ALGORITHM DESIGN with HASKELL

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Overview

- 1. *functional programming*
 - equational reasoning for algorithm design
- 2. greedy algorithms
 - simple examples of program calculation
- 3. nondeterminism
 - a linguistic novelty
- 4. thinning
 - an algorithmic novelty

1. Why functional programming matters

- programs are *values*, not commands
- supports good old-fashioned equational reasoning
- ... with *program texts*, without needing a distinct language
- calculate efficient implementations from clear specifications
- using Haskell
- a plea for *simplicity*: no GADTs, no *Monad*s, no *Traversables*...

Fusion

Consider the standard *foldr* function, eg sum = foldr(+) 0:

 $foldr :: (\alpha \to \beta \to \beta) \to \beta \to [\alpha] \to \beta$ foldr f e [] = efoldr f e (x:xs) = f x (foldr f e xs)

The *fusion law* for *foldr* states

 $h \cdot foldr f e = foldr f' e' \iff \forall x y \cdot h(f x y) = f' x(h y) \land h e = e'$

For example,

double · *sum* = *foldr twicePlus* 0 **where** *twicePlus* $x y = 2 \times x + y$ **because** *double* (x + y) = *twicePlus* x (*double* y) and *double* 0 = 0.

Fusion as the driving force

Many problems have a *simple specification* written with *standard components*:

```
algorithm = aggregate \cdot test \cdot generate
```

eg

```
mcst = minWith cost · filter spanning · trees
```

Aggregation is often *selection*. Generation of candidates often as a *fold* or *until*:

- *perms* (permutations)
- *segs* (segments)
- *parts* (partitions), *subseqs* (subsequences)
- *trees* (trees)

etc. Then the problem is to *fuse* the aggregation and testing with generation.

Questions? (1/4)

1. functional programming

- 2. greedy algorithms
- 3. nondeterminism
- 4. thinning

2. Greedy algorithms

Selecting a single optimal *candidate* constructed from some *components*:

- eg shortest *encoding* (constructed from *symbols*)
- eg lightest spanning *tree* (constructed from *edges*)
- eg least wasteful *paragraph* (constructed from *words*)

Greedy algorithm assembles optimal candidate *step by step* from components, maintaining just a *single partial candidate* throughout.

Often leads to a *simple algorithm*, but with a *tricky proof* of correctness.

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A generic greedy algorithm

Computing a minimum-cost *Candidate* from some *Components*:

 $mcc :: [Component] \rightarrow Candidate$ $mcc = minWith cost \cdot candidates$

Here, *minWith* selects (leftmost) minimal element from a non-empty list:

minWith :: *Ord* $b \Rightarrow (a \rightarrow b) \rightarrow [a] \rightarrow a$ *minWith* f = foldr1 (*minBy* f) where *minBy* $f x y = if f x \leq f y$ then x else y

and *candidates* constructs a finite non-empty list of *Candidates*:

candidates :: [Component] \rightarrow [Candidate] candidates = foldr step [c_0] where step x cs = concat (map (extend x) cs) where c_0 :: Candidate and extend :: Component \rightarrow Candidate \rightarrow [Candidate].

Greedy sorting

For sorting strings,

type Candidate = String
type Component = Char

The *empty* partial candidate is $c_0 = ""$, and candidates are *permutations*:

extend $x c = [c_1 + [x] + c_2 | n \leftarrow [0 \dots length c], let (c_1, c_2) = splitAt n c]$

ie extend 'a' "bcd" = ["abcd", "bacd", "bcad", "bcda"].

