

# **Fully Abstract Closure Conversion (in the presence of state and effects)**

**Amal Ahmed**

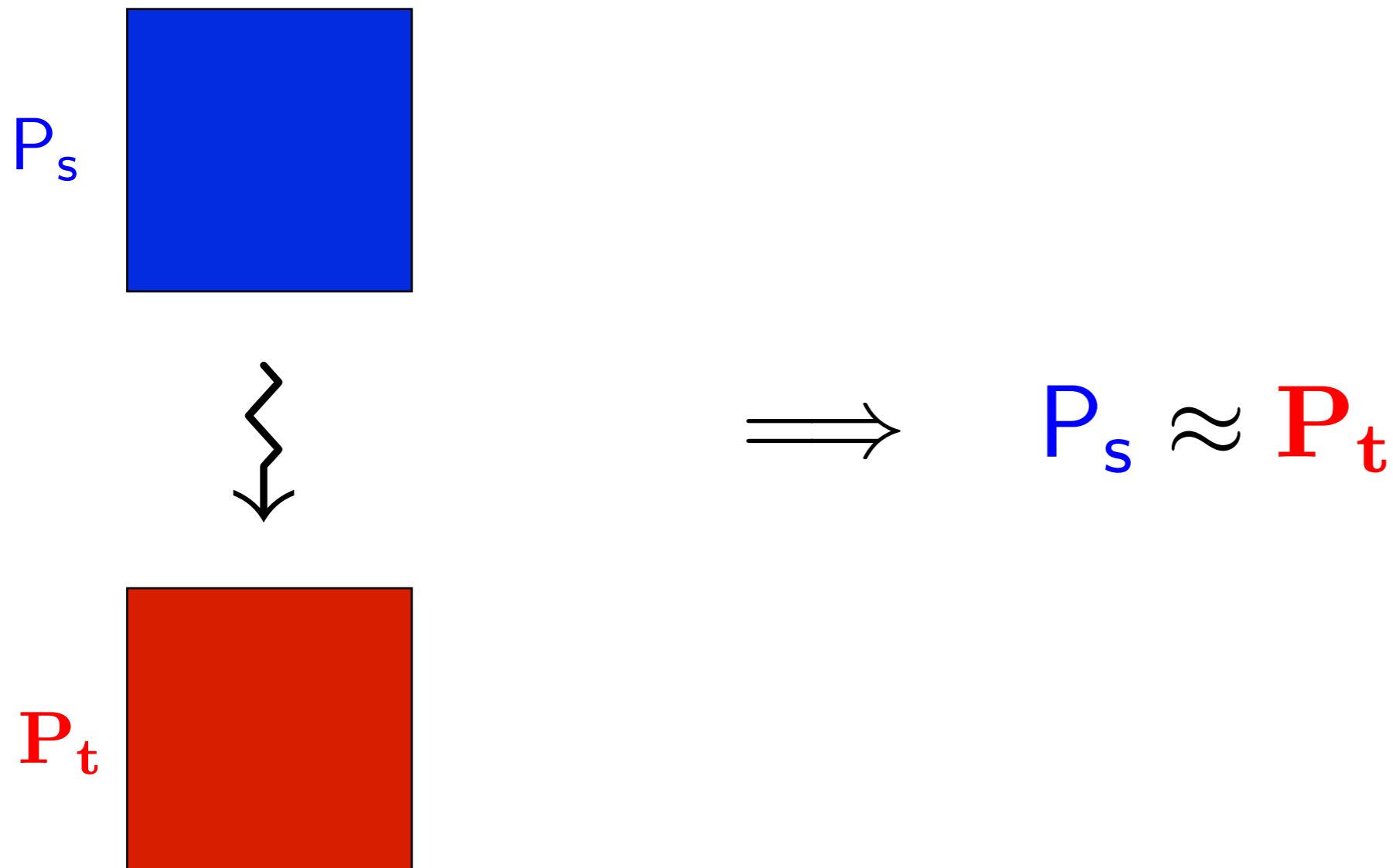
Northeastern University

Work in progress, with Phillip Mates

# Verified compilers for a multi-language world

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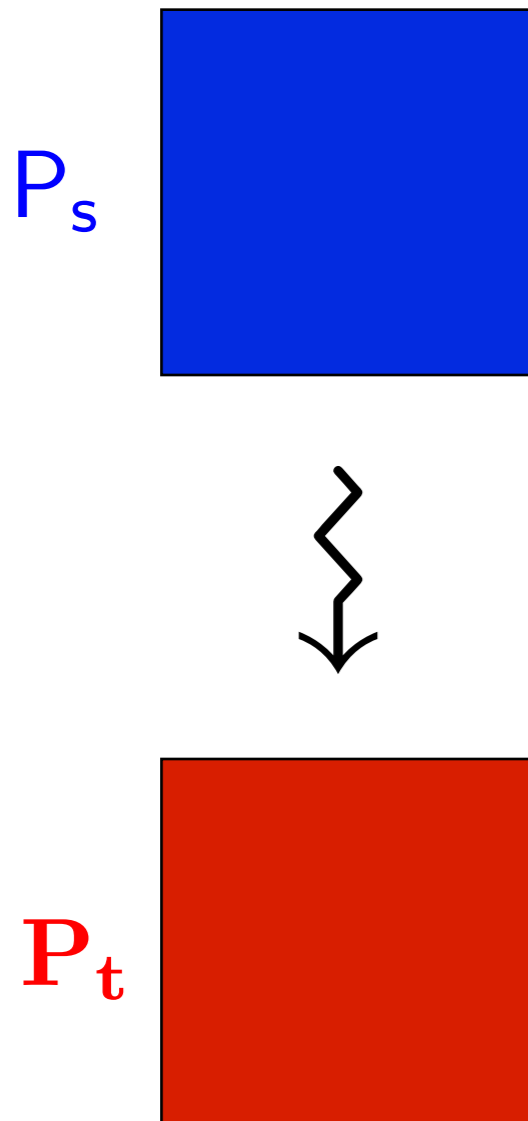
Existing work: correct compilation guarantee only applies to **whole** programs!



# Verified compilers for a multi-language world

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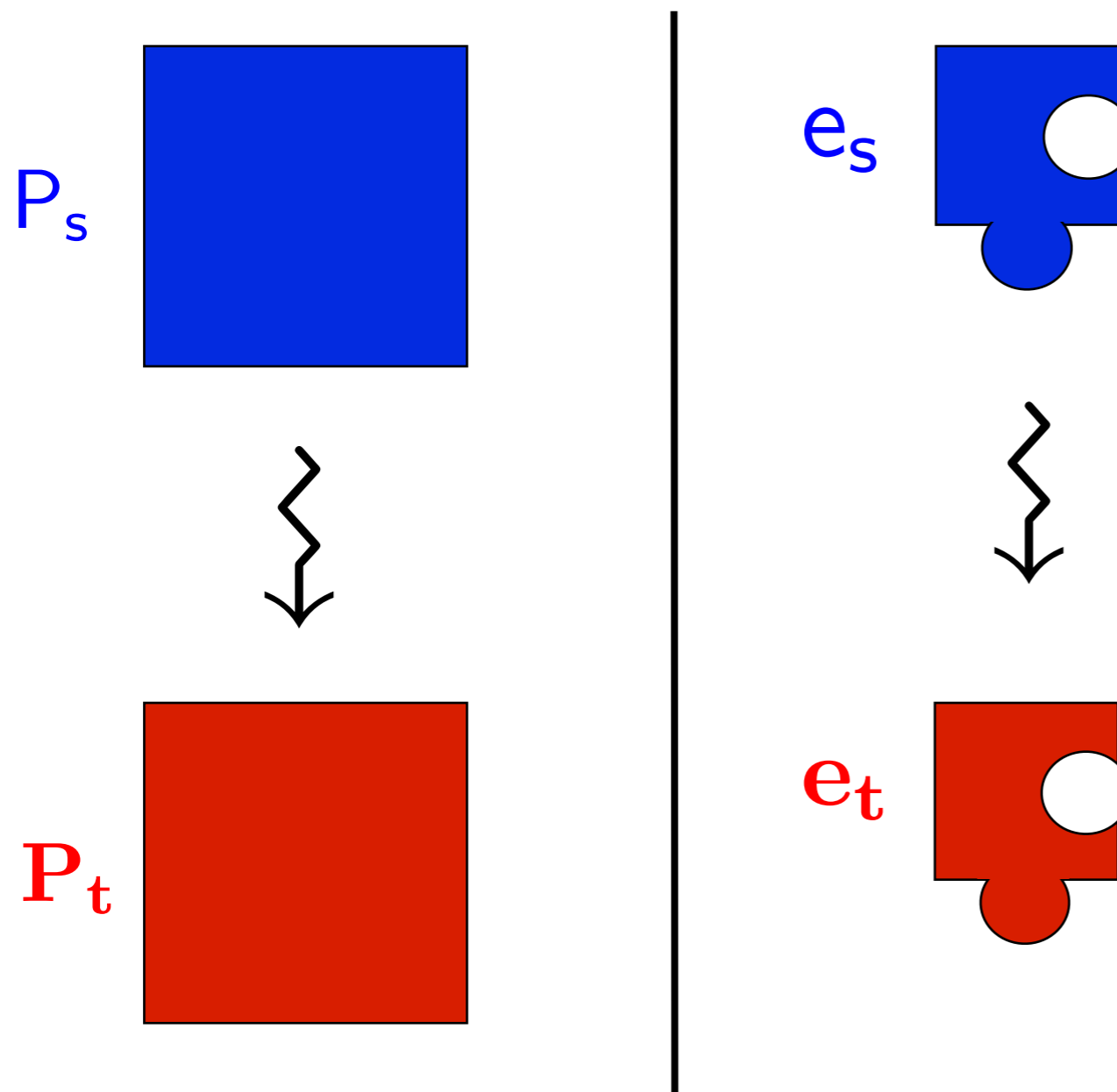
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Existing work: correct compilation guarantee only applies to **whole** programs!

