# Computational Complexity; slides 10, HT 2022 Polynomial hierarchy, LOGSPACE 

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HT 2022

- NP: given an existentially-quantified QBF, is it true?
- co-NP: given a universally-quantified QBF, is it true?
- PSPACE: given an unrestricted QBF, is it true?


## The polynomial-time hierarchy

- NP: given an existentially-quantified QBF, is it true?
- co-NP: given a universally-quantified QBF, is it true?
- PSPACE: given an unrestricted QBF, is it true?
"intermediate" problems:
- Evaluate formula of the form $\exists x_{1}, \ldots, x_{n} \forall y_{1}, \ldots, y_{n} \varphi$
- Evaluate formula of the form $\forall x_{1}, \ldots, x_{n} \exists y_{1}, \ldots, y_{n} \varphi$
- Evaluate formula of the form $\exists x_{1}, \ldots, x_{n} \forall y_{1}, \ldots, y_{n} \exists z_{1}, \ldots, z_{n} \varphi$
- etc.
$\rightsquigarrow$ yet more complexity classes! (seemingly)
Sipser, chapter 10.3 (brief mention); Arora/Barak Chapter 5

There are multiple equivalent definitions of the classes of the polynomial hierarchy. - Wikipedia

Model of computation for (say) $\exists x_{1}, \ldots, x_{n} \forall y_{1}, \ldots, y_{n} \varphi$ ?

## The polynomial-time hierarchy

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Model of computation for (say) $\exists x_{1}, \ldots, x_{n} \forall y_{1}, \ldots, y_{n} \varphi$ ?
-Yes, poly-time alternating TM where $\exists$ states must precede $\forall$ states, in any computation.
Any such formula has ATM of this kind that solves it; any ATM can be converted to equivalent $\exists \ldots \forall$-formula.
-Another answer: in terms of oracle machines...

## The polynomial-time hierarchy


$\Sigma_{i+1}^{P}:=N P^{\Sigma_{i}^{P}}$
$\Pi_{i+1}^{\mathrm{P}}:=\mathrm{co}-\mathrm{NP}^{\Sigma_{i}^{\mathrm{P}}}$
$\Delta_{i+1}^{\mathrm{P}}:=\mathrm{P}^{\Sigma_{i}^{\mathrm{P}}}$
$A^{B}$ : problems solved by $A$-machine with oracle for $B$-complete problem

Warm-up: consider $\mathrm{P}^{\mathrm{P}}, \mathrm{NP} \mathrm{P}^{\mathrm{P}}, \mathrm{P}^{\mathrm{NP}}, \ldots$
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Warm-up: consider $P^{P}, N P^{P}, P^{N P}, \ldots$
$P^{N P}$ seems to be more than just NP; indeed there are classes of interest intermediate between NP and $P^{N P}$ !

## Examples

Many diverse problems are complete for low levels of PH http://ovid.cs.depaul.edu/documents/phcom.pdf

Example of a $\Sigma_{2}^{P}$-complete problem: MIN-DNF: consists of a DNF formula $\varphi$ and integer $k$.
Question: is there a DNF formula $\psi$ for which $\psi \equiv \varphi$ and $\psi$ has size at most $k$ ?

Containment in $\Sigma_{2}^{P}$ : note that the problem is of the form
$\exists$ (bit-string describing $\psi) \forall$ (valuations $\beta$ of boolean variables) $\varphi$ and $\psi$ agree on $\beta$

Hardness requires $\exists x \forall y$ (formula over variables $x, y$ ) to be efficiently encoded as $(\varphi, k)$, instance of MIN-DNF...

## The polynomial-time hierarchy

PH denotes the union of class in the hierarchy
Some key facts:

- PH lies below PSPACE; if any problem is complete for PH, it must belong to the $k$-th level of the hierarchy, and PH would "collapse" to that level
- Classes in PH are characterised by restricted alternating TMs
- If $P$ is equal to NP, then PH would collapse to $P$ (next slide)
- If NP is equal to co-NP, then PH collapses to NP. (hints that NP $\neq$ co-NP.)

If the graph isomorphism problem is NP-complete, then the PH collapses to the second level (Schöning 1987)
...evidence that the problem is not in fact NP-complete.