The cost to minimize is the *inversion count*:

ic $xs = length[(x, y) | x : ys \leftarrow tails xs, y : zs \leftarrow tails ys, x > y]$

Fusion

Recall fusion law

 $h \cdot foldr f e = foldr f' e' \iff \forall x y \cdot h(f x y) = f' x(h y) \land h e = e'$

For greedy algorithm, $h = minWith \ cost$, f = step, $e = [c_0]$, $e' = c_0$, and we have to find f' = gstep—the *greedy step*—such that:

minWith cost (step x cs) = gstep x (minWith cost cs)

Let us calculate!

minWith cost (*step x cs*)

= [[definition of *step*]]

minWith cost (*concat* (*map* (*extend x*) *cs*))

= [[distributive law]]

minWith cost (*map* (*minWith cost* \cdot *extend* x) *cs*)

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- = [[define gstep x = minWith cost · extend x]]
 minWith cost (map (gstep x) cs)
- = [[greedy condition]] gstep x (minWith cost cs)

minWith cost (*step x cs*)

= [[definition of *step*]]

minWith cost (*concat* (*map* (*extend x*) *cs*))

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gstep x (*minWith cost cs*)

minWith f (*concat xss*) = *minWith f* (*map* (*minWith f*) *xss*)

TAT

= minWith cost (map (gstep x) cs) = gstep x (minWith cost cs)

minwith cost (concat (map (extend x) cs))

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- = [[define gstep x = minWith cost · extend x]]
 minWith cost (map (gstep x) cs)
- = [[greedy condition]]

gstep x (*minWith cost cs*)

So provided the greedy condition holds, we have the *greedy algorithm*:

 $mcc = foldr \ gstep \ c_0$ where $gstep \ x = minWith \ cost \cdot extend \ x$

Does greedy sorting work?

Sadly, no—the *greedy condition fails* for sorting:

 $\begin{bmatrix} 7, 1, 2, 3 \end{bmatrix}^{3} \xrightarrow{map (gstep 6)} \begin{bmatrix} 7, 1, 2, 3, 6 \end{bmatrix}^{4} \\ \begin{bmatrix} 3, 2, 1, 7 \end{bmatrix}^{3} \xrightarrow{minWith ic} \xrightarrow{minWith ic} \begin{bmatrix} 7, 1, 2, 3, 6 \end{bmatrix}^{4} \xrightarrow{formula} \begin{bmatrix} 7, 1, 2, 3 \end{bmatrix}^{3} \xrightarrow{gstep 6} \begin{bmatrix} 7, 1, 2, 3, 6 \end{bmatrix}^{4} \neq \begin{bmatrix} 3, 2, 1, 6, 7 \end{bmatrix}^{3}$

In a nutshell, ordering by *ic* is *not linear*, because not antisymmetric. Fixes?

- *context-sensitive fusion* (unique optimum on range of *perms*)
- *refine the cost function* (from *ic* to *id*, which is a linear order)
- *nondeterminism* (keep all optimal candidates—more shortly)

In a nutshell, ordering by *ic* is *not linear*, because not antisymmetric. Fixes?

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Making change UK coins (a), (a)

Then fewest coins given by *change ds n* = *minWith count* (*tuples n ds*), where

tuples $n = finish \cdot foldr step [([], n)]$ wherestep d= concat \cdot map (extend d)extend $d (cs, r) = [(c:cs, r - c \times d) | c \leftarrow [0 \dots r \text{ div } d]]$ finish= map fst \cdot filter $((0 ==) \cdot snd)$

Making change, greedily

Obvious greedy algorithm: *most coins possible, largest first.* But greedy condition doesn't hold, because again the *ordering is not linear*.

1137777777 = 54 = 333377777777

—'obvious algorithm' chooses former, but *change* computes latter. *Desired implementation is not equal to specification.*

Refine to a linear ordering: use *maxWith reverse* (ie lexically greatest, in reverse). For UK decimal currency, greedy condition now holds; greedy algorithm emerges. But for other currencies (eg UK pre-decimal), *greedy algorithm doesn't work*...

Questions? (2/4)

- 1. functional programming
- 2. greedy algorithms
- 3. nondeterminism
- 4. thinning

3. Nondeterminism

Refining to linear order is cheating: *ad hoc, prejudicial*, sometimes *impossible*. But without it, establishing *greedy condition* for fusion

minWith cost (*map* (*gstep x*) *cs*) = *gstep x* (*minWith cost cs*)

entails proving the *equivalence*

 $cost \ c \leq cost \ c' \iff cost \ (gstep \ x \ c) \leq cost \ (gstep \ x \ c')$

which rarely holds in practice. We need fusion to work given only *monotonicity*:

 $cost \ c \leq cost \ c' \implies cost \ (gstep \ x \ c) \leq cost \ (gstep \ x \ c')$

Relations

Optimization problems are often underdetermined: *multiple distinct minimal solutions*.