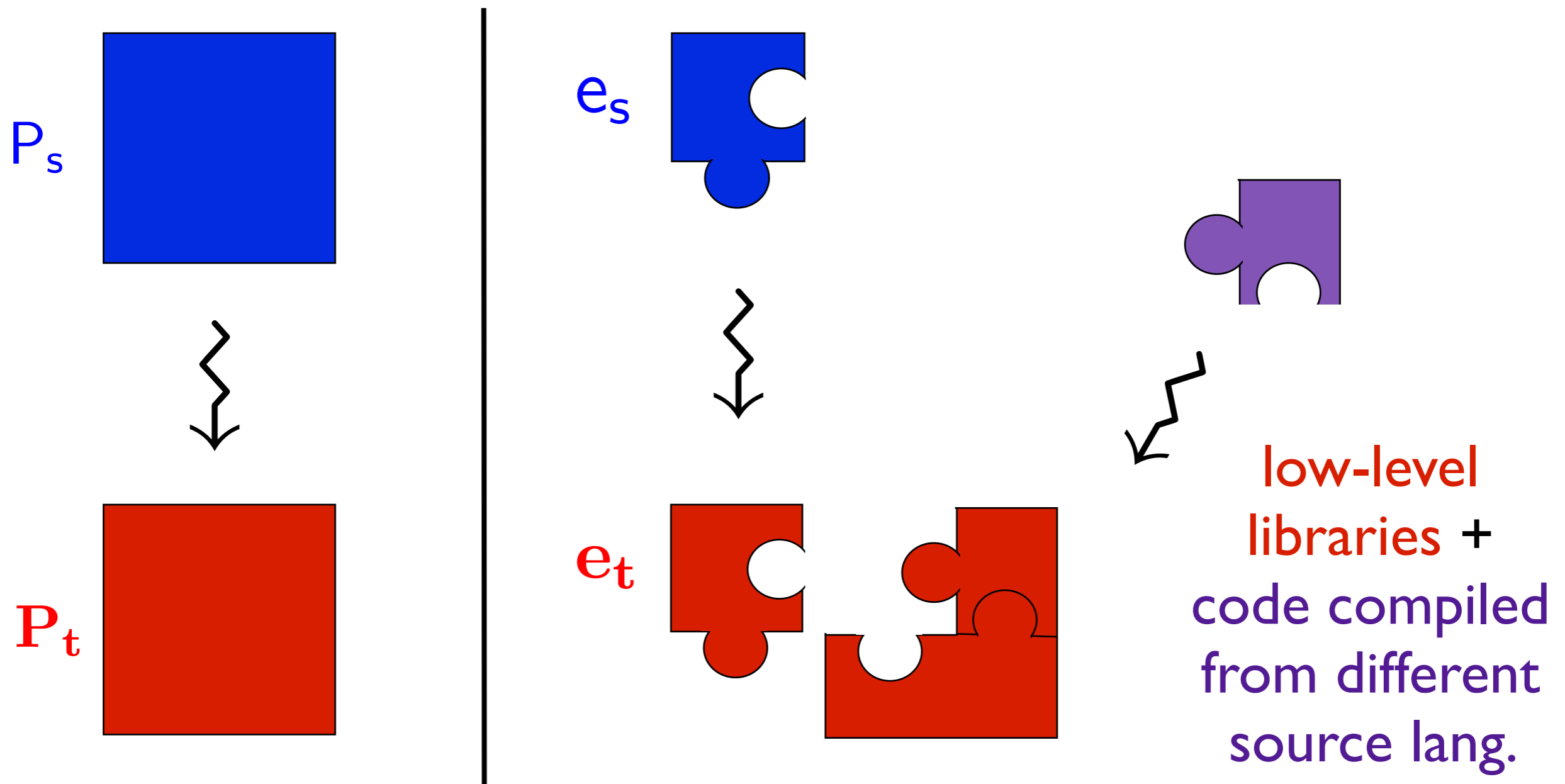




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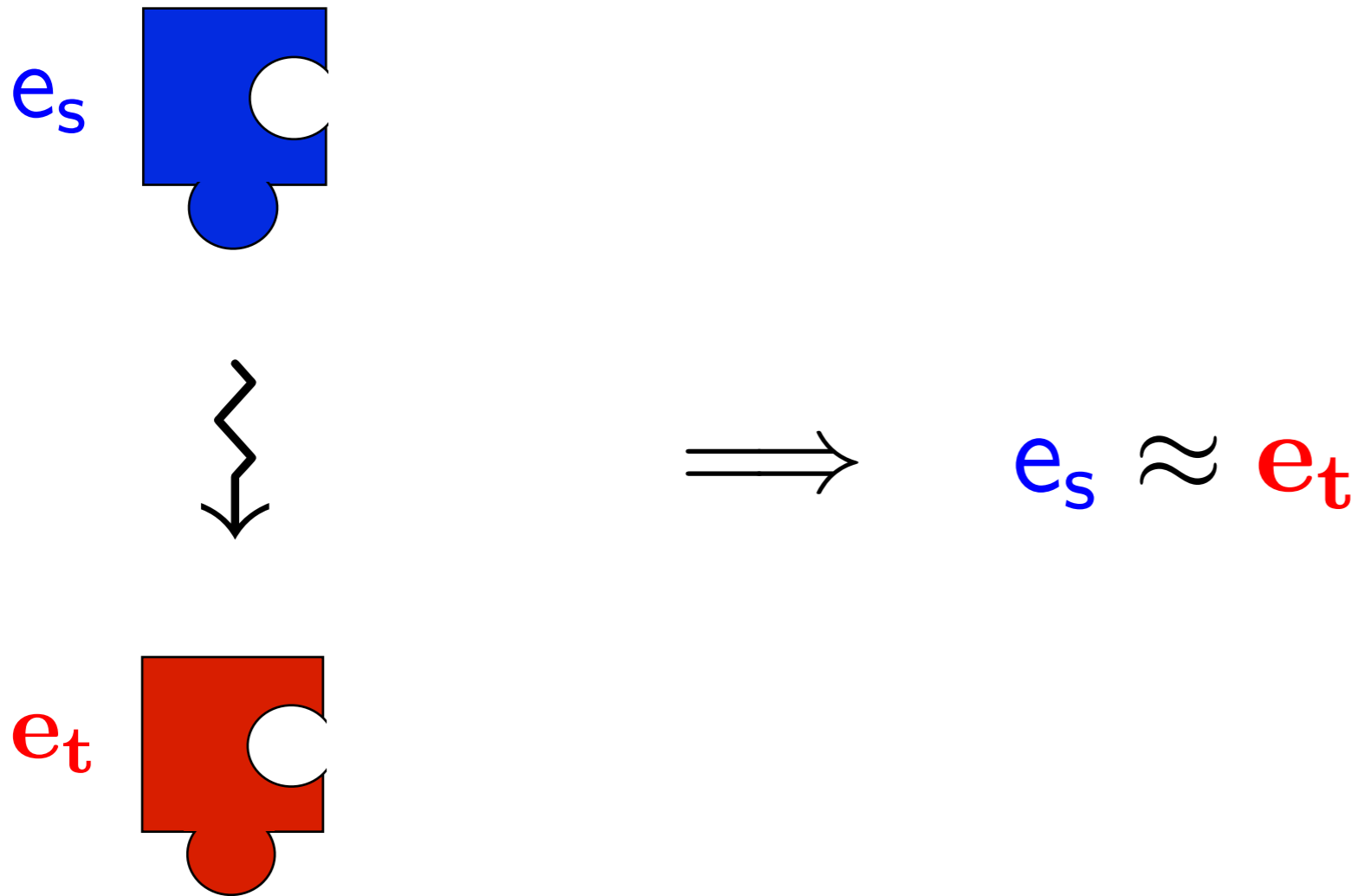
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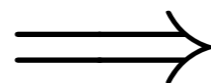
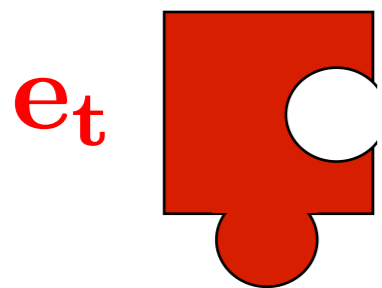
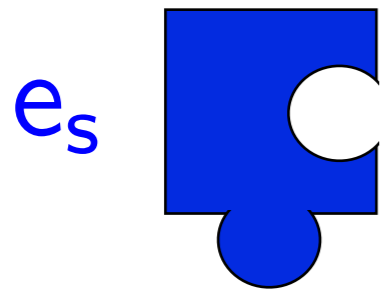
Correct compilation of components:



# Verified compilers for a multi-language world

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Correct compilation of components:



$$e_s \approx e_t$$

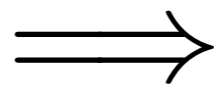
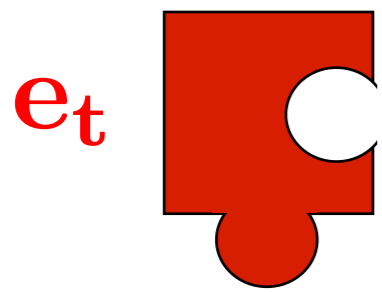
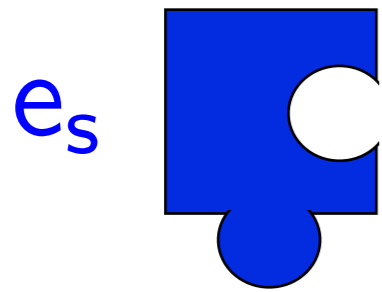
Define semantics of  
source-target  
interoperability:

$$ST e_t \quad TS e_s$$

# Verified compilers for a multi-language world

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Correct compilation of components:



$$e_s \approx e_t \stackrel{\text{def}}{=}$$

$$e_s \approx^{ctx} ST e_t$$

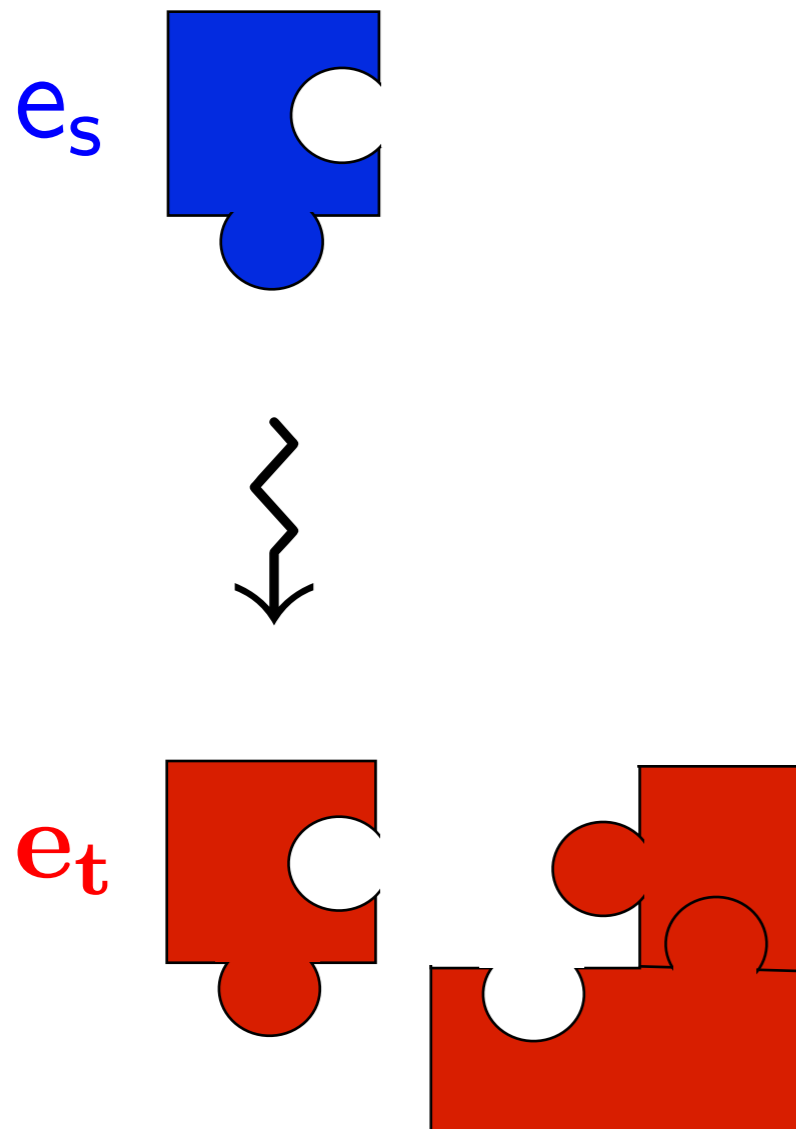
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# Verified compilers for a multi-language world

