

# **An “Integrated Code Generator” for the Glasgow Haskell Compiler**

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# **Classic Dataflow “Optimization,” Purely Functionally**

**Norman Ramsey**

**Microsoft Research and Tufts University**

**(also João Dias & Simon Peyton Jones)**

# **Functional compiler writers should care about imperative code**

**To run FP as native code, I know two choices:**

- 1. Rewrite terms to functional CPS, ANF; then to machine code**
- 2. Rewrite terms to imperative C--; then to machine code**

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- You'll do it anyway (TIL, Objective Caml, MLton)

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**Functional-programming ideas ease the pain**

# Optimization madness can be made sane

Flee the jargon of “dataflow optimization”

- ~~Constant propagation, copy propagation, code motion, rematerialization, strength reduction...~~
- ~~Forward and backward dataflow problems~~
- ~~Kill, gen, transfer functions~~
- ~~Iterative dataflow analysis~~

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- **Substitution of equals for equals**
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Instead consider

- **Substitution of equals for equals**
- **Elimination of unused assignments**
- **Strongest postcondition, weakest precondition**
- **Iterative computation of fixed point**

(Appeal to your inner semanticist)

# Dataflow's roots are in Hoare logic

Assertions attached to points between statements:

```
{ i = 7 }
```

```
i := i + 1
```

```
{ i = 8 }
```

# Code rewriting is supported by assertions

## Substitution of equals for equals

```
{ i = 7 }  
i := i + 1  
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**“Constant  
Propagation”**

**“Constant  
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{ i = 7 }  
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{ i = 8 }
```

“Constant  
Propagation”

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(Notice how dumb the logic is)

# Finding useful assertions is critical

Example coming up (more expressive logic now):

$\{ p = a + i * 12 \}$

$i := i + 1$

$\{ p = a + (i-1) * 12 \}$

$p := p + 12$

$\{ p = a + i * 12 \}$

# Dataflow analysis finds good assertions

Example coming up (more expressive logic now):

```
{ p = a + i * 12 }
```

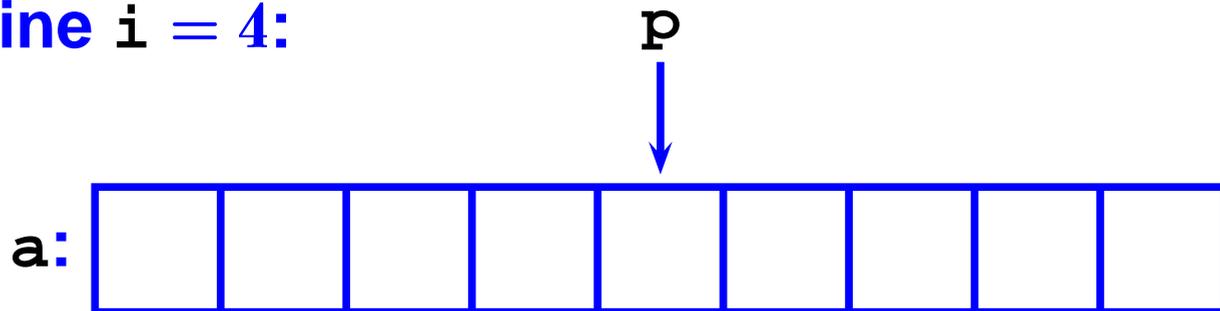
```
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```
{ p = a + (i-1) * 12 }
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```
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```
{ p = a + i * 12 }
```

Imagine  $i = 4$ :



# Example: Classic array optimization

## First running example (C code):

```
long double sum(long double a[], int n) {  
    long double x = 0.0;  
    int i;  
    for (i = 0; i < n; i++)  
        x += a[i];  
    return x;  
}
```

# Array optimization at machine level

Same example (C-- code):

```
sum("address" bits32 a, bits32 n) {
    bits80 x; bits32 i;
    x = 0.0;
    i = 0;
L1:  if (i >= n) goto L2;
    x = %fadd(x, %f2f80(bits96[a+i*12]));
    i = i + 1;
    goto L1;
L2:  return x;
}
```