Specification should admit *any optimal solution*, not pre-commit to one.

But implementation must *choose one*: should be optimal, but need not reach them all.

That is, implementation must *refine* specification.

Algebra of Programming

Theorem 7.2 If *S* is monotonic on a preorder \mathbb{R}° , then

 $(\min R \cdot \Lambda S) \subseteq \min R \cdot \Lambda (S)$

Proof. We reason:

 $(\min R \cdot \Lambda S) \subseteq \min R \cdot \Lambda (S)$

 $\equiv \{ universal property of$ *min* $\}$

 $(\min R \cdot \Lambda S) \subseteq (S) \text{ and } (\min R \cdot \Lambda S) \cdot (S)^{\circ} \subseteq R$

 $\equiv \{ since \ min \ R \cdot \Lambda S \subseteq S \}$

 $([\min R \cdot \Lambda S]) \cdot ([S])^{\circ} \subseteq R$

⇐ {hylomorphism theorem }

 $\min R \cdot \Lambda S \cdot \mathsf{F} R \cdot S^{\circ} \subseteq R$

```
\Leftarrow \{ \text{monotonicity: } \mathsf{F} \ R \cdot S^\circ \subseteq S^\circ \cdot R \} min \ R \cdot \Lambda S \cdot S^\circ \cdot R \subseteq R
```

```
7.2 / Monotonic algebras
                                                                                                          173
                  {converse: relators}
                f \cdot \mathbf{F}(\min R \cdot \ni) \cdot f^{\circ} \subseteq R
            \equiv {since min R \cdot \ni = R if R is reflexive}
                f \cdot \mathbf{F}R \cdot f^{\circ} \subseteq R.
In this chapter the main result about monotonicity is the following, which we will
 refer to subsequently as the greedy theorem.
Theorem 7.2 If S is monotonic on a preorder R^{\circ}, then
        (\min R \cdot \Lambda S) \subseteq \min R \cdot \Lambda(S).
Proof. We reason:
                (\min R \cdot \Lambda S) \subseteq \min R \cdot \Lambda(S)
            \equiv {universal property of min}
                 (\min R \cdot \Lambda S) \subseteq (S) and (\min R \cdot \Lambda S) \cdot (S)^{\circ} \subseteq R
            \equiv \{ \text{since } \min R \cdot \Lambda S \subseteq S \}
                 (\min R \cdot \Lambda S) \cdot (S)^{\circ} \subseteq R
                   {hylomorphism theorem (see below)}
                  min \ R \cdot \Lambda S \cdot \mathbf{F} R \cdot S^{\circ} \subset R
                   {monotonicity: FR \cdot S^{\circ} \subseteq S^{\circ} \cdot R}
                  min \ R \cdot \Lambda S \cdot S^{\circ} \cdot R \subset R
                  {since min R \cdot \Lambda S \subseteq R/S^\circ; division}
                 R \cdot R \subseteq R
                   \{\text{transitivity of } R\}
                 true.
 Recall that the hylomorphism theorem (Theorem 6.2) expressed a hylomorphism
 as a least fixed point of a certain recursion equation; thus by Knaster-Tarski, the
hylomorphism (\min R \cdot \Lambda S) \cdot (S)^{\circ} is included in R if R satisfies the associated
recursion inequation.
For an alternative formulation of the greedy theorem see Exercise 7.37. For problems
involving max rather than min, the relevant condition of the greedy theorem is that
```

S should be monotonic on R, not R° . Note also that we can always bring in context

if we need to, and show that S is monotonic on $R^{\circ} \cap ((S) \cdot (S)^{\circ})$.