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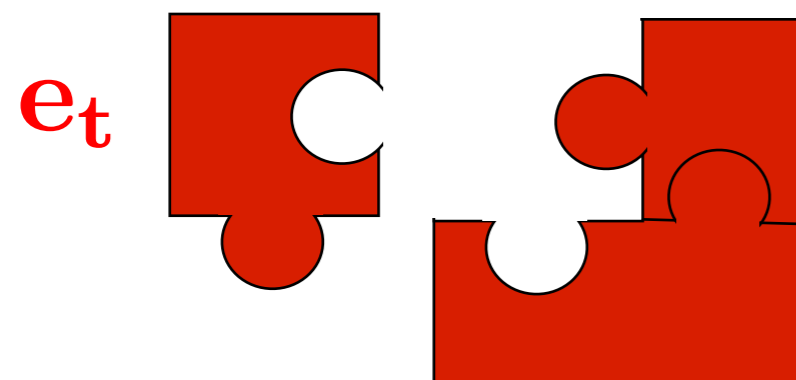
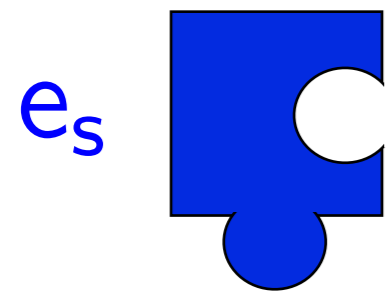
Secure compilation of components:



# Verified compilers for a multi-language world

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Secure compilation of components:

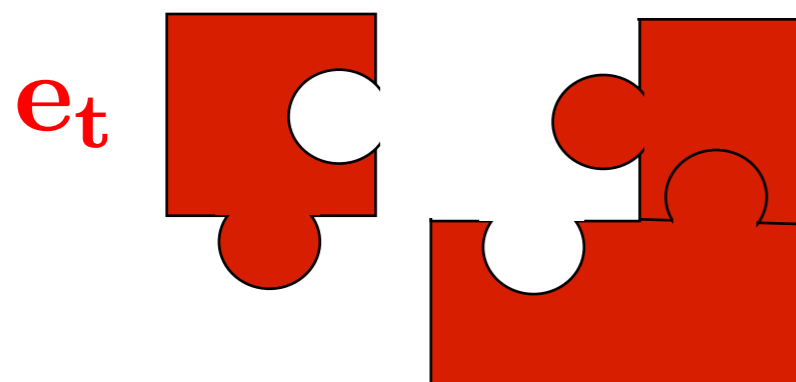
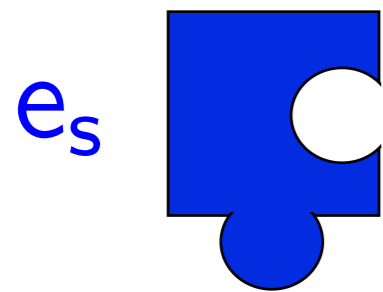


Want guarantee that  $e_t$  will remain as secure as  $e_s$  when executed in arbitrary target-level contexts

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Secure compilation of components:



Want guarantee that  $e_t$  will remain as secure as  $e_s$  when executed in arbitrary target-level contexts

To preserve *two-run* security/reliability properties (e.g., noninterference & representation independence), compiler must preserve observational equivalence

# Type-preserving compilation

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$$e : \tau \rightsquigarrow e : \tau^+$$



# Equivalence-preserving compilation

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If  $e_1 : \tau \rightsquigarrow e_1 : \tau^+$  and  $e_2 : \tau \rightsquigarrow e_2 : \tau^+$  then:

$$e_1 \approx_S^{ctx} e_2 : \tau \implies e_1 \approx_T^{ctx} e_2 : \tau^+$$

# Fully abstract compilation

---

If  $e_1 : \tau \rightsquigarrow e_1 : \tau^+$  and  $e_2 : \tau \rightsquigarrow e_2 : \tau^+$  then:

$$e_1 \approx_S^{ctx} e_2 : \tau \iff e_1 \approx_T^{ctx} e_2 : \tau^+$$

preserves & reflects equivalence



Security-preserving = Fully abstract

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# Security-preserving = Fully abstract

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- If compilation is not equivalence-preserving then there exist contexts (i.e., **attackers!**) at target that can distinguish program fragments that cannot be distinguished by source contexts
- C# to .NET IL compiler [Kennedy'06]: holes in full abstraction that lead to security exploits

# Security-preserving = Fully abstract

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**Our eventual goal:** security-preserving compilation of dependently typed, stateful languages (HTT, F\*)

# Security-preserving = Fully abstract

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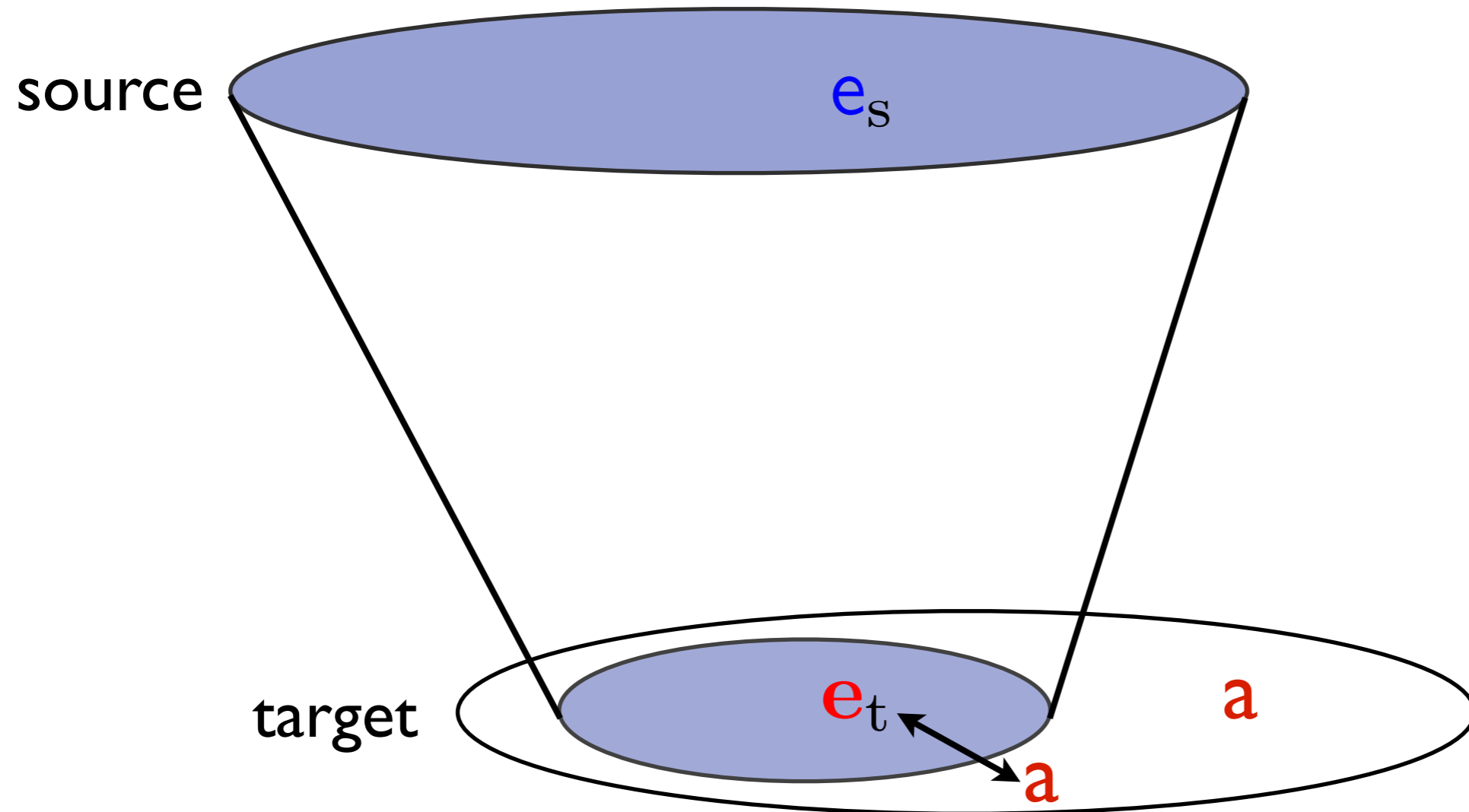
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**Our eventual goal:** security-preserving compilation of dependently typed, stateful languages (HTT, F\*)

**This talk:** fully abstract closure conversion of System F with mutable references

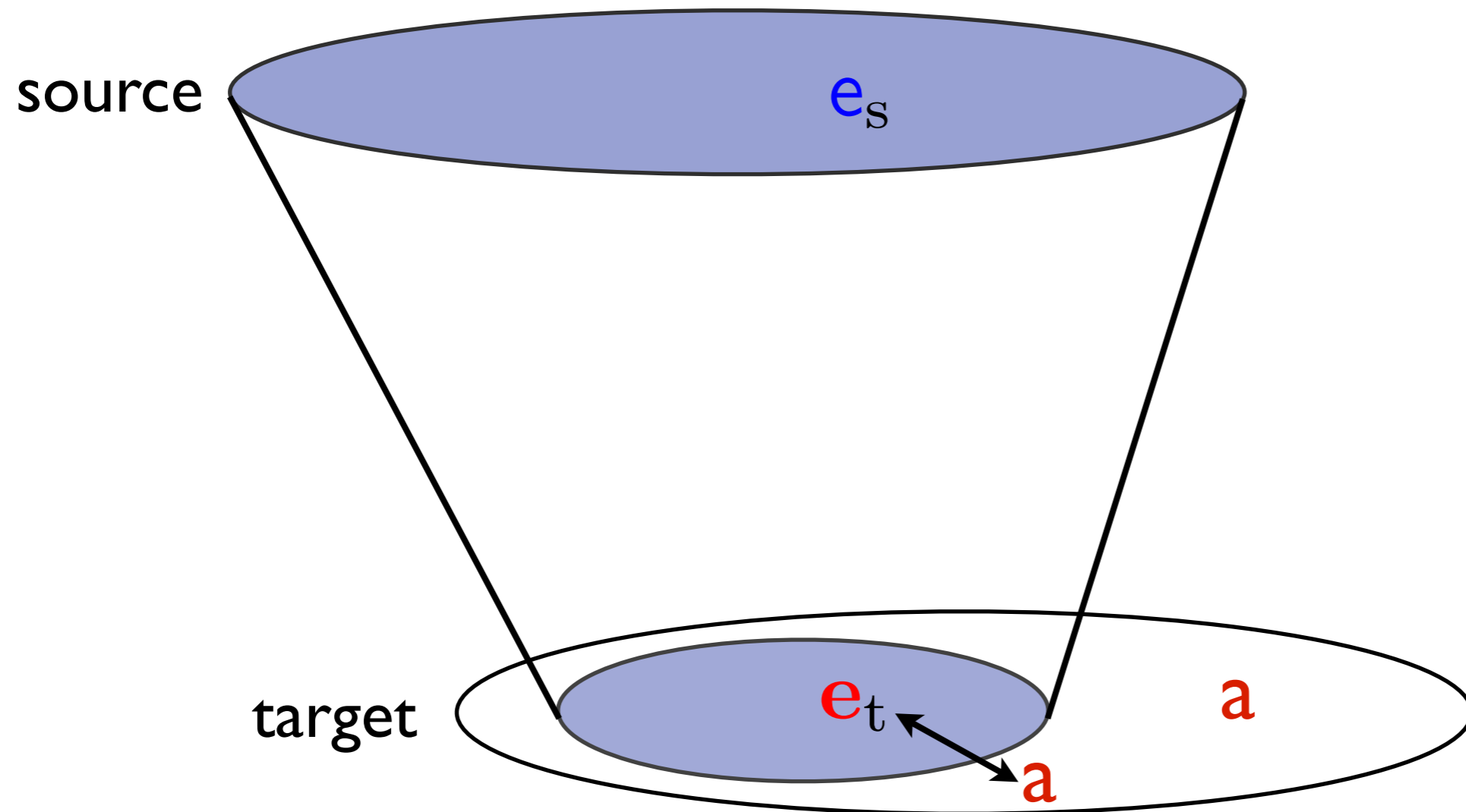
# Why is full abstraction challenging?