The polynomial-time hierarchy

Theorem: If $P$ is equal to NP, then $P H$ would collapse to $P$
Proof: If P is equal to NP, it's also the same as co-NP

Recall the expressions

$$
\begin{aligned}
& \sum_{i+1}^{\mathrm{P}}:=\mathrm{NP}^{\Sigma_{i}^{\mathrm{P}}} \\
& \Pi_{i+1}^{\mathrm{P}}:=\mathrm{co}-\mathrm{NP}^{\Sigma_{i}^{\mathrm{P}}} \\
& \Delta_{i+1}^{\mathrm{P}}:=\mathrm{P}^{\Sigma_{i}^{\mathrm{P}}}
\end{aligned}
$$

and proceed by induction on $i$
i.e. $\Sigma_{2}^{P}=N P^{\Sigma_{1}^{P}}=N P^{N P}$ (by def, $\Sigma_{1}^{P}=N P$ )
$=\mathrm{P}^{\mathrm{P}}$ (by assumption of the theorem)
$=P$
etc.

PH is "structure between NP and PSPACE" : a sequence of classes that "seem" to all be different.

Next: Logarithmic space: structure within P

## Logarithmic Space

Polynomial space: seems more powerful than NP.
Linear space: we noted is similar to polynomial space
Sub-linear space?
To be meaningful, we consider Turing machines with separate input tape and only count working space.

LOGSPACE (or, L) Problems solvable by logarithmic space bounded TM

NLOGSPACE (or, NL) Problems solvable by logarithmic space bounded NTM

## Not hard to show that $\mathrm{L} \subseteq \mathrm{NL} \subseteq \mathrm{P}$

(Sipser Chapter 8.4, Arora/Barak, p.80)

## Problems in L and NL

What sort of problems are in L and NL?
In logarithmic space we can store

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Hence,

- LOGSPACE contains all problems requiring only a constant number of counters/pointers for solving.
- NLOGSPACE contains all problems requiring only a constant number of counters/pointers for verifying solutions.


## Examples: Problems in L

Example. The language $\left\{0^{n} 1^{n}: n \geq 0\right\}$

## Algorithm.

- Check that no 1 is ever followed by a 0

Requires no working space. (only movements of the read head)

- Count the number of 0's and 1's.
- Compare the two counters.


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Example. Palindromes $\in$ LOGSPACE
(words that read the same forward and backward)
Algorithm.

- Use two pointers, one to the beginning and one to the end of the input.
- At each step, compare the two symbols pointed to.
- Move the pointers one step inwards.


## Example: A Problem in NL

Example. The following problem is in NL:

```
ReaChaBILITY a.k.a. Path
    Input: Directed graph G, vertices s,t\inV(G)
Problem: Does G contain a path from s to t
```

Algorithm.
Set counter $c:=|V(G)|$
Let pointer $p$ point to $s$
while $c \neq 0$ do
if $p=t$ then halt and accept
else
nondeterministically select a successor $p^{\prime}$ of $p$
set $p:=p^{\prime}$
$c:=c-1$
reject.

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Polynomial-time reductions are too "coarse" to compare poly-time vs. log-space computability.

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Definition. A LOGSPACE-transducer $M$ is a TM with

- a read-only input tape
- a write only, write once output tape
- a memory tape of size $O(\log (n))$
$M$ computes a function $f: \Sigma^{*} \rightarrow \Sigma^{*}$, where $f(w)$ is the content of the output tape of $M$ running on input $w$ when $M$ halts.
$f$ is called a logarithmic space computable function.


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## Definition.

A LOGSPACE reduction from $\mathcal{L} \subseteq \Sigma^{*}$ to $\mathcal{L}^{\prime} \subseteq \Sigma^{*}$ is a log space computable function $f: \Sigma^{*} \rightarrow \Sigma^{*}$ such that for all $w \in \Sigma^{*}$ :

$$
w \in \mathcal{L} \Longleftrightarrow f(w) \in \mathcal{L}^{\prime}
$$

We write $\mathcal{L} \leq_{L} \mathcal{L}^{\prime}$

## NLOGSPACE (or, NL)-Completeness

NL-completeness.
A problem $\mathcal{L} \in N L$ is complete for $N L$, if every other language in $N L$ is $\log$ space reducible to $\mathcal{L}$.