A gentler approach

It suffices to *extend* the development language slightly, with *MinWith* and ↔:

```
x \leftarrow MinWith f xs \iff x \in xs \land \forall y \in xs: f x \leq f y
```

For example, distributive law

MinWith cost (*concat xss*) = *MinWith cost* (*map* (*MinWith cost*) *xss*)

means

 $x \leftarrow MinWith f$ (concat xss)

 \iff $x \leftarrow MinWith f (map (MinWith f) xss)$

 $\iff \exists xs \, xs \, \leftarrow \, map \, (MinWith \, f) \, xss \, \land \, x \, \leftarrow \, MinWith \, f \, xs$

Just for *specifications*; no change to *implementation* language.

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```
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```

For example, distributive law

means

 $x \leftarrow MinWith f$ (concat xss)

 \iff $x \leftrightarrow MinWith f (map (MinWith f) xss)$

 $\exists xs \, xs \, \leftarrow \, map \, (MinWith \, f) \, xss \, \land \, x \, \leftarrow \, MinWith \, f \, xs$

Just for *specifications*; no change to *implementation* language.

MinWith cost (concat xss) = MinWith cost (map (1 Nondeterministic T Nondeterministic Functions,

Laws of nondeterministic functions

Fusion:

foldr f' e' xs \leftarrow H (foldr f e xs) \Leftarrow $\forall x y \cdot f' x (H y) \leftarrow$ H (f x y) \land e' \leftarrow H e

Then the greedy calculation goes through needing only *refinement gstep x* (*MinWith cost cs*) \leftarrow *MinWith cost* (*map* (*gstep x*) *cs*) as a premise rather than equality, where *gstep x* \leftarrow *MinWith cost* \cdot *extend x*

Now nondeterministic *greedy condition holds* for changing coins, with *cost* = *count*.

Questions? (3/4)

- 1. functional programming
- 2. greedy algorithms
- 3. nondeterminism
- 4. thinning

4. Thinning

- greedy algorithm maintains a *single candidate*
- exhaustive search considers *all possible candidates*
- thinning is in between, maintaining *some candidates*
- some partial candidates *dominate* others, which may be discarded
- thinning not traditionally seen as a *distinct algorithm design technique*
- relevant problems often presented using *dynamic programming*
- the thinning presentation may lead to a *more effective solution*
- dynamic programming is better seen as a generalization of *divide & conquer*

Paths in a layered network

Directed, acyclic, layered, edge-weighted. Find *shortest path* from top to bottom.

Dijkstra's algorithm takes $\Theta(n^2)$ steps. We can do better.

Greedy algorithm doesn't work.

But from given source vertex, *a shorter path dominates a longer one*.

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Thinning

Another nondeterministic function

 $ThinBy :: (a \to a \to Bool) \to [a] \to [a]$

returning some *dominating subsequence* under given preorder \leq :

 $ys \leftarrow ThinBy (\preceq) xs \iff ys \sqsubseteq xs \land \forall x \in xs . \exists y \in ys . y \preceq x$

Computing a *shortest thinning* takes quadratic time. Linear-time approximation:

$$thinBy (\leq) = foldr \ bump \ [] \quad where \ bump \ x \ [] \qquad = [x]$$
$$bump \ x \ (y : ys) \ | \ x \leq y \qquad = x : ys$$
$$| \ y \leq x \qquad = y : ys$$
$$| \ otherwise = x : y : ys$$

still useful if the candidates are generated in a convenient order.

Laws of thinning

MinWith cost = *MinWith cost* \cdot *ThinBy* $(\leq) \iff x \leq y \Rightarrow cost x \leq cost y$ -- thin introduction wrap · MinWith cost \leftarrow ThinBy (\leq) $cost \ x \leq cost \ y \Rightarrow x \leq y$ -- thin elimination ThinBy $(\leq) \cdot concat = ThinBy (\leq) \cdot concatMap (ThinBy (\leq))$ -- distributivity $map f \cdot ThinBy (\preceq) \leftrightarrow ThinBy (\preceq) \cdot map f$ $\Leftarrow x \leq y \Rightarrow f x \leq f y$ -- thin-map $\iff f x \leq f y \Rightarrow x \leq y$ ThinBy $(\leq) \cdot map f \leftarrow map f \cdot ThinBy (\leq)$ -- thin-map $\iff (x \leq y \land p y) \Rightarrow p x$ ThinBy $(\leq) \cdot$ filter p = filter $p \cdot$ ThinBy (\leq) -- thin-filter