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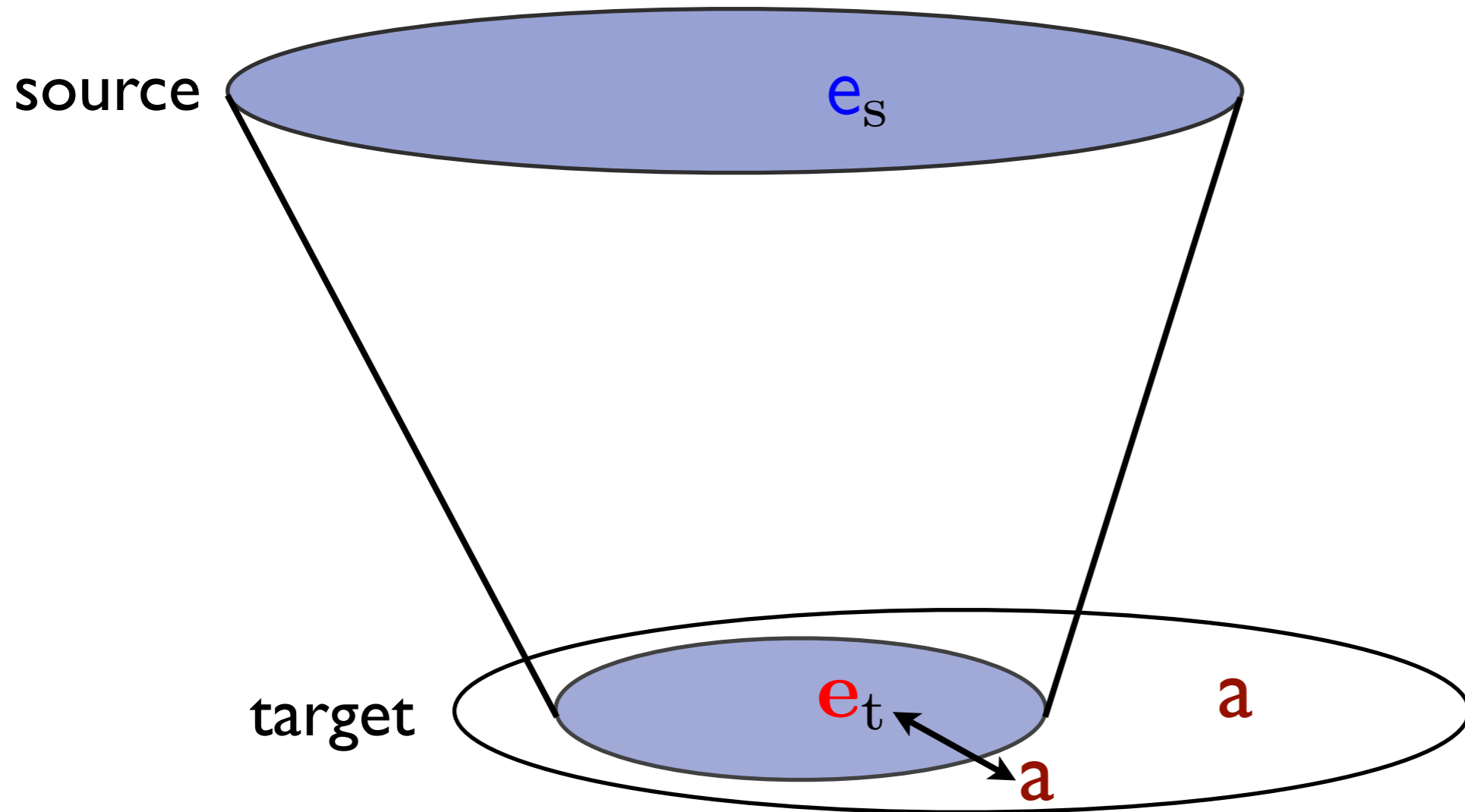


Must ensure that any  $a$  we link with behaves like some source context



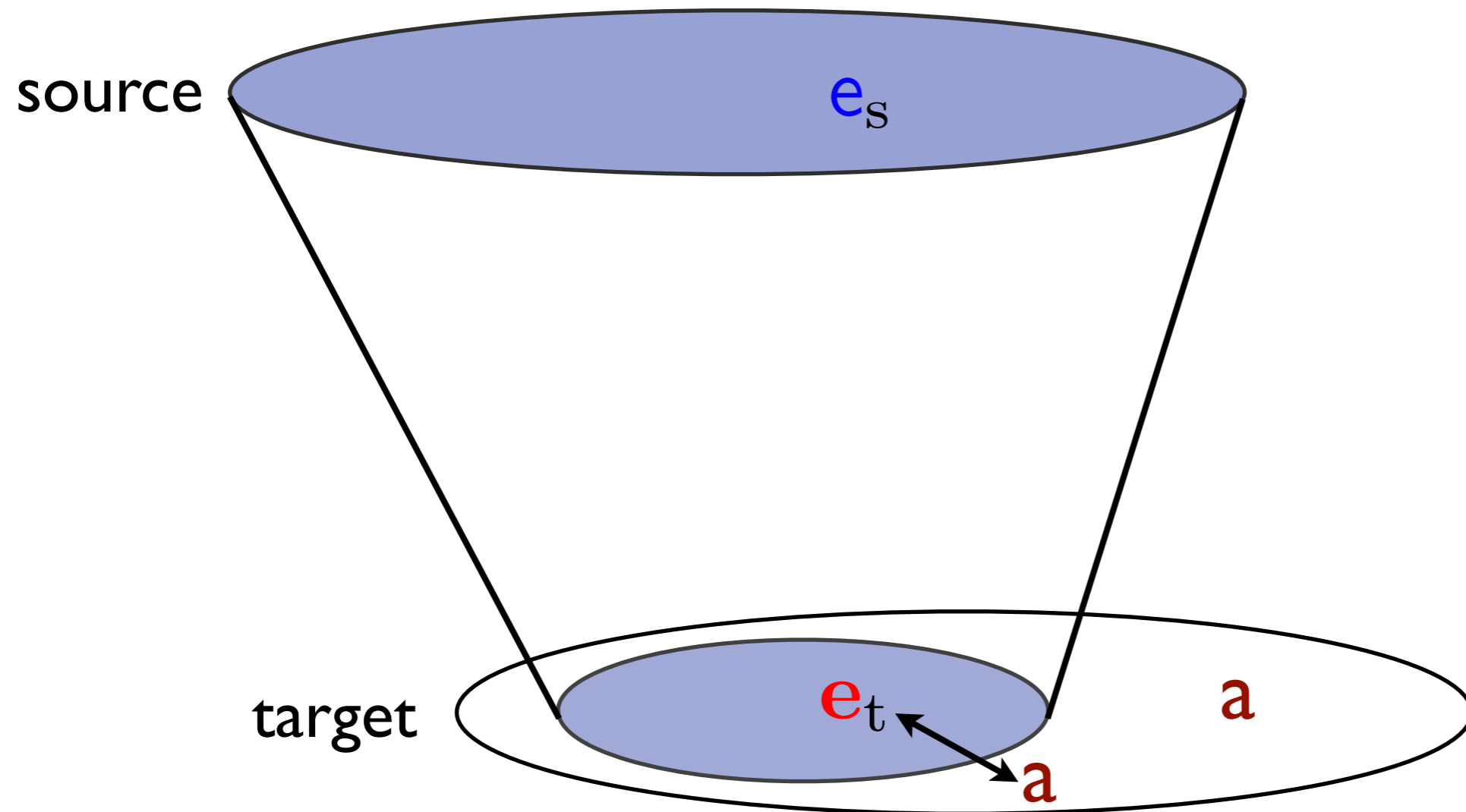
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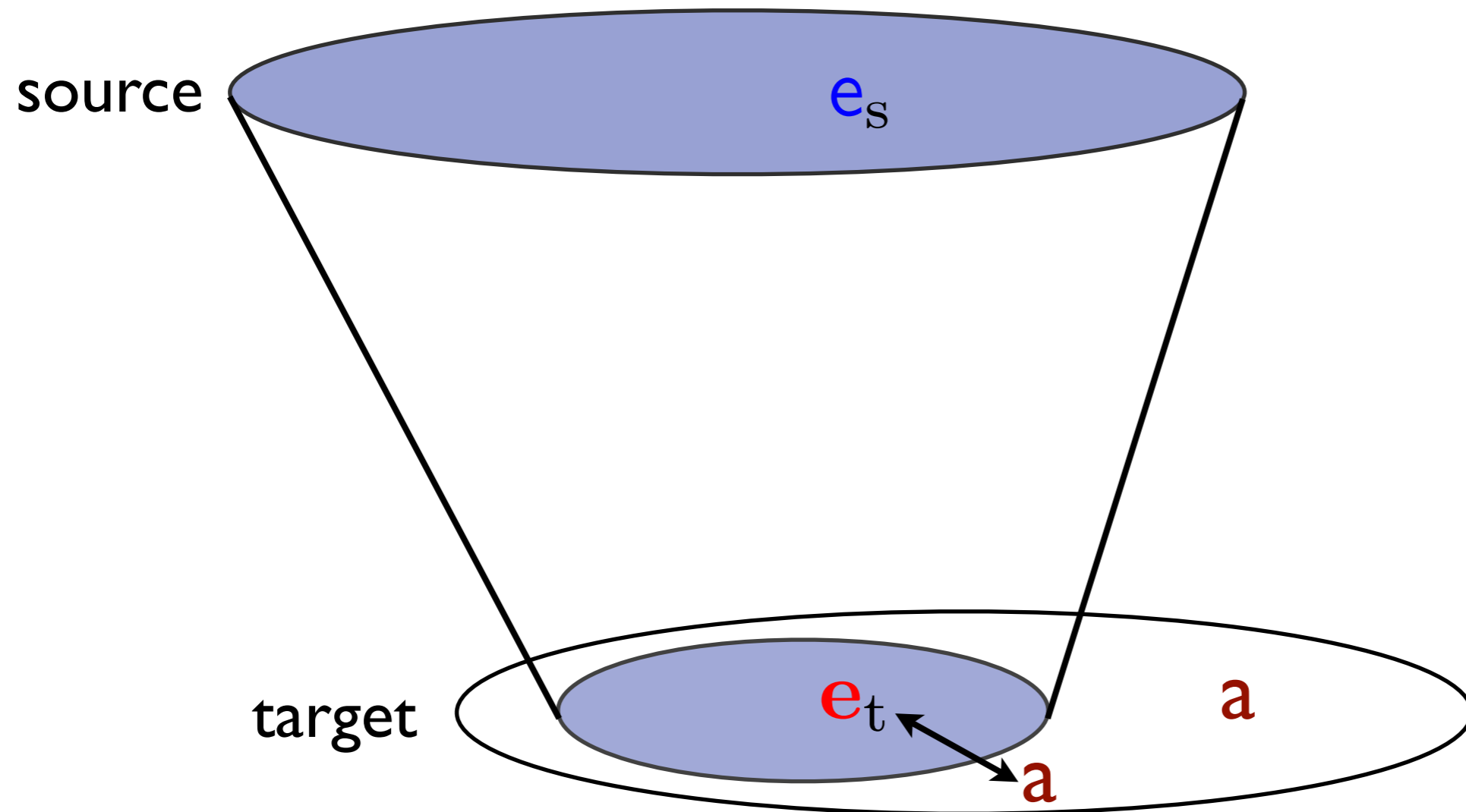
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- Fix (i) Increase expressivity of source