Theorem. Reachability (or, Path) is NL-complete.
Proof idea. (details to follow)
Let $M$ be a non-deterministic LOGSPACE TM deciding $\mathcal{L}$.
On input $w$ :
(1) construct a graph whose nodes are configurations of $M$ and edges represent possible computational steps of $M$ on w
(2) Find a path from the start configuration to an accepting configuration.

## NL-Completeness of Path

some more details.
Construct $\langle G, s, t\rangle$ from $M$ and $w$ using a LOGSPACE-transducer:
(1) A configuration $\left(q, w_{2},\left(p_{1}, p_{2}\right)\right)$ of $M$ can be described in $c \log n$ space for some constant $c$ and $n=|w|$.
(2) List the nodes of $G$ by going through all strings of length $c \log n$ and outputting those that correspond to legal configurations.
(3) List the edges of $G$ by going through all pairs of strings $\left(C_{1}, C_{2}\right)$ of length $c \log n$ and outputting those pairs where $C_{1} \vdash_{M} C_{2}$.
(9) $s$ is the starting configuration of $G$.
(5) Assume w.l.o.g. that $M$ has a single accepting configuration $t$.
$w \in \mathcal{L}$ iff $\langle G, s, t\rangle \in \operatorname{ReachabiLity}$

## co-NLOGSPACE

As for time, we consider complement classes for space.
Recall
If $\mathcal{C}$ is a complexity class, we define

$$
\operatorname{co-\mathcal {C}}:=\{\mathcal{L}: \overline{\mathcal{L}} \in \mathcal{C}\} .
$$

From Savitch's theorem:
PSPACE $=$ NPSPACE and hence co-NPSPACE $=$ PSPACE

## NLOGSPACE = co-NLOGSPACE

However, from Savitch's theorem we only know

$$
\text { NLOGSPACE } \subseteq \text { DSPACE }\left(\log ^{2} n\right) .
$$

Theorem.
NLOGSPACE $=$ co-NLOGSPACE
Proof idea.
Show that Reachability is in NL.

## NLOGSPACE = co-NLOGSPACE

Proof sketch. On input $\langle G, s, t\rangle$, let $m=|V(G)|$.
Define $c_{i}$ to be number of nodes reachable from $s$ in $\leq i$ steps; compute $c_{i}$ for increasing $i=1,2, \ldots, m$
(1) Only node $s$ is reachable in 0 steps, so $c_{0}=1$
(2) For each $i=1, \ldots, m$, set $c_{i}=1$, remember $c_{i-1}$, and for each $v \neq s$ in $G$
(1) $d:=0$
(2) For each node $u$ in $G$
(1) guess if reachable from $s$ in $\leq i-1$ steps, if so do $(2,3)$ :
(2) Verify each "yes" guess by guessing an at most $i-1$ step path from $s$ to $u$; if so, $d:=d+1$; reject if no such path found
(3) If we guessed that $u$ is reachable, and $(u, v) \in E(G)$, then increment $c_{i}$ and continue with next $v$
(3) If total number $d$ of $u$ guessed is not equal to $c_{i-1}$, then reject

Continued...

## NLOGSPACE = co-NLOGSPACE

Proof sketch (continued). On input $\langle G, s, t\rangle$
(at this stage we have $c_{m}$ )
Then try to guess $c_{m}$ nodes reachable from $s$ and not equal to $t$ :
(1) For each node $u$ in $G$, guess if reachable from $s$ in $m$ steps
(2) Verify each "yes" guess by guessing $a \leq m$ step path from $s$ to $u$; reject if no such path found
(3) If we guessed that $u$ is reachable, and $u=t$, then reject
(9) If total number $d$ of $u$ guessed not equal to $c_{m}$, then reject
(9) Otherwise accept

Algorithm stores (at one time) only 6 counters ( $u, v, c_{i-1}, c_{i}, d$ and $i$ ) and a pointer to the head of a path; hence runs in logspace.
(more details in Sipser Theorem 8.27)

It's unknown where $L$ is equal to $N L$, or if $N L$ is equal to $P$.

$$
\mathrm{L} \subseteq \mathrm{NL}=\mathrm{co}-\mathrm{NL} \subseteq \mathrm{P}
$$

Still, we have that NL is closed under complement - contrast with NP

By space hierarchy theorem, L $\subsetneq$ PSPACE Indeed (from s.h.t. and Savitch's theorem) NL $\subsetneq$ PSPACE

Next: more structure within $P$