# Ad-hoc transformation

New variable satisfying  $p == a + i * 12$

```
sum("address" bits32 a, bits32 n) {
    bits80 x; bits32 i; bits32 p, lim;
    x = 0.0;
    i = 0; p = a; lim = a + n * 12;
L1: if (i >= n) goto L2;
    x = %fadd(x, %f2f80(bits96[a+i*12]));
    i = i + 1; p = p + 12;
    goto L1;
L2: return x;
}
```

# “Induction-variable elimination”

Use  $p == a + i * 12$  and  $(i \geq n) == (p \geq \text{lim})$ :

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    bits80 x; bits32 i; bits32 p, lim;
    x = 0.0;
    i = 0; p = a; lim = a + n * 12;
L1: if (p >= lim) goto L2;
    x = %fadd(x, %f2f80(bits96[p]));
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## Finally, *i* is superfluous

“Dead-assignment elimination” (with a twist)

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# Things we can talk about

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Possible sketches before I yield the floor:

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- Bowdlerized code
- Data structures for “imperative optimization” in a functional world

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## Hallway hacking:

- Real code! In GHC now!

# Assertions and logic

# Where do assertions come from?

Key observation:

**Statements relate assertions to assertions**

Example, Dijkstra's weakest precondition:

$$A_{i-1} = wp(S_i, A_i)$$

(Also good: strongest postcondition)

**Query:** given  $\{S_i\}$ ,  $A_0 = \text{True}$ , can we solve for  $\{A_i\}$ ?

**Answer:** Solution exists, but seldom in closed form.

Why not? Disjunction (from loops) ruins everything: fixed point is an infinite term.

# Dijkstra's way out: hand write key $A$ 's

Dijkstra says: write **loop invariant**:

An assertion at a join point (loop header)

- May be **stronger** than necessary
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- Dijkstra/Gries  $\equiv$  imperative programming with loops and arrays
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Not available to compiler

# Compiler's way out: less expressive logic

Ultra-simple logics!

(inexpressible predicates abandoned)

Results: **weaker** assertions at key points

Consequence:

- Proliferation of inexpressive logics
- Each has a name, often a program transformation
- Transformation is usually substitution

Examples:

$P ::= \perp \mid P \wedge x = k$  “constant propagation”

$P ::= \perp \mid P \wedge x = y$  “copy propagation”

# Dataflow analysis solves recursion equations

Easy to think about least solutions:

$$A_{i-1} = wp(S_i, A_i), A_{last} = \perp \quad \text{“Backward analysis”}$$

$$A_i = sp(S_i, A_{i-1}), A_0 = \perp \quad \text{“Forward analysis”}$$

Classic method is **iterative**, uses **mutable state**:

1. Set all  $A_i := \perp$

2. Repeat for all  $i$ :

$$\text{let } A'_{i-1} = A_{i-1} \sqcup wp(S_i, A_i)$$

$$\text{if } A'_{i-1} \neq A_{i-1}, \text{ set } A_{i-1} := A'_{i-1}$$

3. Continue until fixed point is reached

Number of iterations is roughly loop nesting depth

# Beyond Hoare logic: The context

Classic assertions are about **program state**  $\sigma$

- **Example:**  $\{ i = 7 \} \equiv \forall \sigma : \sigma(i) = 7$

Also want to assert about **context or continuation**  $\theta$

- **Example:**  $\{ \text{dead}(\mathbf{x}) \} \equiv \forall \sigma, v : \theta(\sigma) = \theta(\sigma \{x \mapsto v\})$   
(Undecidable, approximate by reachability)  
(Typically track **live**, not dead)

**A “best simple” optimizer for GHC**

**(Shout if you'd rather see code)**

# **Long-term goal: Haskell, optimized**

**Classic dataflow-based code improvement, planted  
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The **engineering question**:

- How to support 40 years of imperative-style analysis and optimization **simply, cleanly**, and in a **purely functional setting**?