# Why is full abstraction challenging?

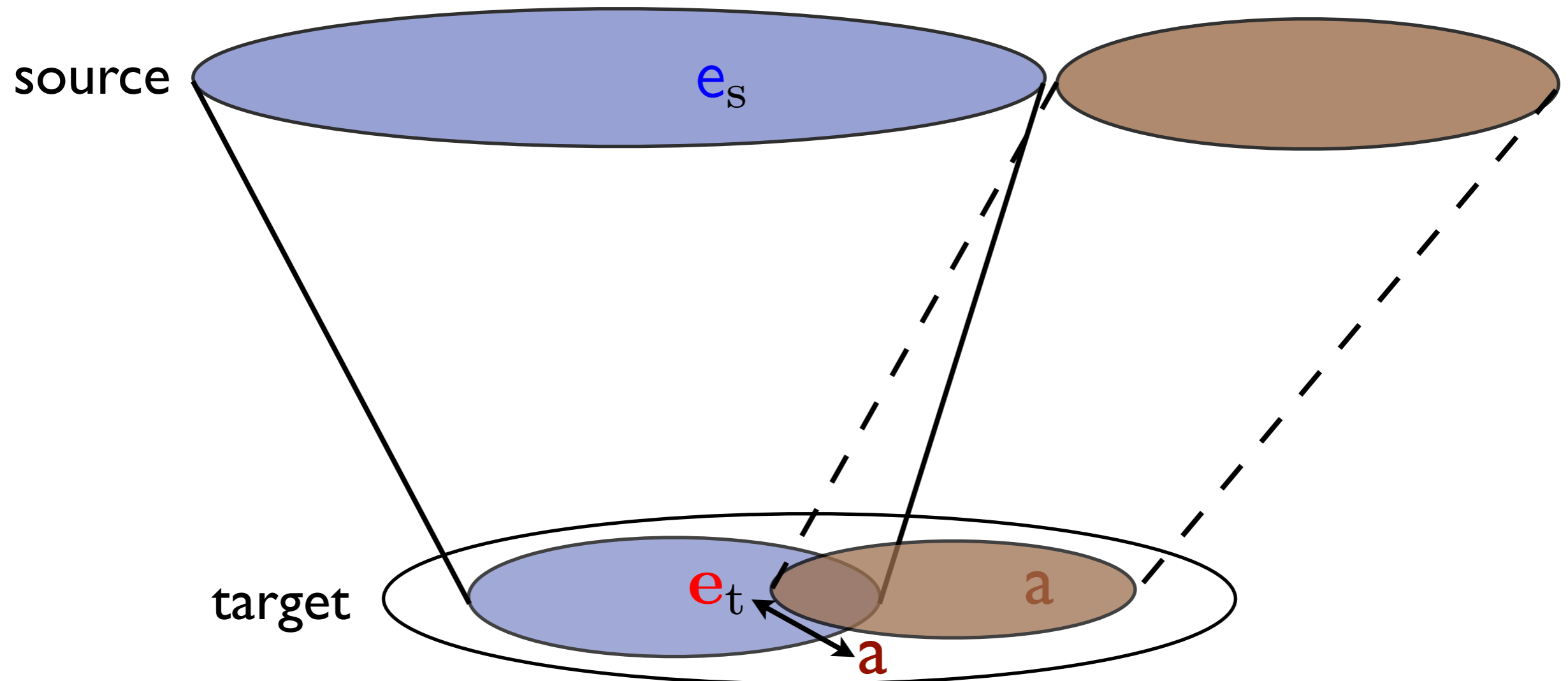
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- Fix (i) Increase expressivity of source
- Fix (ii) Decrease expressivity of target

# Why is full abstraction challenging?

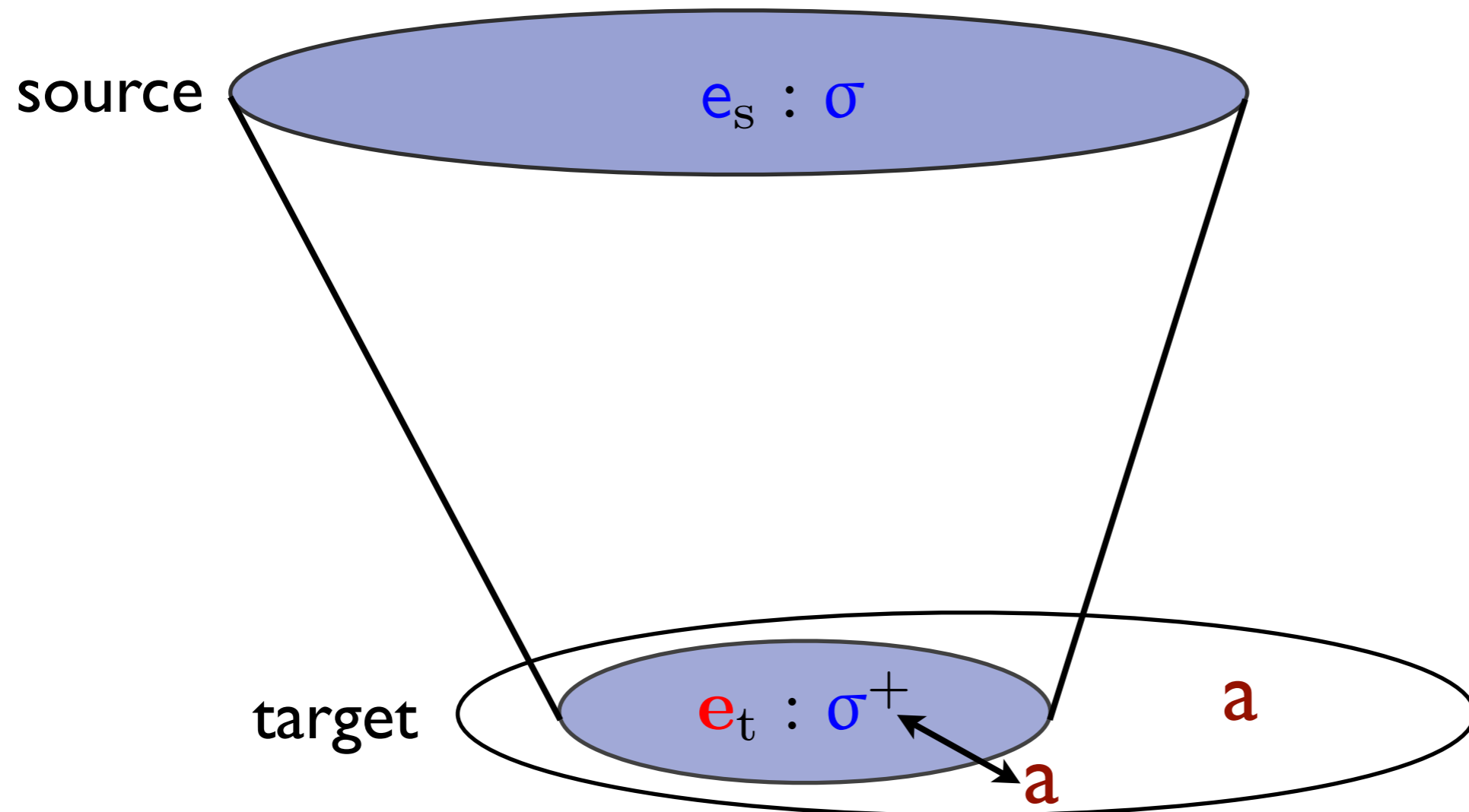
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- Fix (i) Increase expressivity of source
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# Why is full abstraction challenging?

---



- Fix (i) Increase expressivity of source
- Fix (ii) Decrease expressivity of target
- Fix (iii) **Change the translation: use types to rule out bad  $a$ 's**

# Challenge of **proving** full abstraction

---

Suppose  $\Gamma \vdash e_1 : \tau \rightsquigarrow e_1$  and  $\Gamma \vdash e_2 : \tau \rightsquigarrow e_2$ .

$$\Gamma \vdash e_1 \approx_S^{ctx} e_2 : \tau$$



$$\Gamma^+ \vdash e_1 \approx_T^{ctx} e_2 : \tau^+$$

# Challenge of **proving** full abstraction

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$$\Gamma^+ \vdash e_1 \approx_T^{ctx} e_2 : \tau^+$$

Given:

No  $C_S$  can distinguish  $e_1, e_2$

Show:

Given arbitrary  $C_T$ , it cannot distinguish  $e_1, e_2$

Need to be able to “back-translate”  $C_T$  to an equivalent  $C_S$

# Challenge of **proving** full abstraction

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“Back-translation”

What if target language  
is more expressive than  
source?

Equivalence-preserving CPS  
from STLC to System F  
[Ahmed-Blume ICFP'11]



# Quick note: “same language trick”

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If target happens to be no more expressive than source, use the same language: back-translation can be avoided in lieu of *wrappers* between  $\tau$  and  $\tau^+$

- Closure conversion: System F with recursive types  
*[Ahmed-Blume ICFP'08]*
- $f^*$  (STLC with refs) to  $js^*$  (encoding of JavaScript in  $f^*$ )  
*[Fournet et al. POPL'13]*

# Closure Conversion

---

## Source

$\tau ::= \alpha \mid \text{unit} \mid \text{int} \mid \forall[\bar{\alpha}].(\bar{\tau}) \rightarrow \tau \mid \mu\alpha.\tau \mid \text{ref } \tau \mid \langle \bar{\tau} \rangle$

$p ::= + \mid - \mid *$

$v ::= x \mid () \mid n \mid \lambda[\bar{\alpha}](\bar{x}:\bar{\tau}).e \mid \text{fold}_{\mu\alpha.\tau} v \mid \ell \mid \langle \bar{v} \rangle$

$e ::= v \mid v[\bar{\tau}]\bar{v} \mid v p v \mid \text{new } v \mid v := v \mid !v \mid \text{unfold } v \mid \pi_i(v) \mid \text{let } x = e \text{ in } e \mid \text{if0 } v e e$

$E ::= [\cdot] \mid \text{let } x = E \text{ in } e$

## Target

# Closure Conversion

---

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## Target

$\tau ::= \alpha \mid \text{unit} \mid \text{int} \mid \forall[\bar{\alpha}].(\bar{\tau}) \rightarrow \tau \mid \exists\alpha.\tau \mid \mu\alpha.\tau \mid \text{ref } \tau \mid \langle \bar{\tau} \rangle \mid \text{cont } \tau$

$p ::= + \mid - \mid *$

$v ::= x \mid () \mid n \mid \lambda[\bar{\alpha}](\bar{x}:\bar{\tau}).e \mid \text{pack } \langle \tau, v \rangle \text{ as } \exists\alpha.\tau \mid \text{fold}_{\mu\alpha.\tau} v \mid \ell \mid \langle \bar{v} \rangle \mid \text{cont}_\tau E$

