex is an A-vertex (respectively E-vertex) which is terminal, Eloise (re-

spectively Abelard) is said to win the play. If the play is infinite, Eloise wins just if the maximum that occurs infinitely often in the following numeric sequence is even.

$$\Omega_{\mathbf{G}}(v_0, q_0) \cdot \Omega_{\mathbf{G}}([P_0]_{v_0}) \cdot \Omega_{\mathbf{G}}(v_1, q_1) \cdot \Omega_{\mathbf{G}}([P_1]_{v_1}) \cdot \dots$$

**Proposition 9.** *Eloise has a (history-free) winning strategy in the acceptance parity game  $\mathbf{G}(Gr(G), \mathcal{C})$  iff the traversal-simulating APT  $\mathcal{C}$  accepts the  $\Lambda_G$ -labelled computation tree  $\lambda(G)$ , which is the unfolding of  $Gr(G)$ .*

Let  $G$  be an order- $n$  recursion scheme and take a property APT  $\mathcal{B}$  as before. For  $i < n$  we define  $\mathbf{VP}_G^{\mathcal{B}}(i)$  to be the union of sets of the form  $\mathbf{VP}_G^{\mathcal{B}}(A)$ , as  $A$  ranges over order- $i$  types that occur in  $\overline{G}$ . It follows from the definition of variable profiles that  $|\mathbf{VP}_G^{\mathcal{B}}(i)| = \exp_i O(|G| \cdot |Q| \cdot p)$  where  $|G|$  is a measure of the recursion scheme  $G$ ,  $|Q|$  is the number of elements of  $Q$ , and  $\exp_i$  is the tower-of-exponentials function of height  $i$ . Next we set  $\mathbf{VP}_G^{\mathcal{B}} = \bigcup_{i=0}^{n-1} \mathbf{VP}_G^{\mathcal{B}}(i)$  and  $Env_G^{\mathcal{B}} = \mathcal{P}(\mathbf{VP}_G^{\mathcal{B}})$ . It follows that  $|\mathbf{VP}_G^{\mathcal{B}}| = \exp_{n-1} O(|G| \cdot |Q| \cdot p)$  and  $|Env_G^{\mathcal{B}}| = \exp_n O(|G| \cdot |Q| \cdot p)$ . Finally, as  $Q_{\mathcal{C}} = (Q \times Env_G^{\mathcal{B}}) \cup (Q \times Env_G^{\mathcal{B}} \times \mathbf{VP}_G^{\mathcal{B}})$ , we have  $|Q_{\mathcal{C}}| = \exp_n O(|G| \cdot |Q| \cdot p)$ .

We appeal to a result due to Jurdziński [10]:

**Theorem 10** (Jurdziński). *The winning region of Eloise and her winning strategy in a parity game with  $|V|$  vertices and  $|E|$  edges and  $p \geq 2$  priorities can be computed in time*

$$O\left(p \cdot |E| \cdot \left(\frac{|V|}{p/2}\right)^{\lfloor p/2 \rfloor}\right)$$

Suppose the parity acceptance game  $\mathbf{G}(Gr(G), \mathcal{C})$  has vertex-set  $V$  and edge-set  $E$ . The A-vertices of the game are sets of the form  $[P]_v$ , where  $P \subseteq \text{Dir}(l(v)) \times Q_{\mathcal{C}}$  and  $v$  ranges over nodes of  $Gr(G)$ . Thanks to the narrowing transform (see Proposition 6), it is enough to restrict  $P$  to subsets of  $\text{Dir}(l(v)) \times Q_{\mathcal{C}}$  that have size at most  $|\mathbf{VP}_G^{\mathcal{B}}|$ . This gives a tighter upper bound on the number of A-vertices of the game, namely,  $(|\text{Dir}(\Lambda_G)| \times |Q_{\mathcal{C}}|)^{|\mathbf{VP}_G^{\mathcal{B}}|} = \exp_n O(|G| \cdot |Q| \cdot p)$ . It follows that  $|V| = \exp_n O(|G| \cdot |Q| \cdot p)$ . Since  $|E|$  is at most  $|V|^2$ , time complexity for solving  $\mathbf{G}(Gr(G), \mathcal{C})$  is  $O\left(p \cdot (|V|)^{\lfloor p/2 \rfloor + 2}\right) = \exp_n O(|G| \cdot |Q| \cdot p)$ . Thus<sup>5</sup> we have:

**Theorem 11.** *The acceptance parity game  $\mathbf{G}(Gr(G), \mathcal{C})$  can be solved in time  $\exp_n O(|G| \cdot |Q| \cdot p)$ .*

## 7 Further directions

Does safety constrain expressiveness? This is the most pressing open problem. Despite [1], we conjecture that there are *inherently unsafe trees*. I.e.

<sup>5</sup>Though (as far as we know) Jurdziński's bound is the sharpest to date, a relatively coarse time complexity of  $|V|^{O(p)}$  (based on an early result of Emerson and Lei [8]) is all that we need to prove Theorem 11.

**Conjecture 12.** *There is an unsafe recursion scheme whose value tree is not the value tree of any safe recursion scheme.*

Higher-order pushdown automata (PDA) characterize safe term-trees. A variant class of higher-order PDA with links (in the sense of [1]), which we call *collapsible PDA*, characterize trees generated by arbitrary higher-order recursion schemes. This work will be reported elsewhere.

What is the corresponding hierarchy of graphs generated by high-order recursion schemes? Are their MSO theories decidable?

We would like to develop further the pleasing mix of Semantics (games) and Verification (games) in the paper. A specific project, *pace* [3], is to give a denotational semantics of the lambda calculus “relative to an APT”. More generally, construct a cartesian closed category, parameterized by APTs, whose maps are witnessed by the *variable profiles* (or “guesses” in Definition 4.1).

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