# Long-term goal: Haskell, optimized

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The **engineering question**:

- How to support 40 years of imperative-style analysis and optimization **simply, cleanly**, and in a **purely functional setting**?

Answers:

- Good data structures
- Powerful code-rewriting engine based on dataflow (i.e. Hoare logic)

**Optimization: a closer look**

# It's about registers, loops, and arrays

## Dataflow-based optimization

- **Not glamorous** like equational reasoning,  $\lambda$ -lifting, closure conversion, CPS conversion
- **Needs to happen** anyway, downstream

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## Lesson learned: low-level optimization matters

- TIL (Tarditi)
- Objective Caml (Leroy)
- MLton (Weeks, Fluet, ...)
- GHC?

# Simple ingredients can do a lot

You must be able to

- Represent assignments, control flow graphically (at the machine level)
- Have infinitely many registers (or facsimile)
- Implement a few impoverished logics
- Solve recursion equations (dataflow analysis)
- Mutate assignments and branches

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**Interleaved analysis and transformation  
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**Zipper control-flow graph  
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**... and a good register allocator**

# Design philosophy

## The “33-pass compiler”

- Small, simple, **composable** transformations
- “Existing optimizations clean up after new optimizations”
- Keep improving until code doesn’t change

# Simple debugging technique wins big!

Limitable supply of “optimization fuel”

- Rewrite for performance consumes one unit
- On failure, binary search on fuel supply  
(spread over multiple compilation units)

Invented by David Whalley (1994)

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Bookkeeping in a “fuel monad”

**What's important**

# Things to remember

Dataflow analysis =

weakest preconditions + impoverished logic

“Optimization” is largely “equals for equals”

“Movement” is achieved in three steps:

1. Insert new code
2. Rewrite code in place
3. Delete old code

The compiler writer has three good friends:

- Coalescing register allocator
- Dataflow-based transformation engine
- “Optimization fuel”

**Dataflow (from 10,000 ft)**

**(Shout if you prefer the zipper)**

# Lies, damn lies, type signatures

Logical formula is “dataflow fact”

```
data DataflowLattice a = DataflowLattice {  
  bottom    :: a,  
  join      :: a -> a,  
  refines   :: a -> a -> bool  
}
```

Facts computed by “transfer function” (*wp* or *sp*):

```
type Transfer a = a -> Node -> a
```

Fact might justify a rewrite:

```
type Rewrite a = a -> Node -> Maybe Graph
```

## Bigger, more interesting lies

```
solve :: DataflowLattice a
-> Transfer a
-> a    -- fact in (at entry or exit)
-> Graph
-> BlockEnv a -- FP: {label |-> fact}

rewr  :: DataflowLattice a
-> Transfer a
-> a
-> RewritingDepth
-> Rewrite a
-> Graph
-> FuelMonad (Graph, BlockEnv a)
```

# Simple, almost-true client: liveness

Lattice is set of live registers; join is union.

Transfer equations use traditional `gen, kill`:

```
gen, kill :: HasRegs a => a -> RegSet -> RegSet
gen      = foldFreeRegs extendRegSet
kill     = foldFreeRegs delOneFromRegSet
```

```
xfer :: Transfer RegSet
```

```
xfer :: Node -> RegSet -> RegSet
```

```
xfer (Comment { })      = id
```

```
xfer (Load reg expr)   = gen expr . kill reg
```

```
xfer (Store addr rval) = gen addr . gen rval
```

```
xfer (Call f res args) = gen f . gen args . kill res
```

```
xfer (Return e)        = \ _ -> gen e $ emptyRegSet
```

# Companion: dead-assignment elimination

Our most useful tool is dirt-simple:

```
removeDeads :: Rewrite RegSet
removeDeads :: RegSet -> Node -> Maybe Graph
removeDeads live (Load reg expr)
    | not (reg `elemRegSet` live)
    = Just emptyGraph
removeDeads live _ = Nothing
```