$e ::= v \mid \text{unpack } \langle \alpha, x \rangle = v \text{ in } e \mid v [] \bar{v} \mid v[\tau] \mid v p v \mid \text{new } v \mid v := v \mid !v \mid \text{unfold } v \mid \pi_i(v) \mid \text{let } x = e \text{ in } e \mid \text{if0 } v \ e \ e \mid \text{call/cc}_\tau(x.e) \mid \text{throw}_\tau v \text{ to } e$

$E ::= [\cdot] \mid \text{let } x = E \text{ in } e \mid \text{throw}_\tau v \text{ to } E$

# Static & Dynamic Semantics

---

## Source

$$\Psi; \Delta; \Gamma \vdash e : \tau$$
$$\langle H \mid e \rangle \longmapsto \langle H' \mid e' \rangle$$

## Target

$$\Psi; \Delta; \Gamma \vdash e : \tau$$
$$\bullet; \bar{\alpha}; \bar{x} : \bar{\tau} \vdash e : \tau'$$
$$\frac{\bullet; \bar{\alpha}; \bar{x} : \bar{\tau} \vdash e : \tau'}{\Psi; \Delta; \Gamma \vdash \lambda[\bar{\alpha}](\bar{x} : \bar{\tau}).e : \forall[\bar{\alpha}].(\bar{\tau}) \rightarrow \tau'}$$
$$\langle H \mid e \rangle \longmapsto \langle H' \mid e' \rangle$$
$$\langle H \mid E[\text{call/cc}_\tau(x.e)] \rangle \longmapsto \langle H \mid E[e[\text{cont}_\tau E/x]] \rangle$$
$$\langle H \mid E[\text{throw}_\tau v \text{ to cont}_{\tau'} E'] \rangle \longmapsto \langle H \mid E'[v] \rangle$$

# Translation

---

## Type translation

$$\begin{aligned} \alpha^+ &= \alpha & (\forall[\bar{\alpha}].(\bar{\tau}) \rightarrow \tau')^+ &= \exists\beta.\langle(\forall[\bar{\alpha}].(\beta, \bar{\tau}^+) \rightarrow \tau'^+), \beta\rangle \\ \text{unit}^+ &= \text{unit} & (\exists\alpha.\tau)^+ &= \exists\alpha.\tau^+ \\ \text{int}^+ &= \text{int} & (\mu\alpha.\tau)^+ &= \mu\alpha.\tau^+ \\ (\text{ref } \tau)^+ &= \text{ref } \tau^+ & \langle\tau_1, \dots, \tau_n\rangle^+ &= \langle\tau_1^+, \dots, \tau_n^+\rangle \end{aligned}$$

## Term translation

$$\boxed{\cdot; \Delta; \Gamma \vdash e : \tau \rightsquigarrow e}$$

where  $\cdot; \Delta^+; \Gamma^+ \vdash e : \tau^+$

# Is our translation fully abstract?

---

$\tau = (\text{unit} \rightarrow \text{unit}) \rightarrow \text{int}$

$e_1 = \text{let } x = \text{new } 0 \text{ in}$

$\lambda f. (x := 0; f (); x := 1; f (); !x)$

$e_2 = \lambda f. (f (); f (); 1)$

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$C = \text{let } g = [\cdot] \text{ in let } b = \text{new } ff \text{ in}$

$\text{let } f = (\lambda_. \text{if } !b \text{ then call/cc}(k. g (\lambda_. \text{throw } () \text{ to } k))$

$\text{else } b := tt) \text{ in}$

$g f$

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$g \ f$

$C[e_1]$  returns 0

$C[e_2]$  returns 1



# Proof of Equivalence Preservation

---

Suppose  $\cdot; \Delta; \Gamma \vdash e_1 : \tau \rightsquigarrow e_1$  and  $\cdot; \Delta; \Gamma \vdash e_2 : \tau \rightsquigarrow e_2$

$$\cdot; \Delta; \Gamma \vdash e_1 \approx_S^{ctx} e_2 : \tau$$



$$\cdot; \Delta^+; \Gamma^+ \vdash e_1 \approx_T^{ctx} e_2 : \tau^+$$

Given arbitrary  $C_T : (\cdot; \Delta^+; \Gamma^+ \vdash \tau^+) \Rightarrow (\cdot; \cdot; \cdot \vdash \mathbf{int})$   
show it cannot distinguish  $e_1, e_2$

Suffices to be able to “back-translate”  $e$  of translation type to an equivalent  $e$

# “Back-translation” from T to S

---

$$\cdot; \Delta; \Gamma \quad \vdash e : \tau^+ \rightsquigarrow e$$

where  $\Delta ::= \cdot \mid \Delta, \alpha$

and  $\Gamma ::= \cdot \mid \Gamma, \mathbf{x} : \tau^+$

and  $e \in S$

and  $\cdot; \Delta^{\rightsquigarrow}; \Gamma^{\rightsquigarrow} \vdash e : \tau$

# “Back-translation” from T to S

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$$\boxed{\cdot; \Delta; \Gamma \quad \vdash e : \tau^+ \twoheadrightarrow e}$$

where  $\Delta ::= \cdot \mid \Delta, \alpha$

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and  $e \in S$

and  $\cdot; \Delta^{\twoheadrightarrow}; \Gamma^{\twoheadrightarrow} \vdash e : \tau$

$$\frac{}{\cdot; \Delta; \Gamma \quad \vdash () : \text{unit}^+ \twoheadrightarrow ()}$$

$$\frac{}{\cdot; \Delta; \Gamma \quad \vdash n : \text{int}^+ \twoheadrightarrow n}$$

$$\frac{x : \tau^+ \in \Gamma}{\cdot; \Delta; \Gamma \quad \vdash x : \tau^+ \twoheadrightarrow x}$$

# Back-translation: values

---

$$\frac{\cdot; \Delta; \Gamma \quad \vdash^+ \mathbf{v} : \tau^+ [\mu\alpha.\tau^+ / \alpha] \rightarrow v'}{\cdot; \Delta; \Gamma \quad \vdash^+ \mathbf{fold}_{\mu\alpha.\tau} \mathbf{v} : \mu\alpha.\tau^+ \rightarrow \mathbf{fold}_{\mu\alpha.\tau} v'}$$

$$\frac{\cdot; \Delta; \Gamma \quad \vdash \mathbf{v}_1 : \tau_1^+ \rightarrow v'_1 \quad \dots \quad \cdot; \Delta; \Gamma \quad \vdash \mathbf{v}_n : \tau_n^+ \rightarrow v'_n}{\cdot; \Delta; \Gamma \quad \vdash \langle \mathbf{v}_1, \dots, \mathbf{v}_n \rangle : \langle \tau_1, \dots, \tau_n \rangle^+ \rightarrow \langle v'_1, \dots, v'_n \rangle}$$

# Back-translation: values (pack)

---

$$(\tau^+)[\hat{\tau}/\alpha]$$

$$\cdot; \Delta; \Gamma \vdash \mathbf{v} : ?^+ \rightsquigarrow v'$$

---

$$\cdot; \Delta; \Gamma \vdash \mathbf{pack} \langle \hat{\tau}, \mathbf{v} \rangle \mathbf{as} \exists \alpha. \tau^+ : \exists \alpha. \tau^+ \rightsquigarrow$$

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# Back-translation: values (pack)

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$$\begin{array}{c} (\tau^+)[\hat{\tau}/\alpha] \\ \downarrow \\ \cdot; \Delta; \Gamma \quad \vdash v : \tau[\hat{\tau}/\alpha]^+ \twoheadrightarrow v' \\ \hline \cdot; \Delta; \Gamma \quad \vdash \text{pack } \langle \hat{\tau}, v \rangle \text{ as } \exists \alpha. \tau^+ : \exists \alpha. \tau^+ \twoheadrightarrow \text{pack } \langle \hat{\tau}, v' \rangle \text{ as } \exists \alpha. \tau \end{array}$$

Need to require that witness type ( $\hat{\tau}$ ) of any package of type  $\exists \alpha. \tau^+$  must be a translation type

# Back-translation: values (pack)

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$$\begin{array}{c}
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Need to require that witness type ( $\hat{\tau}$ ) of any package of type  $\exists \alpha. \tau^+$  must be a translation type

Fix the type translation! Add “trans” kinds  $\diamond$  to target and kinding judgment that says all translation types have kind  $\diamond$

# Fixing type translation...

---

$s ::= \diamond \mid \star$

$\tau ::= \alpha \mid \text{unit} \mid \text{int} \mid \forall[\overline{\alpha}::\overline{s}].(\overline{\tau}) \rightarrow \tau \mid \exists\alpha::s.\tau \mid \mu\alpha.\tau \mid \text{ref } \tau \mid \langle \overline{\tau} \rangle \mid \text{cont } \tau$

$p ::= + \mid - \mid *$

$v ::= x \mid () \mid n \mid \lambda[\overline{\alpha}::\overline{s}](\overline{x}:\overline{\tau}).e \mid \text{pack } \langle \tau, v \rangle \text{ as } \exists\alpha::s.\tau \mid \text{fold}_{\mu\alpha.\tau} v \mid \ell \mid \langle \overline{v} \rangle \mid \text{cont}_{\tau} E$

$e ::= v \mid \text{unpack } \langle \alpha, x \rangle = v \text{ in } e \mid v [] \overline{v} \mid v [\tau] \mid v p v \mid \text{new } v \mid v := v \mid !v \mid \text{unfold } v \mid \pi_i(v)$   
 $\mid \text{let } x = e \text{ in } e \mid \text{if0 } v e e \mid \text{call/cc}_{\tau}(x.e) \mid \text{throw}_{\tau} v \text{ to } e$

$E ::= [\cdot] \mid \text{let } x = E \text{ in } e \mid \text{throw}_{\tau} v \text{ to } E$

# Fixing type translation...

---

$s ::= \diamond \mid \star$

$\tau ::= \alpha \mid \text{unit} \mid \text{int} \mid \forall[\overline{\alpha}::s].(\overline{\tau}) \rightarrow \tau \mid \exists\alpha::s.\tau \mid \mu\alpha.\tau \mid \text{ref } \tau \mid \langle \overline{\tau} \rangle \mid \text{cont } \tau$

$p ::= + \mid - \mid *$

$v ::= x \mid () \mid n \mid \lambda[\overline{\alpha}::s](\overline{x}:\overline{\tau}).e \mid \text{pack } \langle \tau, v \rangle \text{ as } \exists\alpha::s.\tau \mid \text{fold}_{\mu\alpha.\tau} v \mid \ell \mid \langle \overline{v} \rangle \mid \text{cont}_{\tau} E$

$e ::= v \mid \text{unpack } \langle \alpha, x \rangle = v \text{ in } e \mid v [] \overline{v} \mid v [\tau] \mid v p v \mid \text{new } v \mid v := v \mid !v \mid \text{unfold } v \mid \pi_i(v)$   
 $\mid \text{let } x = e \text{ in } e \mid \text{if0 } v e e \mid \text{call/cc}_{\tau}(x.e) \mid \text{throw}_{\tau} v \text{ to } e$