Specifying the problem

```
data Edge = E \{ source :: Vertex, target :: Vertex, weight :: Weight \}
mcp :: [[Edge]] \rightarrow [Edge] -- network to path
mcp \leftarrow MinWith \ cost \cdot paths
cost :: [Edge] \rightarrow Weight
cost = sum \cdot map weight
paths :: [Edge] \rightarrow [Edge] \rightarrow - network to set of paths
paths = foldr step [[]] where
  step es ps = concat [cons e ps | e \leftarrow es]
  cons e ps = [e: p | p \leftarrow ps, linked e p]
  linked e p = null p \lor (target e = source (head p))
```

Introducing thinning

Thin introduction lets us *refine* the specification to $mcp \leftarrow MinWith \ cost \cdot ThinBy (\leq) \cdot paths$

provided that $p \leq q \Rightarrow cost \ p \leq cost \ q$. Choose

 $p \leq q$ = (source (head p) == source (head q)) \land (cost $p \leq$ cost q)

Aim now to fuse *ThinBy* (\leq) and *paths*: find *tstep* satisfying *fusion condition*

tstep es (*ThinBy* (\leq) *ps*) \leftarrow *ThinBy* (\leq) (*step es ps*)

Let us calculate!

Calculating the thinning step

ThinBy (\leq) (*step es ps*)

- = [[definition of *step*]]
- *ThinBy* (\leq) (*concat* [*cons e ps* | *e ← es*])
- = [[distributivity]]
 - *ThinBy* (\leq) (*concat* [*ThinBy* (\leq) (*cons e ps*) | *e* \leftarrow *es*])
- = [[claim (see book)]]

ThinBy (\leq) (*concat* [*cons* e (*ThinBy* (\leq) ps) | $e \leftarrow es$])

= [[definition of *step*]]

ThinBy (\leq) (*step es* (*ThinBy* (\leq) *ps*))

 $\sim \quad [[defining tstep es ps \leftrightarrow ThinBy (\preceq) (step es ps)]] \\ tstep es (ThinBy (\preceq) ps)$

The resulting algorithm

We have calculated

 $mcp = minWith \ cost \cdot foldr \ tstep [[]] \quad where$ $tstep \ es \ ps = thinBy \ (\leq) \ (step \ es \ ps)$ $step \ es \ ps \ = [e:p | e \leftarrow es, p \leftarrow ps, linked \ e \ p]$

where *minWith* and *thinBy* implement *MinWith* and *ThinBy*.

Optimization: *tuple* paths with their costs, to avoid recomputation. Optimization: *sort* layers and candidates by source vertex: ≤ 1 path per vertex. With *d* layers of *k* vertices, thinning takes $O(d^3 k)$, whereas Dijkstra's algorithm takes $O(d^2 k^2)$, so better when d > k. Using *arrays*, comes down to $O(d^2 k)$.

Thinning coins

Greedy algorithm does *not* work for all currencies, eg 48d in UK pre-decimal:

$$(1/_2d),$$
 $(1d),$ $(1d),$ $(3d),$ $(6d),$ $(12d),$ $(24d),$ $(24d),$ $(30d).$

But *thinning works*. Partial candidates (*cs*, *r*) with counts *cs* and residue *r*, and

cost (cs, r) = (r, count cs)

Thin by some \leq such that $x \leq y \Rightarrow cost x \leq cost y$; choose

 $(cs, r) \leq (cs', r') = (r = r') \land (count \ cs \leq count \ cs')$

-of two candidates with *same residue, smaller count* dominates larger.

(It is also a layered network problem. And susceptible to dynamic programming.)

Summary

- 1. *functional programming* equational reasoning for algorithm design
- 2. *greedy algorithms* simple examples of program calculation
- 3. nondeterminism
 - a linguistic novelty
- 4. thinning

an algorithmic novelty

CUP, out now!

Questions? (4/4)

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