Combine with liveness xfer using rewr

# Win by isolating complexity

Function `rewr` is scary (= 1 POPL paper)

Clients are simple:

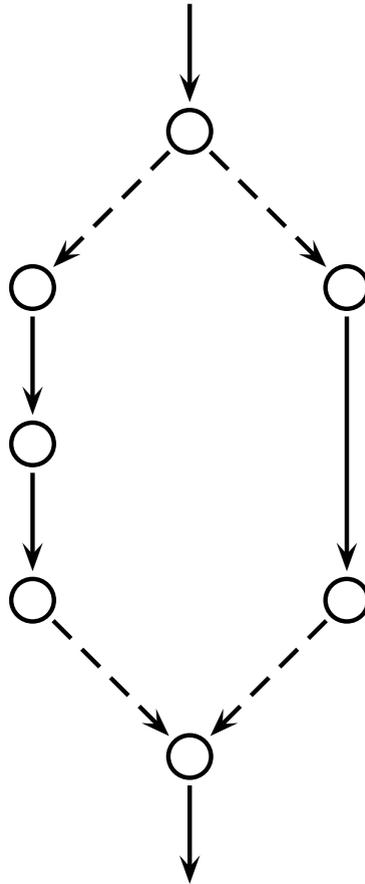
- “Impoverished logic” = “easy to understand”
- Not much code

More examples:

- Spill/reload in 3 passes (1 to insert, 2 to sink)
- Call elimination in 1 pass
- Linear-scan register allocation in 4 passes! (Dias)

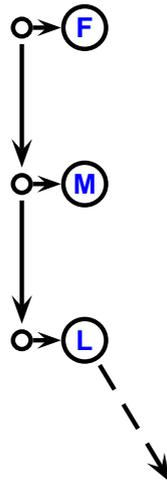
**The zipper**

# A very simple flow graph

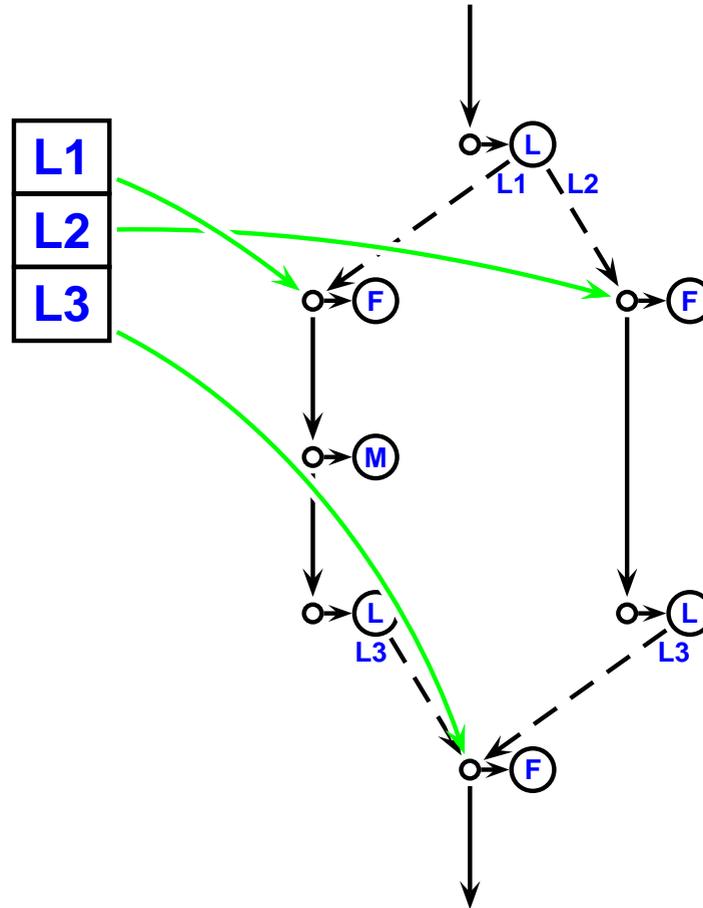


# Nodes have different static types

One basic block:



# Edges between blocks use a finite map



# Need operations on nodes

Not requiring mutation:

- Forward, backward traversal

More imperative-looking:

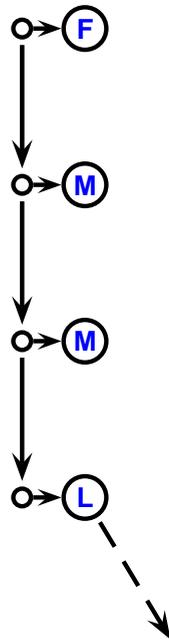
- Insert
- Replace
- Delete

All should be simple, easy, and **functional**

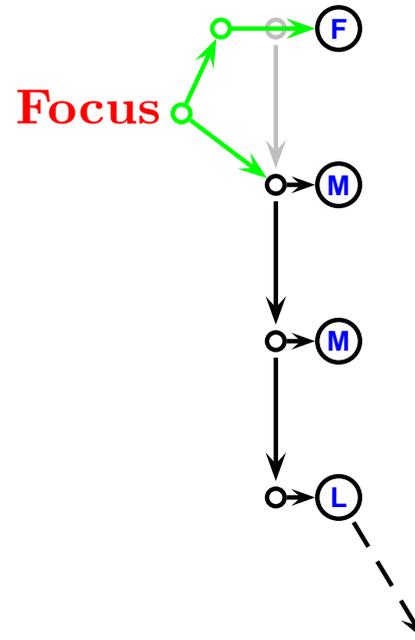
# The Zipper: Manipulating basic blocks

The *focus* represents the “current” edge:

Unfocused



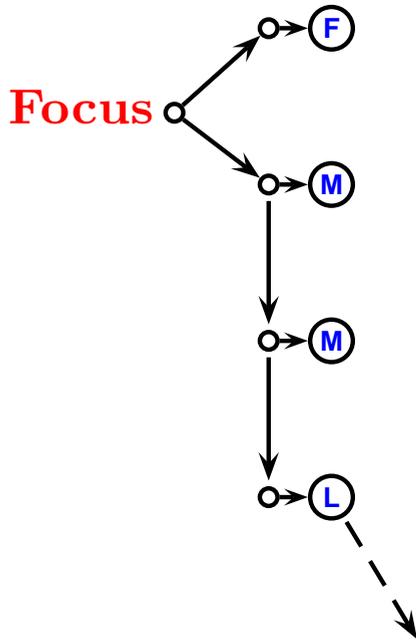
Focused on 1st edge



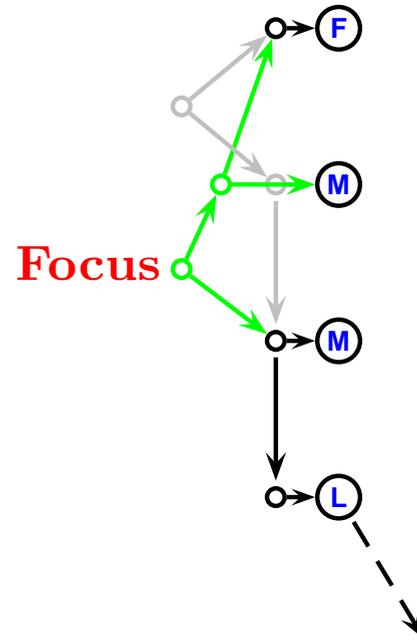
# Moving the focus

Traversal requires constant-space allocation:

Focused on 1st edge



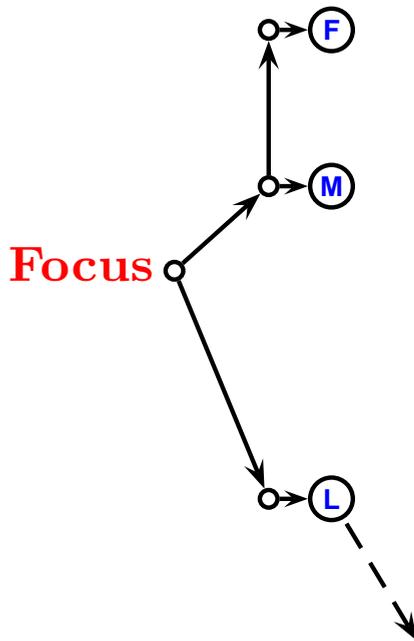
Focused on 2nd edge



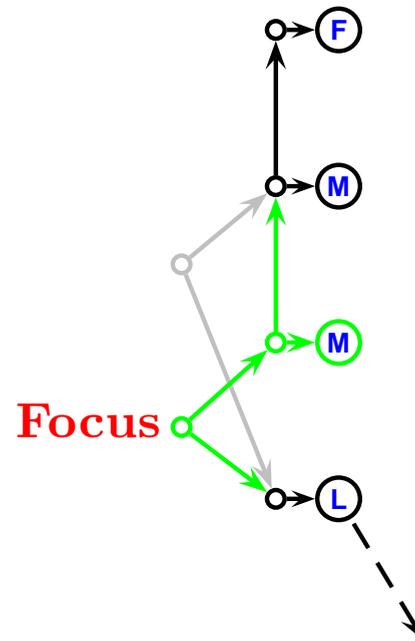
# Inserting an instruction

Insertion also requires constant-space allocation:

Focused on 2nd edge



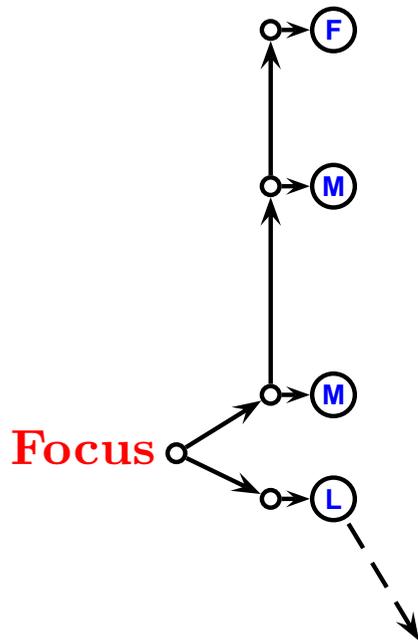
Focused on edge after new instruction



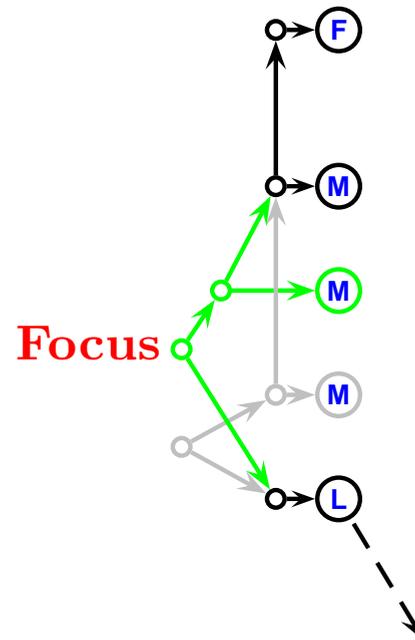
# Replacing an instruction

Replacement requires constant-space allocation:

Focused after node  
to replace



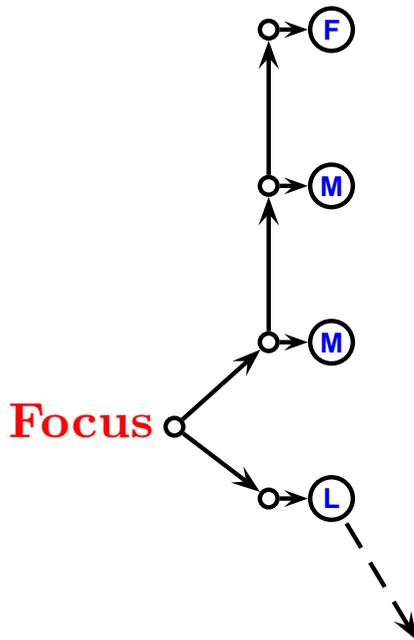
Focused after new  
node



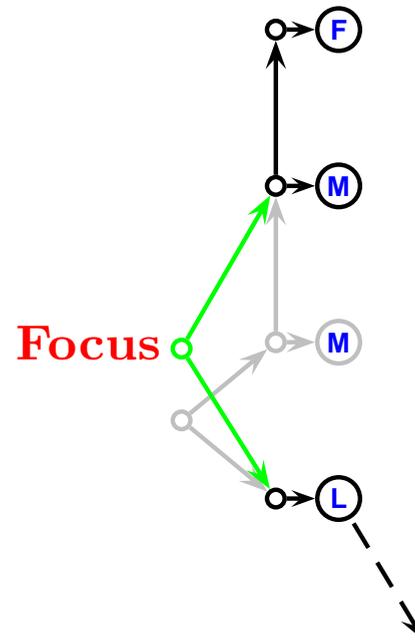
# Deleting an instruction

Deletion requires (half) constant-space allocation:

**Focused after  
delendum**



**Focused on new  
edge**



# Benefits of the zipper

## Representation with

- No mutable pointers (or pointer invariants)
- Single instruction per node
- Easy forward and backward traversal
- Incremental update (imperative feel)

**Haskell code**

# The zipper in Haskell

## The “first” node is always a unique identifier

```
data Block m l = Block BlockId (ZTail m l)
data ZTail m l = ZTail m (ZTail m l) | ZLast (ZLast l)
  -- sequence of m's followed by single l
data ZLast l = LastExit | LastOther l
  -- 'fall through' or a real node
data ZHead m = ZFirst BlockId | ZHead (ZHead m) m
  -- (reversed) sequence of m's preceded by BlockId

data Graph m l =
  Graph (ZTail m l) (BlockEnv (Block m l))
  -- entry sequence paired with collection of blocks

data LGraph m l =
  LGraph BlockId (BlockEnv (Block m l))
  -- for dataflow, every block bears a label
```

# Instantiating the zipper

```
data Middle
  = Assign CmmReg CmmExpr      -- Assign to register
  | Store CmmExpr CmmExpr      -- Store to memory
  | UnsafeCall CmmCallTarget CmmResults CmmActuals
                                -- a 'fat machine instruction'

data Last
  = Branch BlockId             -- Goto block in this proc
  | CondBranch {                -- conditional branch
      cml_pred :: CmmExpr,
      cml_true, cml_false :: BlockId
  }
  | Return                      -- Function return
  | Jump CmmExpr                -- Tail call
  | Call {                       -- Function call
      cml_target :: CmmExpr,
      cml_cont   :: Maybe BlockId }
      -- cml_cont present if call returns
```

# **Ask me about** `CmmSpillReload.hs`

**At every `Call` site,**

- **Every live variable must be saved on the “Haskell stack”**

**Given: `C--` with local variables live across calls**

**Produce: `C--` with spills and reloads,  
nothing live in a register at any call**

**(Code produced on demand)**

**Beyond be dragons**

# Simple facts might be enough

Transfers, rewrites can compose.

Conjoin facts:

$$\begin{aligned} (<*>) &:: \text{Transfer } a \rightarrow \text{Transfer } b \\ &\rightarrow \text{Transfer } (a, b) \end{aligned}$$

Sum rewrites:

$$(<+>) :: \text{Rewrite } a \rightarrow \text{Rewrite } a \rightarrow \text{Rewrite } a$$

Rewrite based on conjoined facts:

$$\text{liftR} :: (b \rightarrow a) \rightarrow \text{Rewrite } a \rightarrow \text{Rewrite } b$$