$E ::= [\cdot] \mid \text{let } x = E \text{ in } e \mid \text{throw}_{\tau} v \text{ to } E$

$$\alpha^+ = \alpha$$

$$\text{unit}^+ = \text{unit}$$

$$\text{ref } \tau^+ = \text{ref } \tau^+$$

$$\langle \tau_1, \dots, \tau_n \rangle^+ = \langle \tau_1^+, \dots, \tau_n^+ \rangle$$

$$\forall[\overline{\alpha}].(\overline{\tau}) \rightarrow \tau'^+ = \exists\beta::\diamond. \langle (\forall[\overline{\alpha}::\diamond].(\beta, \overline{\tau}^+) \rightarrow \tau'^+), \beta \rangle$$

$$\exists\alpha.\tau^+ = \exists\alpha::\diamond.\tau^+$$

$$\mu\alpha.\tau^+ = \mu\alpha.\tau^+$$

$$\text{int}^+ = \text{int}$$

# Now our translation *is* fully abstract

---

$\tau = (\text{unit} \rightarrow \text{unit}) \rightarrow \text{int}$

$e_1 = \text{let } x = \text{new } 0 \text{ in}$

$\lambda f. (x := 0; f (); x := 1; f (); !x)$

$e_2 = \lambda f. (f (); f (); 1)$

$C = \text{let } g = [\cdot] \text{ in let } b = \text{new } ff \text{ in}$

$\text{let } f = (\lambda_. \text{if } !b \text{ then call/cc}(k. g (\lambda_. \text{throw } () \text{ to } k))$   
 $\text{else } b := \text{tt}) \text{ in}$

$g f$

$g \text{ wants } (\text{unit} \rightarrow \text{unit})^+$

$(\lambda_. \text{throw } () \text{ to } k)$

# Now our translation *is* fully abstract

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$\text{let } f = (\lambda_. \text{if } !b \text{ then call/cc}(k. g (\lambda_. \text{throw } () \text{ to } k))$   
 $\text{else } b := \text{tt}) \text{ in}$

$g \ f$

$g \text{ wants } (\text{unit} \rightarrow \text{unit})^+$

$(\lambda_. \text{throw } () \text{ to } k)$

$\text{pack } \langle \text{cont } \tau, \lambda(z, _). \text{throw } () \text{ to } \pi_1 z \rangle$

# Back-translation: values (pack-closure)

---

$$\begin{array}{c}
 \mathbf{v}_{\exists} = \mathbf{pack} \langle \tau_{\mathbf{env}}, \langle \mathbf{v}, \mathbf{v}_{\mathbf{env}} \rangle \rangle \text{ as } \exists \alpha' :: \diamond . \langle (\forall [\overline{\alpha} :: \diamond]. (\alpha', \overline{\tau}^+) \rightarrow \tau'^+), \alpha' \rangle \\
 \mathbf{v} = \lambda [\overline{\alpha} :: \diamond] (\mathbf{z} : \tau_{\mathbf{env}}^+, \overline{\mathbf{x}} : \overline{\tau}^+) . \mathbf{e} \qquad \tau_{\mathbf{env}}^{\rightarrow} = \tau_{\mathbf{env}} \\
 \cdot; \Delta; \Gamma \quad \vdash \mathbf{v}_{\mathbf{env}} : \tau_{\mathbf{env}}^+ \rightarrow \mathbf{v}'_{\mathbf{env}} \quad ; \quad \overline{\alpha} :: \diamond; \quad \mathbf{z} : \tau_{\mathbf{env}}^+, \overline{\mathbf{x}} : \overline{\tau}^+ | \cdot; \cdot \vdash \mathbf{e} : \tau'^+ \rightarrow \mathbf{e}' \\
 \hline
 \cdot; \Delta; \Gamma \quad \vdash^+ \mathbf{v}_{\exists} : (\forall [\overline{\alpha}]. (\overline{\tau}) \rightarrow \tau')^+ \rightarrow \lambda [\overline{\alpha}] (\overline{\mathbf{x}} : \overline{\tau}) . \text{let } \mathbf{z} = \mathbf{v}'_{\mathbf{env}} \text{ in } \mathbf{e}'
 \end{array}$$



# Back-translation: trans subterms (easy)

---

$$\frac{\begin{array}{ccc} \cdot; \Delta; \Gamma & \vdash \mathbf{v} : \mathbf{int}^+ \rightarrow \mathbf{v}' & \cdot; \Delta; \Gamma & \vdash \mathbf{e}_1 : \tau^+ \rightarrow \mathbf{e}'_1 & \cdot; \Delta; \Gamma & \vdash \mathbf{e}_2 : \tau^+ \rightarrow \mathbf{e}'_2 \end{array}}{\cdot; \Delta; \Gamma \quad \vdash \mathbf{if0} \mathbf{v} \mathbf{e}_1 \mathbf{e}_2 : \tau^+ \rightarrow \mathbf{if0} \mathbf{v}' \mathbf{e}'_1 \mathbf{e}'_2}$$

$$\frac{\begin{array}{ccc} \cdot; \Delta; \Gamma & \vdash \mathbf{e}_1 : \tau_1^+ \rightarrow \mathbf{e}'_1 & \cdot; \Delta; \Gamma, \mathbf{x} : \tau_1^+ & \vdash \mathbf{e}_2 : \tau_2^+ \rightarrow \mathbf{e}'_2 \end{array}}{\cdot; \Delta; \Gamma \quad \vdash \mathbf{let} \mathbf{x} = \mathbf{e}_1 \mathbf{in} \mathbf{e}_2 : \tau_2^+ \rightarrow \mathbf{let} \mathbf{x} = \mathbf{e}'_1 \mathbf{in} \mathbf{e}'_2}$$

# Back-translation: trans subterms (easy)

---

$$\frac{\cdot; \Delta; \Gamma \quad \vdash \mathbf{v} : \exists \alpha. \tau'^+ \Rightarrow v' \quad \cdot; \Delta, \alpha :: \diamond; \Gamma, \mathbf{x} : \tau'^+ \quad \vdash \mathbf{e} : \tau^+ \Rightarrow e'}{\cdot; \Delta; \Gamma \quad \vdash \mathbf{unpack} \langle \alpha, \mathbf{x} \rangle = \mathbf{v} \text{ in } \mathbf{e} : \tau^+ \Rightarrow \mathbf{unpack} \langle \alpha, \mathbf{x} \rangle = v' \text{ in } e'}$$

$$\frac{\cdot; \Delta; \Gamma \quad \vdash \mathbf{v} : \mu \alpha. \tau^+ \Rightarrow v'}{\cdot; \Delta; \Gamma \quad \vdash \mathbf{unfold} \mathbf{v} : \tau^+ [\mu \alpha. \tau^+ / \alpha] \Rightarrow \mathbf{unfold} v'}$$

$$\frac{\cdot; \Delta; \Gamma \quad \vdash \mathbf{v} : \tau^+ \Rightarrow v'}{\cdot; \Delta; \Gamma \quad \vdash^+ \mathbf{new} \mathbf{v} : \mathbf{ref} \tau^+ \Rightarrow \mathbf{new} v'}$$

$$\frac{\cdot; \Delta; \Gamma \quad \vdash^+ \mathbf{v} : \mathbf{ref} \tau^+ \Rightarrow v'}{\cdot; \Delta; \Gamma \quad \vdash \mathbf{!v} : \tau^+ \Rightarrow \mathbf{!v}'}$$

$$\frac{\cdot; \Delta; \Gamma \quad \vdash \mathbf{v}_1 : \mathbf{ref} \tau^+ \Rightarrow v'_1 \quad \cdot; \Delta; \Gamma \mid \quad \vdash \mathbf{v}_2 : \tau^+ \Rightarrow v'_2}{\cdot; \Delta; \Gamma \quad \vdash^+ \mathbf{v}_1 := \mathbf{v}_2 : \mathbf{unit}^+ \Rightarrow v'_1 := v'_2}$$

# Back-translation: trans subterms

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---

$$\cdot; \Delta; \Gamma, \mathbf{x}: (\forall[\bar{\alpha}]. (\bar{\tau}') \rightarrow \tau'')^+ \vdash \mathbf{unpack} \langle \beta, \mathbf{y} \rangle = \mathbf{x} \text{ in } \mathbf{e}: \tau^+ \rightarrow \mathbf{e}'$$

# Back-translation: trans subterms

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---

$\cdot; \Delta; \Gamma, \mathbf{x}: (\forall[\bar{\alpha}].(\bar{\tau}') \rightarrow \tau'')^+ \mid \Phi \vdash \mathbf{unpack} \langle \beta, \mathbf{y} \rangle = \mathbf{x} \text{ in } \mathbf{e}: \tau^+ \rightarrow \mathbf{e}'$

# Back-translation: trans subterms

---

$$\Phi' = \Phi, (\beta_{\text{env}} :: \diamond, \mathbf{x}_f : \forall[\overline{\alpha} :: \diamond]. (\beta_{\text{env}}, \tau'^+) \rightarrow \tau''^+, \mathbf{x}_{\text{env}} : \beta_{\text{env}}, \mathbf{x})$$
$$\cdot; \Delta; \Gamma \mid \Phi' \vdash e[\langle \mathbf{x}_f, \mathbf{x}_{\text{env}} \rangle / \mathbf{y}] : \tau^+ \twoheadrightarrow e'$$

---

$$\cdot; \Delta; \Gamma, \mathbf{x} : (\forall[\overline{\alpha}]. (\overline{\tau}') \rightarrow \tau'')^+ \mid \Phi \vdash \text{unpack } \langle \beta, \mathbf{y} \rangle = \mathbf{x} \text{ in } e : \tau^+ \twoheadrightarrow e'$$

# Back-translation: trans subterms

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$$\Phi' = \Phi, (\beta_{\text{env}} :: \diamond, \mathbf{x}_f : \forall[\overline{\alpha} :: \diamond]. (\beta_{\text{env}}, \tau'^+) \rightarrow \tau''^+, \mathbf{x}_{\text{env}} : \beta_{\text{env}}, \mathbf{x})$$

$$\cdot; \Delta; \Gamma \mid \Phi' \vdash e[\langle \mathbf{x}_f, \mathbf{x}_{\text{env}} \rangle / \mathbf{y}] : \tau^+ \twoheadrightarrow e'$$

---


$$\cdot; \Delta; \Gamma, \mathbf{x} : (\forall[\overline{\alpha}]. (\overline{\tau}') \rightarrow \overline{\tau}'')^+ \mid \Phi \vdash \text{unpack } \langle \beta, \mathbf{y} \rangle = \mathbf{x} \text{ in } e : \tau^+ \twoheadrightarrow e'$$

$$(\beta_{\text{env}} :: \diamond, \mathbf{x}_f : \forall[\overline{\alpha} :: \diamond]. (\beta_{\text{env}}, \tau'^+) \rightarrow \tau''^+, \mathbf{x}_{\text{env}} : \beta_{\text{env}}, \mathbf{x}) \in \Phi$$

$$\tau_0 = \tau_0 \quad \tau^+ = \tau''[\tau_0/\alpha]^+ \quad \cdot; \Delta; \Gamma \mid \Phi \vdash \mathbf{v} : \tau'[\tau_0/\alpha]^+ \twoheadrightarrow \mathbf{v}$$

---


$$\cdot; \Delta; \Gamma \mid \Phi \vdash ((\mathbf{x}_f [\overline{\tau}_0]) \parallel \langle \mathbf{x}_{\text{env}}, \overline{\mathbf{v}} \rangle) : \tau^+ \twoheadrightarrow \mathbf{x} [\overline{\tau}_0] \overline{\mathbf{v}}$$

# Back-translation: non-trans subterms

---

$$\frac{\mathbf{v}_0 = (\lambda[\overline{\alpha::s}](\overline{x:\tau'}) . e) [\overline{\tau''}] \quad \cdot; \Delta; \Gamma \mid \Phi \vdash_{\Omega} e[\overline{\tau''}/\alpha][\overline{v}/x] : \tau^+ \rightarrow e'}{\cdot; \Delta; \Gamma \mid \Phi \vdash \mathbf{v}_0 [] \overline{v} : \tau^+ \rightarrow e'}$$

