# Normalizing Structured Graphs (ongoing work)

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#### What are Structured Graphs?

- Proposed by [Oliveira-Cook ICFP'12]
- Uses recursive bindings and PHOAS (parameterized higher-order abstract syntax) to represent trees with sharing and cycles
- E.g. (in OCaml-like syntax sorry!)
- let rec x = a[x] in x
- let rec y = c[] in b[y,y]

# (What is PHOAS?)

- Actually, it doesn't quite matter for this talk
- Anyway, it is a way of representing bindings of the object language by that of the meta language

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E.g.
type 'a lam =
Var of 'a
| Lam of 'a -> 'a lam
| App of 'a lam * 'a lam
```

## **General Definition**

- type α sgraph =
  - Node of label \* α sgraph list
- | LetRec of (α list -> α sgraph list) \* α sgraph

(\* PHOAS to represent

(mutually) recursive bindings \*)

- | Var of  $\alpha$
- For readability, we use the ordinary syntax let rec ... in ... instead of LetRec, and also write l[g<sub>1</sub>,...,g<sub>n</sub>] for Node(l,[g1,...,gn])

## What is the Problem?

 The structured graph representations are not unique (in fact, some are redundant)

E.g.

- let rec x = d[x] in a[]
   → a[]
- let rec x = d[] in a[x]
   → a[d[]]
- <u>let rec x = b[x] in</u> a[x]

 $\rightarrow$  a[let rec x = b[x] in x]

# Our Work: Normalizing Structured Graphs

- A set of rewriting rules that are confluent and terminating
- Trickier than you might think!

# Our settings

- Nodes are identified by labels
- Graphs are rooted

let rec x = a[y] and y = b[x] in x

- let rec x = a[y] and y = b[x] in y
- Children of nodes are ordered

a[b[], c[]]

- ≠ a[c[], b[]]
- Graphs are identified up to bisimilarity

## **Graph Bisimilarity**

- I[g<sub>1</sub>,...,g<sub>n</sub>] and I'[g'<sub>1</sub>,...,g'<sub>n'</sub>] are bisimilar if I=I', n=n', and each g<sub>i</sub> and g'<sub>i</sub> are bisimilar
- let rec x<sub>1</sub>,...,x<sub>n</sub> = g<sub>1</sub>,...,g<sub>n</sub> in g and g' are bisimilar if [h<sub>1</sub>,...,h<sub>n</sub>/x<sub>1</sub>,...,x<sub>n</sub>]g
  - (where each  $h_i = \text{let rec } x_1, \dots, x_n = g_1, \dots, g_n \text{ in } g_i$ )
  - and g' are bisimilar
    - Ditto for the inverse
    - N.B. Taking h<sub>i</sub> = let rec x<sub>1</sub>,...,x<sub>n</sub> = g<sub>1</sub>,...,g<sub>n</sub> in x<sub>i</sub> is <u>unsound</u>!

#### **Reduction 1/3: Removing**

- REMOVE-REC:
  - let rec ~x=~s in t → let rec ~y=~u in t
  - if {~x=~s} = {~y=~u} ⊎ {~z=~v}
  - and {~z=~v} ≠ Ø and ~z ∉ FV(~u, t)
    - ~x=~s stands for sequence like x<sub>1</sub>=s<sub>1</sub>,...,x<sub>n</sub>=s<sub>n</sub>
    - ~x stands for  $x_1, \dots, x_n$  and ~s for  $s_1, \dots, s_n$  etc.
- ERASE-REC: let rec in s  $\rightarrow$  s
- FUSE-REC: let rec ~x=~u in (let rec ~y=~v in s)
  - $\rightarrow$  let rec x, y=u, v in s
  - Tricky for termination proof!

## **Reduction 2/3: Dropping**

- DROP-REC-CHILD:
  - let rec ~x=~s in  $I[t_1,...,t_i,...,t_n] \rightarrow$
  - let rec ~y=~u in l[t<sub>1</sub>,...,(let rec ~z=~v in t<sub>i</sub>),...,t<sub>n</sub>]
  - if {~x=~s} = {~y=~u} ⊎ {~z=~v}
  - and  $\{z=v\} \neq \emptyset$  and  $z \notin FV(u, t\setminus t_i)$
- DROP-REC-DEF:
  - let rec x = s in t  $\rightarrow$
  - let rec y<sub>1</sub>=u<sub>1</sub>,...,(let rec ~z=~v in u<sub>i</sub>),...,y<sub>n</sub>=u<sub>n</sub> in t
  - if {~x=~s} = {~y=~u} ⊎ {~z=~v}
  - and  $\{z=v\} \neq \emptyset$  and  $z \notin FV(u \setminus u_i, t)$

## **Reduction 3/3: Inlining**

- INILNE-REC-BODY:
  - let rec x=s in t  $\rightarrow$
  - let rec  $x = x = x_i = s_i$  in  $[s_i/x_i]t$
  - if x<sub>i</sub> ∉ FV(~s)
    and x<sub>i</sub> appears at most once in t
- INLINE-REC-DEF:
  - let rec ~x=~s in t →
  - let rec ( $x=x=x_i=s_i \setminus x_j=s_j$ ),  $x_j=[s_i/x_i]s_j$  in t
  - if  $x_i \notin FV(\sim s \setminus s_j, t)$
  - and x<sub>i</sub> appears at most once in s<sub>j</sub>

#### **Reduction 4/3: Precongruence**

• Usual precongruence rules CONG-REC-BODY, CONG-REC-DEF, and CONG-CHILD

# Termination (tricky!)

- "Inductive lexical order" on Counts(t) = (Bind(t), TopBind(t), TopRec(t), Sub(t)) where
- Bind(t) counts the number of all = in t
  - for REMOVE-REC and INLINE-REC-\*
- TopBind(t) counts only "top-level" = (neither under I[] nor on the rhs of =)
   for DROP-REC-\*
- TopRec(t) counts top-level "let rec" (not =)
  for ERASE-REC and FUSE-REC
- Sub(t) recurses: Sub(x) = () Sub(l[t<sub>1</sub>,...,t<sub>n</sub>]) = (Counts(t<sub>1</sub>),...,Counts(t<sub>n</sub>)) Sub(let rec x<sub>1</sub>=s<sub>1</sub>,...,x<sub>n</sub>=s<sub>n</sub> in t) = (Counts(s<sub>1</sub>),...,Counts(s<sub>n</sub>),Counts(t))
   for CONG-\*

## Why the quadruple?

	Bind	TopBind	TopRec	Sub
<b>REMOVE-REC</b>	<	<	=	
ERASE-REC	=	=	<	
FUSE-REC	=	=	<	
DROP-REC-CHILD	=	<	=	
DROP-REC-DEF	=	<	=	
INLINE-REC-BODY	<	?	?	
INLINE-REC-DEF	<	<	=	
CONG-*	$\leq$	$\leq$	$\leq$	<

## **Confluence (relatively easy)**

- Lemma: All critical pairs are locally confluent
- Proof: "Just" careful case analyses on pairs of the reduction rules, with set calculations of the side conditions on free variables

#### **Preservation of Bisimilarity**

- Conjecture: if  $s \rightarrow t$ , then s and t are bisimilar
- **Proof: To do**

## **An Open Question**

- Any of the reduction rules are not specific to (structured) graphs
- Are they applicable to (or, better, useful for) (mutually) recursive programs in general?