# Back-translation: non-trans subterms

---

$$\frac{\mathbf{v}_0 = (\lambda[\overline{\alpha::s}](\overline{x:\tau'}).e) [\overline{\tau''}] \quad \cdot; \Delta; \Gamma \mid \Phi \vdash_{\Omega} e[\overline{\tau''}/\alpha][\overline{v}/x] : \tau^+ \rightarrow e'}{\cdot; \Delta; \Gamma \mid \Phi \vdash \mathbf{v}_0 [] \overline{v} : \tau^+ \rightarrow e'}$$

---

$$\cdot; \Delta; \Gamma \mid \Phi \vdash \mathbf{let\ x = (new\ v)\ in\ e} : \tau^+ \rightarrow e' \quad (\exists \tau'. \tau'^+ = \tau')$$



# Back-translation: non-trans subterms

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$$\frac{\mathbf{v}_0 = (\lambda[\overline{\alpha::s}](\overline{x:\tau'}) . e) [\overline{\tau''}] \quad \cdot; \Delta; \Gamma \mid \Phi \vdash_{\Omega} e[\overline{\tau''}/\alpha][\overline{v}/x] : \tau^+ \rightarrow e'}{\cdot; \Delta; \Gamma \mid \Phi \vdash \mathbf{v}_0 [] \overline{v} : \tau^+ \rightarrow e'}$$

---

$$\cdot; \Delta; \Gamma \mid \mathbf{H}; \Phi \vdash \mathbf{let\ x = (new\ v)\ in\ e} : \tau^+ \rightarrow e' \quad (\exists \tau'. \tau'^+ = \tau')$$

# Back-translation: non-trans subterms

---

$$\frac{\mathbf{v}_0 = (\lambda[\overline{\alpha::s}](\overline{x:\tau'}).e) [\overline{\tau''}] \quad \cdot; \Delta; \Gamma \mid \Phi \vdash_{\Omega} e[\overline{\tau''}/\alpha][\overline{v}/x]:\tau^+ \rightarrow e'}{\cdot; \Delta; \Gamma \mid \Phi \vdash \mathbf{v}_0 [] \overline{v}:\tau^+ \rightarrow e'}$$

$$\frac{\ell:\tau' \notin \text{dom}(\mathbf{H}) \quad \cdot; \Delta; \Gamma \mid \mathbf{H}[\ell:\tau' \mapsto v]; \Phi \vdash_{\Omega} e[\ell/x]:\tau^+ \rightarrow e'}{\cdot; \Delta; \Gamma \mid \mathbf{H}; \Phi \vdash \text{let } x = (\text{new } v) \text{ in } e:\tau^+ \rightarrow e'} \quad (\exists \tau'. \tau'^+ = \tau')$$

# Back-translation: non-trans subterms

---

$$\frac{\mathbf{v}_0 = (\lambda[\overline{\alpha::s}](\overline{x:\tau'}).e) [\overline{\tau''}] \quad \cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash_{\Omega} e[\overline{\tau''}/\alpha][\overline{v}/x]:\tau^+ \rightarrow e'}{\cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash \mathbf{v}_0 [] \overline{v}:\tau^+ \rightarrow e'}$$

$$\frac{\ell:\tau' \notin \text{dom}(\mathbf{H}) \quad \cdot; \Delta; \Gamma | \mathbf{H}[\ell:\tau' \mapsto v]; \Phi \vdash_{\Omega} e[\ell/x]:\tau^+ \rightarrow e'}{\cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash \text{let } x = (\text{new } v) \text{ in } e:\tau^+ \rightarrow e'} \quad (\exists \tau'. \tau'^+ = \tau')$$

# Back-translation: non-trans subterms

---

$$\frac{\mathbf{v}_0 = (\lambda[\overline{\alpha::s}](\overline{\mathbf{x}:\tau'}).e) [\overline{\tau''}] \quad \cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash_{\Omega} e[\overline{\tau''}/\alpha][\overline{\mathbf{v}}/\mathbf{x}]:\tau^+ \rightarrow e'}{\cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash \mathbf{v}_0 [] \overline{\mathbf{v}}:\tau^+ \rightarrow e'}$$

$$\frac{\ell:\tau' \notin \text{dom}(\mathbf{H}) \quad \cdot; \Delta; \Gamma | \mathbf{H}[\ell:\tau' \mapsto \mathbf{v}]; \Phi \vdash_{\Omega} e[\ell/\mathbf{x}]:\tau^+ \rightarrow e' \quad (\exists \tau'. \tau'^+ = \tau')}{\cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash \text{let } \mathbf{x} = (\text{new } \mathbf{v}) \text{ in } e:\tau^+ \rightarrow e'}$$

$$\frac{\mathbf{H}(\ell:\tau') = \mathbf{v} \quad \cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash_{\Omega} e[\mathbf{v}/\mathbf{x}]:\tau^+ \rightarrow e' \quad (\exists \tau'. \tau'^+ = \tau')}{\cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash \text{let } \mathbf{x} = !\ell \text{ in } e:\tau^+ \rightarrow e'}$$

$$\frac{\ell:\tau' \in \text{dom}(\mathbf{H}) \quad \cdot; \Delta; \Gamma | \mathbf{H}[\ell:\tau' \mapsto \mathbf{v}]; \Phi \vdash_{\Omega} e[()/\mathbf{x}]:\tau^+ \rightarrow e' \quad (\exists \tau'. \tau'^+ = \tau')}{\cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash \text{let } \mathbf{x} = (\ell := \mathbf{v}) \text{ in } e:\tau^+ \rightarrow e'}$$

# Back-translation: non-trans subterms

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$$\frac{\Psi_{\mathbf{H}}; \Delta, \Delta_{\Phi}; \Gamma, \Gamma_{\Phi} \vdash v : \tau' \quad \cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash_{\Omega} e[v/x] : \tau^+ \twoheadrightarrow e'}{\cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash \text{let } x = v \text{ in } e : \tau^+ \twoheadrightarrow e'} \quad (\exists \tau'. \tau'^+ = \tau')$$

$$\frac{\Psi_{\mathbf{H}}; \Delta, \Delta_{\Phi}; \Gamma, \Gamma_{\Phi} \vdash e_2 : \tau' \quad \cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash \text{let } x_1 = e_1 \text{ in } (\text{let } x_2 = e_2 \text{ in } e_3) : \tau^+ \twoheadrightarrow e}{\cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash \text{let } x_2 = (\text{let } x_1 = e_1 \text{ in } e_2) \text{ in } e_3 : \tau^+ \twoheadrightarrow e} \quad (\exists \tau'. \tau'^+ = \tau')$$

$$\frac{\Psi_{\mathbf{H}}; \Delta, \Delta_{\Phi}; \Gamma, \Gamma_{\Phi} \vdash e_1, e_2 : \tau' \quad \cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash v : \text{int}^+ \twoheadrightarrow v' \quad \cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash \text{let } x = e_1 \text{ in } e_3 : \tau^+ \twoheadrightarrow e'_1 \quad \cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash \text{let } x = e_2 \text{ in } e_3 : \tau^+ \twoheadrightarrow e'_2}{\cdot; \Delta; \Gamma | \mathbf{H}; \Phi \vdash \text{let } x = (\text{if0 } v \text{ } e_1 \text{ } e_2) \text{ in } e_3 : \tau^+ \twoheadrightarrow \text{if0 } v' \text{ } e'_1 \text{ } e'_2} \quad (\exists \tau'. \tau'^+ = \tau')$$

# Back-translation: well-foundedness!

---

$$\frac{\Psi_{\mathbf{H}}; \Delta, \Delta_{\Phi}; \Gamma, \Gamma_{\Phi} \vdash \mathbf{e} \approx^{ctx} \Omega : \tau^+}{\therefore \Delta; \Gamma | \mathbf{H}; \Phi \vdash_{\Omega} \mathbf{e} : \tau^+ \twoheadrightarrow \Omega}$$

$$\frac{\Psi_{\mathbf{H}}; \Delta, \Delta_{\Phi}; \Gamma, \Gamma_{\Phi} \vdash \mathbf{e} \not\approx_{M+C}^{ctx} \Omega : \tau^+ \quad \therefore \Delta; \Gamma | \mathbf{H}; \Phi \vdash \mathbf{e} : \tau^+ \twoheadrightarrow \mathbf{e}'}{\therefore \Delta; \Gamma | \mathbf{H}; \Phi \vdash_{\Omega} \mathbf{e} : \tau^+ \twoheadrightarrow \mathbf{e}'}$$

# Back-translation: well-foundedness!

---

$$\frac{\Psi_{\mathbf{H}}; \Delta, \Delta_{\Phi}; \Gamma, \Gamma_{\Phi} \vdash \mathbf{e} \approx^{ctx} \Omega : \tau^+}{\therefore \Delta; \Gamma | \mathbf{H}; \Phi \vdash_{\Omega} \mathbf{e} : \tau^+ \twoheadrightarrow \Omega}$$

$$\frac{\Psi_{\mathbf{H}}; \Delta, \Delta_{\Phi}; \Gamma, \Gamma_{\Phi} \vdash \mathbf{e} \not\approx_{M+C}^{ctx} \Omega : \tau^+ \quad \therefore \Delta; \Gamma | \mathbf{H}; \Phi \vdash \mathbf{e} : \tau^+ \twoheadrightarrow \mathbf{e}'}{\therefore \Delta; \Gamma | \mathbf{H}; \Phi \vdash_{\Omega} \mathbf{e} : \tau^+ \twoheadrightarrow \mathbf{e}'}$$

Intuition: have an “oracle” that checks, after every partial evaluation step, if the term is equivalent to  $\Omega$

# Back-translation: well-foundedness!

---

$$\frac{\Psi_{\mathbf{H}}; \Delta, \Delta_{\Phi}; \Gamma, \Gamma_{\Phi} \vdash \mathbf{e} \approx^{ctx} \Omega : \tau^+}{\therefore \Delta; \Gamma | \mathbf{H}; \Phi \vdash_{\Omega} \mathbf{e} : \tau^+ \twoheadrightarrow \Omega}$$

$$\frac{\Psi_{\mathbf{H}}; \Delta, \Delta_{\Phi}; \Gamma, \Gamma_{\Phi} \vdash \mathbf{e} \not\approx_{M+C}^{ctx} \Omega : \tau^+ \quad \therefore \Delta; \Gamma | \mathbf{H}; \Phi \vdash \mathbf{e} : \tau^+ \twoheadrightarrow \mathbf{e}'}{\therefore \Delta; \Gamma | \mathbf{H}; \Phi \vdash_{\Omega} \mathbf{e} : \tau^+ \twoheadrightarrow \mathbf{e}'}$$

Intuition: have an “oracle” that checks, after every partial evaluation step, if the term is equivalent to  $\Omega$

Prove backtranslation is well-founded using a logical relation.



# Back-translation: call/cc, throw

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Same intuition as for heap effects.

- Rules maintain “state” -- i.e., current continuation  $E$
- for call/cc and throw subterms, do partial evaluation
- current continuation  $E$  is reset to empty when we go under a lambda

# Takeaways

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- Advanced languages like HTT and F\* are ideal for verifying security properties alongside development of code
- Need **correct and secure compilers** to ensure that source-level guarantees are preserved at the target level
- To build **realistic** fully abstract compilers, we need proof techniques (back-translation)
- **Main idea:** use types/type-translation to ensure compiled code will only be run in well-behaved target contexts
- If type-translation is right, back-translation will work!

# Questions?

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